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# On equivalent analytic functions

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With 1 figure in the text

1. We denote by  $\mathcal{R}$  the class of functions f(z) that are analytic in a circle  $|z| \leq R$ . Two functions f(z) and g(z) of  $\mathcal{R}$  are called *equivalent* if f(z) is transformed into g(z) by

- (i) multiplication with a constant of modulus 1,
- (ii) a transformation  $z' = z e^{i\alpha}$  (a real),
- (iii) replacing of all coefficients in the power series of f(z) by their conjugate values.

Thus

$$g(z) = e^{i\beta} f(z e^{i\alpha})$$
 or  $g(z) = e^{i\beta} f(\bar{z} e^{i\alpha})$ .

We also call two harmonic functions u(z) and  $u_1(z)$  or two curves c and  $c_1$  equivalent if one is transformed into the other by

- (i) rotating the z-plane an angle  $\alpha$  about z = 0,
- (ii) reflection in a straight line through z = 0.

Thus

$$u_1(z) = u(ze^{i\alpha})$$
 or  $u_1(z) = u(\overline{z}e^{i\alpha}).$ 

We obtain immediately that if f(z) and g(z) of  $\mathcal{R}$  are equivalent, then the harmonic functions  $\log |f|$  and  $\log |g|$  are equivalent. Let f(z) belong to  $\mathcal{R}$ . Given  $r \leq R$ , we put  $z = re^{i\varphi}$  and define  $e_f(r, a)$  as

Let f(z) belong to  $\mathcal{R}$ . Given  $r \leq R$ , we put  $z = r e^{i\varphi}$  and define  $e_l(r, a)$  as the set of  $\varphi$ ,  $0 \leq \varphi \leq 2\pi$ , such that  $|f(re^{i\varphi})| \leq a$  in  $e_l$ . Denoting by  $\Phi_l(r, a)$  the measure of  $e_l$  we will call  $\Phi_l$  the *M*-function of f(z).

According to the definition,  $\Phi_f$  is a non-decreasing function of a. If M(r) and m(r) denote as usual the maximum and minimum of |f(z)| for |z| = r, then  $\Phi_f = 0$  for a < m(r) and  $\Phi_f = 2\pi$  for a > M(r). It is easily seen that if f(z) and g(z) are equivalent, then  $\Phi_f$  and  $\Phi_g$  are identical for all  $r \leq R$ .

In the following we always exclude the case that f(z) is a power of z,  $f(z) = a z^m$ . In this case the obtained results are trivial. Therefore we assume that m(r) < M(r), and that  $\Phi_f(r, a)$  is increasing in the interval  $m(r) \le a \le M(r)$ .

<sup>&</sup>lt;sup>1</sup> There is at most one value of r for which m(r) = M(r). This special value is of no interest here. See BLUMENTHAL (1), VALIRON (2).

The function  $\Psi_f(r, \theta)$  is defined in the interval  $0 \le \theta \le 2\pi$  as the measure of the set  $E(\Phi_f(r, a) \le \theta)$ , a > 0. Then  $\Phi(a)$  and  $\Psi(\theta)$  are inverse functions, and from the definition it follows that

$$m E (\Psi_f(r, \theta) \leq a) = \Phi_f(r, a) = m e_f(r, a).$$

This equality gives the following lemma, which in this case, according to the simple character of the function  $|f(re^{i\varphi})|$ , nearly seems to be trivial.<sup>1</sup>

**Lemma 1.**  $G(\sigma)$  is a function, defined for  $m(r) \leq \sigma \leq M(r)$ . Then we have

$$\int_{0}^{2\pi} G\left[\Psi_{f}(r,\theta)\right] d\theta = \int_{0}^{2\pi} G\left[\left|f(re^{i\varphi})\right|\right] d\varphi$$

whenever one of the integrals exists.

Hence

Cor. If f(z) and g(z) have identical M-functions for |z| = r,  $\Phi_f(r, a) = \Phi_g(r, a)$ , then

$$\int_{0}^{2\pi} G[|f(re^{i\varphi})|] d\varphi = \int_{0}^{2\pi} G[|g(re^{i\varphi})|] d\varphi$$

It is now convenient to study the distribution of values of an analytic function in connexion with the functions  $\Phi$  and  $\Psi$ .

We have the following theorem:

**Theorem 1.** Let f(z) and g(z) be functions of  $\mathcal{R}$  and have identical M-functions in an interval  $0 < r \leq r_1$ . Then the functions are equivalent.

