

# HALL ALGEBRAS OF CYCLIC QUIVERS AND $q$ -DEFORMED FOCK SPACES

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ABSTRACT. Based on the work of Ringel and Green, one can define the (Drinfeld) double Ringel–Hall algebra  $\mathcal{D}(Q)$  of a quiver  $Q$  as well as its highest weight modules. The main purpose of the present paper is to show that the basic representation  $L(\Lambda_0)$  of  $\mathcal{D}(\Delta_n)$  of the cyclic quiver  $\Delta_n$  provides a realization of the  $q$ -deformed Fock space  $\Lambda^\infty$  defined by Hayashi. This is worked out by extending a construction of Varagnolo and Vasserot. By analysing the structure of nilpotent representations of  $\Delta_n$ , we obtain a decomposition of the basic representation  $L(\Lambda_0)$  which induces the Kashiwara–Miwa–Stern decomposition of  $\Lambda^\infty$  and a construction of the canonical basis of  $\Lambda^\infty$  defined by Leclerc and Thibon in terms of certain monomial basis elements in  $\mathcal{D}(\Delta_n)$ .

## 1. INTRODUCTION

In [40], Ringel introduced the Hall algebra  $\mathcal{H}(\Delta_n)$  of the cyclic quiver  $\Delta_n$  with  $n$  vertices and showed that its subalgebra generated by simple representations, called the composition algebra, is isomorphic to the positive part  $\mathbf{U}_v^+(\widehat{\mathfrak{sl}}_n)$  of the quantized enveloping algebra  $\mathbf{U}_v(\widehat{\mathfrak{sl}}_n)$ . Schiffmann [41] further showed that  $\mathcal{H}(\Delta_n)$  is the tensor product of  $\mathbf{U}_v^+(\widehat{\mathfrak{sl}}_n)$  with a central subalgebra which is the polynomial ring in infinitely many indeterminates. Following the approach in [46], the double Ringel–Hall algebra  $\mathcal{D}(\Delta_n)$  was defined in [6]. Based on [12, 21] and an explicit description of central elements of  $\mathcal{H}(\Delta_n)$  in [19], it was shown in [6, Th. 2.3.3] that  $\mathcal{D}(\Delta_n)$  is isomorphic to the quantum affine algebra  $\mathbf{U}_v(\widehat{\mathfrak{gl}}_n)$  defined by Drinfeld’s new presentation [10].

The  $q$ -deformed Fock space representation  $\Lambda^\infty$  of the quantized enveloping algebra  $\mathbf{U}_v(\widehat{\mathfrak{sl}}_n)$  has been constructed by Hayashi [17], and its crystal basis was described by Misra and Miwa [36]. Further, by work of Kashiwara, Miwa, and Stern [27], the action of  $\mathbf{U}_v(\widehat{\mathfrak{sl}}_n)$  on  $\Lambda^\infty$  is centralized by a Heisenberg algebra which arises from affine Hecke algebras. This yields a bimodule isomorphism from  $\Lambda^\infty$  to the tensor product of the basic representation of  $\mathbf{U}_v(\widehat{\mathfrak{sl}}_n)$  and the Fock space representation of the Heisenberg algebra.

By defining a natural semilinear involution on  $\Lambda^\infty$ , Leclerc and Thibon [29] obtained in an elementary way a canonical basis of  $\Lambda^\infty$ . It was conjectured in [28, 29] that for  $q = 1$ , the coefficients of the transition matrix of the canonical basis on the natural basis of  $\Lambda^\infty$  are equal to the decomposition numbers for Hecke algebras and quantum Schur algebras at roots of unity. These conjecture have been proved, respectively, by Ariki [1] and Varagnolo and Vasserot [47]. For the categorification of the Fock space, see, for example, [43, 18, 45].

In [47], Varagnolo and Vasserot extended the  $\mathbf{U}_v(\widehat{\mathfrak{sl}}_n)$ -action on the Fock space  $\Lambda^\infty$  to that of the extended Ringel–Hall algebra  $\mathcal{D}(\Delta_n)^{\leq 0}$  of the cyclic quiver  $\Delta_n$ . They also showed that the canonical basis of the Ringel–Hall algebra  $\mathcal{H}(\Delta_n)$  in the sense of Lusztig induces a basis of  $\Lambda^\infty$  which conjecturally coincides with the canonical basis constructed by Leclerc and Thibon [29]. This conjecture was proved by Schiffmann [41] by identifying the central subalgebra of  $\mathcal{H}(\Delta_n)$  with the ring of symmetric functions.

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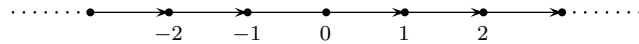
The main purpose of the present paper is to extend Varagnolo–Vasserot’s construction to obtain a  $\mathcal{D}(\Delta_n)$ -module structure on the Fock space  $\Lambda^\infty$  which is shown to be isomorphic to the basic representation  $L(\Lambda_0)$  of  $\mathcal{D}(\Delta_n)$ . Moreover, the central elements in the positive and negative parts of  $\mathcal{D}(\Delta_n)$  constructed by Hubery [19] give rise naturally to the operators introduced in [27] which generate the Heisenberg algebra. Furthermore, the structure of  $\mathcal{D}(\Delta_n)$  yields a decomposition of  $L(\Lambda_0)$  which induces the Kashiwara–Miwa–Stern decomposition of  $\Lambda^\infty$ . This also provides a way to construct the canonical basis of  $\Lambda^\infty$  in [29] in terms of certain monomial basis elements of  $\mathcal{D}(\Delta_n)$ .

The paper is organized as follows. In Section 2 we review the classification of (nilpotent) representations of both infinite linear quiver  $\Delta_\infty$  and the cyclic quiver  $\Delta_n$  with  $n$  vertices and discuss their generic extensions. Section 3 recalls the definition of Ringel–Hall algebras  $\mathcal{H}(\Delta_\infty)$  and  $\mathcal{H}(\Delta_n)$  of  $\Delta_\infty$  and  $\Delta_n$  as well as the maps from the homogeneous spaces of  $\mathcal{H}(\Delta_n)$  to those of  $\mathcal{H}(\Delta_\infty)$  introduced in [47]. The images of basis elements of  $\mathcal{H}(\Delta_n)$  under these maps are described. In Section 4 we first follow the approach in [46] to present the construction of double Ringel–Hall algebras of both  $\Delta_\infty$  and  $\Delta_n$  and then study the irreducible highest weight  $\mathcal{D}(\Delta_n)$ -modules based on the results in [23]. Section 5 recalls from [17, 36, 47] the Fock space representation  $\Lambda^\infty$  over  $\mathbf{U}_v(\widehat{\mathfrak{sl}}_\infty)$  ( $\cong \mathcal{D}(\Delta_\infty)$ ) as well as over  $\mathbf{U}_v^+(\widehat{\mathfrak{sl}}_n)$ . In Section 6 we define the  $\mathcal{D}(\Delta_n)$ -module structure on  $\Lambda^\infty$  based on [27, 47]. It is shown in Section 7 that  $\Lambda^\infty$  is isomorphic to the basic representation of  $\mathcal{D}(\Delta_n)$ . In the final section, we present a way to construct the canonical basis of  $\Lambda^\infty$  and interpret the “ladder method” construction of certain basis elements in  $\Lambda^\infty$  in terms of generic extensions of nilpotent representations of  $\Delta_n$ .

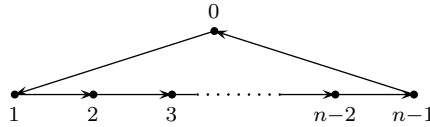
## 2. NILPOTENT REPRESENTATIONS AND GENERIC EXTENSIONS

In this section we consider nilpotent representations of both a cyclic quiver  $\Delta = \Delta_n$  with  $n$  vertices ( $n \geq 2$ ) and the infinite quiver  $\Delta = \Delta_\infty$  of type  $A_\infty$  and study their generic extensions. We show that the degeneration order of nilpotent representations of  $\Delta_n$  induces the dominant order of partitions.

Let  $\Delta_\infty$  denote the infinite quiver of type  $A_\infty$



with vertex set  $I = I_\infty = \mathbb{Z}$ , and for  $n \geq 2$ , let  $\Delta_n$  denote the cyclic quiver



with vertex set  $I = I_n = \mathbb{Z}/n\mathbb{Z} = \{0, 1, \dots, n-1\}$ . For each  $i \in I_\infty = \mathbb{Z}$ , let  $\bar{i}$  denote its residue class in  $I_n = \mathbb{Z}/n\mathbb{Z}$ . We also simply write  $\bar{i} \pm 1$  to denote the residue class of  $i \pm 1$  in  $\mathbb{Z}/n\mathbb{Z}$ .

Given a field  $k$ , we denote by  $\text{Rep}^0 \Delta$  the category of finite dimensional nilpotent representations of  $\Delta$  ( $= \Delta_\infty$  or  $\Delta_n$ ) over  $k$ . (Note that each finite dimensional representation of  $\Delta_\infty$  is automatically nilpotent.) Given a representation  $V = (V_i, V_\rho) \in \text{Rep}^0 \Delta$ , the vector  $\mathbf{dim} V = (\dim_k V_i)_{i \in I}$  is called the *dimension vector* of  $V$ . The Grothendieck group of  $\text{Rep}^0 \Delta$  is identified with the free abelian group  $\mathbb{Z}I$  with basis  $I$ . Let  $\{\varepsilon_i \mid i \in I\}$  denote the standard basis of  $\mathbb{Z}I$ . Thus, elements in  $\mathbb{Z}I$  will be written as  $\mathbf{d} = (d_i)_{i \in I}$  or  $\mathbf{d} = \sum_{i \in I} d_i \varepsilon_i$ . In case  $I = \mathbb{Z}/n\mathbb{Z}$ , we sometimes write  $\mathbb{Z}^n$  for  $\mathbb{Z}I$ .

The Euler form  $\langle -, - \rangle : \mathbb{Z}I \times \mathbb{Z}I \rightarrow \mathbb{Z}$  is defined by

$$\langle \mathbf{dim} M, \mathbf{dim} N \rangle = \dim_k \text{Hom}_{k\Delta}(M, N) - \dim_k \text{Ext}_{k\Delta}^1(M, N).$$

Its symmetrization

$$(\mathbf{dim} M, \mathbf{dim} N) = \langle \mathbf{dim} M, \mathbf{dim} N \rangle + \langle \mathbf{dim} N, \mathbf{dim} M \rangle$$

is called the symmetric Euler form.

It is well known that the isoclasses (isomorphism classes) of representations in  $\text{Rep}^0\Delta$  are parametrized by the set  $\mathfrak{M}$  consisting of all multisegments

$$\mathbf{m} = \sum_{i \in I, l \geq 1} m_{i,l} [i, l],$$

where all  $m_{i,l} \in \mathbb{N}$  but finitely many are zero. More precisely, the representation  $M(\mathbf{m}) = M_k(\mathbf{m})$  associated with  $\mathbf{m}$  is defined by

$$M(\mathbf{m}) = \bigoplus_{i \in I, l \geq 1} m_{i,l} S_i[l],$$

where  $S_i[l]$  denotes the indecomposable representation of  $\Delta$  with the simple top  $S_i$  and length  $l$ . For each  $\mathbf{d} \in \mathbb{N}I$ , put

$$\mathfrak{M}^{\mathbf{d}} = \{\mathbf{m} \in \mathfrak{M} \mid \mathbf{dim} M(\mathbf{m}) = \mathbf{d}\}.$$

Furthermore, we will write  $\mathfrak{M} = \mathfrak{M}_\infty$  (resp.,  $\mathfrak{M} = \mathfrak{M}_n$ ) if  $I = \mathbb{Z}$  (resp.,  $I = \mathbb{Z}/n\mathbb{Z}$ ).

It is also known that there exist Auslander–Reiten sequences in  $\text{Rep}^0\Delta$ , that is, for each  $M \in \text{Rep}^0\Delta$ , there is an Auslander–Reiten sequence

$$0 \longrightarrow \tau M \longrightarrow E \longrightarrow M \longrightarrow 0,$$

where  $\tau M$  denotes the Auslander–Reiten translation of  $M$ . It is clear that  $\tau$  induces an isomorphism  $\tau : \mathbb{Z}I \rightarrow \mathbb{Z}I$  such that  $\tau(\mathbf{dim} M) = \mathbf{dim} \tau M$ . In particular,  $\tau(\varepsilon_i) = \varepsilon_{i+1}$ ,  $\forall i \in I$ . If  $\Delta = \Delta_n$ , then  $\tau^{sn} = \text{id}$  for all  $s \in \mathbb{Z}$ . For  $\mathbf{m} \in \mathfrak{M}$ , let  $\tau \mathbf{m}$  be defined by  $M(\tau \mathbf{m}) \cong \tau M(\mathbf{m})$ .

Given  $\mathbf{d} \in \mathbb{N}I$ , let  $V = \bigoplus_{i \in I} V_i$  be an  $I$ -graded vector space with dimension vector  $\mathbf{d}$ . Consider

$$E_V = \{(x_i) \in \bigoplus_{i \in I} \text{Hom}_k(V_i, V_{i+1}) \mid x_{n-1} \cdots x_0 \text{ is nilpotent if } \Delta = \Delta_n.\}.$$

Then each element  $x \in E_V$  defines a representation  $(V, x)$  of dimension vector  $\mathbf{d}$  in  $\text{Rep}^0\Delta$ . Moreover, the group

$$G_V = \prod_{i \in I} \text{GL}(V_i)$$

acts on  $E_V$  by conjugation, and there is a bijection between the  $G_V$ -orbits and the isoclasses of representations in  $\text{Rep}^0\Delta$  of dimension vector  $\mathbf{d}$ . For each  $x \in E_V$ , by  $\mathcal{O}_x$  we denote the  $G_V$ -orbit of  $x$ . In case  $k$  is algebraically closed, we have the equalities

$$(2.0.1) \quad \dim \mathcal{O}_x = \dim G_V - \dim \text{End}_{k\Delta}(V, x) = \sum_{i \in I} d_i^2 - \dim \text{End}_{k\Delta}(V, x).$$

By abuse of notation, for each  $M \in \text{Rep}^0\Delta$ , we denote by  $\mathcal{O}_M$  the orbit of  $M$ .

Following [3, 37, 5], given two representations  $M, N$  in  $\text{Rep}^0\Delta$ , there exists a unique (up to isomorphism) extension  $G$  of  $M$  by  $N$  such that  $\dim \text{End}_{k\Delta}(G)$  is minimal. The extension  $G$  is called the *generic extension* of  $M$  by  $N$ , denoted by  $M * N$ . Moreover, generic extensions satisfy the associativity, i.e., for  $L, M, N \in \text{Rep}^0\Delta$ ,

$$L * (M * N) \cong (L * M) * N.$$

Let  $\mathcal{M}(\Delta)$  denote the set of isoclasses of representations in  $\text{Rep}^0\Delta$ . Define a multiplication on  $\mathcal{M}(\Delta)$  by setting

$$[M] * [N] = [M * N].$$

Then  $\mathcal{M}(\Delta)$  is a monoid with identity  $[0]$ , the isoclass of zero representation of  $\Delta$ .

By [37, 5], the generic extension  $M * N$  can be also characterized as the unique maximal element among all the extensions of  $M$  by  $N$  with respect to the degeneration order  $\leq_{\text{deg}}$  which is defined by setting  $M \leq_{\text{deg}} N$  if  $\mathbf{dim} M = \mathbf{dim} N$  and

$$(2.0.2) \quad \dim_k \text{Hom}_{k\Delta}(M, X) \geq \dim_k \text{Hom}_{k\Delta}(N, X), \quad \text{for all } X \in \text{Rep}^0 \Delta.$$

If  $k$  is algebraically closed, then  $M \leq_{\text{deg}} N$  if and only if  $\overline{\mathcal{O}}_M \subseteq \mathcal{O}_N$ , where  $\overline{\mathcal{O}}_M$  is the closure of  $\mathcal{O}_M$ . This defines a partial order relation on the set  $\mathcal{M}(\Delta)$  of isoclasses of representations in  $\text{Rep}^0 \Delta$ ; see [48, Th. 2] or [5, Lem. 3.2]. By [37, 2.4], for  $M, N, M', N' \in \text{Rep}^0 \Delta$ ,

$$M' \leq_{\text{deg}} M, N' \leq_{\text{deg}} N \implies M' * N' \leq_{\text{deg}} M * N.$$

For  $\mathfrak{m}, \mathfrak{m}' \in \mathfrak{M}_n$  (resp.,  $\mathfrak{M}_\infty$ ), we write  $\mathfrak{m} \leq_{\text{deg}} \mathfrak{m}'$  (resp.,  $\mathfrak{m} \leq_{\text{deg}}^\infty \mathfrak{m}'$ ) if  $M(\mathfrak{m}) \leq_{\text{deg}} M(\mathfrak{m}')$  in  $\text{Rep}^0 \Delta_n$  (resp.,  $\text{Rep} \Delta_\infty$ ).

By [4, 13], there is a covering functor

$$\mathcal{F} : \text{Rep} \Delta_\infty \longrightarrow \text{Rep}^0 \Delta_n$$

sending  $S_i[l]$  to  $S_{\bar{i}}[l]$  for  $i \in \mathbb{Z}$  and  $l \geq 1$ . Moreover,  $\mathcal{F}$  is dense and exact, and the Galois group of  $\mathcal{F}$  is the infinite cyclic group  $G$  generated by  $\tau^n$ , i.e.,  $\tau^n(S_i[l]) = S_{i+n}[l]$ . For  $\mathfrak{m} \in \mathfrak{M}_\infty$ , let  $\mathcal{F}(\mathfrak{m}) \in \mathfrak{M}_n$  be such that  $M(\mathcal{F}(\mathfrak{m})) \cong \mathcal{F}(M(\mathfrak{m})) \in \text{Rep}^0 \Delta_n$ . From (2.0.2) we easily deduce that for  $M, N \in \text{Rep} \Delta_\infty$ ,

$$(2.0.3) \quad M \leq_{\text{deg}} N \implies \mathcal{F}(M) \leq_{\text{deg}} \mathcal{F}(N).$$

The following two classes of representations will play an important role later on. For each  $\mathbf{d} = (d_i) \in \mathbb{N}I$ , we set

$$S_{\mathbf{d}} = \bigoplus_{i \in I} d_i S_i[1] \in \text{Rep}^0 \Delta.$$

In other words,  $S_{\mathbf{d}}$  is the unique semisimple representation of dimension vector  $\mathbf{d}$ .

Let  $\Pi$  be the set of all partitions  $\lambda = (\lambda_1, \dots, \lambda_t)$  (i.e.,  $\lambda_1 \geq \dots \geq \lambda_t \geq 1$ ). For each  $\lambda \in \Pi$ , define

$$\mathfrak{m}_\lambda = \sum_{s=1}^t [1 - s, \lambda_s] \in \mathfrak{M}.$$

Then

$$M(\mathfrak{m}_\lambda) = S_0[\lambda_1] \oplus S_{-1}[\lambda_2] \oplus \dots \oplus S_{1-t}[\lambda_t] \in \text{Rep}^0 \Delta.$$

If  $\Delta = \Delta_\infty$ , then we sometimes write  $\mathfrak{m}_\lambda = \mathfrak{m}_\lambda^\infty \in \mathfrak{M}_\infty$  to make a distinction. It follows from the definition that  $\mathcal{F}(\mathfrak{m}_\lambda^\infty) = \mathfrak{m}_\lambda$  for all  $\lambda \in \Pi$ .

**Proposition 2.1.** *Let  $\lambda, \mu \in \Pi$ .*

(1) *If  $\Delta = \Delta_\infty$ , then*

$$\mathbf{dim} M(\mathfrak{m}_\mu^\infty) = \mathbf{dim} M(\mathfrak{m}_\lambda^\infty) \iff \mu = \lambda.$$

*In particular, for each  $\mathfrak{m} \in \mathfrak{M}_\infty$ , there exists at most one  $\nu \in \Pi$  such that  $\mathfrak{m} = \mathfrak{m}_\nu^\infty$ .*

(2) *If  $\Delta = \Delta_n$ , then*

$$M(\mathfrak{m}_\mu) \leq_{\text{deg}} M(\mathfrak{m}_\lambda) \implies \mu \trianglelefteq \lambda,$$

*where  $\trianglelefteq$  is the dominance order on  $\Pi$ , i.e.,  $\mu \trianglelefteq \lambda \iff \sum_{j=1}^i \mu_j \leq \sum_{j=1}^i \lambda_j, \forall i \geq 1$ .*

*Proof.* (1) By definition, both the socles of  $M(\mathfrak{m}_\lambda^\infty)$  and  $M(\mathfrak{m}_\mu^\infty)$  are multiplicity-free. Thus, comparing the socles of  $S_0[\lambda_1]$  and  $S_0[\mu_1]$  gives  $\lambda_1 = \mu_1$ . The lemma then follows from an inductive argument.

(2) Suppose  $M(\mathbf{m}_\mu) \leq_{\text{deg}} M(\mathbf{m}_\lambda)$ . By viewing  $\mathbf{m}_\lambda$  and  $\mathbf{m}_\mu$  as multipartitions in  $\mathfrak{M}_n$ , we obtain by [7, Prop. 2.7] that for each  $l \geq 1$ ,

$$\sum_{s=1}^l \tilde{\mu}_s \geq \sum_{s=1}^l \tilde{\lambda}_s,$$

where  $\tilde{\lambda} = (\tilde{\lambda}_1, \tilde{\lambda}_2, \dots)$  and  $\tilde{\mu} = (\tilde{\mu}_1, \tilde{\mu}_2, \dots)$  are the dual partition of  $\lambda$  and  $\mu$ , respectively, that is,  $\tilde{\mu} \succeq \tilde{\lambda}$ . By [35, 1.1],  $\mu \preceq \lambda$ .  $\square$

### 3. RINGEL–HALL ALGEBRA OF THE QUIVER $\Delta$

In this section we introduce the Ringel–Hall algebra  $\mathcal{H}(\Delta)$  of  $\Delta$  ( $= \Delta_n$  or  $\Delta_\infty$ ) and the maps from homogeneous subspaces of  $\mathcal{H}(\Delta_n)$  to those of  $\mathcal{H}(\Delta_\infty)$  defined in [47, 6.1]. We also describe the images of basis elements of  $\mathcal{H}(\Delta_n)$  under these maps.