Before we give the proof, we require some preliminary studies and remarks. Put

$$f(z) = k z^{q} \sum_{n=0}^{\infty} A_{n} z^{n}, \qquad A_{0} = 1$$
$$g(z) = k_{1} z^{q_{1}} \sum_{n=0}^{\infty} B_{n} z^{n}. \qquad B_{0} = 1$$

We apply lemma 1 for  $G(\sigma) = \sigma^2$ . Then for all  $r \leq r_1$ ,

$$|k|^2 r^{2q} \sum_{n=0}^{\infty} |A_n|^2 r^{2n} = |k_1|^2 r^{2q_1} \sum_{n=0}^{\infty} |B_n|^2 r^{2n}.$$

Hence

$$|k| = |k_1|, q = q_1, |A_n| = |B_n|, n = 0, 1, 2, \ldots$$

(1)

<sup>&</sup>lt;sup>1</sup> J. v. NEUMANN (3) states a similar lemma for more general real functions.

#### ARKIV FÖR MATEMATIK. Bd 1 nr 10

We denote by  $\mathcal{N}_s$  the class of functions of  $\mathcal{R}$  with power series of the form

$$1+\sum_{n=s}^{\infty}a_nz^n$$

and satisfying the following conditions,

- (i)  $a_s = \frac{1}{s}$
- (ii) the highest common divisor of the indices n for which  $a_n \neq 0$  is 1.

Then f(z) and g(z) can be expressed

$$f(z) = k z^q f_1(c z^m), \quad g(z) = k_1 z^{q_1} g_1(c_1 z^{m_1})$$

where  $f_1(z) \in \mathcal{N}_s$ ,  $g_1(z) \in \mathcal{N}_{s_1}$ . (1) gives immediately

$$s = s_1, |c| = |c_1|, m = m_1.$$

Further it is easily seen that  $f_1(z)$  and  $g_1(z)$  have identical *M*-functions for  $0 < r \leq \varrho, \ \varrho = |c| r_1^m$ . It is therefore sufficient to prove the theorem for functions of the same class  $\mathcal{N}_s$ .

2. Consider the harmonic function

$$u(z) = \log |f(z)|$$

where  $f(z) \in \mathcal{N}_s$ . u(z) is regular in the circle  $|z| \leq R$ , where f(z) is holomorphic, with the exception only of the finite number of zeros of f(z). On the circle |z| = r,  $|f(re^{i\varphi})|$  is a continuous function of  $\varphi$  and attains its extreme values in those points on the circle where  $\frac{\partial u}{\partial \varphi} = 0$ . When r varies, the loci of these points are the level curves  $\frac{\partial u}{\partial \varphi} = 0$ , and they are in the following called extreme value curves (e. c.). These curves and the values of |f| attained on them have been examined by BLUMENTHAL (1), who shows their simple analytic character.

Let us write

$$u + iv = \log f$$

u and v are harmonic functions, regular in the neighbourhood of z = 0. Consider the function

(2) 
$$w = \frac{1}{i} \left( \frac{\partial u}{\partial \varphi} + i \frac{\partial v}{\partial \varphi} \right) = \frac{1}{i} \left( \frac{\partial u}{\partial \varphi} + i r \frac{\partial u}{\partial r} \right) = z \frac{f'(z)}{f(z)}$$

or

$$w = z^s \mathcal{P}(z), ^1 \qquad \qquad \mathcal{P}(0) = 1$$

<sup>&</sup>lt;sup>1</sup> We denote by  $\mathcal{P}(z)$  a general power series of z with positive radius of convergence.

w(z) is meromorphic in  $|z| \leq R$ , and the e.c.'s of f(z) are determined by

(3) 
$$\frac{\partial u}{\partial \varphi} = -\mathcal{J}\{w\} = 0.$$

It is possible to divide the circle  $|z| \leq R$  in a finite number of annular regions  $\Gamma_{*}$ ,

$$r_{v} < |z| < r_{v+1}, \quad r_{0} = 0, \quad r_{n+1} = R$$

so that in each annular  $\Gamma_r$  we have an even number  $2n_r$  of connected e. c.'s and each of them can be expressed in polar coordinates  $\varphi = \varphi(r)$ , where  $\varphi(r)$ is analytic in the interval  $r_r < r < r_{r+1}$ . On a circle |z| = r in  $\Gamma_r$  the modulus |f(z)| attains its maximum and minimum values in the points where the circle intersects the e. c.'s. The value of |f(z)| on an e. c., expressed as a function of r, is called an extreme value function (e. f.). This function is analytic in r.