The cyclic quiver  $\Delta_n$  gives the  $n \times n$  Cartan matrix  $C_n = (a_{ij})_{i,j \in I}$  of type  $\widehat{A}_{n-1}$ , while  $\Delta_\infty$  defines the infinite Cartan matrix  $C_\infty = (a_{ij})_{i,j \in \mathbb{Z}}$ . Thus, we have the associated quantum enveloping algebras  $\mathbf{U}_v(\widehat{\mathfrak{sl}}_n)$  and  $\mathbf{U}_v(\widehat{\mathfrak{sl}}_\infty)$  which are  $\mathbb{Q}(v)$ -algebras with generators  $K_i^{\pm 1}, E_i, F_i, D^{\pm 1}$  ( $i \in I = \mathbb{Z}/n\mathbb{Z}$ ) and  $K_i^{\pm 1}, E_i, F_i$  ( $i \in \mathbb{Z}$ ), respectively, and the quantum Serre relations. In particular, the relations involving the generator  $D^{\pm 1}$  in  $\mathbf{U}_v(\widehat{\mathfrak{sl}}_n)$  are

$$DD^{-1} = 1 = D^{-1}D, K_i D = D K_i, D E_i = v^{\delta_{0,i}} E_i D, D F_i = v^{-\delta_{0,i}} F_i D, \quad \forall i \in I;$$

see [2, Def. 3.16]. The subalgebra of  $\mathbf{U}_v(\widehat{\mathfrak{sl}}_n)$  generated by  $K_i^{\pm 1}, E_i, F_i$  ( $i \in I = \mathbb{Z}/n\mathbb{Z}$ ) is denoted by  $\mathbf{U}'_v(\widehat{\mathfrak{sl}}_n)$ ; see [27, 1.1].

By [38, 40, 16], for  $\mathbf{p}, \mathbf{m}_1, \dots, \mathbf{m}_t \in \mathfrak{M}$ , there is a polynomial  $\varphi_{\mathbf{m}_1, \dots, \mathbf{m}_t}^{\mathbf{p}}(q) \in \mathbb{Z}[q]$  (called Hall polynomial) such that for each finite field  $k$ ,

$$\varphi_{\mathbf{m}_1, \dots, \mathbf{m}_t}^{\mathbf{p}}(|k|) = F_{M_k(\mathbf{m}_1), \dots, M_k(\mathbf{m}_t)}^{M_k(\mathbf{p})},$$

which is by definition the number of the filtrations

$$M_k(\mathbf{p}) = M_0 \supseteq M_1 \supseteq \dots \supseteq M_{t-1} \supseteq M_t = 0$$

such that  $M_{s-1}/M_s \cong M_k(\mathbf{m}_s)$  for all  $1 \leq s \leq t$ . By [39, Sect. 2], for each  $\mathbf{m} \in \mathfrak{M}$ , there is a polynomial  $a_{\mathbf{m}}(q) \in \mathbb{Z}[q]$  such that for each finite field  $k$ ,

$$a_{\mathbf{m}}(|k|) = |\text{Aut}_{k\Delta}(M_k(\mathbf{m}))|.$$

Let  $\mathcal{Z} = \mathbb{Z}[v, v^{-1}]$  be the Laurent polynomial ring over  $\mathbb{Z}$  in indeterminate  $v$ . By definition, the (twisted generic) *Ringel–Hall algebra*  $\mathcal{H}(\Delta)$  of  $\Delta$  is the free  $\mathcal{Z}$ -module with basis  $\{u_{\mathbf{m}} \mid \mathbf{m} \in \mathfrak{M}\}$  and multiplication given by

$$(3.0.1) \quad u_{\mathbf{m}} u_{\mathbf{m}'} = v^{(\dim M(\mathbf{m}), \dim M(\mathbf{m}'))} \sum_{\mathbf{p} \in \mathfrak{M}} \varphi_{\mathbf{m}, \mathbf{m}'}^{\mathbf{p}}(v^2) u_{\mathbf{p}}.$$

In practice, we also write  $u_{\mathbf{m}} = u_{[M(\mathbf{m})]}$  in order to make certain calculations in terms of modules. Furthermore, for each  $\mathbf{d} \in \mathbb{N}I$ , we simply write  $u_{\mathbf{d}} = u_{[S_{\mathbf{d}}]}$ .

For each  $i \in I$ , set  $u_i = u_{[S_i]}$ . We then denote by  $\mathcal{C}(\Delta)$  the subalgebra of  $\mathcal{H}(\Delta)$  generated by the divided power  $u_i^{(t)} = u_i^t / [t]!$ ,  $i \in I$  and  $t \geq 1$ , called the *composition algebra* of  $\Delta$ , where

$$(3.0.2) \quad [t]! = [t][t-1] \cdots [1] \quad \text{with} \quad [m] = (v^m - v^{-m}) / (v - v^{-1}).$$

Moreover, both  $\mathcal{H}(\Delta)$  and  $\mathcal{C}(\Delta)$  are  $\mathbb{N}I$ -graded:

$$(3.0.3) \quad \mathcal{H}(\Delta) = \bigoplus_{\mathbf{d} \in \mathbb{N}I} \mathcal{H}(\Delta)_{\mathbf{d}} \quad \text{and} \quad \mathcal{C}(\Delta) = \bigoplus_{\mathbf{d} \in \mathbb{N}I} \mathcal{C}(\Delta)_{\mathbf{d}},$$

where  $\mathcal{H}(\Delta)_{\mathbf{d}}$  is spanned by all  $u_{\mathbf{m}}$  with  $\mathbf{m} \in \mathfrak{M}^{\mathbf{d}}$  and  $\mathcal{C}(\Delta)_{\mathbf{d}} = \mathcal{C}(\Delta) \cap \mathcal{H}(\Delta)_{\mathbf{d}}$ . Since the Auslander–Reiten translate  $\tau : \text{Rep}^0 \Delta \rightarrow \text{Rep}^0 \Delta$  is an auto-equivalence, it induces an automorphism  $\tau : \mathcal{H}(\Delta) \rightarrow \mathcal{H}(\Delta)$ ,  $u_{\mathbf{m}} \mapsto u_{\tau \mathbf{m}}$ . We also consider the  $\mathbb{Q}(v)$ -algebras

$$\mathcal{H}(\Delta) = \mathcal{H}(\Delta) \otimes_{\mathcal{Z}} \mathbb{Q}(v) \quad \text{and} \quad \mathcal{C}(\Delta_n) = \mathcal{C}(\Delta_n) \otimes_{\mathcal{Z}} \mathbb{Q}(v).$$

**Remark 3.1.** We remark that the Hall algebra of  $\Delta$  defined in [47] is the opposite algebra of  $\mathcal{H}(\Delta)$  given here with  $v$  being replaced by  $v^{-1}$ . Thus,  $v$  and  $v^{-1}$  should be swapped when comparing with the formulas in [47].

Following [38],  $\mathcal{C}(\Delta_{\infty}) = \mathcal{H}(\Delta_{\infty})$ , and there is an isomorphism  $\mathbf{U}_v^+(\mathfrak{sl}_{\infty}) \cong \mathcal{H}(\Delta_{\infty})$  taking  $E_i \mapsto u_i$ ,  $\forall i \in I_{\infty} = \mathbb{Z}$ . But, for  $n \geq 2$ ,  $\mathcal{C}(\Delta_n)$  is a proper subalgebra of  $\mathcal{H}(\Delta_n)$ . By [40],

$$\mathbf{U}_v^+(\widehat{\mathfrak{sl}}_n) \cong \mathcal{C}(\Delta_n), \quad E_i \mapsto u_i, \quad \forall i \in I_n.$$

By [41, Th. 2.2],  $\mathcal{H}(\Delta_n)$  is decomposed into the tensor product of  $\mathcal{C}(\Delta_n)$  and a polynomial ring in infinitely many indeterminates which are central elements in  $\mathcal{H}(\Delta_n)$ . Such central elements have been explicitly constructed in [19]. More precisely, for each  $t \geq 1$ , let

$$(3.1.1) \quad \mathbf{c}_t = (-1)^t v^{-2nt} \sum_{\mathbf{m}} (-1)^{\dim \text{End}(M(\mathbf{m}))} a_{\mathbf{m}}(v^2) u_{\mathbf{m}} \in \mathcal{H}(\Delta_n),$$

where the sum is taken over all  $\mathbf{m} \in \mathfrak{M}_n$  such that  $\mathbf{dim} M(\mathbf{m}) = t\delta$  with  $\delta = (1, \dots, 1) \in \mathbb{N}I_n$ , and  $\text{soc} M(\mathbf{m})$  is square-free, i.e.,  $\mathbf{dim} \text{soc} M(\mathbf{m}) \leq \delta$ . The following result is proved in [19].

**Theorem 3.2.** *The elements  $\mathbf{c}_m$  are central in  $\mathcal{H}(\Delta_n)$ . Moreover, there is a decomposition*

$$\mathcal{H}(\Delta_n) = \mathcal{C}(\Delta_n) \otimes_{\mathbb{Q}(v)} \mathbb{Q}(v)[\mathbf{c}_1, \mathbf{c}_2, \dots],$$

where  $\mathbb{Q}(v)[\mathbf{c}_1, \mathbf{c}_2, \dots]$  is the polynomial algebra in  $\mathbf{c}_t$  for  $t \geq 1$ . In particular,  $\mathcal{H}(\Delta_n)$  is generated by  $u_i$  and  $\mathbf{c}_t$  for  $i \in I_n$  and  $t \geq 1$ .

For each  $\mathbf{m} \in \mathfrak{M}$ , set  $d(\mathbf{m}) = \dim M(\mathbf{m})$ ,  $\mathbf{d}(\mathbf{m}) = \mathbf{dim} M(\mathbf{m})$  and define

$$(3.2.1) \quad \tilde{u}_{\mathbf{m}} = v^{\dim \text{End}_{k\Delta}(M(\mathbf{m})) - d(\mathbf{m})} u_{\mathbf{m}}.$$

Then  $\{\tilde{u}_{\mathbf{m}} \mid \mathbf{m} \in \mathfrak{M}\}$  is also a  $\mathcal{Z}$ -basis of  $\mathcal{H}(\Delta)$  which plays a role in the construction of the canonical basis. In particular,

$$\tilde{u}_i = u_i \quad \text{for each } i \in I \quad \text{and} \quad \tilde{u}_{\mathbf{d}} = v^{\sum_i (d_i^2 - d_i)} u_{\mathbf{d}} \quad \text{for each } \mathbf{d} \in \mathbb{N}I.$$

Consider the map  $\pi : \mathbb{Z}I_{\infty} \rightarrow \mathbb{Z}I_n$ ,  $\mathbf{d} \mapsto \bar{\mathbf{d}}$ , where  $\pi(\mathbf{d}) = \bar{\mathbf{d}} = (d_{\bar{i}})$  is defined by

$$d_{\bar{i}} = \sum_{j \in \bar{i}} d_j, \quad \forall \bar{i} \in I_n = \mathbb{Z}/n\mathbb{Z}.$$

In particular, for each representation  $M \in \text{Rep} \Delta_{\infty}$ ,  $\mathbf{dim} \mathcal{F}(M) = \pi(\mathbf{dim} M)$ .

In the following we briefly recall from [47, 6.1] the  $\mathcal{Z}$ -linear map

$$\gamma_{\mathbf{d}} : \mathcal{H}(\Delta_n)_{\bar{\mathbf{d}}} \longrightarrow \mathcal{H}(\Delta_{\infty})_{\mathbf{d}}$$

for each  $\mathbf{d} \in \mathbb{N}I_{\infty}$ . These maps play a crucial role in defining an action of  $\mathcal{H}(\Delta_n)$  on the Fock space later on.

Let  $k = \mathbb{F}_q$  be a finite field with  $q$  elements and let  $V = \bigoplus_{i \in I} V_i$  be an  $I$ -graded  $\mathbb{F}_q$ -vector space with dimension vector  $\mathbf{d}$ . Then we define  $\mathbb{C}_{G_V}(E_V)$  to be the set of  $G_V$ -invariant functions  $E_V \rightarrow \mathbb{C}$ , which is a vector space over  $\mathbb{C}$ . Then  $\mathcal{H}(\Delta)_{\mathbf{d}} \otimes_{\mathcal{Z}} \mathbb{C}$  (at  $v = \sqrt{q}$ ) can be identified with  $\mathbb{C}_{G_V}(E_V)$  via taking  $u_{[(V,x)]}$  to the characteristic function of the  $G_V$ -orbit of  $x$  in  $E_V$ .

Now take  $\mathbf{d} \in \mathbb{N}I_\infty$  and let  $V = \bigoplus_{i \in \mathbb{Z}} V_i$  be an  $I_\infty$ -graded  $\mathbb{F}_q$ -vector space of dimension vector  $\mathbf{d}$ . This gives an  $I_n$ -graded space  $\bar{V} = \bigoplus_{\bar{i} \in I_n} V_{\bar{i}}$  of dimension vector  $\bar{\mathbf{d}}$  with  $\bar{V}_{\bar{i}} = \bigoplus_{j \in \bar{i}} V_j$ ,  $\forall \bar{i} \in I_n$ . Moreover,  $\bar{V}$  admits a filtration by the subspaces

$$\bar{V}_{\geq i} = \bigoplus_{j \geq i} V_j, \quad \forall i \in \mathbb{Z}.$$

Then the associated graded space  $\bigoplus_{i \in \mathbb{Z}} \bar{V}_{\geq i} / \bar{V}_{\geq i-1}$  is naturally identified with the  $\mathbb{Z}$ -graded space  $V$ . Set

$$E_{\bar{V}, V} = \{x \in E_{\bar{V}} \mid x(\bar{V}_{\geq i}) \subseteq \bar{V}_{i+1}\} \subset E_{\bar{V}}.$$

This gives a map  $p : E_{\bar{V}, V} \rightarrow E_V$ , which takes a representation of  $\Delta_n$  in  $E_{\bar{V}}$  to the induced representation of  $\Delta_\infty$  in  $E_V$ , and the embedding  $\iota : E_{\bar{V}, V} \rightarrow E_{\bar{V}}$ . By specializing  $v$  to  $\sqrt{q}$ , the map  $\gamma_{\mathbf{d}}$  is then given by

$$(\gamma_{\mathbf{d}} \otimes_{\mathbb{Z}} \mathbb{C})|_{v=\sqrt{q}} : \mathbb{C}_{G_{\bar{V}}}(E_{\bar{V}}) \longrightarrow \mathbb{C}_{G_V}(E_V), \quad f \longmapsto \sqrt{q}^{h(\mathbf{d})} p \iota^*(f),$$

where  $h(\mathbf{d}) = \sum_{i < j, \bar{i} = \bar{j}} d_i(d_{j+1} - d_j)$ . Here we identify  $\mathcal{H}(\Delta_n)_{\mathbf{d}} \otimes_{\mathbb{Z}} \mathbb{C}$  with  $\mathbb{C}_{G_{\bar{V}}}(E_{\bar{V}})$  and  $\mathcal{H}(\Delta_\infty)_{\mathbf{d}} \otimes_{\mathbb{Z}} \mathbb{C}$  with  $\mathbb{C}_{G_V}(E_V)$ .

The first two statements in the following lemma are taken from [47, Sect. 6.1], and the third one follows from the isomorphism  $\tau : \mathcal{H}(\Delta_\infty) \rightarrow \mathcal{H}(\Delta_\infty)$ .

**Lemma 3.3.** (1) For each  $\mathbf{d} \in \mathbb{N}I_\infty$ ,  $\gamma_{\mathbf{d}}(\tilde{u}_{\bar{\mathbf{d}}}) = v^{-h(\mathbf{d})} \tilde{u}_{\mathbf{d}}$ .

(2) Fix  $\alpha, \beta \in \mathbb{N}I_n$  with  $\bar{\mathbf{d}} = \alpha + \beta$ . Then for  $x \in \mathcal{H}(\Delta_n)_\alpha$  and  $y \in \mathcal{H}(\Delta_n)_\beta$ ,

$$(3.3.1) \quad \sum_{\mathbf{a}, \mathbf{b}} v^{\kappa(\mathbf{a}, \mathbf{b})} \gamma_{\mathbf{a}}(x) \gamma_{\mathbf{b}}(y) = \gamma_{\mathbf{d}}(xy),$$

where the sum is taken over all pairs  $\mathbf{a}, \mathbf{b} \in \mathbb{N}I_\infty$  satisfying  $\mathbf{a} + \mathbf{b} = \mathbf{d}$ ,  $\bar{\mathbf{a}} = \alpha$ , and  $\bar{\mathbf{b}} = \beta$ , and  $\kappa(\mathbf{a}, \mathbf{b}) = \sum_{i > j, \bar{i} = \bar{j}} a_i(2b_j - b_{j-1} - b_{j+1})$ .

(3) For each  $\mathbf{d} \in \mathbb{N}I_\infty$  and  $\mathbf{m} \in \mathfrak{M}_n^{\bar{\mathbf{d}}}$ ,  $\gamma_{\tau^n(\mathbf{d})}(\tilde{u}_{\mathbf{m}}) = \tau^n(\gamma_{\mathbf{d}}(\tilde{u}_{\mathbf{m}}))$ .

We now describe the images of the basis elements  $\tilde{u}_{\mathbf{m}}$  of  $\mathcal{H}(\Delta_n)_{\bar{\mathbf{d}}}$  under  $\gamma_{\mathbf{d}}$ .

**Proposition 3.4.** Let  $\mathbf{d} \in \mathbb{N}I_\infty$  and  $\mathbf{m} \in \mathfrak{M}_n$  be such that  $\alpha := \mathbf{dim} M(\mathbf{m}) = \bar{\mathbf{d}}$ . Then

$$\gamma_{\mathbf{d}}(\tilde{u}_{\mathbf{m}}) \in \sum_{\mathfrak{z} \in \mathfrak{M}_\infty, \mathcal{F}(\mathfrak{z}) \leq \deg \mathbf{m}} \mathcal{Z} \tilde{u}_{\mathfrak{z}}.$$

*Proof.* Consider the radical filtration of  $M = M(\mathbf{m})$

$$M = \text{rad}^0 M \supseteq \text{rad} M (= \text{rad}^1 M) \supseteq \cdots \supseteq \text{rad}^{\ell-1} M \supseteq \text{rad}^\ell M = 0$$

with  $\text{rad}^{s-1} M / \text{rad}^s M \cong S_{\alpha_s}$ , where  $\ell$  is the Loewy length of  $M$  and  $\alpha_s \in \mathbb{N}I_n$  for  $1 \leq s \leq \ell$ . Then  $M = S_{\alpha_1} * \cdots * S_{\alpha_\ell}$ . Moreover, by [8, Sect. 9],

$$\tilde{u}_{\alpha_1} \cdots \tilde{u}_{\alpha_\ell} = \tilde{u}_{\mathbf{m}} + \sum_{\mathfrak{p} <_{\deg} \mathbf{m}} f_{\mathfrak{m}, \mathfrak{p}} \tilde{u}_{\mathfrak{p}}, \quad \text{where } f_{\mathfrak{m}, \mathfrak{p}} \in \mathcal{Z}.$$

On the one hand, by induction with respect to the order  $\leq_{\deg}$ , we may assume that for each  $\mathfrak{p} \in \mathfrak{M}_n^{\bar{\mathbf{d}}}$  with  $\mathfrak{p} <_{\deg} \mathbf{m}$ ,  $\gamma_{\mathbf{d}}(\tilde{u}_{\mathfrak{p}})$  is a  $\mathcal{Z}$ -linear combination of  $\tilde{u}_{\eta}$  with  $\eta \in \mathfrak{M}_\infty$  satisfying  $\mathcal{F}(\eta) \leq_{\deg} \mathfrak{p}$ . Therefore,

$$(3.4.1) \quad \gamma_{\mathbf{d}}(\tilde{u}_{\mathbf{m}}) = \gamma_{\mathbf{d}}(\tilde{u}_{\alpha_1} \cdots \tilde{u}_{\alpha_\ell}) + x,$$

where  $x = -\sum_{\mathfrak{p} <_{\deg} \mathbf{m}} f_{\mathfrak{m}, \mathfrak{p}} \gamma_{\mathbf{d}}(\tilde{u}_{\mathfrak{p}})$  is a  $\mathcal{Z}$ -linear combination of  $\tilde{u}_{\mathfrak{z}}$  with  $\mathcal{F}(\mathfrak{z}) <_{\deg} \mathbf{m}$ .