Consider an e.c.  $\varphi = \varphi_0(r)$ ; the e.f. obtained on  $\varphi_0(r)$  is  $\mu(r)$ . Then we have on the e.c.

$$\frac{\partial}{\partial \varphi} = 0, \quad \frac{d}{dr} = \frac{\partial}{\partial r} + \frac{\partial}{\partial \varphi} \frac{d}{dr} = \frac{d}{dr} \frac{\log \mu(r)}{dr}$$
$$\frac{d}{dr} \frac{\partial}{\partial \varphi} = \frac{\partial^2 u}{\partial r \partial \varphi} + \frac{\partial^2 u}{\partial \varphi^2} \frac{d}{dr} = 0$$
$$\frac{d^2 u}{dr^2} = \frac{\partial^2 u}{\partial r^2} + \frac{\partial^2 u}{\partial r \partial \varphi} \frac{d}{dr} = \frac{d^2 \log \mu(r)}{dr^2}.$$

Further, u is harmonic

$$\Delta u = \frac{\partial^2 u}{\partial r^2} + \frac{1}{r} \frac{\partial u}{\partial r} + \frac{1}{r^2} \frac{\partial^2 u}{\partial \varphi^2} = 0.$$

From these conditions we obtain the following equation for the e.c.:

(4) 
$$\left(\frac{\partial^2 u}{\partial \varphi^2}\right)_{\varphi_0(r)} \left\{ \left(\frac{d \varphi_0}{d r}\right)^2 + \frac{1}{r^2} \right\} = -\frac{1}{r} \frac{d}{d r} \left(\frac{d \log \mu(r)}{d \log r}\right)$$

If |f(z)| attains a maximum on the e.c., then  $\left(\frac{\partial^2 u}{\partial \varphi^2}\right)_{\varphi_{\theta}(r)} < 0$ . Thus

$$\frac{d}{dr}\left(\frac{d\,\log\,\mu\left(r\right)}{d\,\log\,r}\right) > 0.$$

 $\log \mu(r)$  is therefore a convex function of  $\log r$ . In the same way we obtain that if  $\mu(r)$  is a minimum e.f., then  $\log \mu(r)$  is a concave function of  $\log r$ . According to their analytic properties, two e.f.'s are equal only for a finite number of values of r if they are not identical in an interval. Further, a minimum function cannot be identical with a maximum function.

In the proof of theorem 1 we use the following lemma:

**Lemma 2.** Suppose that the function f(z) attains on an e.c.  $\varphi = \varphi_0(r)$  an e.f.  $\mu(r)$ , identical with an e.f. of g(z), attained on an e.c.  $\varphi = \varphi_1(r)$ . Further, putting

$$u = \log |f|, \quad u_1 = \log |g|$$
$$(\partial^2 u) \qquad (\partial^2 u_1)$$

if we have

$$\left(\frac{\partial^2 u}{\partial \varphi^2}\right)_{q_0(r)} = \left(\frac{\partial^2 u_1}{\partial \varphi^2}\right)_{q_1(r)}$$

then f(z) and g(z) are equivalent.

From (4) we see that in an interval r' < r < r'' we have

$$\frac{d\,\varphi_0}{d\,r} = \frac{d\,\varphi_1}{d\,r} \quad \text{or} \quad \frac{d\,\varphi_0}{d\,r} = -\frac{d\,\varphi_1}{d\,r} \cdot$$

In both cases the e.c.'s are equivalent. Then there is a function  $g_1(z)$  equivalent to g(z) that attains the e.f.  $\mu(r)$  on the e.c.  $\varphi = \varphi_0(r)$ . Put

$$\mathcal{U} = \log |f| - \log |g_1|.$$

Hence for  $\varphi = \varphi_0(r)$  we have

$$\mathcal{U} = 0, \quad \frac{\partial \mathcal{U}}{\partial \varphi} = 0. \qquad r' < r < r''$$

Then, from the well-known properties of harmonic functions it follows immediately that  $\mathcal{U} \equiv 0$ . Thus  $f(z) = e^{i\beta}g_1(z)$  and f(z) is therefore equivalent to g(z).

3. We now pass to a detailed study of the function  $\Phi_{f}(r, a)$ . Here we shall suppose, for the sake of simplicity, that f(z) belongs to a class  $\mathcal{N}_{s}$ , and that  $0 < r \leq r_{1}$ , where  $r_{1}$  can be chosen sufficiently small for every circle  $|z| = r \leq r_{1}$  to intersect only the e. c.'s ending at z = 0, and for each e. c. to be intersected only once. Two e. f.'s are equal for such a value of r only if they are identical in the whole interval. Further,  $f(z) \neq 0$  in the circle  $|z| \leq r_{1}$ .

Studying the function w(z) defined above, we see that there are 2s e.c.'s abutting at z = 0, s e.c.'s where |f(z)| attains a relative maximum, and s e.c.'s where the extreme value is a relative minimum.

On a circle |z| = r,  $u \doteq \log |f(re^{i\varphi})|$  is an analytic function of  $\varphi$  at every point  $z_0 = re^{i\varphi_0}$ . Thus, for small values of  $|\varphi - \varphi_0|$ 

(5) 
$$u(re^{i\varphi}) - u(re^{i\varphi_0}) = \sum_{n=1}^{\infty} \frac{1}{|n|} \left( \frac{\partial^n u}{\partial \varphi^n} \right)_{z_0} (\varphi - \varphi_0)^n.$$

Further

(6) 
$$|f(re^{iq})| - |f(re^{iq_0})| = |f(re^{iq_0})| [e^{u(re^{iq}) - u(re^{iq_0})} - 1].$$

If  $z_0$  is not a point on an e.c., we have  $\left(\frac{\partial u}{\partial \varphi}\right)_{z_0} \neq 0$ . Then from (5) and (6) we obtain

(7) 
$$\varphi - \varphi_0 = \frac{a - a_0}{a_0} \frac{1}{\left(\frac{\partial u}{\partial \varphi}\right)_{z_0}} \mathcal{P}(a - a_0), \qquad \mathcal{P}(0) = 1$$

where

$$a = |f(re^{i\varphi})|, \quad a_0 = |f(re^{i\varphi_0})|.$$

If  $z_0$  is a point on an e.c., then  $\left(\frac{\partial u}{\partial \varphi}\right)_{z_0} = 0$ , and if  $r_1$  is sufficiently small, we can assume that  $\left(\frac{\partial^2 u}{\partial \varphi^2}\right)_{z_0} \neq 0$ . Put  $|f(z_0)| = \mu(r)$ , where  $\mu(r)$  is the corresponding e.f. Then, in the neighbourhood of  $\varphi = \varphi_0$  we obtain the inverse function

$$\varphi > \varphi_{0}; \quad \varphi - \varphi_{0} = \sqrt{2 \frac{a - \mu(r)}{\mu(r) \left(\frac{\partial^{2} u}{\partial \varphi^{2}}\right)_{z_{0}}}} \mathcal{P}(\sqrt{|a - \mu(r)|})$$

(8)

$$\varphi < \varphi_{0}; \quad \varphi_{0} - \varphi = \left| \sqrt{2 \frac{a - \mu(r)}{\mu(r) \left(\frac{\partial^{2} u}{\partial \varphi^{2}}\right)_{z_{0}}}} \mathcal{P}(-V|a - \mu(r)|) \right|$$
$$\mathcal{P}(0) = 1$$

where the root is positive.

We have  $\Phi_f(r, a) = 0$  for a < m(r). If  $a_0$  is not an extreme value on |z| = r,  $m(r) < a_0 < M(r)$ , then |f(z)| attains the value  $a_0$  in a finite number of points on the circle. If  $a - a_0$  is positive and sufficiently small, then

$$\Phi_f(r, a) - \Phi_f(r, a_0) = m e_{\varphi} \left( a_0 < \left| f(r e^{i \varphi}) \right| \le a 
ight)$$

is the sum of a finite number of intervals of the form (7). Thus

(9) 
$$\begin{cases} \Phi_{f}(r, a) = \Phi_{f}(r, a_{0}) + (a - a_{0}) \mathcal{P}_{1}(a - a_{0}) \\ \mathcal{P}_{1}(0) > 0 \end{cases}$$

Now a and  $a_0$  can be permutated, and we have the same expansion for  $a < a_0$ . By power series of this form  $\Phi_j(r, a)$  can be continued from  $a_0$  to the nearest extreme values. The minimum e. f.'s attained on |z| = r are  $m_j(r)$ , the maximum e. f.'s are  $M_j(r)$ . Then, by the choice of r it follows that

$$0 < m(r) = m_1(r) \le m_2(r) \le \cdots \le m_s(r)$$
$$M_s(r) \le M_{s-1}(r) \le \cdots \le M_1(r) = M(r).$$

There are  $h_j$  e.f.'s identical with  $m_j(r)$  and  $h'_j$  e.f.'s identical with  $M_j(r)$ .

Putting  $a_0 = m_j(r)$  we have for  $a < m_j(r)$  an expansion of the form (9). To this expansion (regular in  $a_0$ ), we must add, by analytic continuation (for  $a > m_j(r)$ ), the contribution from the intervals containing the  $h_j$  points  $z_v = r e^{iq_v}$  where  $|f(r e^{iq_v})| = m_j(r)$ . The lengths of these intervals are calculated from (8). We obtain for  $a > m_j(r)$ 

ARKIV FÖR MATEMATIK. Bd 1 nr 10



Fig. 1. The functions  $\Phi(r, a)$  and  $\Psi(r, \theta)$ .