On the other hand, by applying (3.3.1) inductively, we obtain

$$(3.4.2) \quad \gamma_{\mathbf{d}}(\tilde{u}_{\alpha_1} \cdots \tilde{u}_{\alpha_\ell}) = \sum_{\mathbf{a}_1, \dots, \mathbf{a}_\ell} v^{\sum_{s < t} \kappa(\mathbf{a}_s, \mathbf{a}_t) - \sum_s h(\mathbf{a}_s)} \tilde{u}_{\mathbf{a}_1} \cdots \tilde{u}_{\mathbf{a}_\ell},$$

where the sum is taken over all sequences  $\mathbf{a}_1, \dots, \mathbf{a}_\ell \in \mathbb{N}I_\infty$  satisfying

$$\mathbf{a}_1 + \cdots + \mathbf{a}_\ell = \mathbf{d} \quad \text{and} \quad \bar{\mathbf{a}}_s = \alpha_s, \quad \forall 1 \leq s \leq \ell.$$

By the definition, each term  $\tilde{u}_{\mathbf{a}_1} \cdots \tilde{u}_{\mathbf{a}_\ell}$  is a  $\mathcal{Z}$ -linear combination of  $\tilde{u}_{\mathfrak{h}}$  such that  $M(\mathfrak{h})$  admits a filtration

$$M(\mathfrak{h}) = X_0 \supset X_1 \supset \cdots \supset X_{\ell-1} \supset X_\ell = 0$$

satisfying  $X_{s-1}/X_s \cong S_{\mathbf{a}_s}$  for all  $1 \leq s \leq \ell$ . Applying the exact functor  $\mathcal{F}$  gives a filtration of  $\mathcal{F}(M(\mathfrak{h}))$

$$\mathcal{F}(M(\mathfrak{h})) = \mathcal{F}(X_0) \supset \mathcal{F}(X_1) \supset \cdots \supset \mathcal{F}(X_{\ell-1}) \supset \mathcal{F}(X_\ell) = 0$$

such that

$$\mathcal{F}(X_{s-1})/\mathcal{F}(X_s) \cong \mathcal{F}(X_{s-1}/X_s) \cong S_{\alpha_s}, \quad \forall 1 \leq s \leq \ell.$$

Therefore,

$$\mathcal{F}(M(\mathfrak{h})) = M(\mathcal{F}(\pi)) \leq_{\text{deg}} S_{\alpha_1} * \cdots * S_{\alpha_\ell} = M(\mathfrak{m}),$$

that is,  $\mathcal{F}(\mathfrak{h}) \leq_{\text{deg}} \mathfrak{m}$ .

In conclusion, we obtain that

$$\gamma_{\mathbf{d}}(\tilde{u}_{\mathfrak{m}}) \in \sum_{\mathfrak{h} \in \mathfrak{M}_\infty, \mathcal{F}(\mathfrak{h}) \leq_{\text{deg}} \mathfrak{m}} \mathcal{Z}\tilde{u}_{\mathfrak{h}}.$$

□

Fix  $\lambda \in \Pi$  and write

$$\mathbf{d}(\lambda) = \mathbf{dim} M(\mathfrak{m}_\lambda^\infty) \in \mathbb{N}I_\infty \quad \text{and} \quad \alpha(\lambda) = \mathbf{dim} M(\mathfrak{m}_\lambda) \in \mathbb{N}I_n.$$

By the definition of  $M(\mathfrak{m}_\lambda^\infty)$  and  $M(\mathfrak{m}_\lambda)$ , the radical filtration of  $\tilde{M} = M(\mathfrak{m}_\lambda^\infty)$

$$\tilde{M} = \text{rad}^0 \tilde{M} \supseteq \text{rad} \tilde{M} \supseteq \cdots \supseteq \text{rad}^{\ell-1} \tilde{M} \supseteq \text{rad}^\ell \tilde{M} = 0$$

gives rise to the radical filtration of  $M(\mathfrak{m}_\lambda) = \mathcal{F}(\tilde{M})$

$$M(\mathfrak{m}_\lambda) = \mathcal{F}(\text{rad}^0 \tilde{M}) \supseteq \mathcal{F}(\text{rad} \tilde{M}) \supseteq \cdots \supseteq \mathcal{F}(\text{rad}^{\ell-1} \tilde{M}) \supseteq \mathcal{F}(\text{rad}^\ell \tilde{M}) = 0,$$

that is,  $\mathcal{F}(\text{rad}^s \tilde{M}) = \text{rad}^s(M(\mathfrak{m}_\lambda))$  for  $1 \leq s \leq \ell$ . Let  $\mathbf{d}(\lambda)_s \in \mathbb{N}I_\infty$  and  $\alpha(\lambda)_s \in \mathbb{N}I_n$ ,  $1 \leq s \leq \ell$ , be such that

$$\text{rad}^{s-1} \tilde{M} / \text{rad}^s \tilde{M} \cong S_{\mathbf{d}(\lambda)_s} \quad \text{and} \quad \text{rad}^{s-1} M(\mathfrak{m}_\lambda) / \text{rad}^s M(\mathfrak{m}_\lambda) \cong S_{\alpha(\lambda)_s}.$$

Then  $\overline{\mathbf{d}(\lambda)}_s = \alpha(\lambda)_s$  for  $1 \leq s \leq \ell$ . Applying (3.4.1) and (3.4.2) to  $\mathfrak{m}_\lambda$  gives the following result.

**Corollary 3.5.** (1) *Let  $\lambda \in \Pi$  and keep the notation above. Then*

$$\gamma_{\mathbf{d}(\lambda)}(\tilde{u}_{\mathfrak{m}_\lambda}) \in v^{\theta(\lambda)} \tilde{u}_{\mathfrak{m}_\lambda^\infty} + \sum_{\mathfrak{h} \in \mathfrak{M}_\infty, \mathcal{F}(\mathfrak{h}) <_{\text{deg}} \mathfrak{m}_\lambda} \mathcal{Z}\tilde{u}_{\mathfrak{h}},$$

where  $\theta(\lambda) = \sum_{s < t} \kappa(\mathbf{d}(\lambda)_s, \mathbf{d}(\lambda)_t) - \sum_{s=1}^{\ell} h(\mathbf{d}(\lambda)_s)$ .

(2) *Let  $\mathbf{d} \in \mathbb{N}I_\infty$  with  $\bar{\mathbf{d}} = \alpha(\lambda)$ . If  $\mathbf{d} = \tau^{rm}(\mathbf{d}(\lambda))$  for some  $r \in \mathbb{Z}$ , then*

$$\gamma_{\mathbf{d}}(\tilde{u}_{\mathfrak{m}_\lambda}) \in v^{\theta(\lambda)} \tilde{u}_{\tau^{rm}(\mathfrak{m}_\lambda^\infty)} + \sum_{\mathfrak{h} \in \mathfrak{M}_\infty, \mathcal{F}(\mathfrak{h}) <_{\text{deg}} \mathfrak{m}_\lambda} \mathcal{Z}\tilde{u}_{\mathfrak{h}}.$$



Otherwise,

$$\gamma_{\mathbf{d}}(\tilde{u}_{\mathbf{m}_\lambda}) \in \sum_{\mathfrak{z} \in \mathfrak{M}_\infty^{\mathbf{d}}, \mathcal{F}(\mathfrak{z}) < \deg \mathbf{m}_\lambda} \mathcal{Z}\tilde{u}_{\mathfrak{z}}.$$

In the following we briefly recall the canonical basis of  $\mathcal{H}(\Delta)$  for  $\Delta = \Delta_n$  or  $\Delta_\infty$ . By [31] and [47, Prop. 7.5], there is a semilinear ring involution  $\iota : \mathcal{H}(\Delta) \rightarrow \mathcal{H}(\Delta)$  taking  $v \mapsto v^{-1}$  and  $\tilde{u}_{\mathbf{d}} \mapsto \tilde{u}_{\mathbf{d}}$  for all  $\mathbf{d} \in \mathbb{Z}I$ . It is often called the bar-involution, usually written as  $\bar{x} = \iota(x)$ . The canonical basis (or the global crystal basis in the sense of Kashiwara)  $\mathbf{B} := \{b_{\mathbf{m}} \mid \mathbf{m} \in \mathfrak{M}\}$  for  $\mathcal{H}(\Delta)$  (at  $v = \infty$ ) can be characterized as follows:

$$(3.5.1) \quad \bar{b}_{\mathbf{m}} = b_{\mathbf{m}}, \quad b_{\mathbf{m}} \in \tilde{u}_{\mathbf{m}} + \sum_{\mathfrak{p} < \deg \mathbf{m}} v^{-1}\mathbb{Z}[v^{-1}]\tilde{u}_{\mathfrak{p}};$$

see [31]. The canonical basis elements  $b_{\mathbf{m}}$  also admit a geometric characterization given in [32, 47]. Let  $H_{\mathcal{O}_{\mathfrak{p}}}^i(IC_{\mathcal{O}_{\mathbf{m}}})$  be the stalk at a point of  $\mathcal{O}_{\mathfrak{p}}$  of the  $i$ -th intersection cohomology sheaf of the closure  $\overline{\mathcal{O}_{\mathbf{m}}}$  of  $\mathcal{O}_{\mathbf{m}}$ . Then

$$b_{\mathbf{m}} = \sum_{\substack{i \in \mathbb{N} \\ \mathfrak{p} \leq \deg \mathbf{m}}} v^{i - \dim \mathcal{O}_{\mathbf{m}} + \dim \mathcal{O}_{\mathfrak{p}}} \dim H_{\mathcal{O}_{\mathfrak{p}}}^i(IC_{\mathcal{O}_{\mathbf{m}}}) \tilde{u}_{\mathfrak{p}}.$$

For the cyclic quiver case, by [33], the subset of  $\mathbf{B}$

$$\mathbf{B}^{\text{ap}} := \{b_{\mathbf{m}} \mid \mathbf{m} \in \mathfrak{M}_n^{\text{ap}}\}$$

is the canonical basis of  $\mathcal{C}(\Delta_n)$ , where  $\mathfrak{M}_n^{\text{ap}}$  denotes the set of aperiodic multisegments, that is, those multisegments  $\mathbf{m} = \sum_{i \in I_n, l \geq 1} m_{i,l}[i, l)$  satisfying that for each  $l \geq 1$ , there is some  $i \in I_n$  such that  $m_{i,l} = 0$ . In other words,  $\mathbf{B}^{\text{ap}}$  is the canonical basis of  $\mathbf{U}_v^\pm(\widehat{\mathfrak{sl}}_n)$ . Note that for each  $\lambda = (\lambda_1, \dots, \lambda_m) \in \Pi$ , the corresponding multisegment  $\mathbf{m}_\lambda$  is aperiodic if and only if  $\lambda$  is  $n$ -regular which, by definition, satisfies  $\lambda_s > \lambda_{s+n-1}$  for  $1 \leq s \leq s+n-1 \leq m$ .

#### 4. DOUBLE RINGEL–HALL ALGEBRAS AND HIGHEST WEIGHT MODULES

In this section we follow [46, 6] to define the double Ringel–Hall algebra  $\mathcal{D}(\Delta)$  of the quiver  $\Delta = \Delta_n$  or  $\Delta_\infty$  and study the irreducible highest weight modules of  $\mathcal{D}(\Delta_n)$  associated with integral dominant weights in terms of a quantized generalized Kac–Moody algebra.

The Ringel–Hall algebra  $\mathcal{H}(\Delta)$  of  $\Delta$  can be extended to a Hopf algebra  $\mathcal{D}(\Delta)^{\geq 0}$  which is a  $\mathbb{Q}(v)$ -vector space with a basis  $\{u_{\mathbf{m}}^+ K_\alpha \mid \alpha \in \mathbb{Z}I, \mathbf{m} \in \mathfrak{M}\}$ ; see [38, 15, 46] or [6, Prop. 1.5.3]. Its algebra structure is given by

$$(4.0.2) \quad \begin{aligned} K_\alpha K_\beta &= K_{\alpha+\beta}, \quad K_\alpha u_{\mathbf{m}}^+ = v^{\langle \mathbf{d}(\mathbf{m}), \alpha \rangle} u_{\mathbf{m}}^+ K_\alpha, \\ u_{\mathbf{m}}^+ u_{\mathbf{m}'}^+ &= \sum_{\mathfrak{p} \in \mathfrak{M}} v^{\langle \mathbf{d}(\mathbf{m}), \mathbf{d}(\mathbf{m}') \rangle} \varphi_{\mathbf{m}, \mathbf{m}'}^{\mathfrak{p}}(v^2) u_{\mathfrak{p}}^+, \end{aligned}$$

where  $\mathbf{m}, \mathbf{m}' \in \mathfrak{M}$  and  $\alpha, \beta \in \mathbb{Z}I$ , and its coalgebra structure is given by

$$(4.0.3) \quad \begin{aligned} \Delta(u_{\mathbf{m}}^+) &= \sum_{\mathbf{m}', \mathbf{m}'' \in \mathfrak{M}} v^{\langle \mathbf{d}(\mathbf{m}'), \mathbf{d}(\mathbf{m}'') \rangle} \frac{\mathbf{a}_{\mathbf{m}'}(v^2) \mathbf{a}_{\mathbf{m}''}(v^2)}{\mathbf{a}_{\mathbf{m}}(v^2)} \varphi_{\mathbf{m}', \mathbf{m}''}^{\mathbf{m}}(v^2) u_{\mathbf{m}'}^+ \otimes u_{\mathbf{m}''}^+ K_{\mathbf{d}(\mathbf{m}'')}, \\ \Delta(K_\alpha) &= K_\alpha \otimes K_\alpha, \quad \varepsilon(u_{\mathbf{m}}^+) = 0 \quad (\mathbf{m} \neq 0), \quad \varepsilon(K_\alpha) = 1, \end{aligned}$$

where  $\mathbf{m} \in \mathfrak{M}$  and  $\alpha \in \mathbb{Z}I$ . We refer to [46] or [6] for the definition of the antipode.

Dually, there is a Hopf algebra  $\mathcal{D}(\Delta)^{\leq 0}$  with basis  $\{K_\alpha u_{\mathfrak{m}}^- \mid \alpha \in \mathbb{Z}I, \mathfrak{m} \in \mathfrak{M}\}$ . In particular, the multiplication is given by

$$(4.0.4) \quad \begin{aligned} K_\alpha K_\beta &= K_{\alpha+\beta}, \quad K_\alpha u_{\mathfrak{m}}^- = v^{-\langle \mathbf{d}(\mathfrak{m}), \alpha \rangle} u_{\mathfrak{m}}^- K_\alpha, \\ u_{\mathfrak{m}}^- u_{\mathfrak{m}'}^- &= \sum_{\mathfrak{p} \in \mathfrak{M}} v^{\langle \mathbf{d}(\mathfrak{m}'), \mathbf{d}(\mathfrak{m}) \rangle} \varphi_{\mathfrak{m}', \mathfrak{m}}^{\mathfrak{p}}(v^2) u_{\mathfrak{p}}^-, \end{aligned}$$

where  $\mathfrak{m}, \mathfrak{m}' \in \mathfrak{M}$  and  $\alpha, \beta \in \mathbb{Z}I$ . The comultiplication and the counit are given by

$$(4.0.5) \quad \begin{aligned} \Delta(u_{\mathfrak{m}}^-) &= \sum_{\mathfrak{m}', \mathfrak{m}'' \in \mathfrak{M}} v^{\langle \mathbf{d}(\mathfrak{m}'), \mathbf{d}(\mathfrak{m}'') \rangle} \frac{\mathfrak{a}_{\mathfrak{m}'} \mathfrak{a}_{\mathfrak{m}''}}{\mathfrak{a}_{\mathfrak{m}}} \varphi_{\mathfrak{m}', \mathfrak{m}''}^{\mathfrak{m}}(v^2) u_{\mathfrak{m}'}^- K_{-\mathbf{d}(\mathfrak{m}')} \otimes u_{\mathfrak{m}''}^-, \\ \Delta(K_\alpha) &= K_\alpha \otimes K_\alpha, \quad \varepsilon(u_{\mathfrak{m}}^-) = 0 \quad (\mathfrak{m} \neq 0), \quad \varepsilon(K_\alpha) = 1, \end{aligned}$$

where  $\alpha \in \mathbb{Z}I$  and  $\mathfrak{m} \in \mathfrak{M}$ .

It is routine to check that the bilinear form  $\psi : \mathcal{D}(\Delta)^{\geq 0} \times \mathcal{D}(\Delta)^{\leq 0} \rightarrow \mathbb{Q}(v)$  defined by

$$(4.0.6) \quad \psi(K_\alpha u_{\mathfrak{m}}^+, K_\beta u_{\mathfrak{m}'}^-) = v^{(\alpha, \beta) - \langle \mathbf{d}(\mathfrak{m}), \mathbf{d}(\mathfrak{m}') \rangle + 2d(\mathfrak{m})} \frac{\delta_{\mathfrak{m}, \mathfrak{m}'}}{\mathfrak{a}_{\mathfrak{m}}(v^2)}$$

is a skew-Hopf pairing in the sense of [24]; see, for example, [6, Prop. 2.1.3].

Following [46] or [6, §2.1], with the triple  $(\mathcal{D}(\Delta)^{\geq 0}, \mathcal{D}(\Delta)^{\leq 0}, \psi)$  we obtain the associated reduced *double Ringel–Hall algebra*  $\mathcal{D}(\Delta)$  which inherits a Hopf algebra structure from those of  $\mathcal{D}(\Delta)^{\geq 0}$  and  $\mathcal{D}(\Delta)^{\leq 0}$ . In particular, for all elements  $x \in \mathcal{D}(\Delta)^{\geq 0}$  and  $y \in \mathcal{D}(\Delta)^{\leq 0}$ , we have in  $\mathcal{D}(\Delta)$  the following relations

$$(4.0.7) \quad \sum \psi(x_1, y_1) y_2 x_2 = \sum \psi(x_2, y_2) x_1 y_1,$$

where  $\Delta(x) = \sum x_1 \otimes x_2$  and  $\Delta(y) = \sum y_1 \otimes y_2$  (Here we use the Sweedler notation). Moreover,  $\mathcal{D}(\Delta)$  admits a triangular decomposition

$$(4.0.8) \quad \mathcal{D}(\Delta) = \mathcal{D}(\Delta)^+ \otimes \mathcal{D}(\Delta)^0 \otimes \mathcal{D}(\Delta)^-,$$

where  $\mathcal{D}(\Delta)^\pm$  are subalgebras generated by  $u_{\mathfrak{m}}^\pm$  ( $\mathfrak{m} \in \mathfrak{M}$ ), and  $\mathcal{D}(\Delta)^0$  is generated by  $K_\alpha$  ( $\alpha \in \mathbb{Z}I$ ). Thus,  $\mathcal{D}(\Delta)^0$  is identified with the Laurent polynomial ring  $\mathbb{Q}(v)[K_i^{\pm 1} : i \in I]$ ,

$$\begin{aligned} \mathcal{H}(\Delta) &= \mathcal{H}(\Delta) \otimes_{\mathcal{Z}} \mathbb{Q}(v) \xrightarrow{\sim} \mathcal{D}(\Delta)^+, \quad u_{\mathfrak{m}} \mapsto u_{\mathfrak{m}}^+, \\ \mathcal{H}(\Delta)^{\text{op}} &= \mathcal{H}(\Delta)^{\text{op}} \otimes_{\mathcal{Z}} \mathbb{Q}(v) \xrightarrow{\sim} \mathcal{D}(\Delta)^-, \quad u_{\mathfrak{m}} \mapsto u_{\mathfrak{m}}^-. \end{aligned}$$

For  $i \in I$ ,  $\alpha \in \mathbb{N}I$  and  $\mathfrak{m} \in \mathfrak{M}$ , we write

$$u_i^\pm = u_{[S_i]}^\pm, \quad u_\alpha^\pm = u_{[S_\alpha]}^\pm, \quad \text{and} \quad \tilde{u}_{\mathfrak{m}}^\pm = v^{\dim \text{End}_\Delta(M(\mathfrak{m})) - \dim M(\mathfrak{m})} u_{\mathfrak{m}}^\pm.$$

The canonical basis of  $\mathcal{H}(\Delta)$  in (3.5.1) gives the canonical bases  $\mathbf{B}^\pm := \{b_{\mathfrak{m}}^\pm \mid \mathfrak{m} \in \mathfrak{M}\}$  of  $\mathcal{D}(\Delta)^\pm$  satisfying

$$(4.0.9) \quad b_{\mathfrak{m}}^\pm \in \tilde{u}_{\mathfrak{m}}^\pm + \sum_{\mathfrak{p} <_{\text{deg}} \mathfrak{m}} v^{-1} \mathbb{Z}[v^{-1}] \tilde{u}_{\mathfrak{p}}^\pm.$$

It is known that  $\mathcal{D}(\Delta_\infty)$  is generated by  $u_i^\pm, K_i^{\pm 1}$  ( $i \in \mathbb{Z}$ ) and is isomorphic to  $\mathbf{U}_v(\mathfrak{sl}_\infty)$ . By [40], the  $\mathbb{Q}(v)$ -subalgebra of  $\mathcal{D}(\Delta_n)$  generated by  $u_i^\pm, K_i^{\pm 1}$  ( $i \in I_n = \mathbb{Z}/n\mathbb{Z}$ ) is isomorphic to  $\mathbf{U}'_v(\widehat{\mathfrak{sl}}_n)$ , while  $\mathcal{D}(\Delta_n)$  is isomorphic to  $\mathbf{U}_v(\widehat{\mathfrak{gl}}_n)$ ; see [42, 21, 6]. From now on, we write for notational simplicity,

$$\mathcal{D}(\infty) = \mathcal{D}(\Delta_\infty) \quad \text{and} \quad \mathcal{D}(n) = \mathcal{D}(\Delta_n).$$

**Remarks 4.1.** (1) The construction of  $\mathcal{D}(n)$  is slightly different from that in [6, §2.1]. In particular, the  $K_i$  here play a role as  $\tilde{K}_i = K_i K_{i+1}^{-1}$  there. In particular, they do not satisfy the equality  $K_0 K_1 \cdots K_{n-1} = 1$ .

(2) We can extend  $\mathcal{D}(n)$  to the  $\mathbb{Q}(v)$ -algebra  $\widehat{\mathcal{D}}(n)$  by adding new generators  $D^{\pm 1}$  with relations

$$DD^{-1} = 1 = D^{-1}D, K_i D = DK_i, DE_i = v^{\delta_{0,i}} E_i D, DF_i = v^{-\delta_{0,i}} F_i D, Du_{\mathfrak{m}}^{\pm} = v^{\pm a_0} u_{\mathfrak{m}}^{\pm} D$$

for all  $i \in I_n$  and  $\mathfrak{m} \in \mathfrak{M}$ , where  $\mathbf{d}(\mathfrak{m}) = (a_i)_{i \in I_n}$ . Then  $\mathbf{U}_v(\widehat{\mathfrak{sl}}_n)$  clearly becomes a subalgebra of  $\widehat{\mathcal{D}}(n)$ .

As in (3.1.1), define for each  $t \geq 1$ ,

$$\mathbf{c}_t^{\pm} = (-1)^t v^{-2tn} \sum_{\mathfrak{m}} (-1)^{\dim \text{End}(M(\mathfrak{m}))} \mathbf{a}_{\mathfrak{m}}(v^2) u_{\mathfrak{m}}^{\pm} \in \mathcal{D}(n)^{\pm},$$

By Theorem 3.2, the elements  $\mathbf{c}_t^+$  and  $\mathbf{c}_t^-$  are central in  $\mathcal{D}(n)^+$  and  $\mathcal{D}(n)^-$ , respectively. Following [21, Sect. 4], define recursively for  $t \geq 1$ ,

$$\mathbf{x}_t^{\pm} = t \mathbf{c}_t^{\pm} - \sum_{s=1}^{t-1} \mathbf{x}_s^{\pm} \mathbf{c}_{t-s}^{\pm} \in \mathcal{D}(n)^{\pm}.$$

Clearly,  $\mathbf{x}_t^+$  and  $\mathbf{x}_t^-$  are again central elements in  $\mathcal{D}(n)^+$  and  $\mathcal{D}(n)^-$ , respectively. By applying [19, Cor. 10 & 12], the  $\mathbf{x}_t^{\pm}$  are primitive, i.e.,

$$\Delta(\mathbf{x}_t^+) = \mathbf{x}_t^+ \otimes K_{t\delta} + 1 \otimes \mathbf{x}_t^+ \quad \text{and} \quad \Delta(\mathbf{x}_t^-) = \mathbf{x}_t^- \otimes 1 + K_{-t\delta} \otimes \mathbf{x}_t^-,$$

and they satisfy

$$\psi(\mathbf{x}_t^+, \mathbf{x}_s^-) = v^{2tn} \{\mathbf{x}_t, \mathbf{x}_s\} = \delta_{t,s} t v^{2tn} v^{-2tn} (1 - v^{-2tn}) = \delta_{t,s} t (1 - v^{-2tn}).$$

Finally, as in [6, § 2.2], we scale the elements  $\mathbf{x}_t^{\pm}$  by setting

$$\mathbf{z}_t^{\pm} = \frac{v^{tn}}{v^t - v^{-t}} \mathbf{x}_t^{\pm} \in \mathcal{D}(n)^{\pm} \quad \text{for } t \geq 1.$$

Then

$$(4.1.1) \quad \Delta(\mathbf{z}_t^+) = \mathbf{z}_t^+ \otimes K_{t\delta} + 1 \otimes \mathbf{z}_t^+, \quad \Delta(\mathbf{z}_t^-) = \mathbf{z}_t^- \otimes 1 + K_{-t\delta} \otimes \mathbf{z}_t^-,$$

and

$$\psi(\mathbf{z}_t^+, \mathbf{z}_s^-) = \delta_{t,s} \frac{t(v^{2tn} - 1)}{(v^t - v^{-t})^2}.$$

**Lemma 4.2.** (1) For each  $i \in I_n$ ,

$$[u_i^+, u_i^-] = \frac{K_i - K_i^{-1}}{v - v^{-1}}.$$

(2) For  $\alpha \in \mathbb{N}I_n$  and  $t, s \geq 1$ ,  $K_{\alpha} \mathbf{z}_t^{\pm} = \mathbf{z}_t^{\pm} K_{\alpha}$  and

$$(4.2.1) \quad [\mathbf{z}_t^+, \mathbf{z}_s^-] = \delta_{t,s} \frac{t(v^{2tn} - 1)}{(v^t - v^{-t})^2} (K_{t\delta} - K_{-t\delta}).$$

Moreover, for each  $i \in I_n$  and  $t \geq 1$ ,

$$[u_i^+, \mathbf{z}_t^-] = 0 = [u_i^-, \mathbf{z}_t^+].$$

*Proof.* We only prove the formula (4.2.1). The remaining ones are easy calculations. Since  $\Delta(\mathbf{z}_t^+) = \mathbf{z}_t^+ \otimes K_{t\delta} + 1 \otimes \mathbf{z}_t^+$  and  $\Delta(\mathbf{z}_s^-) = \mathbf{z}_s^- \otimes 1 + K_{-s\delta} \otimes \mathbf{z}_s^-$ , we have by (4.0.7) that

$$\begin{aligned} & K_{t\delta}\psi(\mathbf{z}_t^+, \mathbf{z}_s^-) + \mathbf{z}_t^+\psi(1, \mathbf{z}_s^-) + \mathbf{z}_s^-K_{t\delta}\psi(\mathbf{z}_t^+, K_{-s\delta}) + \mathbf{z}_s^-\mathbf{z}_t^+\psi(1, K_{-s\delta}) \\ &= \mathbf{z}_t^+\mathbf{z}_s^-\psi(K_{t\delta}, 1) + \mathbf{z}_s^-\psi(\mathbf{z}_t^+, 1) + \mathbf{z}_t^+K_{-s\delta}\psi(K_{t\delta}, \mathbf{z}_s^-) + K_{-s\delta}\psi(\mathbf{z}_t^+, \mathbf{z}_s^-). \end{aligned}$$

This implies that

$$[\mathbf{z}_t^+, \mathbf{z}_s^-] = \psi(\mathbf{z}_t^+, \mathbf{z}_s^-)(K_{t\delta} - K_{-s\delta}) = \delta_{t,s} \frac{t(v^{2tn} - 1)}{(v^t - v^{-t})^2} (K_{t\delta} - K_{-t\delta})$$

since  $\psi(1, \mathbf{z}_s^-) = \psi(\mathbf{z}_t^+, K_{s\delta}) = \psi(\mathbf{z}_t^+, 1) = \psi(K_{t\delta}, \mathbf{z}_s^-) = 0$  and  $\psi(1, K_{s\delta}) = \psi(K_{-t\delta}, 1) = 1$ .  $\square$

Using arguments similar to those in the proof of [6, Th. 2.3.1], we obtain a presentation of  $\mathcal{D}(n)$ . More precisely,  $\mathcal{D}(n)$  is the  $\mathbb{Q}(v)$ -algebra generated by  $K_i^{\pm 1}$ ,  $u_i^+ = E_i$ ,  $u_i^- = F_i$ , and  $\mathbf{z}_t^\pm$  for  $i \in I_n$  and  $t \geq 1$  with defining relations:

$$\begin{aligned} \text{(DH1)} \quad & K_i K_j = K_j K_i, \quad K_i K_i^{-1} = 1 = K_i^{-1} K_i; \\ \text{(DH2)} \quad & K_i E_j = v^{a_{ij}} E_j K_i, \quad K_i F_j = v^{-a_{ij}} F_j K_i, \quad K_i \mathbf{z}_t^\pm = \mathbf{z}_t^\pm K_i; \\ \text{(DH3)} \quad & [E_i, F_j] = \delta_{i,j} \frac{K_i - K_i^{-1}}{v - v^{-1}}, \quad [E_i, \mathbf{z}_t^-] = 0, \quad [\mathbf{z}_t^+, F_i] = 0, \\ & [\mathbf{z}_t^+, \mathbf{z}_s^-] = \delta_{t,s} \frac{t(v^{2tn} - 1)}{(v^t - v^{-t})^2} (K_{t\delta} - K_{-t\delta}); \\ \text{(DH4)} \quad & \sum_{a+b=1-c_{i,j}} (-1)^a \begin{bmatrix} 1 - c_{i,j} \\ a \end{bmatrix} E_i^a E_j E_i^b = 0 \text{ for } i \neq j, \\ & \mathbf{z}_t^+ \mathbf{z}_s^+ = \mathbf{z}_s^+ \mathbf{z}_t^+, \quad E_i \mathbf{z}_t^+ = \mathbf{z}_t^+ E_i; \\ \text{(DH5)} \quad & \sum_{a+b=1-c_{i,j}} (-1)^a \begin{bmatrix} 1 - c_{i,j} \\ a \end{bmatrix} F_i^a F_j F_i^b = 0 \text{ for } i \neq j, \\ & \mathbf{z}_t^- \mathbf{z}_s^- = \mathbf{z}_s^- \mathbf{z}_t^-, \quad F_i \mathbf{z}_t^- = \mathbf{z}_t^- F_i, \end{aligned}$$

where  $i, j \in I_n$  and  $t, s \geq 1$ .

In the following we simply identify  $I_n = \mathbb{Z}/n\mathbb{Z}$  with the subset  $\{0, 1, \dots, n-1\}$  of  $\mathbb{Z}$ . Let  $P^\vee = (\oplus_{i \in I_n} \mathbb{Z} h_i) \oplus \mathbb{Z} d$  be the free abelian group with basis  $\{h_i \mid i \in I_n\} \cup \{d\}$ . Set  $\mathfrak{h} = P^\vee \otimes_{\mathbb{Z}} \mathbb{Q}$  and define

$$P = \{\Lambda \in \mathfrak{h}^* = \text{Hom}_{\mathbb{Q}}(\mathfrak{h}, \mathbb{Q}) \mid \Lambda(P^\vee) \subset \mathbb{Z}\}.$$

Then  $P = (\oplus_{i \in I_n} \mathbb{Z} \Lambda_i) \oplus \mathbb{Z} \omega$ , where  $\{\Lambda_i \mid i \in I_n\} \cup \{\omega\}$  is the dual basis of  $\{h_i \mid i \in I_n\} \cup \{d\}$ . This gives rise to the Cartan datum  $(P^\vee, P, \Pi^\vee, \Pi)$  associated with the Cartan matrix  $C_n = (a_{ij})$ , where  $\Pi^\vee = \{h_i \mid i \in I_n\}$  is set of simple coroots and  $\Pi = \{\alpha_i \mid i \in I_n\}$  is the set of simple roots defined by

$$\alpha_i(h_j) = a_{ji}, \quad \alpha_i(d) = \delta_{0,i} \quad \text{for all } i, j \in I_n.$$

Finally, let

$$P^+ = \{\Lambda \in P \mid \Lambda(h_i) \geq 0, \forall i \in I_n\} = \left( \bigoplus_{i \in I_n} \mathbb{N} \Lambda_i \right) \oplus \mathbb{Z} \omega$$

denote the set of dominant weights.

For each  $\Lambda \in P$ , consider the left ideal  $J_\Lambda$  of  $\mathcal{D}(n)$  defined by

$$\begin{aligned} J_\Lambda &= \sum_{\mathfrak{m} \in \mathfrak{M}_n \setminus \{0\}} \mathcal{D}(n) u_{\mathfrak{m}}^+ + \sum_{\alpha \in \mathbb{Z} I_n} \mathcal{D}(n) (K_\alpha - v^{\Lambda(\alpha)}) \\ &= \sum_{\mathfrak{m} \in \mathfrak{M}_n \setminus \{0\}} \mathcal{D}(n) u_{\mathfrak{m}}^+ + \sum_{i \in I_n} \mathcal{D}(n) (K_i - v^{\Lambda(h_i)}), \end{aligned}$$

where  $\Lambda(\alpha) = \sum_{i \in I_n} a_i \Lambda(h_i)$  if  $\alpha = \sum_{i \in I_n} a_i \varepsilon_i \in \mathbb{Z}I_n$ . The quotient module

$$M(\Lambda) := \mathcal{D}(n)/J_\Lambda$$

is called the Verma module which is a highest weight module with highest vector  $\eta_\Lambda := 1 + J_\Lambda$ . Applying the triangular decomposition (4.0.8) shows that

$$\mathcal{D}(n)^- \longrightarrow M(\Lambda), \quad x^- \longmapsto x^- + J_\Lambda$$

is an isomorphism of  $\mathbb{Q}(v)$ -vector spaces. Via this isomorphism,  $\mathcal{D}(n)^-$  becomes a  $\mathcal{D}(n)$ -module. It is clear that  $M(\Lambda)$  contains a unique maximal submodule  $M'$  which gives rise to an irreducible  $\mathcal{D}(n)$ -module  $L(\Lambda) = M(\Lambda)/M'$ .

**Remark 4.3.** By the construction, if  $\Lambda, \Lambda' \in P^+$  satisfy  $\Lambda - \Lambda' \in \mathbb{Z}\omega$ , then  $L(\Lambda) = L(\Lambda')$ . Therefore, it might be more appropriate to work with the algebra  $\widehat{\mathcal{D}}(n)$  defined in Remark 4.1(2).

**Theorem 4.4.** *Let  $\Lambda = \sum_{i \in I_n} a_i \Lambda_i + b\omega \in P^+$  be a dominant weight with  $\sum_{i \in I_n} a_i > 0$ . Then*

$$L(\Lambda) \cong \mathcal{D}(n)^- / \left( \sum_{i \in I_n} \mathcal{D}(n)^- (u_i^-)^{a_i+1} \right).$$

*Proof.* As in [9, Sect. 3], we extend the Cartan matrix  $C = (a_{ij})_{i,j \in I_n}$  to a Borcherds–Cartan matrix  $\widetilde{C} = (\widetilde{a}_{ij})_{i,j \in \mathbb{N}}$  by setting  $\widetilde{a}_{ij} = a_{ij}$  for  $0 \leq i, j < n$  and  $\widetilde{a}_{ij} = 0$  otherwise. Consider the free abelian group  $\widetilde{P}^\vee = (\oplus_{i \in \mathbb{N}} \mathbb{Z}h_i) \oplus (\oplus_{i \in \mathbb{N}} \mathbb{Z}d_i)$  and define

$$\widetilde{P} = \{ \theta \in (\widetilde{P}^\vee \otimes \mathbb{Q})^* \mid \theta(\widetilde{P}^\vee) \subset \mathbb{Z} \}.$$

We then obtain a Cartan datum of type  $\widetilde{C}$

$$(\widetilde{P}^\vee, \widetilde{P}, \widetilde{\Pi}^\vee = \{h_i \mid i \in \mathbb{N}\}, \widetilde{\Pi} = \{\widetilde{\alpha}_i \mid i \in \mathbb{N}\})$$

where the  $\widetilde{\alpha}_i$  are defined by

$$\widetilde{\alpha}_i(h_j) = \widetilde{a}_{ji} \quad \text{and} \quad \widetilde{\alpha}_i(d_j) = \delta_{i,j}, \quad \forall i, j \in \mathbb{N}.$$

Following [25, Def. 2.1] or [23, Def. 1.3], with the above Cartan datum we have the associated quantum generalized Kac–Moody algebra  $\mathbf{U}_v(\widetilde{C})$  which is by definition a  $\mathbb{Q}(v)$ -algebra generated by  $K_i^{\pm 1}, D_i^{\pm 1}, E_i, F_i$  for  $i \in \mathbb{N}$  with relations; see [23, (1.4)] for the details. Clearly, the subalgebra of  $\mathbf{U}_v(\widetilde{C})$  generated by  $K_i^{\pm 1}, D_0^{\pm 1}, E_i, F_i$  for  $0 \leq i < n$  is isomorphic to  $\mathbf{U}_v(\widehat{\mathfrak{sl}}_n)$ .

In order to make a comparison with  $\mathcal{D}(n)$ , we consider the subalgebra  $\widetilde{\mathbf{U}}$  of  $\mathbf{U}_v(\widetilde{C})$  generated by  $K_i^{\pm 1}, E_i, F_i$  for  $i \in \mathbb{N}$ . Then  $\widetilde{\mathbf{U}}$  admits a triangular decomposition

$$\widetilde{\mathbf{U}} = \widetilde{\mathbf{U}}^- \otimes \widetilde{\mathbf{U}}^0 \otimes \widetilde{\mathbf{U}}^+,$$

where  $\widetilde{\mathbf{U}}^-, \widetilde{\mathbf{U}}^+$ , and  $\widetilde{\mathbf{U}}^0$  are subalgebras generated by  $F_i, E_i$ , and  $K_i^{\pm 1}$  for  $i \in \mathbb{N}$ , respectively. In particular,  $\widetilde{\mathbf{U}}^0 = \mathbb{Q}(v)[K_i^{\pm 1} : i \in \mathbb{N}]$ . It follows from the definition that there is a surjective algebra homomorphism  $\Psi : \widetilde{\mathbf{U}} \rightarrow \mathcal{D}(n)$  given by

$$\Psi(E_i) = \begin{cases} u_i^+, & \text{if } 0 \leq i < n; \\ y_{i-n+1} z_{i-n+1}^+, & \text{if } i \geq n, \end{cases} \quad \Psi(F_i) = \begin{cases} u_i^-, & \text{if } 0 \leq i < n; \\ z_{i-n+1}^-, & \text{if } i \geq n \end{cases}, \quad \text{and}$$

$$\Psi(K_i^{\pm 1}) = \begin{cases} K_i^{\pm 1}, & \text{if } 0 \leq i < n; \\ K_{(i-n+1)\delta}^{\pm 1}, & \text{if } i \geq n, \end{cases}$$

where  $y_t = t(v^{2tn} - 1)(v - v^{-1})/(v^t - v^{-t})^2$  for  $t \geq 1$ ; see (4.2.1). Hence, each  $\mathcal{D}(n)$ -module can be viewed as a  $\widetilde{\mathbf{U}}$ -module via the homomorphism  $\Psi$ . By the definition,  $\Psi$  induces isomorphisms  $\widetilde{\mathbf{U}}^\pm \cong \mathcal{D}(n)^\pm$ . Thus, in what follows, we will identify  $\widetilde{\mathbf{U}}^\pm$  with  $\mathcal{D}(n)^\pm$  via  $\Psi$ .

As defined in [23, Sect. 2.1], for each  $\theta \in \tilde{P}$ , there is an associated irreducible  $\tilde{\mathbf{U}}$ -module  $L(\theta)$ . By [23, Prop. 3.3],  $L(\theta)$  is integrable if and only if  $\theta$  is dominant, that is,

$$\theta \in \tilde{P}^+ = \{\rho \in (\tilde{P}^\vee \otimes \mathbb{Q})^* \mid \rho(\tilde{P}^\vee) \subset \mathbb{N}\}.$$

Moreover, by [25, Cor. 4.7], for  $\theta \in \tilde{P}^+$ ,

$$L(\theta) \cong \tilde{\mathbf{U}}^- / \left( \sum_{i \in I_n} \tilde{\mathbf{U}}^- F_i^{\theta(h_i)+1} + \sum_{i \geq n, \theta(h_i)=0} \tilde{\mathbf{U}}^- F_i \right).$$

Viewing the irreducible  $\mathcal{D}(n)$ -module  $L(\Lambda)$  as a  $\tilde{\mathbf{U}}$ -module, it is then isomorphic to  $L(\tilde{\Lambda})$ , where  $\tilde{\Lambda} \in \tilde{P}$  is defined by

$$\tilde{\Lambda}(h_i) = \begin{cases} \Lambda(h_i) = a_i, & \text{if } 0 \leq i < n; \\ (i - n + 1) \sum_{0 \leq j < n} a_j, & \text{if } i \geq n \end{cases} \quad \text{and} \quad \tilde{\Lambda}(d_i) = \delta_{i,0} b.$$

From the assumption  $\sum_{i \in I} a_i > 0$  it follows that  $\tilde{\Lambda}(h_i) > 0$  for all  $i \geq n$ . Consequently,

$$L(\Lambda) \cong L(\tilde{\Lambda}) \cong \tilde{\mathbf{U}}^- / \left( \sum_{i \in I_n} \tilde{\mathbf{U}}^- F_i^{a_i+1} \right) = \mathcal{D}(n)^- / \left( \sum_{i \in I_n} \mathcal{D}(n)^-(u_i^-)^{a_i+1} \right).$$

□

For each  $\Lambda \in P$ , let  $L_0(\Lambda)$  denote the irreducible  $\mathbf{U}'_v(\widehat{\mathfrak{sl}}_n)$ -module of highest weight  $\Lambda$ . Applying Theorem 3.2 gives the following result.

**Corollary 4.5.** *Let  $\Lambda = \sum_{i \in I_n} a_i \Lambda_i + b\omega \in P^+$  with  $\sum_{i \in I_n} a_i > 0$ . Then  $L_0(\Lambda)$  is the  $\mathbf{U}'_v(\widehat{\mathfrak{sl}}_n)$ -submodule of  $L(\Lambda)$  generated by the highest weight vector  $\eta_\Lambda$  and there is a vector space decomposition*

$$L(\Lambda) = L_0(\Lambda) \otimes \mathbb{Q}(v)[z_1^-, z_2^-, \dots].$$

In particular, if  $L(\Lambda)|_{\mathbf{U}'_v(\widehat{\mathfrak{sl}}_n)}$  denotes the  $\mathbf{U}'_v(\widehat{\mathfrak{sl}}_n)$ -module via restriction, then

$$(4.5.1) \quad L(\Lambda)|_{\mathbf{U}'_v(\widehat{\mathfrak{sl}}_n)} \cong \bigoplus_{m \geq 0} L_0(\Lambda - m\delta^*)^{\oplus p(m)},$$

where  $\delta^* = \sum_{i \in I_n} \alpha_i$  and  $p(m)$  is the number of partitions of  $m$ .