(10) 
$$\Phi(r,a) = \Phi(r,m_j(r)) + \frac{2\sqrt{2}}{\sqrt{m_j(r)}} \left( \sum_{r} \frac{1}{\sqrt{\left(\frac{\partial^2 u}{\partial \varphi^2}\right)_{z_r}}} \right) \sqrt{a - m_j(r)} \mathcal{P}_1(\sqrt{a - m_j(r)}),$$
$$\mathcal{P}_1(0) = 1.$$

Similarly we obtain the behaviour of  $\Phi(r, a)$  at a maximum value  $M_j(r)$ . For  $a > M_j(r)$  we have a regular expression of the form (9) and for  $a < M_j(r)$  we have

(11) 
$$\Phi(r,a) = \Phi(r, M_j(r)) - \frac{2\sqrt{2}}{\sqrt{M_j(r)}} \left( \sum_{\nu} \frac{1}{\sqrt{-\left(\frac{\partial^2 u}{\partial \varphi^2}\right)_{z_\nu}}} \right) \sqrt{M_j(r) - a} \, \mathcal{P}_1(\sqrt{M_j(r) - a}),$$
$$\mathcal{P}_1(0) = 1$$

the sum being taken for the  $h'_i$  points  $z_v = r e^{i\varphi_v}$  where  $|f(r e^{i\varphi})| = M_j(r)$ .

It is clear that the function  $\Phi(r, a)$  has this simple analytic character in the whole interval  $0 < r \le R$ . The expansions in the neighbourhood of extreme values may be somewhat altered, however, on a finite number of circles.

4. Consider the function

(12) 
$$w = z \frac{f'(z)}{f(z)} = z^s \mathcal{P}(z); \qquad \mathcal{P}(0) = 1$$

Then for small r we obtain for the e.c.'s

$$rac{\partial}{\partial} rac{\partial}{arphi} = -\mathcal{J}\{w\} = -r^s \sin s \varphi \ (1 + O\langle r 
angle)$$
 $rac{\partial^2 u}{\partial \varphi^2} = -sr^s \cos s \varphi \ (1 + O\langle r 
angle).$ 

Denote the e.c.'s ending at z = 0 by  $c_r$ ,  $(\nu = 0, 1, \ldots, 2s - 1)$ , where the index  $\nu$  is subjected to the condition that the angle between the positive real axis and the tangent of  $c_{\nu}$  at z = 0 is  $\nu \frac{\pi}{s}$ .

Then for  $c_r$  we have

(13) 
$$\begin{cases} \varphi_{\nu} = \nu \frac{\pi}{s} (1 + O(r)) \\ \left( \frac{\partial^2 u}{\partial \varphi^2} \right)_{c_{\nu}} = (-1)^{\nu+1} r^s (1 + O(r)) \end{cases}$$

Suppose f(z) and g(z) of  $\mathcal{N}_s$  have identical *M*-functions for small *r*, thus fulfilling the condition in theorem 1. Then  $\Phi_f(r, a)$  and  $\Phi_g(r, a)$  have the same singularities in their analytic character. Each e.f.  $\mu(r)$  of f(z) in the neighbourhood of z = 0 is therefore an e.f. of g(z). Suppose  $\mu(r)$  is a *h*-tiple e.f. of f(z) and a *h'*-tiple e.f. of g(z). Then h = h'.

For if  $\mu(r)$  is a minimum function (or a maximum function) the coefficient of  $\sqrt{a-\mu(r)}$  (respectively  $\sqrt{\mu(r)-a}$ ) in the developments of  $\Phi_{f}(r, a)$  and  $\Phi_{g}(r, a)$  to the right (left) of  $\mu(r)$  are identical. Then if  $u_{1} = \log |g|$  we obtain from (10) and (11)

(14) 
$$\sum \left( \left| \frac{\partial^2 u}{\partial \varphi^2} \right|_{c_{\nu}}^{-\frac{1}{2}} \right)_r = \sum \left( \left| \frac{\partial^2 u_1}{\partial \varphi^2} \right|_{c_{\nu}'}^{-\frac{1}{2}} \right)_r$$

the sums being taken for the h e. c.'s  $c_r$  respectively the h' e. c.'s  $c'_r$ , where f(z) and g(z) attain the e. f.  $\mu(r)$ . By (13) we write this condition for small r:

$$hr^{-\frac{s}{2}}(1 + O(r)) = h'r^{-\frac{s}{2}}(1 + O(r))$$

and the result h = h' follows immediately.

The proof of theorem 1 now follows from the following lemma.

**Lemma 3.** Every function f(z) of  $\mathcal{N}_s$  has in the neighbourhood of z = 0 at least one e. f., non-identical with any other e. f.

Suppose the lemma holds. Then f(z) and g(z) have an e.f.  $\mu(r)$  with the multiplicity h = h' = 1. The corresponding e.c. of f(z) is  $\varphi = \varphi_0(r)$  and of g(z),  $\varphi = \varphi_1(r)$ . Then from (14)

$$\left(\frac{\partial^2 u}{\partial \varphi^2}\right)_{\varphi_0(r)} = \left(\frac{\partial^2 u_1}{\partial \varphi^2}\right)_{\varphi_1(r)}.$$

Then from lemma 2 we obtain that f(z) and g(z) are equivalent, and this proves theorem 1.