*Proof.* By Theorem 3.2,

$$\mathcal{D}(n)^- = \mathbf{U}_v^-(\widehat{\mathfrak{sl}}_n) \otimes \mathbb{Q}(v)[z_1^-, z_2^-, \dots].$$

This implies that

$$L(\Lambda) \cong \mathcal{D}(n)^- / \left( \sum_{i \in I_n} \mathcal{D}(n)^-(u_i^-)^{a_i+1} \right) \cong \left( \mathbf{U}_v^-(\widehat{\mathfrak{sl}}_n) / \left( \sum_{i \in I_n} \mathbf{U}_v^-(\widehat{\mathfrak{sl}}_n) F_i^{a_i+1} \right) \right) \otimes \mathbb{Q}(v)[z_1^-, z_2^-, \dots].$$

By [34, Cor. 6.2.3],  $L_0(\Lambda) \cong \mathbf{U}_v^-(\widehat{\mathfrak{sl}}_n) / \left( \sum_{i \in I_n} \mathbf{U}_v^-(\widehat{\mathfrak{sl}}_n) F_i^{a_i+1} \right)$ . Hence,  $L_0(\Lambda)$  is the  $\mathbf{U}'_v(\widehat{\mathfrak{sl}}_n)$ -submodule of  $L(\Lambda)$  generated by  $\eta_\Lambda$  and the desired decomposition is obtained.

For each family of nonnegative integers  $\{m_t \mid t \geq 1\}$  satisfying all but finitely many  $m_t$  are zero,  $L_0(\Lambda) \otimes \prod_{t \geq 1} (z_t^-)^{m_t}$  is a  $\mathbf{U}'_v(\widehat{\mathfrak{sl}}_n)$ -submodule of  $L(\Lambda)$  since  $[u_i^\pm, z_t^-] = 0$  for all  $i \in I_n$  and  $t \geq 1$ . It is easy to see that

$$L_0(\Lambda) \otimes \prod_{t \geq 1} (z_t^-)^{m_t} \cong L_0(\Lambda - \left( \sum_{t \geq 1} m_t \right) \delta^*).$$

We conclude that

$$L(\Lambda)|_{\mathbf{U}'_v(\widehat{\mathfrak{sl}}_n)} \cong \bigoplus_{m \geq 0} L_0(\Lambda - m\delta^*)^{\oplus p(m)}.$$

□

By [34, Th. 14.4.11], for each  $\Lambda \in P^+$ , the canonical basis  $\{b_{\mathbf{m}}^- \mid \mathbf{m} \in \mathfrak{M}_n^{\text{ap}}\}$  of  $\mathbf{U}_v^-(\widehat{\mathfrak{sl}}_n)$  gives rise to the canonical basis

$$\{b_{\mathbf{m}}^- \eta_{\Lambda} \neq 0 \mid \mathbf{m} \in \mathfrak{M}_n^{\text{ap}}\}$$

of  $L_0(\Lambda)$ . On the other hand, the crystal basis theory for the quantum generalized Kac–Moody algebra  $\mathbf{U}(\widetilde{C})$  has been developed in [23]. Since all the  $F_i$  for  $i \geq n$  correspond to imaginary simple roots and are central in  $\widetilde{\mathbf{U}}^- = \mathcal{D}(n)^-$ , applying the construction in [23, Sect. 6] shows that the set

$$\mathbf{B}' := \left\{ \left( \prod_{i \geq n} F_i^{m_i} \right) b_{\mathbf{m}}^- \mid \mathbf{m} \in \mathfrak{M}_n^{\text{ap}} \text{ and all } m_i \in \mathbb{N} \text{ but finitely many are zero} \right\}$$

forms the global crystal basis of  $\widetilde{\mathbf{U}}^- = \mathcal{D}(n)^-$ . We remark that  $\mathbf{B}'$  does not coincide with the canonical basis  $\mathbf{B}^-$  of  $\mathcal{D}(n)^-$ .

### 5. THE $q$ -DEFORMED FOCK SPACE I: $\mathcal{D}(\infty)$ -MODULE

In this section we introduce the  $q$ -deformed Fock space  $\Lambda^\infty$  from [17] and review its module structure over  $\mathcal{D}(\infty) = \mathbf{U}_v(\mathfrak{sl}_\infty)$  defined in [36, 47], as well as its  $\mathbf{U}_v(\widehat{\mathfrak{sl}}_n)$ -module structure. We also provide a proof of [47, Prop. 5.1] by using the properties of representations of  $\Delta_\infty$ . Throughout this section, we identify  $\mathcal{D}(\infty)$  with  $\mathbf{U}_v(\widehat{\mathfrak{sl}}_\infty)$  via taking  $u_i^+ \mapsto E_i$ ,  $u_i^- \mapsto F_i$  for all  $i \in I_\infty = \mathbb{Z}$ .

For each partition  $\lambda \in \Pi$ , let  $T(\lambda)$  denote the tableau of shape  $\lambda$  whose box in the intersection of the  $i$ -th row and the  $j$ -th column is labelled with  $j - i$  (The box is then said to be with color  $j - i$ ). For example, if  $\lambda = (4, 2, 2, 1)$ , then  $T(\lambda)$  has the form

-3				
-2	-1			
-1	0			
0	1	2	3	

For given  $i \in \mathbb{Z}$ , a removable  $i$ -box of  $T(\lambda)$  is by definition a box with color  $i$  which can be removed in such a way that the new tableau has the form  $T(\mu)$  for some  $\mu \in \Pi$ . On the contrary, an indent  $i$ -box of  $T(\lambda)$  is a box with color  $i$  which can be added to  $T(\lambda)$ . For  $i \in \mathbb{Z}$  and  $\lambda \in \Pi$ , define

$$n_i(\lambda) = |\{\text{indent } i\text{-boxes of } T(\lambda)\}| - |\{\text{removable } i\text{-boxes of } T(\lambda)\}|.$$

Let  $\bigwedge^\infty$  be the  $\mathbb{Q}(v)$ -vector space with basis  $\{|\lambda\rangle \mid \lambda \in \Pi\}$ . Following [47, 4.2], there is a left  $\mathbf{U}_v(\mathfrak{sl}_\infty)$ -module structure on  $\bigwedge^\infty$  defined by

$$(5.0.2) \quad K_i \cdot |\lambda\rangle = v^{n_i(\lambda)} |\lambda\rangle, \quad E_i \cdot |\lambda\rangle = |\nu\rangle, \quad F_i \cdot |\lambda\rangle = |\mu\rangle, \quad \forall i \in \mathbb{Z}, \lambda \in \Pi,$$

where  $\mu, \nu \in \Pi$  are such that  $T(\mu) - T(\lambda)$  and  $T(\lambda) - T(\nu)$  are a box with color  $i$ . As remarked in [36, Sect. 2] and [47, 4.2],  $\bigwedge^\infty$  is isomorphic to the basic representation of  $\mathbf{U}_v(\mathfrak{sl}_\infty)$  with the canonical basis  $\{|\lambda\rangle \mid \lambda \in \Pi\}$ .

**Lemma 5.1.** (1) For  $i \in \mathbb{Z}$  and  $\lambda, \mu \in \Pi$ , if  $u_i^- \cdot |\mu\rangle = |\lambda\rangle$ , then there is an exact sequence

$$0 \longrightarrow S_i \longrightarrow M(\mathbf{m}_\lambda) \longrightarrow M(\mathbf{m}_\mu) \longrightarrow 0.$$

(2) Let  $\mathbf{m} = [i, l]$  for some  $i \in \mathbb{Z}$  and  $l \geq 1$ . Then  $\tilde{u}_{\mathbf{m}}^- \cdot |\emptyset\rangle \in \mathcal{Z}|\lambda\rangle$  if  $i \leq 0$  and  $i + l - 1 \geq 0$  and 0 otherwise, where  $\lambda = (i + l, 1^{(-i)})$ . In particular, if  $i = 0$ , then  $\tilde{u}_{\mathbf{m}}^- \cdot |\emptyset\rangle = |\lambda\rangle$ .

*Proof.* (1) This follows directly from the definition.

(2) We proceed induction on  $l$ . The statement is trivial if  $l = 1$ . Suppose now  $l > 1$ . By the definition,  $M(\mathbf{m}) = S_i[l]$  with  $\mathbf{dim} M(\mathbf{m}) = \sum_{j=i}^{i+l-1} \varepsilon_j$ . Then

$$u_{i+l-1}^- \cdots u_{i+1}^- u_i^- = v^{1-l} u_{\mathbf{m}}^- + \sum_{\mathfrak{z} <_{\text{deg}}^{\infty} \mathbf{m}} v^{1-l} u_{\mathfrak{z}}^-.$$

For each  $\mathfrak{z}$  with  $\mathfrak{z} <_{\text{deg}}^{\infty} \mathbf{m}$ ,  $M(\mathfrak{z})$  is decomposable. Thus, we may write

$$M(\mathfrak{z}) = M(\eta) \oplus M(\mathfrak{z}_1),$$

where  $\eta \in \mathfrak{M}_{\infty}$  and  $\mathfrak{z}_1 = [j, i+l-j)$  for some  $i < j \leq i+l-1$ . This implies that

$$u_{\eta}^- u_{\mathfrak{z}_1}^- = u_{\mathfrak{z}}^-.$$

By the induction hypothesis,

$$u_{\mathfrak{z}_1}^- \cdot |\emptyset\rangle \in \mathcal{Z}|\mu\rangle \text{ if } j \leq 0 \text{ and } i+l-1 \geq 0,$$

and 0 otherwise, where  $\mu = (i+l, 1^{(-j)})$ . Let now  $j \leq 0$  and  $i+l-1 \geq 0$  and let  $k_1, \dots, k_{j-i}$  be a permutation of  $i, i+1, \dots, j-1$ . Then

$$(u_{k_1}^- u_{k_2}^- \cdots u_{k_{j-i}}^-) \cdot |\mu\rangle = 0$$

unless  $k_1 = i, k_2 = i+1, \dots, k_{j-i} = j-1$ , and moreover

$$(u_i^- u_{i+1}^- \cdots u_{j-1}^-) \cdot |\mu\rangle = |\lambda\rangle.$$

Since  $u_{\eta}^-$  is a  $\mathcal{Z}$ -linear combination of the monomials  $u_{k_1}^- u_{k_2}^- \cdots u_{k_{j-i}}^-$ , we have  $\tilde{u}_{\mathbf{m}}^- \cdot |\emptyset\rangle \in \mathcal{Z}|\lambda\rangle$ .

Now let  $i = 0$ . Then  $u_{\mathfrak{z}_1}^- \cdot |\emptyset\rangle = 0$  for each  $\mathfrak{z}_1 = [j, i+l-j)$  with  $0 < j \leq i+l-1$ . Hence,

$$\tilde{u}_{\mathbf{m}}^- \cdot |\emptyset\rangle = v^{1-l} u_{\mathbf{m}}^- \cdot |\emptyset\rangle = (u_{l-1}^- \cdots u_1^- u_0^-) \cdot |\emptyset\rangle + \sum_{\mathfrak{z} <_{\text{deg}}^{\infty} \mathbf{m}} u_{\mathfrak{z}}^- \cdot |\emptyset\rangle = |\lambda\rangle.$$

□

**Lemma 5.2.** Let  $\mathbf{m} = \sum_{l \geq 1} m_{i,l}[i, l] \in \mathfrak{M}_{\infty}$  and  $\lambda \in \Pi$ .

- (1) If there is  $j \in \mathbb{Z}$  such that  $\sum_{l \geq 1} m_{j,l} \geq 2$ , then  $\tilde{u}_{\mathbf{m}}^- \cdot |\lambda\rangle = 0$ . In particular, for each  $i \in \mathbb{Z}$  and  $t \geq 2$ ,  $(u_i^-)^{(t)} \cdot |\lambda\rangle = 0$ , where  $(u_i^-)^{(t)} = (u_i^-)^t / [t]!$ ; see (3.0.2).
- (2) The element  $\tilde{u}_{\mathbf{m}}^- \cdot |\lambda\rangle$  is a  $\mathcal{Z}$ -linear combination of  $|\mu\rangle$  with  $\mu \in \Pi$ .

*Proof.* (1) For each  $i \in \mathbb{Z}$ , we put

$$m_i = \sum_{l \geq 1} m_{i,l} \text{ and } M_i = \bigoplus_{l \geq 1} m_{i,l} S_i[l].$$

Then  $M = M(\mathbf{m}) = \bigoplus_{i \in \mathbb{Z}} M_i$ , where all but finitely many  $M_i$  are zero and

$$u_{\mathbf{m}}^- = v^{-\sum_{i > j} \langle \mathbf{dim} M_i, \mathbf{dim} M_j \rangle} (\cdots u_{[M_{-1}]}^- u_{[M_0]}^- u_{[M_1]}^- \cdots).$$

Suppose there is  $j \in \mathbb{Z}$  with  $m = m_j \geq 2$ . Then  $M_j$  admits a decomposition

$$M_j = S_j[a_1] \oplus \cdots \oplus S_j[a_m] \text{ with } a_1 \geq \cdots \geq a_m \geq 1.$$

This implies that

$$u_{[S_j[a_m]]}^- \cdots u_{[S_j[a_1]]}^- = v^{b_j} u_{[M_j]}^-,$$

where  $b_j = \sum_{1 \leq p < q \leq m} \langle \mathbf{dim} S_j[m_p], \mathbf{dim} S_j[m_q] \rangle$ . Hence, it suffices to show that for each  $\mu \in \Pi$ ,

$$u_{[M_j]}^- \cdot |\mu\rangle = v^{-b_j} (u_{[S_j[a_m]]}^- \cdots u_{[S_j[a_1]]}^-) \cdot |\mu\rangle = 0.$$



By the definition,  $u_{[S_j[a_1]]}^- \cdot |\mu\rangle$  is a  $\mathbb{Q}(v)$ -linear combination of  $\nu$  which are obtained from  $\mu$  by adding a  $(j+r)$ -box for each  $0 \leq r < a_1$ . Thus, each such  $\nu$  does not admit an indent  $j$ -box. Thus,  $u_{[S_j[a_1]]}^- \cdot |\nu\rangle = 0$  and, hence,  $(u_{[S_j[a_m]]}^- \cdots u_{[S_j[a_1]]}^-) \cdot |\mu\rangle = 0$ . We conclude that  $\tilde{u}_{\mathfrak{m}}^- \cdot |\lambda\rangle = 0$ .

(2) It is known that  $\tilde{u}_{\mathfrak{m}}^-$  is a  $\mathcal{Z}$ -linear combination of monomials of divided powers  $(u_i^-)^{(t)}$  for  $i \in \mathbb{Z}$  and  $t \geq 1$ . Since by (1),  $(u_i^-)^{(t)} \cdot |\mu\rangle = 0$  for all  $i \in \mathbb{Z}$ ,  $\mu \in \Pi$  and  $t \geq 2$ , it follows that  $\tilde{u}_{\mathfrak{m}}^- \cdot |\lambda\rangle$  is a  $\mathcal{Z}$ -linear combination of  $(u_{i_1}^- \cdots u_{i_m}^-) \cdot |\lambda\rangle$ , where  $m = \dim M(\mathfrak{m})$  and  $i_1, \dots, i_m \in \mathbb{Z}$ . By the definition,  $(u_{i_1}^- \cdots u_{i_m}^-) \cdot |\lambda\rangle$  either is zero or equal to  $|\mu\rangle$  for some  $\mu \in \Pi$ . Therefore,  $\tilde{u}_{\mathfrak{m}}^- \cdot |\lambda\rangle$  is a  $\mathcal{Z}$ -linear combination of  $|\mu\rangle$  with  $\mu \in \Pi$ .  $\square$

**Proposition 5.3.** (1) For each  $\mathfrak{m} \in \mathfrak{M}_{\infty}$ ,

$$\tilde{u}_{\mathfrak{m}}^- \cdot |\emptyset\rangle \in \mathcal{Z}|\lambda\rangle \text{ for some } \lambda \in \Pi \text{ with } \mathfrak{m}_{\lambda} \leq_{\text{deg}}^{\infty} \mathfrak{m}.$$

(2) For each  $\lambda \in \Pi$ ,

$$\tilde{u}_{\mathfrak{m}_{\lambda}}^- \cdot |\emptyset\rangle = |\lambda\rangle \text{ and } \tilde{u}_{\mathfrak{p}}^- \cdot |\emptyset\rangle = 0 \text{ for all } \mathfrak{p} \in \mathfrak{M} \text{ with } \mathfrak{p} <_{\text{deg}}^{\infty} \mathfrak{m}_{\lambda}.$$

In particular,  $b_{\mathfrak{m}_{\lambda}}^- \cdot |\emptyset\rangle = |\lambda\rangle$ .

*Proof.* (1) If  $\tilde{u}_{\mathfrak{m}}^- \cdot |\emptyset\rangle = 0$ , there is nothing to prove. Now suppose  $\tilde{u}_{\mathfrak{m}}^- \cdot |\emptyset\rangle \neq 0$ . By Lemma 5.2(2), we write

$$\tilde{u}_{\mathfrak{m}}^- \cdot |\emptyset\rangle = \sum_{\lambda \in \Pi} f_{\lambda}(v) |\lambda\rangle,$$

where all  $f_{\lambda}(v) \in \mathcal{Z}$  but finitely many are zero. If  $f_{\lambda}(v) \neq 0$ , then  $\mathbf{dim} M(\mathfrak{m}_{\lambda}) = \mathbf{dim} M(\mathfrak{m})$ . By Lemma 2.1(1), such a  $\lambda \in \Pi$  is unique. Hence, we may suppose  $\tilde{u}_{\mathfrak{m}}^- \cdot |\emptyset\rangle = f(v) |\lambda\rangle$  for some  $0 \neq f(v) \in \mathcal{Z}$  and  $\lambda \in \Pi$ . It remains to show that  $\mathfrak{m}_{\lambda} \leq_{\text{deg}}^{\infty} \mathfrak{m}$ .

Applying Lemma 5.2(1) implies that

$$M = M(\mathfrak{m}) = S_{i_1}[a_1] \oplus \cdots \oplus S_{i_t}[a_t],$$

where  $i_1 < \cdots < i_t$  and  $a_1, \dots, a_t \geq 1$ . Then

$$u_{[S_{i_1}[a_1]]}^- \cdots u_{[S_{i_t}[a_t]]}^- = v^a u_{\mathfrak{m}}^-,$$

where  $a = \sum_{1 \leq p < q \leq t} (\mathbf{dim} S_{i_q}[a_q], \mathbf{dim} S_{i_p}[a_p])$ .

We proceed induction on  $t$  to show that  $M(\mathfrak{m}_{\lambda}) \leq_{\text{deg}}^{\infty} M = M(\mathfrak{m})$ . If  $t = 1$ , this follows from Lemma 5.1(2). Let now  $t > 1$  and let  $\mu \in \Pi$  be such that

$$(u_{[S_{i_2}[a_2]]}^- \cdots u_{[S_{i_t}[a_t]]}^-) \cdot |\emptyset\rangle = g(v) |\mu\rangle \text{ for some } 0 \neq g(v) \in \mathcal{Z}.$$

Then  $u_{[S_{i_1}[a_1]]}^- \cdot |\mu\rangle = v^a f(v) g(v)^{-1} |\lambda\rangle$ . By the induction hypothesis,

$$M(\mathfrak{m}_{\mu}) \leq_{\text{deg}}^{\infty} S_{i_2}[a_2] \oplus \cdots \oplus S_{i_t}[a_t].$$

By writing  $u_{[S_{i_1}[a_1]]}^-$  as a  $\mathcal{Z}$ -linear combination of monomials of  $u_i^-$ 's and applying Lemma 5.1(1), there exists  $X \in \text{Rep } \Delta_{\infty}$  satisfying  $\mathbf{dim} X = \mathbf{dim} S_{i_1}[a_1]$  with an exact sequence

$$0 \longrightarrow X \longrightarrow M(\mathfrak{m}_{\lambda}) \longrightarrow M(\mathfrak{m}_{\mu}) \longrightarrow 0.$$

Since  $S_{i_1}[a_1]$  is indecomposable, it follows that  $X \leq_{\text{deg}}^{\infty} S_{i_1}[a_1]$ . Therefore,

$$\begin{aligned} M(\mathfrak{m}_{\lambda}) &\leq_{\text{deg}}^{\infty} M(\mathfrak{m}_{\mu}) * X \leq_{\text{deg}}^{\infty} (S_{i_2}[a_2] \oplus \cdots \oplus S_{i_t}[a_t]) * S_{i_1}[a_1] \\ &= (S_{i_2}[a_2] \oplus \cdots \oplus S_{i_t}[a_t]) \oplus S_{i_1}[a_1] = M(\mathfrak{m}), \end{aligned}$$

that is,  $\mathfrak{m}_{\lambda} \leq_{\text{deg}}^{\infty} \mathfrak{m}$ .

(2) Write  $\lambda = (\lambda_1, \dots, \lambda_t)$  with  $\lambda_1 \geq \cdots \geq \lambda_t \geq 1$ . Since

$$M(\mathfrak{m}_{\lambda}) = S_0[\lambda_1] \oplus S_{-1}[\lambda_2] \oplus \cdots \oplus S_{1-t}[\lambda_t],$$

we have that

$$u_{[S_{1-t}[\lambda_t]]}^- \cdots u_{[S_{-1}[\lambda_2]]}^- u_{[S_0[\lambda_1]]}^- = v^c u_{\mathbf{m}_\lambda}^-,$$

where

$$c = \sum_{1 \leq r < s \leq t} \langle \mathbf{dim} S_{1-r}[\lambda_r], \mathbf{dim} S_{1-s}[\lambda_s] \rangle = \sum_{1 \leq r < s \leq t} \dim \text{Hom}_{\Delta_\infty}(S_{1-r}[\lambda_r], S_{1-s}[\lambda_s]).$$

By using an argument similar to that in the proof of Lemma 5.1(2), we obtain that

$$\begin{aligned} v^c u_{\mathbf{m}_\lambda}^- \cdot |\emptyset\rangle &= (u_{[S_{1-t}[\lambda_t]]}^- \cdots u_{[S_{-1}[\lambda_2]]}^- u_{[S_0[\lambda_1]]}^-) \cdot |\emptyset\rangle \\ &= v^{\lambda_1-1} (u_{[S_{1-t}[\lambda_t]]}^- \cdots u_{[S_{-1}[\lambda_2]]}^-) \cdot |(\lambda_1)\rangle \\ &= c^{\lambda_1+\lambda_2-2} (u_{[S_{1-t}[\lambda_t]]}^- \cdots u_{[S_{-2}[\lambda_3]]}^-) \cdot |(\lambda_1, \lambda_2)\rangle \\ &= v^{\lambda_1+\cdots+\lambda_t-t} |(\lambda_1, \dots, \lambda_t)\rangle = v^{\lambda_1+\cdots+\lambda_t-t} |\lambda\rangle. \end{aligned}$$

Since

$$\dim \text{End}_{\Delta_\infty}(M(\mathbf{m}_\lambda)) = \sum_{1 \leq r \leq s \leq t} \dim \text{Hom}_{\Delta_\infty}(S_{1-r}[\lambda_r], S_{1-s}[\lambda_s]) = c + t$$

and  $\dim M(\mathbf{m}_\lambda) = \lambda_1 + \cdots + \lambda_t$ , it follows that

$$\tilde{u}_{\mathbf{m}_\lambda}^- \cdot |\emptyset\rangle = v^{c+t-(\lambda_1+\cdots+\lambda_t)} u_{\mathbf{m}_\lambda}^- \cdot |\emptyset\rangle = |\lambda\rangle.$$

Now let  $\mathbf{p} <_{\text{deg}}^\infty \mathbf{m}_\lambda$  and suppose  $\tilde{u}_{\mathbf{p}}^- \cdot |\emptyset\rangle \neq 0$ . By (1), there exists  $\mu \in \Pi$  with  $\mathbf{m}_\mu \leq_{\text{deg}}^\infty \mathbf{p}$  such that  $\tilde{u}_{\mathbf{p}}^- \cdot |\emptyset\rangle = f(v)|\mu\rangle$  for some  $f(v) \in \mathcal{Z}$ . Thus,  $\mathbf{m}_\mu <_{\text{deg}}^\infty \mathbf{m}_\lambda$ . By Lemma 2.1(1),  $\mu = \lambda$  since  $\mathbf{dim} M(\mathbf{m}_\mu) = \mathbf{dim} M(\mathbf{m}_\lambda)$ . This is a contradiction. Hence,  $\tilde{u}_{\mathbf{p}}^- \cdot |\emptyset\rangle = 0$ .

By (4.0.9),

$$b_{\mathbf{m}_\lambda}^- \in \tilde{u}_{\mathbf{m}_\lambda}^- + \sum_{\mathbf{p} <_{\text{deg}}^\infty \mathbf{m}_\lambda} v^{-1} \mathbb{Z}[v^{-1}] \tilde{u}_{\mathbf{p}}^-.$$

We conclude that  $b_{\mathbf{m}_\lambda}^- \cdot |\emptyset\rangle = \tilde{u}_{\mathbf{m}_\lambda}^- \cdot |\emptyset\rangle = |\lambda\rangle$ . □

As a consequence of the proposition above, we obtain [47, Prop. 5.1] as follows.

**Corollary 5.4.** *The subspace  $\mathcal{I}$  of  $\mathbf{U}_v^-(\mathfrak{sl}_\infty)$  spanned by  $b_{\mathbf{m}}^-$  with  $\mathbf{m} \in \mathfrak{M} - \{\mathbf{m}_\lambda \mid \lambda \in \Pi\}$  is a left ideal of  $\mathbf{U}_v^-(\mathfrak{sl}_\infty)$ . Moreover, the map*

$$\mathbf{U}_v^-(\mathfrak{sl}_\infty)/\mathcal{I} \longrightarrow \bigwedge^\infty, \quad b_{\mathbf{m}_\lambda}^- + \mathcal{I} \longmapsto |\lambda\rangle, \quad \forall \lambda \in \Pi$$

*is an isomorphism of  $\mathbf{U}_v^-(\mathfrak{sl}_\infty)$ -modules.*

*Proof.* On the one hand, by [34, Th. 14.4.11], the set

$$\{b_{\mathbf{m}}^- \cdot |\emptyset\rangle \neq 0 \mid \mathbf{m} \in \mathfrak{M}_\infty\}$$

is a basis of  $\bigwedge^\infty$ . On the other hand, there is a  $\mathbf{U}_v^-(\mathfrak{sl}_\infty)$ -module homomorphism

$$\phi : \mathbf{U}_v^-(\mathfrak{sl}_\infty) \longrightarrow \bigwedge^\infty, \quad x \longmapsto x \cdot |\emptyset\rangle.$$

It follows from Proposition 5.3(2) that  $\mathcal{I} = \text{Ker } \phi$  is a left ideal of  $\mathbf{U}_v^-(\mathfrak{sl}_\infty)$  and that  $\phi$  induces the desired isomorphism. □

Finally, for  $i \in \mathbb{Z}$  and  $\lambda \in \Pi$ , put

$$n_i^-(\lambda) = \sum_{j < i, j \in \bar{i}} n_j(\lambda), \quad n_i^+(\lambda) = \sum_{j > i, j \in \bar{i}} n_j(\lambda), \quad \text{and} \quad n_{\bar{i}}(\lambda) = \sum_{j \in \bar{i}} n_j(\lambda).$$

By [17, 36], there is a  $\mathbf{U}_v(\widehat{\mathfrak{sl}}_n)$ -module structure on  $\bigwedge^\infty$  defined by

(5.4.1)

$$K_{\bar{i}} \cdot |\lambda\rangle = v^{n_{\bar{i}}(\lambda)} |\lambda\rangle, \quad E_{\bar{i}} \cdot |\lambda\rangle = \sum_{j \in \bar{i}} v^{n_j^-(\lambda)} E_j \cdot |\lambda\rangle, \quad F_{\bar{i}} \cdot |\lambda\rangle = \sum_{j \in \bar{i}} v^{-n_j^+(\lambda)} F_j \cdot |\lambda\rangle,$$

where  $\bar{i} \in I_n = \mathbb{Z}/n\mathbb{Z}$ .

## 6. THE $q$ -DEFORMED FOCK SPACE II: $\mathcal{D}(n)$ -MODULE

In this section we first recall the left  $\mathcal{D}(n)^{\leq 0}$ -module structure on the Fock space  $\bigwedge^\infty$  defined by Varagnolo and Vasserot in [47] and then extend their construction to obtain a  $\mathcal{D}(n)$ -module structure on  $\bigwedge^\infty$ .

For each  $x = \sum_{\mathfrak{m}} x_{\mathfrak{m}} u_{\mathfrak{m}} \in \mathcal{H}(\Delta)$  with  $\Delta = \Delta_n$  or  $\Delta_\infty$ , we write

$$x^\pm = \sum_{\mathfrak{m}} x_{\mathfrak{m}} u_{\mathfrak{m}}^\pm \in \mathcal{D}(\Delta)^\pm.$$

Then for each  $\mathbf{d} \in \mathbb{N}I_\infty$ , the map  $\gamma_{\mathbf{d}} : \mathcal{H}(\Delta_n)_{\bar{\mathbf{d}}} \rightarrow \mathcal{H}(\Delta_\infty)_{\mathbf{d}}$  defined in Section 3 induces  $\mathbb{Q}(v)$ -linear maps

$$\gamma_{\mathbf{d}}^\pm : \mathcal{D}(n)_{\bar{\mathbf{d}}}^\pm \longrightarrow \mathcal{D}(\infty)_{\mathbf{d}}^\pm$$

such that  $\gamma_{\mathbf{d}}^\pm(x^\pm) = (\gamma_{\mathbf{d}}(x))^\pm$  for each  $x \in \mathcal{H}(\Delta_\infty)$ .

Following [47, 6.2], for each  $\bar{i} \in I_n = \mathbb{Z}/n\mathbb{Z}$ ,  $\lambda \in \mathfrak{M}_n$  and  $x \in \mathcal{D}(n)_\alpha^-$ , define

$$(6.0.2) \quad K_{\bar{i}} \cdot |\lambda\rangle = v^{n_{\bar{i}}(\lambda)} |\lambda\rangle \quad \text{and} \quad x \cdot |\lambda\rangle = \sum_{\mathbf{d}} (\gamma_{\mathbf{d}}^-(x) K_{-\mathbf{d}'}) \cdot |\lambda\rangle,$$

where the sum is taken over all  $\mathbf{d} \in \mathbb{N}I_\infty$  such that  $\bar{\mathbf{d}} = \alpha$  and  $\mathbf{d}' = \sum_{i>j, \bar{i}=\bar{j}} d_j \varepsilon_i$ . By [47, Cor. 6.2], this defines a left  $\mathcal{D}(n)^{\leq 0}$ -module structure on  $\bigwedge^\infty$  which extends the Hayashi action of  $\mathbf{U}_v^{\leq 0}(\widehat{\mathfrak{sl}}_n)$  on  $\bigwedge^\infty$  defined in (5.4.1).

Dually, for each  $\lambda \in \Pi$  and  $x \in \mathcal{D}(n)_\alpha^+$ , define

$$(6.0.3) \quad x \cdot |\lambda\rangle = \sum_{\mathbf{d}} (\gamma_{\mathbf{d}}^+(x) K_{\mathbf{d}''}) \cdot |\lambda\rangle,$$

where the sum is taken over all  $\mathbf{d} \in \mathbb{N}I_\infty$  such that  $\bar{\mathbf{d}} = \alpha$  and  $\mathbf{d}'' = \sum_{i<j, \bar{i}=\bar{j}} d_j \varepsilon_i$ .

**Proposition 6.1.** *The formula (6.0.3) defines a left  $\mathcal{D}(n)^{\geq 0}$ -module structure on  $\bigwedge^\infty$  which extends the Hayashi action of  $\mathbf{U}_v^{\geq 0}(\widehat{\mathfrak{sl}}_n)$  on  $\bigwedge^\infty$ .*

*Proof.* Let  $x \in \mathcal{D}(n)_\alpha^+$  and  $y \in \mathcal{D}(n)_\beta^+$ , where  $\alpha, \beta \in \mathbb{N}I_n$ . By the definition, we have, on the one hand, that

$$(xy) \cdot |\lambda\rangle = \sum_{\mathbf{d}} (\gamma_{\mathbf{d}}^+(xy) K_{\mathbf{d}''}) \cdot |\lambda\rangle$$

and, on the other hand, that

$$x \cdot (y \cdot |\lambda\rangle) = \sum_{\mathbf{a}, \mathbf{b}} (\gamma_{\mathbf{a}}^+(x) K_{\mathbf{a}''} \gamma_{\mathbf{b}}^+(y) K_{\mathbf{b}''}) \cdot |\lambda\rangle,$$

where the sum is taken over all  $\mathbf{a}, \mathbf{b} \in \mathbb{N}I_\infty$  such that  $\bar{\mathbf{a}} = \alpha$  and  $\bar{\mathbf{b}} = \beta$ .

Since  $K_{\mathbf{a}''} \gamma_{\mathbf{b}}^+(y) = v^{(\mathbf{a}'', \mathbf{b})} \gamma_{\mathbf{b}}^+(y) K_{\mathbf{a}''}$ , we obtain that

$$x \cdot (y \cdot |\lambda\rangle) = \sum_{\mathbf{d}} \sum_{\mathbf{a}+\mathbf{b}=\mathbf{d}} v^{(\mathbf{a}'', \mathbf{b})} (\gamma_{\mathbf{a}}^+(x) \gamma_{\mathbf{b}}^+(y) K_{\mathbf{d}''}) \cdot |\lambda\rangle.$$

By the definition,

$$(\mathbf{a}'', \mathbf{b}) = \left( \sum_{i < j, \bar{i} = \bar{j}} a_j \varepsilon_i, \sum_i b_i \varepsilon_i \right) = \sum_{i < j, \bar{i} = \bar{j}} b_i (2a_j - a_{j-1} - a_{j+1}) = \kappa(\mathbf{a}, \mathbf{b}).$$

Applying Lemma 3.3(2) gives that

$$(xy) \cdot |\lambda\rangle = x \cdot (y \cdot |\lambda\rangle).$$

Hence,  $\bigwedge^\infty$  becomes a left  $\mathcal{D}(n)^{\geq 0}$ -module.

For each  $\bar{i} \in I_n = \mathbb{Z}/n\mathbb{Z}$  and  $\lambda \in \Pi$ , we have

$$u_{\bar{i}}^+ \cdot |\lambda\rangle = \sum_{j \in \bar{i}} (u_j^+ K_{-\varepsilon_j''}) \cdot |\lambda\rangle.$$

Since  $\varepsilon_j'' = \sum_{l < j, \bar{l} = \bar{j}} \varepsilon_l$  for each  $j \in \bar{i}$ , it follows that

$$K_{\varepsilon_j''} \cdot |\lambda\rangle = \prod_{l < j, \bar{l} = \bar{j}} K_{\varepsilon_l} \cdot |\lambda\rangle = v^{\sum_{l < j, \bar{l} = \bar{j}} n_l(\lambda)} |\lambda\rangle = v^{n_{\bar{j}}^-(\lambda)} |\lambda\rangle.$$

This implies that

$$u_{\bar{i}}^+ \cdot |\lambda\rangle = \sum_{j \in \bar{i}} v^{n_{\bar{j}}^-(\lambda)} u_j^+ \cdot |\lambda\rangle,$$

which coincides with the formula for  $E_{\bar{i}} \cdot |\lambda\rangle$  in (5.4.1), as required.  $\square$

**Remark 6.2.** The proof of Proposition 6.1 is analogous to that of [47, Cor. 6.2]. However, it seems to us that the  $\mathcal{D}(n)^+$ -module structure on  $\bigwedge^\infty$  can not be directly obtained from its  $\mathcal{D}(n)^-$ -module structure via certain duality between  $\mathcal{D}(n)^-$  and  $\mathcal{D}(n)^+$ .

The main purpose of this section is to prove that formulas (6.0.2) and (6.0.3) indeed define a  $\mathcal{D}(n)$ -module structure on  $\bigwedge^\infty$ . The strategy is to pass to the semi-infinite  $v$ -wedge spaces studied in [44, 27].

Let  $\Omega$  denote the  $\mathbb{Q}(v)$ -vector space with basis  $\{\omega_i \mid i \in \mathbb{Z}\}$ . By [6, Prop. 3.5],  $\Omega$  admits a  $\mathcal{D}(n)$ -module structure defined by

$$(6.2.1) \quad \begin{aligned} u_i^+ \cdot \omega_s &= \delta_{i+1, \bar{s}} \omega_{s-1}, & u_i^- \cdot \omega_s &= \delta_{i, \bar{s}} \omega_{s+1} \\ K_i^{\pm 1} \cdot \omega_s &= v^{\pm \delta_{i, \bar{s}} \mp \delta_{i+1, \bar{s}}} \omega_s, & \mathbf{z}_m^\pm \cdot \omega_s &= \omega_{s \mp mn} \end{aligned}$$

for all  $i \in I_n$  and  $s, m \in \mathbb{Z}$  with  $m \geq 1$ . In particular,  $K_\delta^{\pm 1} \cdot \omega_s = \omega_s$  for each  $s \in \mathbb{Z}$ . This is an extension of the  $\mathbf{U}'_v(\widehat{\mathfrak{sl}}_n)$ -action on  $\Omega$  defined in [27, 1.1] as well as an extension of the  $\mathcal{D}(n)^{\leq 0}$ -action on  $\Omega$  defined in [47, 8.1]; see [6, 3.5].

For a fixed positive integer  $r$ , consider the  $r$ -fold tensor product  $\Omega^{\otimes r}$  which has a basis

$$\{\omega_{\mathbf{i}} = \omega_{i_1} \otimes \cdots \otimes \omega_{i_r} \mid \mathbf{i} = (i_1, \dots, i_r) \in \mathbb{Z}^r\}.$$

The Hopf algebra structure of  $\mathcal{D}(n)$  induces a  $\mathcal{D}(n)$ -module structure on the  $r$ -fold tensor product  $\Omega^{\otimes r}$ . By (4.1.1), we have for each  $t \geq 1$ ,

$$(6.2.2) \quad \begin{aligned} \Delta^{(r-1)}(\mathbf{z}_t^+) &= \sum_{s=0}^{r-1} \underbrace{1 \otimes \cdots \otimes 1}_s \otimes \mathbf{z}_t^+ \otimes \underbrace{K_{t\delta} \otimes \cdots \otimes K_{t\delta}}_{r-s-1} \quad \text{and} \\ \Delta^{(r-1)}(\mathbf{z}_t^-) &= \sum_{s=0}^{r-1} \underbrace{K_{-t\delta} \otimes \cdots \otimes K_{-t\delta}}_s \otimes \mathbf{z}_t^- \otimes \underbrace{1 \otimes \cdots \otimes 1}_{r-s-1}. \end{aligned}$$

This implies particularly that for each  $t \geq 1$  and  $\omega_{\mathbf{i}} = \omega_{i_1} \otimes \cdots \otimes \omega_{i_r} \in \Omega^{\otimes r}$ ,

$$(6.2.3) \quad \mathbf{z}_t^\pm \cdot \omega_{\mathbf{i}} = \sum_{s=1}^r \omega_{i_1} \otimes \cdots \otimes \omega_{i_{s-1}} \otimes \omega_{i_s \mp tn} \otimes \omega_{i_{s+1}} \otimes \cdots \otimes \omega_{i_r}.$$

By (4.0.3) and (4.0.5), for each  $\alpha \in \mathbb{N}I_n$ , we have

$$(6.2.4) \quad \begin{aligned} \Delta^{(r-1)}(\tilde{u}_\alpha^+) &= \sum_{\alpha=\alpha^{(1)}+\cdots+\alpha^{(r)}} v^{\sum_{s>t} \langle \alpha^{(s)}, \alpha^{(t)} \rangle} \times \\ &\quad \tilde{u}_{\alpha^{(1)}}^+ \otimes \tilde{u}_{\alpha^{(2)}}^+ K_{\alpha^{(1)}} \otimes \cdots \otimes \tilde{u}_{\alpha^{(r)}}^+ K_{(\alpha^{(1)}+\alpha^{(2)}+\cdots+\alpha^{(r-1)})}, \\ \Delta^{(r-1)}(\tilde{u}_\alpha^-) &= \sum_{\alpha=\alpha^{(1)}+\cdots+\alpha^{(r)}} v^{\sum_{s>t} \langle \alpha^{(s)}, \alpha^{(t)} \rangle} \times \\ &\quad \tilde{u}_{\alpha^{(1)}}^- K_{-(\alpha^{(2)}+\cdots+\alpha^{(r)})} \otimes \cdots \otimes u_{\alpha^{(r-1)}}^- K_{-\alpha^{(r)}} \otimes \tilde{u}_{\alpha^{(r)}}^-. \end{aligned}$$

This gives the the following lemma; see [47, Lem. 8.3] and [6, Cor. 3.5.8].

**Lemma 6.3.** *Let  $\alpha \in \mathbb{N}I_n$  and  $\mathbf{i} = (i_1, \dots, i_r) \in \mathbb{Z}^r$ . Then*

$$(6.3.1) \quad \tilde{u}_\alpha^+ \cdot \omega_{\mathbf{i}} = \sum_{\mathbf{n}} v^{c^+(\mathbf{i}, \mathbf{i}-\mathbf{n})} \omega_{\mathbf{i}-\mathbf{n}},$$

where the sum is taken over the sequences  $\mathbf{n} = (n_1, \dots, n_r) \in \{0, 1\}^r$  satisfying  $\alpha = \sum_{s=1}^r n_s \varepsilon_{i_s-1}$  and

$$(6.3.2) \quad \begin{aligned} c^+(\mathbf{i}, \mathbf{i}-\mathbf{n}) &= \sum_{1 \leq s < t \leq r} n_s (n_t - 1) \langle \varepsilon_{i_t}, \varepsilon_{i_s} \rangle; \\ \tilde{u}_\alpha^- \cdot \omega_{\mathbf{i}} &= \sum_{\mathbf{n}} v^{c^-(\mathbf{i}, \mathbf{i}+\mathbf{n})} \omega_{\mathbf{i}+\mathbf{n}}, \end{aligned}$$

where the sum is taken over the sequences  $\mathbf{n} = (n_1, \dots, n_r) \in \{0, 1\}^r$  satisfying  $\alpha = \sum_{s=1}^r n_s \varepsilon_{i_s}$  and

$$c^-(\mathbf{i}, \mathbf{i}+\mathbf{n}) = \sum_{1 \leq s < t \leq r} n_t (n_s - 1) \langle \varepsilon_{i_t}, \varepsilon_{i_s} \rangle.$$

On the other hand, let  $\widehat{\mathbf{H}}(r)$  be the Hecke algebra of affine symmetric group of type  $A$  which is by definition a  $\mathbb{Q}(v)$ -algebra with generators  $T_i$  and  $X_j^{\pm 1}$  for  $i = 1, \dots, r-1$ ,  $j = 1, \dots, r$  and relations:

$$\begin{aligned} (T_i + 1)(T_i - v^2) &= 0, \\ T_i T_{i+1} T_i &= T_{i+1} T_i T_{i+1}, \quad T_i T_j = T_j T_i \quad (|i-j| > 1), \\ X_i X_i^{-1} &= 1 = X_i^{-1} X_i, \quad X_i X_j = X_j X_i, \\ T_i X_i T_i &= v^2 X_{i+1}, \quad X_j T_i = T_i X_j \quad (j \neq i, i+1). \end{aligned}$$

This is the so-called *Bernstein presentation* of  $\widehat{\mathbf{H}}(r)$ .