*Proof of lemma 3.* Consider the function w(z) defined by (12). Let the e.f. on the e.c.  $c_{\nu}$  be  $\mu_{\nu}(r)$ . Then

(15) 
$$\frac{d \log \mu_{\nu}(r)}{d (\log r)} = \left(r \frac{\partial u}{\partial r}\right)_{c_{\nu}} = \mathcal{R} \{w\}_{c_{\nu}}.$$

## ARKIV FÖR MATEMATIK. Bd 1 nr 10

In the neighbourhood of z = 0, w = 0 the inverse function z(w) has an expansion of the form

$$\begin{cases} z = t \mathcal{P}_1(t) & \mathcal{P}_1(0) = 1 \\ w = t^s \end{cases}$$

Hence

$$\log z = \log r + i\varphi = \log t + Q(t)$$

(16) where

(17)

$$\mathcal{Q}(t) = \sum_{n=1}^{\infty} c_n t^n$$

$$0 \le \varphi < 2\pi, \quad 0 \le \arg t < 2\pi.$$

The e.c.'s  $c_r$ ,  $(\nu = 0, 1, ..., 2s - 1)$  correspond to the real axis in the *w*-plane, and by the suitable choice of the index  $\nu$  (cf. p. 84) we obtain that the e.c.  $c_r$  is represented by the straight line in the *t*-plane

$$\arg t = v \frac{\pi}{s} \qquad (v = 0, 1, \dots, 2s - 1)$$
$$0 \le |t| < \delta.$$

Putting  $t = \rho e^{i_r \frac{\pi}{s}}$  we obtain the following equation for  $c_r$  [from (15), (16), (17)]

(18) 
$$\log r = \log \varrho + \mathcal{R} \{ \mathcal{Q}(\omega^{*} \varrho) \}$$
$$\varphi_{*} = r \frac{\pi}{s} + \mathcal{J} \{ \mathcal{Q}(\omega^{*} \varrho) \}$$

$$(-1)^{\nu} \varrho^{s} = \frac{d \log \mu_{\nu}(r)}{d (\log r)}$$

where  $\omega = e^{i\frac{\pi}{s}}$ . If  $\nu$  is even,  $\mu_{\nu}(r)$  is a maximum function; if  $\nu$  is odd, the e.f. is a minimum function.

The function Q(t) in (16) is regular at t = 0. We write

$$Q(t) = \sum_{j=1}^{k} t^{n_j s + \sigma_j} Q_j(t^s)$$

where

$$0 < \sigma_1 < \sigma_2 \cdots < \sigma_k \leq s, \quad n_j \geq 0, \quad Q_j(0) \neq 0.$$

Therefore in the power series of Q(t) we have  $c_{n_js+\sigma_j} \neq 0$  and all coefficients  $c_n \neq 0$  have indices of the form  $n = Ns + \sigma_j$ . Now the highest common divisor  $(\sigma_1, \sigma_2, \ldots, \sigma_k, s) = 1$ .

Let us assume that this divisor is m > 1. Then Q(t) is a regular function of  $t^m$  and we obtain

$$z^{m} = t^{m} \mathcal{P}_{2}(t^{m}), \qquad \mathcal{P}_{2}(0) = 1$$
$$t^{m} = z^{m} \mathcal{P}_{3}(z^{m})$$
$$w = t^{s} = z^{\frac{m}{m}} \mathcal{P}_{4}(z^{m}).$$

Here m | s and w is a regular function of  $z^m$ . Then it is easily seen that f(z) is a regular function of  $z^m$ , m > 1, which is impossible if f(z) belongs to a class  $\mathcal{N}_s$ .

If s > 1, two e.f.'s in the neighbourhood of z = 0 may be identical. As maximum functions are increasing, and the minimum functions decreasing functions of r, the identical e.f.'s must be of the same kind. Suppose

(19) 
$$\mu_{r}(r) \equiv \mu_{r_{1}}(r).$$

$$\nu_{1} \equiv r + 2m \pmod{2s}$$

$$0 < m \le s - 1.$$

From the equations (18) follows that on  $c_r$  and  $c_{r_1}$ ,  $\rho$  is the same function of r and conversely log r must be the same function of  $\rho$ . Hence

or

$$\mathcal{R} \left\{ \mathcal{Q} \left( \omega^{\mathbf{r}} \varrho \right) \right\} \equiv \mathcal{R} \left\{ \mathcal{Q} \left( \omega^{\mathbf{r}_{1}} \varrho \right) \right\}$$
$$\mathcal{R} \left\{ \sum_{1}^{\infty} c_{n} \, \omega^{n \, \mathbf{r}_{1}} \varrho^{n} \right\} \equiv \mathcal{R} \left\{ \sum_{1}^{\infty} c_{n} \, \omega^{n \, \mathbf{r}_{1}} \varrho^{n} \right\}$$