By [47, Sect. 8.2], there is a right  $\widehat{\mathbf{H}}(r)$ -module structure on  $\Omega^{\otimes r}$  defined by

$$(6.3.3) \quad \begin{aligned} \omega_{\mathbf{i}} \cdot X_t &= \omega_{i_1} \cdots \omega_{i_{t-1}} \omega_{i_t-n} \omega_{i_{t+1}} \cdots \omega_{i_r}, \\ \omega_{\mathbf{i}} \cdot T_k &= \begin{cases} v^2 \omega_{\mathbf{i}}, & \text{if } i_k = i_{k+1}; \\ v \omega_{\mathbf{i}_{s_k}}, & \text{if } -n < i_k < i_{k+1} \leq 0; \\ v \omega_{\mathbf{i}_{s_k}} + (v^2 - 1) \omega_{\mathbf{i}}, & \text{if } -n < i_{k+1} < i_k \leq 0, \end{cases} \end{aligned}$$

where  $\mathbf{i} = (i_1, \dots, i_r) \in \mathbb{Z}^r$ ,  $\omega_{\mathbf{i}} = \omega_{i_1} \otimes \cdots \otimes \omega_{i_r}$  and

$$\omega_{\mathbf{i}_{s_k}} = \omega_{i_1} \otimes \cdots \otimes \omega_{i_{k+1}} \otimes \omega_{i_k} \otimes \cdots \otimes \omega_{i_r}.$$

Following [6, Prop. 3.5.5], the tensor space  $\Omega^{\otimes r}$  is indeed a  $\mathcal{D}(n)$ - $\widehat{\mathbf{H}}(r)$ -bimodule. Set

$$\Xi^r = \sum_{i=1}^{r-1} \text{Im}(1 + T_i) \subseteq \Omega^{\otimes r},$$

which is clearly a  $\mathcal{D}(n)$ -submodule of  $\Omega^{\otimes r}$ . Thus, the quotient space  $\Omega^{\otimes r}/\Xi^r$  becomes a  $\mathcal{D}(n)$ -module. For each  $\mathbf{i} = (i_1, \dots, i_r) \in \mathbb{Z}^r$ , write

$$\wedge \omega_{\mathbf{i}} = \omega_{i_1} \wedge \dots \wedge \omega_{i_r} = \omega_{\mathbf{i}} + \Xi^r \in \Omega^{\otimes r}/\Xi^r.$$

By [27, Prop. 1.3], the set

$$\{\wedge \omega_{\mathbf{i}} \mid i_1 > \dots > i_r\}$$

forms a basis of  $\Omega^{\otimes r}/\Xi^r$ .

For each  $m \in \mathbb{Z}$ , let  $\mathcal{B}_m$  denote the set of sequences  $\mathbf{i} = (i_1, i_2, \dots) \in \mathbb{Z}^{\infty}$  satisfying that  $i_s = m - s + 1$  for  $s \gg 0$ , and set  $\mathcal{B}_{\infty} = \cup_{m \in \mathbb{Z}} \mathcal{B}_m$ . As in [47, Sect. 10.1], let  $\Omega^{\infty}$  denote the space spanned by semi-infinite monomials

$$\omega_{\mathbf{i}} = \omega_{i_1} \otimes \omega_{i_2} \otimes \dots, \quad \text{where } \mathbf{i} = (i_1, i_2, \dots) \in \mathcal{B}_{\infty}.$$

Then the affine Hecke algebra  $\widehat{\mathbf{H}}(\infty)$  acts on  $\Omega^{\infty}$  via the formulas in (6.3.3). Set

$$\Xi^{\infty} = \sum_{i=1}^{\infty} \text{Im}(1 + T_i) \subseteq \Omega^{\infty}.$$

For each  $\mathbf{i} = (i_1, i_2, \dots) \in \mathcal{B}_{\infty}$  as above, write

$$\wedge \omega_{\mathbf{i}} = \omega_{i_1} \wedge \omega_{i_2} \wedge \dots = \omega_{\mathbf{i}} + \Xi^{\infty} \in \Omega^{\infty}/\Xi^{\infty}.$$

For each  $m \in \mathbb{Z}$ , let  $\mathcal{F}_{(m)}$  be the subspace of  $\Omega^{\infty}/\Xi^{\infty}$  spanned by  $\wedge \omega_{\mathbf{i}}$  with  $\mathbf{i} \in \mathcal{B}_m$ . Then

$$\Omega^{\infty}/\Xi^{\infty} = \bigoplus_{m \in \mathbb{Z}} \mathcal{F}_{(m)}.$$

By [27, 1.4], the  $\mathbf{U}'_v(\widehat{\mathfrak{sl}}_n)$ -module structure on  $\Omega^{\otimes r}/\Xi^r$  induces a  $\mathbf{U}'_v(\widehat{\mathfrak{sl}}_n)$ -module structure on  $\mathcal{F}_{(m)}$  for each  $m \in \mathbb{Z}$  and, hence, a  $\mathbf{U}'_v(\widehat{\mathfrak{sl}}_n)$ -module structure on  $\Omega^{\infty}/\Xi^{\infty}$  as well. Moreover, by [27, Prop. 1.4], the injective map

$$\kappa : \wedge^{\infty} \longrightarrow \Omega^{\infty}/\Xi^{\infty}, \quad |\lambda\rangle \longmapsto \wedge \omega_{\mathbf{i}_{\lambda}}$$

is a homomorphism of  $\mathbf{U}'_v(\widehat{\mathfrak{sl}}_n)$ -modules which induces an isomorphism  $\wedge^{\infty} \cong \mathcal{F}_{(0)}$ , where  $\mathbf{i}_{\lambda} = (i_1, i_2, \dots)$  with  $i_s = \lambda_s + 1 - s$ ,  $\forall s \geq 1$ .

As in [27, (49)], for each  $m \in \mathbb{Z}$ , write

$$|m\rangle = \omega_m \wedge \omega_{m-1} \wedge \omega_{m-2} \wedge \dots.$$

Clearly, for each  $\mathbf{i} = (i_1, i_2, \dots) \in \mathcal{B}_m$ , there exists a sufficiently large  $N$  such that

$$\wedge \omega_{\mathbf{i}} = (\omega_{i_1} \wedge \dots \wedge \omega_{i_N}) \wedge |m - N\rangle.$$

By [27, Lem. 2.2] and (6.2.4), for given  $\alpha \in \mathbb{N}I$  and  $\mathbf{i} \in \mathcal{B}_m$ , there is  $t \gg 0$  such that

$$u_{\alpha}^{-} \cdot (\wedge \omega_{\mathbf{i}}) = (u_{\alpha}^{-} \cdot (\omega_{i_1} \wedge \dots \wedge \omega_{i_t})) \wedge |m - t\rangle.$$

Hence, the  $\mathcal{D}(n)^{\leq 0}$ -module structure on  $\Omega^{\otimes r}/\Xi^r$  induces a  $\mathcal{D}(n)^{\leq 0}$ -module structure on  $\Omega^{\infty}/\Xi^{\infty}$ ; see [47, Sect. 10.1]. Moreover, by [47, Lem. 10.1], the map  $\kappa : \wedge^{\infty} \rightarrow \Omega^{\infty}/\Xi^{\infty}$  is a  $\mathcal{D}(n)^{\leq 0}$ -module homomorphism.

Dually, for each given  $\mathbf{i} \in \mathcal{B}_m$ , there is  $t \gg 0$  such that

$$u_{\alpha}^{+} \cdot (\wedge \omega_{\mathbf{i}}) = (u_{\alpha}^{+} \cdot (\omega_{i_1} \wedge \dots \wedge \omega_{i_t})) \wedge (K_{\alpha} \cdot |m - t\rangle).$$

Thus,  $\Omega^\infty/\Xi^\infty$  becomes a left  $\mathcal{D}(n)^{\geq 0}$ -module, too. We have the following result whose proof is similar to that of [47, Lem. 10.1].

**Proposition 6.4.** *The map  $\kappa$  is a  $\mathcal{D}(n)^{\geq 0}$ -module homomorphism.*

*Proof.* We need to show that for each  $\lambda \in \Pi$  and  $\alpha \in \mathbb{N}I_n$ ,

$$\kappa(\tilde{u}_\alpha^+ \cdot |\lambda\rangle) = \tilde{u}_\alpha^+(\kappa(|\lambda\rangle)).$$

For simplicity, write  $\mathbf{i} := \mathbf{i}_\lambda = (i_1, i_2, \dots)$ . By (6.0.3),

$$\tilde{u}_\alpha^+ \cdot |\lambda\rangle = \sum_{\mathbf{d}} (\gamma_{\mathbf{d}}^+(\tilde{u}_\alpha^+) K_{\mathbf{d}''}) \cdot |\lambda\rangle = \sum_{\mathbf{d}} v^{-h(\mathbf{d})} (\tilde{u}_{\mathbf{d}}^+ K_{\mathbf{d}''}) \cdot |\lambda\rangle,$$

where the sum is taken over all  $\mathbf{d} \in \mathbb{N}I_\infty$  such that  $\bar{\mathbf{d}} = \alpha$  and  $h(\mathbf{d}) = \sum_{i < j, \bar{i} = \bar{j}} d_i(d_{j+1} - d_j)$ .

For each fixed  $\mathbf{d} = (d_i) \in \mathbb{N}I_\infty$  with  $\bar{\mathbf{d}} = \alpha$ , we have

$$\tilde{u}_{\mathbf{d}}^+ = \cdots \tilde{u}_{d_1 \varepsilon_1}^+ \tilde{u}_{d_0 \varepsilon_0}^+ \tilde{u}_{d_{-1} \varepsilon_{-1}}^+ \cdots = \prod_{i \in \mathbb{Z}} \tilde{u}_{d_i \varepsilon_i}^+.$$

By the definition,  $\tilde{u}_{\mathbf{d}}^+ \cdot |\lambda\rangle \neq 0$  implies that

$$\mathbf{d} = \sum_{s \geq 1} n_s \varepsilon_{i_s - 1},$$

where  $n_s \in \{0, 1\}$  for all  $s \geq 1$ . Moreover, if this is the case, then

$$\tilde{u}_{\mathbf{d}}^+ \cdot |\lambda\rangle = |\mu_{\mathbf{n}}\rangle,$$

where  $\mathbf{n} = (n_1, n_2, \dots)$  and  $\mu_{\mathbf{n}} = \mu \in \Pi$  is determined by  $\mathbf{i}_\mu = \mathbf{i} - \mathbf{n}$ . Therefore, for  $\mathbf{d} \in \mathbb{N}I_\infty$  with  $\mathbf{d} = \sum_{s \geq 1} n_s \varepsilon_{i_s - 1}$ ,

$$K_{\mathbf{d}''} = \prod_{\substack{\bar{i}_s = \bar{i}_t, \\ i_s > i_t}} K_{i_t - 1}^{n_s} \quad \text{and} \quad h(\mathbf{d}) = \sum_{i_s > i_t} -n_s n_t (\delta_{\bar{i}_s, \bar{i}_t} - \delta_{\bar{i}_s, \bar{i}_t + 1}) = - \sum_{i_s > i_t} n_s n_t \langle \varepsilon_{\bar{i}_t}, \varepsilon_{\bar{i}_s} \rangle.$$

A calculation together with (6.3.1) implies that

$$\kappa(\tilde{u}_\alpha^+ \cdot |\lambda\rangle) = \tilde{u}_\alpha^+(\wedge \omega_{\mathbf{i}}) = \tilde{u}_\alpha^+(\kappa(|\lambda\rangle)).$$

□

As a consequence of the results above, to prove that the formulas (6.0.2) and (6.0.3) define a  $\mathcal{D}(n)$ -module structure on  $\bigwedge^\infty$ , it suffices to show that the  $\mathcal{D}(n)^{\leq 0}$ -module and  $\mathcal{D}(n)^{\geq 0}$ -module structures on  $\Omega^\infty/\Xi^\infty$  define a  $\mathcal{D}(n)$ -module structure. In other words, we need to show that the actions of  $K_i^{\pm 1}, u_i^+, u_i^-$  ( $i \in I_n$ ) and  $\mathbf{z}_s^+, \mathbf{z}_s^-$  ( $s \geq 1$ ) on  $\Omega^\infty/\Xi^\infty$  satisfy the relations (DH1)–(DH5) in Section 4.

Since, as discussed above,  $\Omega^\infty/\Xi^\infty$  is a  $\mathbf{U}'_v(\widehat{\mathfrak{sl}}_n)$ -module, all the relations in (DH1)–(DH5) in which the  $\mathbf{z}_s^\pm$  are not involved are satisfied. In the following we are going to check the relations

$$[\mathbf{z}_t^+, \mathbf{z}_s^-] = \delta_{t,s} \frac{t(v^{2tn} - 1)}{(v^t - v^{-t})^2} (K_{t\delta} - K_{-t\delta}), \quad \forall s, t \geq 1.$$

By [27, §2], for each  $t \geq 1$ , there are Heisenberg operators

$$B_t^\pm : \Omega^\infty/\Xi^\infty \rightarrow \Omega^\infty/\Xi^\infty, \quad \wedge \omega_{\mathbf{i}} \mapsto \sum_{s=1}^{\infty} \wedge \omega_{\mathbf{i} \mp t n \mathbf{e}_s},$$

where  $\mathbf{i} \in \mathcal{B}_\infty$  and  $\mathbf{e}_s = (\delta_{i,s})_{i \geq 1} \in \mathbb{Z}^\infty$ . Note that for each  $\mathbf{i} \in \mathcal{B}_\infty$ ,  $\wedge \omega_{\mathbf{i} \mp t n \mathbf{e}_s} = 0$  for  $s \gg 0$ .

**Proposition 6.5.** *For each  $t \geq 1$  and  $\mathbf{i} \in \mathcal{B}_\infty$ ,*

$$B_t^+(\wedge \omega_{\mathbf{i}}) = v^t \mathbf{z}_t^+ \cdot (\wedge \omega_{\mathbf{i}}) \quad \text{and} \quad B_t^-(\wedge \omega_{\mathbf{i}}) = \mathbf{z}_t^- \cdot (\wedge \omega_{\mathbf{i}}).$$

*Proof.* For each  $m \in \mathbb{Z}$ , recall the element

$$|m\rangle = \omega_m \wedge \omega_{m-1} \wedge \omega_{m-2} \wedge \cdots \in \Omega^\infty / \Xi^\infty.$$

Then  $\mathbf{z}_t^+ \cdot |m\rangle = 0$  and  $K_\delta \cdot |m\rangle = q|m\rangle$ . Write

$$\wedge \omega_{\mathbf{i}} = \omega_{i_1} \wedge \cdots \wedge \omega_{i_N} \wedge |N - m\rangle.$$

Applying (6.2.2) gives that

$$\begin{aligned} & \mathbf{z}_t^+ \cdot (\wedge \omega_{\mathbf{i}}) \\ &= \sum_{s=0}^N \underbrace{\omega_{i_1} \wedge \cdots \wedge \omega_{i_s}}_s \wedge \mathbf{z}_t^+ \cdot \omega_{i_{s+1}} \wedge \underbrace{K_{t\delta} \cdot \omega_{i_{s+2}} \wedge \cdots \wedge K_{t\delta} \cdot \omega_{i_N}}_{N-s-1} \wedge (K_{t\delta} \cdot |N - m\rangle) \\ &= \sum_{s=0}^N v^t \underbrace{\omega_{i_1} \wedge \cdots \wedge \omega_{i_s}}_s \wedge \omega_{i_{s+1}+tn} \wedge \underbrace{\omega_{i_{s+2}} \wedge \cdots \wedge \omega_{i_N}}_{N-s-1} \wedge |N - m\rangle \\ &= v^t B_t^+(\wedge \omega_{\mathbf{i}}) \quad (\text{since } B_t^+(|N - m\rangle) = 0), \end{aligned}$$

that is,  $B_t^+(\wedge \omega_{\mathbf{i}}) = v^t \mathbf{z}_t^+ \cdot (\wedge \omega_{\mathbf{i}})$ . The second equality can be proved similarly.  $\square$

**Corollary 6.6.** *Let  $t, s \geq 1$ . Then for each  $\mathbf{i} \in \mathcal{B}_\infty$ ,*

$$[\mathbf{z}_t^+, \mathbf{z}_s^-] \cdot (\wedge \omega_{\mathbf{i}}) = \delta_{t,s} \frac{t(v^{2tn} - 1)}{(v^t - v^{-t})^2} (K_{t\delta} - K_{-t\delta}) \cdot (\wedge \omega_{\mathbf{i}}).$$

*Proof.* By [27, Prop. 2.2 & 2.6] (with  $q = v$ ),

$$[B_t^+, B_s^-] = \delta_{t,s} \frac{t(1 - v^{2tn})}{1 - v^{2n}}.$$

This together with Proposition 6.5 implies that for each  $\mathbf{i} \in \mathcal{B}_\infty$ ,

$$[\mathbf{z}_t^+, \mathbf{z}_s^-] \cdot (\wedge \omega_{\mathbf{i}}) = v^t [B_t^+, B_s^-] \delta_{t,s} \cdot (\wedge \omega_{\mathbf{i}}) = \delta_{t,s} \frac{tv^t(1 - v^{2tn})}{1 - v^{2n}} (\wedge \omega_{\mathbf{i}}).$$

On the other hand,

$$\begin{aligned} \delta_{t,s} \frac{t(v^{2tn} - 1)}{(v^t - v^{-t})^2} (K_{t\delta} - K_{-t\delta}) \cdot (\wedge \omega_{\mathbf{i}}) &= \delta_{t,s} \frac{t(v^{2tn} - 1)}{(v^t - v^{-t})^2} (v^t - v^{-t}) (\wedge \omega_{\mathbf{i}}) \\ &= \delta_{t,s} \frac{tv^t(1 - v^{2tn})}{1 - v^{2n}} (\wedge \omega_{\mathbf{i}}). \end{aligned}$$

This gives the desired equality.  $\square$

By [27, Prop. 2.1] (or direct calculations), the actions of  $\mathbf{z}_t^\pm$  on  $\Omega^\infty / \Xi^\infty$  commutes with that of  $\mathbf{U}'_v(\widehat{\mathfrak{sl}}_n)$ . In conclusion, the actions of  $K_i^{\pm 1}, u_i^+, u_i^-$  ( $i \in I_n$ ) and  $\mathbf{z}_s^+, \mathbf{z}_s^-$  ( $s \geq 1$ ) on  $\Omega^\infty / \Xi^\infty$  satisfy the relations (DH1)–(DH5). Therefore, the formulas (6.0.2) and (6.0.3) define a  $\mathcal{D}(n)$ -module structure on  $\wedge^\infty$ .

## 7. AN ISOMORPHISM FROM $L(\Lambda_0)$ TO $\wedge^\infty$

In this section we show that the Fock space  $\wedge^\infty$  as a  $\mathcal{D}(n)$ -module is isomorphic to the basic representation  $L(\Lambda_0)$  defined in Section 4. As an application, the decomposition of  $L(\Lambda_0)$  in Corollary 4.5 induces the Kashiwara–Miwa–Stern decomposition of  $\wedge^\infty$  in [27].

**Proposition 7.1.** *For each  $\mathfrak{m} \in \mathfrak{M}_n$ ,  $\tilde{u}_{\mathfrak{m}}^- \cdot |\emptyset\rangle$  is a  $\mathcal{Z}$ -linear combination of those  $|\mu\rangle$  satisfying  $\mathfrak{m}_\mu \leq_{\text{deg}} \mathfrak{m}$ .*



*Proof.* By (6.0.2),

$$\tilde{u}_{\mathbf{m}}^- \cdot |\emptyset\rangle = \sum_{\mathbf{d}} (\gamma_{\mathbf{d}}^-(\tilde{u}_{\mathbf{m}}^-) K_{-\mathbf{d}'}) \cdot |\emptyset\rangle, \quad \text{where } \mathbf{d}' = \sum_i \left( \sum_{j < i, \bar{j} = \bar{i}} d_j \right) \varepsilon_i.$$

Since  $K_i \cdot |\emptyset\rangle = v^{\delta_{i,0}} |\emptyset\rangle$  for  $i \in \mathbb{Z}$ , it follows that  $K_{-\mathbf{d}'} \cdot |\emptyset\rangle = v^{-\sum_{j < 0, \bar{j} = \bar{0}} d_j} |\emptyset\rangle$ . By Proposition 3.4,

$$\gamma_{\mathbf{d}}^-(\tilde{u}_{\mathbf{m}}^-) \in \sum_{\mathfrak{z}} \mathcal{Z} \tilde{u}_{\mathfrak{z}}^-,$$

where the sum is taken over  $\mathfrak{z} \in \mathfrak{M}_{\infty}$  with  $\mathcal{F}(\mathfrak{z}) \leq_{\text{deg}}^{\infty} \mathbf{m}$ . Further, by Proposition 5.3(1),

$$\tilde{u}_{\mathfrak{z}}^- \cdot |\emptyset\rangle \in \mathcal{Z} |\mu\rangle$$

for some  $\mu \in \Pi$  with  $\mathbf{m}_{\mu}^{\infty} \leq_{\text{deg}}^{\infty} \mathfrak{z}$ . This implies that

$$\mathbf{m}_{\mu} = \mathcal{F}(\mathbf{m}_{\mu}^{\infty}) \leq_{\text{deg}} \mathcal{F}(\mathfrak{z}) \leq_{\text{deg}} \mathbf{m}.$$

This finishes the proof.  $\square$

For each  $\mathbf{d} = (d_i) \in \mathbb{N}I_{\infty}$ , set

$$\sigma(\mathbf{d}) = - \sum_{i < 0, \bar{i} = \bar{0}} d_i.$$

For  $\lambda \in \Pi$ , we write  $\sigma(\lambda) = \sigma(\mathbf{dim} M(\mathbf{m}_{\lambda}^{\infty}))$ . The following result was proved in [47, 9.2 & 10.1]. We provide here a direct proof for completeness.