If  $c_n = |c_{Ns+\sigma_j}| e^{i\beta_{Ns+\sigma_j}} \neq 0$  we have

$$\cos\left(\sigma_{j}\,\boldsymbol{v}\frac{\boldsymbol{\pi}}{s}+\beta_{Ns+\sigma_{j}}\right)=\cos\left(\sigma_{j}\,\boldsymbol{v}_{1}\frac{\boldsymbol{\pi}}{s}+\beta_{Ns+\sigma_{j}}\right)$$

or from (19)

$$\cos\left(\sigma_{j}\left(\nu+2\,m\right)\frac{\pi}{s}+\beta_{Ns+\sigma_{j}}\right)=\cos\left(\sigma_{j}\,\nu\frac{\pi}{s}+\beta_{Ns+\sigma_{j}}\right).$$

Therefore at least one of the following two conditions holds.

(A) 
$$\sigma_j m \equiv 0 \pmod{s}$$

(B) 
$$\sigma_j (\nu + m) + \frac{s}{\pi} \beta_{Ns + \sigma_j} \equiv 0. \pmod{s}$$

We express s in a standard form of primes

$$s=p_1^{lpha_1}\,p_2^{lpha_2}\,\ldots\,p_q^{lpha_q}$$

where  $p_i$  are distinct primes >1,  $a_i \ge 1$ . The primes may be arranged as they appear in the following calculation.

Since  $(\sigma_1, \sigma_2, \ldots, \sigma_k, s) = 1$  there is at least one  $\sigma_j$  not divisible by  $p_i$   $(i = 1, 2, \ldots, h)$ . We denote by  $\theta(p_a, p_b, \ldots, p_h)$  the subsequence of  $\{\sigma_j\}$  with the property that each  $\sigma_j \in \theta(p_a, \ldots, p_h)$  is not divisible by at least one of the primes  $p_a, \ldots, p_h$ .

Suppose that f(z) has two identical e.f.'s corresponding to the couple  $(v, v_1)$  or  $(v, m_0)$  by (19). We write

$$(m_0,s)=p_1^{\gamma_1}p_2^{\gamma_2}\ldots p_h^{\gamma_h}\cdot p_{h+1}^{lpha_{h+1}}\ldots p_q^{lpha_q} \ 0\leq \gamma_i< lpha_i, \quad 1\leq h\leq q.$$

For no  $\sigma_j \in \theta$   $(p_1, \ldots, p_h)$  the condition (A) can hold. Thus

$$\sigma_j \left( \nu + m_0 \right) + \frac{s}{\pi} \beta_{Ns + \sigma_j} \equiv 0 \qquad (\text{mod } s)$$
$$\sigma_j \in \theta \ (p_1, \ldots, p_h).$$

Now suppose that  $\mu_{r'}(r)$ ,  $r' \equiv r + m_0 \pmod{s}$ , is identical with another e.f. and that the corresponding number *m* determined by (19) is  $m_1$ . The tangent of  $c_{r'}$  at the origin is a bisectrise to the tangents of  $c_r$  and  $c_{r_1}$ . We now study the conditions (A) and (B) for the couple  $(r', m_1)$ . (B) can be written

(B)' 
$$\sigma_j \left(\nu + m_0 + m_1\right) + \frac{s}{\pi} \beta_{Ns + \sigma_j} \equiv 0. \pmod{s}$$

If  $\sigma_j \in \theta$   $(p_1, \ldots, p_h)$ , the conditions (A) and (B) for  $m_1$  are identical and we obtain  $p_1^{\alpha_1} | m_1, \ldots, p_h^{\alpha_h} | m_1$  and thus  $p_1^{\alpha_1} p_2^{\alpha_2} \ldots p_h^{\alpha_h} | m_1$ . If h = q, we should have  $s | m_1$ , which is impossible, since  $0 < m_1 \le s - 1$ . Then  $\mu_{\nu'}(r)$  could not be identical with any other e.f., and lemma 3 holds.

If h < q we put

$$(m_1, s) = p_1^{lpha_1} p_2^{lpha_2} \dots p_h^{lpha_h} \cdot p_{h+1}^{\gamma_{h+1}} \dots p_{h'}^{\gamma_{h'}} p_{h'+1}^{lpha_{h'+1}} \dots p_q^{lpha_q} \ 0 \le \gamma_i < lpha_i, \quad h < h' \le q.$$

If  $\sigma_j \in \theta$   $(p_1, p_2, \ldots, p_{h'})$  the condition (B)' holds. Now repeating the argument, suppose that  $\mu_{\nu''}(r)$ ,  $\nu'' \equiv \nu + m_0 + m_1 \pmod{s}$ , is identical with another e.f., corresponding to the number  $m_2$ . Then we must have  $p_1^{\alpha_1} p_2^{\alpha_2} \ldots p_{h'}^{\alpha_{h'}} | m_2$ , and this is possible only if h' < q. Then we go on studying the e.f.  $\mu_{\nu'''}(r)$ ,  $\nu''' \equiv \nu + m_0 + m_1 + m_2 \pmod{s}$ . The corresponding number  $m_3$  must be divisible by  $p_1^{\alpha_1} p_2^{\alpha_2} \ldots p_{h''}^{\alpha_{h''}} , h'' > h'$ . After a finite number of such steps, we obtain an e.f.  $\mu_{\nu}(r)$  which is identical with another e.f., only if the corresponding number m is divisible by s, which is impossible. This proves lemma 3 and the proof of theorem 1 is now complete.