**Corollary 7.2.** *For each  $\lambda \in \Pi$ ,*

$$\tilde{u}_{\mathbf{m}_{\lambda}}^- \cdot |\emptyset\rangle \in |\lambda\rangle + \sum_{\mu \triangleleft \lambda} \mathcal{Z} |\mu\rangle.$$

*In particular, the  $\mathcal{D}(n)$ -module  $\Lambda^{\infty}$  is generated by  $|\emptyset\rangle$  and the set*

$$\{b_{\mathbf{m}_{\lambda}}^- \cdot |\emptyset\rangle \mid \lambda \in \Pi\}$$

*is a basis of  $\Lambda^{\infty}$ .*

*Proof.* Applying Corollary 3.5 gives that

$$\begin{aligned} \tilde{u}_{\mathbf{m}_{\lambda}}^- \cdot |\emptyset\rangle &= \sum_{\mathbf{d}} (\gamma_{\mathbf{d}}^-(\tilde{u}_{\mathbf{m}_{\lambda}}^-) K_{-\mathbf{d}'}) \cdot |\emptyset\rangle = \sum_{\mathbf{d}} v^{\sigma(\mathbf{d})} \gamma_{\mathbf{d}}^-(\tilde{u}_{\mathbf{m}_{\lambda}}^-) \cdot |\emptyset\rangle \\ &= \sum_{r \in \mathbb{Z}} v^{\theta(\lambda) + \sigma(\lambda)} \tilde{u}_{\tau^{rm}(\mathbf{m}_{\lambda}^{\infty})}^- \cdot |\emptyset\rangle + \sum_{\mathfrak{z} \in \mathfrak{M}_{\infty}, \mathcal{F}(\mathfrak{z}) <_{\text{deg}} \mathbf{m}_{\lambda}} f_{\lambda, \mathfrak{z}} \tilde{u}_{\mathfrak{z}}^- \cdot |\emptyset\rangle, \end{aligned}$$

where  $f_{\lambda, \mathfrak{z}} \in \mathcal{Z}$ . By Proposition 5.3 and its proof,

$$\tilde{u}_{\mathbf{m}_{\lambda}^{\infty}}^- \cdot |\emptyset\rangle = |\lambda\rangle \quad \text{and} \quad \tilde{u}_{\tau^{rm}(\mathbf{m}_{\lambda}^{\infty})}^- \cdot |\emptyset\rangle = 0 \quad \text{for } r > 0.$$

Furthermore, for each  $r < 0$ ,  $\tilde{u}_{\tau^{rm}(\mathbf{m}_{\lambda}^{\infty})}^- \cdot |\emptyset\rangle \in \mathcal{Z} |\mu\rangle$  such that  $\mathbf{m}_{\mu}^{\infty} \leq_{\text{deg}}^{\infty} \tau^{rm}(\mathbf{m}_{\lambda}^{\infty})$ . Then  $\mathbf{m}_{\mu} = \mathcal{F}(\mathbf{m}_{\mu}^{\infty}) \leq_{\text{deg}} \mathcal{F}(\tau^{rm}(\mathbf{m}_{\lambda}^{\infty})) = \mathbf{m}_{\lambda}$ , which implies that  $\mu \triangleleft \lambda$ . Since  $M(\tau^{rm}(\mathbf{m}_{\lambda}^{\infty}))$  does not have a composition factor isomorphic to  $S_{\lambda_1 - 1}$ ,  $\mu$  does not contain a box with color  $\lambda_1 - 1$ . Thus,  $\mu \neq \lambda$  and  $\mu \triangleleft \lambda$ .

Finally, by Proposition 7.1, for each  $\mathfrak{z} \in \mathfrak{M}_{\infty}$  with  $\mathcal{F}(\mathfrak{z}) <_{\text{deg}} \mathbf{m}_{\lambda}$ ,  $\tilde{u}_{\mathfrak{z}}^- \cdot |\emptyset\rangle$  is a  $\mathcal{Z}$ -linear combination of  $|\mu\rangle$  satisfying  $\mathbf{m}_{\mu} \leq_{\text{deg}} \mathcal{F}(\mathfrak{z})$ . Thus,  $\mathbf{m}_{\mu} \leq_{\text{deg}} \mathcal{F}(\mathfrak{z}) <_{\text{deg}} \mathbf{m}_{\lambda}$ , which by Lemma 2.1 implies that  $\mu \triangleleft \lambda$ . Hence, each  $\tilde{u}_{\mathfrak{z}}^- \cdot |\emptyset\rangle$  is a  $\mathcal{Z}$ -linear combination of  $|\mu\rangle$  with  $\mu \triangleleft \lambda$ . Consequently,

$$\tilde{u}_{\mathbf{m}_{\lambda}}^- \cdot |\emptyset\rangle \in v^{\theta(\lambda) + \sigma(\lambda)} |\lambda\rangle + \sum_{\mu \triangleleft \lambda} \mathcal{Z} |\mu\rangle.$$

Therefore, it remains to show that

$$\theta(\lambda) + \sigma(\lambda) = 0.$$

Write  $\lambda = (\lambda_1, \dots, \lambda_m)$  with  $\lambda_1 \geq \dots \geq \lambda_m \geq 1$  and set  $|\lambda| = \sum_{s=1}^m \lambda_s$ . We proceed induction on  $|\lambda|$  to show that  $\theta(\lambda) + \sigma(\lambda) = 0$ . By the definition,

$$\theta(\lambda) = \sum_{s < t} \kappa(\mathbf{d}_s, \mathbf{d}_t) - \sum_{s=1}^{\ell} h(\mathbf{d}_s),$$

where  $\ell = \lambda_1$  is the Loewy length of  $M = M(\mathfrak{m}_\lambda^\infty)$  and  $S_{\mathbf{d}_s} \cong \text{rad}^{s-1}M/\text{rad}^sM$  for  $1 \leq s \leq \ell$ . Let  $1 \leq t \leq m$  be such that  $\lambda_1 = \dots = \lambda_t > \lambda_{t+1}$  and define

$$\lambda' = (\lambda_1, \dots, \lambda_{t-1}, \lambda_t - 1, \lambda_{t+1}, \lambda_m).$$

Then  $|\lambda'| = |\lambda| - 1$ . By the induction hypothesis, we have  $\theta(\lambda') + \sigma(\lambda') = 0$ .

For each  $1 \leq s \leq \ell$ , let  $\mathbf{d}'_s \in \mathbb{N}I_\infty$  be defined by setting  $S_{\mathbf{d}'_s} \cong \text{rad}^{s-1}M'/\text{rad}^sM'$ , where  $M' = M(\mathfrak{m}_{\lambda'}^\infty)$ . Then

$$\mathbf{d}'_\ell = \mathbf{d}_\ell - \varepsilon_{\ell-t} \text{ and } \mathbf{d}'_s = \mathbf{d}_s \text{ for } 1 \leq s < \ell.$$

This implies that

$$\begin{aligned} \sum_{s=1}^{\ell} h(\mathbf{d}_s) - \sum_{s=1}^{\ell} h(\mathbf{d}'_s) &= h(\mathbf{d}_\ell) - h(\mathbf{d}'_\ell) = -\delta_{\bar{t}, \bar{1}} \text{ and} \\ \sum_{s < t} \kappa(\mathbf{d}_s, \mathbf{d}_t) - \sum_{s < t} \kappa(\mathbf{d}'_s, \mathbf{d}'_t) &= \sum_{1 \leq s < \ell} \kappa(\mathbf{d}_s, \varepsilon_{\ell-t}). \end{aligned}$$

Hence,

$$\theta(\lambda) - \theta(\lambda') = \sum_{1 \leq s < \ell} \kappa(\mathbf{d}_s, \varepsilon_{\ell-t}) + \delta_{\bar{t}, \bar{1}}.$$

On the other hand,  $\sigma(\lambda) = \sigma(\lambda') - 1$  if  $\ell - t < 0$  and  $\bar{\ell} = \bar{t}$ , and  $\sigma(\lambda) = \sigma(\lambda')$  otherwise. A direct calculation shows that if  $\ell - t \geq 0$ , then

$$\sum_{1 \leq s < \ell} \kappa(\mathbf{d}_s, \varepsilon_{\ell-t}) = -\delta_{\bar{t}, \bar{1}},$$

and if  $\ell - t < 0$ , then

$$\sum_{1 \leq s < \ell} \kappa(\mathbf{d}_s, \varepsilon_{\ell-t}) = \begin{cases} \delta_{\bar{\ell}, \bar{t}} - 1, & \text{if } \bar{t} = \bar{1}; \\ \delta_{\bar{\ell}, \bar{t}}, & \text{if } \bar{t} \neq \bar{1}. \end{cases}$$

We conclude that in all cases,

$$\theta(\lambda) + \sigma(\lambda) = \theta(\lambda') + \sigma(\lambda') = 0.$$

□

By the definition, for each  $i \in I_n = \mathbb{Z}/n\mathbb{Z}$ ,

$$K_i|\emptyset\rangle = v^{\delta_{i,0}}|\emptyset\rangle.$$

This together with the corollary above implies that  $\Lambda^\infty$  is a highest weight  $\mathcal{D}(n)$ -module of highest weight  $\Lambda_0$ . Consequently, there is a unique surjective  $\mathcal{D}(n)$ -module homomorphism

$$\varphi : \mathcal{D}(n)^- = M(\Lambda_0) \longrightarrow \Lambda^\infty, \eta_{\Lambda_0} \longmapsto |\emptyset\rangle.$$

**Theorem 7.3.** *The homomorphism  $\varphi$  induces an isomorphism of  $\mathcal{D}(n)$ -modules*

$$\bar{\varphi} : L(\Lambda_0) \longrightarrow \Lambda^\infty.$$

*Proof.* By definition, we have

$$F_i \cdot |\emptyset\rangle = 0 \text{ for } i \in I_n \setminus \{0\} \text{ and } F_0^2 \cdot |\emptyset\rangle = 0.$$

This together with Theorem 4.4 implies that  $\varphi$  induces a surjective homomorphism

$$\bar{\varphi} : L(\Lambda_0) = \mathcal{D}(n)^- / \left( \sum_{i \in I_n} \mathcal{D}(n)^- F_i^{\Lambda_0(h_i)+1} \right) \longrightarrow \Lambda^\infty.$$

Since  $L(\Lambda_0)$  is simple, we conclude that  $\bar{\varphi}$  is an isomorphism.  $\square$

Combining the theorem with Corollary 4.5 gives the decomposition of  $\Lambda^\infty$  obtained by Kashiwara, Miwa and Stern in [27, Prop. 2.3].

**Corollary 7.4.** *As a  $\mathbf{U}'_v(\widehat{\mathfrak{sl}}_n)$ -module,  $\Lambda^\infty$  has a decomposition*

$$\Lambda^\infty|_{\mathbf{U}'_v(\widehat{\mathfrak{sl}}_n)} \cong \bigoplus_{m \geq 0} L_0(\Lambda_0 - m\delta^*)^{\oplus p(m)}.$$

## 8. THE CANONICAL BASIS FOR $\Lambda^\infty$

In this section we show that the canonical basis of  $\Lambda^\infty$  defined in [29] can be constructed by using the monomial basis of the Ringel–Hall algebra of  $\Delta_n$  given in [8]. We also interpret the “ladder method” in [28] in terms of generic extensions defined in Section 2.

Recall that there is a bar-involution  $a \mapsto \iota(a) = \bar{a}$  on  $\mathcal{D}(n)^-$  which takes  $\bar{v} \mapsto v^{-1}$  and fixes all  $\tilde{u}_\alpha^-$  for  $\alpha \in \mathbb{N}I_n$ . Then it induces a semilinear involution on the basic representation  $L(\Lambda_0)$  by setting

$$\overline{a\eta_{\Lambda_0}} = \bar{a}\eta_{\Lambda_0} \text{ for all } a \in \mathcal{D}(n)^-.$$

On the other hand, by [29], there is a semilinear involution  $x \mapsto \bar{x}$  on  $\Lambda^\infty$  which, by [47], satisfies

- (i)  $\overline{|\emptyset\rangle} = |\emptyset\rangle$ ,
- (ii)  $\bar{ax} = \bar{a}\bar{x}$  for all  $a \in \mathcal{D}(n)^-$  and  $x \in \Lambda^\infty$ .

Therefore, the isomorphism  $L(\Lambda_0) \rightarrow \Lambda^\infty$  given in Theorem 7.3 is compatible with the bar-involutions.

It is proved in [29, Th. 3.3] that for each  $\lambda \in \Pi$ ,

$$(8.0.1) \quad \overline{|\lambda\rangle} = |\lambda\rangle + \sum_{\mu \triangleleft \lambda} a_{\mu,\lambda} |\mu\rangle, \text{ where } a_{\mu,\lambda} \in \mathcal{Z}.$$

Then applying the standard linear algebra method to the basis  $\{|\lambda\rangle \mid \lambda \in \Pi\}$  in [31] (or see [11] for more details) gives rise to an “IC basis”  $\{b_\lambda \mid \lambda \in \Pi\}$  which is characterized by

$$\bar{b}_\lambda = b_\lambda \text{ and } b_\lambda \in |\lambda\rangle + \sum_{\mu \triangleleft \lambda} v^{-1} \mathbb{Z}[v^{-1}] |\mu\rangle,$$

The basis  $\{b_\lambda \mid \lambda \in \Pi\}$  is called the *canonical basis* of  $\Lambda^\infty$ . In other words, the basis elements  $b_\lambda$  are uniquely determined by the polynomials  $a_{\mu,\lambda}$ .

**Remark 8.1.** Varagnolo and Vasserot [47] have conjectured that

$$b_{\mathfrak{m}_\lambda}^- \cdot |\emptyset\rangle = b_\lambda \text{ for each } \lambda \in \Pi.$$

This conjecture was proved by Schiffmann [41].

In the following we provide a way to deduce (8.0.1) by using the monomial basis of the Ringel–Hall algebra of  $\Delta_n$  given in [8]. As in [8, Sect. 3], set

$$I^e = I_n \cup \{\text{all sincere vectors in } \mathbb{N}I_n\}$$

and consider the set  $\Sigma$  of all words on the alphabet  $I^e$ . Recall that a vector  $\mathbf{a} = (a_i) \in \mathbb{N}I_n$  is called sincere if  $a_i \neq 0$  for all  $i \in I_n$ . Since  $\mathcal{D}(n)^-$  is isomorphic to the opposite Ringel–Hall algebra of  $\Delta_n$ , we define

$$M *' N = N * M.$$

This gives the map

$$\wp^{\text{op}} : \Sigma \longrightarrow \mathfrak{M}, \quad w = \mathbf{a}_1 \mathbf{a}_2 \cdots \mathbf{a}_t \longmapsto S_{\mathbf{a}_1} *' S_{\mathbf{a}_2} *' \cdots *' S_{\mathbf{a}_t}.$$

By [8, Sect. 9], for each  $\mathfrak{m} \in \mathfrak{M}$ , there is a distinguished word  $w_{\mathfrak{m}} \in (\wp^{\text{op}})^{-1}(\mathfrak{m})$  which defines a monomial  $m^{(w_{\mathfrak{m}})}$  on  $\tilde{u}_{\mathbf{a}}^-$  with  $\mathbf{a} \in \tilde{I}$  such that

$$m^{(w_{\mathfrak{m}})} = \tilde{u}_{\mathfrak{m}}^- + \sum_{\mathfrak{p} <_{\text{deg}} \mathfrak{m}} \theta_{\mathfrak{p}, \mathfrak{m}} \tilde{u}_{\mathfrak{p}}^- \quad \text{for some } \theta_{\mathfrak{p}, \mathfrak{m}} \in \mathcal{Z};$$

see [8, (9.1.1)]. If  $\mathfrak{m} = \mathfrak{m}_{\lambda}$  for some  $\lambda \in \Pi$ , we simply write  $w_{\mathfrak{m}_{\lambda}} = w_{\lambda}$ . Thus,

$$(8.1.1) \quad m^{(w_{\lambda})} = \tilde{u}_{\mathfrak{m}_{\lambda}}^- + \sum_{\mathfrak{p} <_{\text{deg}} \mathfrak{m}_{\lambda}} \theta_{\mathfrak{p}, \mathfrak{m}_{\lambda}} \tilde{u}_{\mathfrak{p}}^-.$$

This together with Proposition 7.1 and Corollary 7.2 implies that

$$(8.1.2) \quad m^{(w_{\lambda})} |\emptyset\rangle = |\lambda\rangle + \sum_{\mu \triangleleft \lambda} \tau_{\mu, \lambda} |\mu\rangle,$$

where  $\tau_{\mu, \lambda} \in \mathcal{Z}$ . Since the monomials  $m^{(w_{\lambda})}$  are bar-invariant, we deduce that for each  $\lambda \in \Pi$ ,

$$|\overline{\lambda}\rangle = |\lambda\rangle + \sum_{\mu \triangleleft \lambda} a'_{\mu, \lambda} |\mu\rangle \quad \text{for some } a'_{\mu, \lambda} \in \mathcal{Z}.$$

Comparing with (8.0.1) gives that

$$a_{\mu, \lambda} = a'_{\mu, \lambda} \quad \text{for all } \mu \triangleleft \lambda.$$

In case  $\lambda$  is  $n$ -regular, then  $\mathfrak{m}_{\lambda}$  is aperiodic and the word  $w_{\lambda}$  can be chosen in  $\Omega$ , the subset of all words on the alphabet  $I_n = \mathbb{Z}/n\mathbb{Z}$ ; see [8, Sect. 4]. In other words,  $m^{(w_{\lambda})}$  is a monomial of the divided powers  $(u_i^-)^{(t)} = F_i^{(t)}$  for  $i \in I_n$  and  $t \geq 1$ . We now interpret the ‘‘ladder method’’ in [28, Sect. 6] in terms of the generic extension map. Let  $\lambda = (\lambda_1, \dots, \lambda_t) \in \Pi$  be  $n$ -regular. Recall the corresponding nilpotent representation

$$M(\mathfrak{m}_{\lambda}) = \bigoplus_{a=1}^t S_{1-a}[\lambda_a],$$

where  $1 - a$  is viewed as an element in  $I_n$ . Take  $1 \leq s \leq t$  with  $\lambda_1 = \cdots = \lambda_s > \lambda_{s+1}$  ( $\lambda_{t+1} = 0$  by convention) and let  $k \geq 0$  be maximal such that

$$\lambda_{s+l(n-1)+1} = \cdots = \lambda_{s+(l+1)(n-1)} \quad \text{and} \quad \lambda_{s+l(n-1)} = \lambda_{s+l(n-1)+1} + 1 \quad \text{for } 0 \leq l \leq k - 1.$$

Let  $i_1 \in I$  be such that  $\text{soc}(S_{1-s}[\lambda_s]) = S_{i_1}$ . Then for each  $a = s + l(n - 1)$  with  $0 \leq l \leq k$ ,

$$\text{soc}(S_{1-a}[\lambda_a]) = S_{i_1}.$$

Define  $\mu = (\mu_1, \dots, \mu_t) \in \Pi$  by setting

$$\mu_a = \begin{cases} \lambda_a - 1, & \text{if } a = s + l(n - 1) \text{ for some } 0 \leq l \leq k; \\ \lambda_a, & \text{otherwise.} \end{cases}$$

It is easy to see from the construction that  $\mu$  is again  $n$ -regular. Moreover, by applying an argument similar to that in the proof of [5, Prop. 3.7],

$$(k + 1)S_{i_1} *' M(\mathfrak{m}_{\mu}) = M(\mathfrak{m}_{\mu}) * (k + 1)S_{i_1} = M(\mathfrak{m}_{\lambda}).$$

Repeating the above process, we finally obtain a sequence  $i_1, \dots, i_d$  in  $I_n$  and positive integers  $k_1 = k + 1, \dots, k_d$  such that

$$(k_1 S_{i_1}) *' \cdots *' (k_d S_{i_d}) = M(\mathbf{m}_\lambda).$$

In other word, the word  $w_\lambda := i_1^{k_1} \cdots i_d^{k_d}$  lies in  $(\wp^{\text{op}})^{-1}(\mathbf{m}_\lambda)$ . It can be also checked that the word  $w_\lambda$  is distinguished. Thus, the corresponding monomial

$$m^{(w_\lambda)} = (u_{i_1}^-)^{(k_1)} \cdots (u_{i_d}^-)^{(k_d)} = F_{i_1}^{(k_1)} \cdots F_{i_d}^{(k_d)}$$

gives rise to the equality (8.1.2) for the element  $m^{(w_\lambda)}|\emptyset\rangle$ . We remark that  $m^{(w_\lambda)}|\emptyset\rangle$  coincides with the element  $A(\lambda)$  constructed in [28, (8)] by using the “ladder method” of James and Kerber [22].

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