5. We denote as usual the mean values of |f(z)| on circles |z| = r for real  $p \neq 0$ .

$$M_{p}(f, r) = \left[\frac{1}{2\pi} \int_{0}^{2\pi} |f(r e^{i\varphi})|^{p} d\varphi\right]^{1/p}$$

We shall state the following theorem.

**Theorem 2.** Let f(z) and g(z) be functions of  $\mathcal{R}$  and let the mean values  $M_p(f,r)$  and  $M_p(g,r)$  on a circle  $|z| = r \leq R$  be equal for an infinite number of p,  $p = p_1, p_2, \dots, p_n, \dots$  lim  $|p_n| = \infty$ . Then, for this r, the M-functions  $\Phi_f(r, a)$  and  $\Phi_g(r, a)$  are identical, and all

mean values are therefore equal.

It is sufficient to prove that the functions  $\Psi_{f}(r,\theta)$  and  $\Psi_{g}(r,\theta)$  are identical for this r.

By lemma 1 we have

(20) 
$$\int_{0}^{2\pi} \Psi_{f}(r,\theta)^{p_{n}} d\theta - \int_{0}^{2\pi} \Psi_{g}(r,\theta)^{p_{n}} d\theta = 0$$
$$n = 1, 2, \ldots$$

From the simple analytic character of the functions  $\Phi$  and  $\Psi$  we see that the values of  $\theta$  for which the functions  $\Psi_f(r, \theta)$  and  $\Psi_g(r, \theta)$  may be distinct, form a finite number of intervals. Suppose  $\theta_1 < \theta < \theta_2$  is the last of these

intervals and suppose that the sequence  $\{p_n\}$  has the limit point  $+\infty$ . We can assume that  $\Psi_f(r,\theta) > \Psi_g(r,\theta)$  for  $\theta_1 < \theta < \theta_2$ . It is evident that if (20) holds for one  $p_n \neq 0$ , then  $\theta_1 > 0$ . Put for  $\theta_1 < \theta < \theta_2$ 

$$\begin{split} \Psi_{f}(r,\theta) &= a\left(1+\varphi\left(\theta\right)\right), \quad \Psi_{g}\left(r,\theta\right) = a\left(1+\psi\left(\theta\right)\right) \ a &= \Psi_{f}\left(r,\theta_{1}
ight) = \Psi_{g}\left(r,\theta_{1}
ight). \qquad a > 0 \end{split}$$

We have  $\varphi(\theta) - \psi(\theta) > 0$  for  $\theta_1 < \theta < \theta_2$  and

$$\int_{\theta_1}^{\theta_2} \left( \varphi(\theta) - \psi(\theta) \right) \, d\,\theta = \omega$$

where  $\omega > 0$ .

Now from (20) we have for  $p_n > 0$ :

$$0 < a^{p_n} \int_{\theta_1}^{\theta_2} \{ [1 + \varphi(\theta)]^{p_n} - [1 + \psi(\theta)]^{p_n} \} d\theta =$$
$$= \int_0^{\theta_1} [\Psi_g(r, \theta)^{p_n} - \Psi_f(r, \theta)^{p_n}] d\theta < a^{p_n} \theta_1.$$

Hence for  $p_n > 0$ :

(21) 
$$0 < \int_{\theta_1}^{\theta_2} \left[ 1 + \psi(\theta) \right]^{p_n} \left\{ \left[ 1 + \frac{\varphi(\theta) - \psi(\theta)}{1 + \psi(\theta)} \right]^{p_n} - 1 \right\} d\theta < \theta_1.$$

For  $x \ge 0$ ,  $p \ge 1$  we have the inequality

$$(1+x)^p-1\geq p\,x.$$

Using this inequality for  $x = \frac{\varphi(\theta) - \psi(\theta)}{1 + \psi(\theta)}$  we obtain from (21) for  $p_n \ge 1$ ,

$$0 < p_n \int_{\theta_1}^{\theta_2} [1 + \psi(\theta)]^{p_n - 1} [\varphi(\theta) - \psi(\theta)] d\theta < \theta_1.$$

Hence

$$0 < \int_{\theta_1}^{\theta_2} \left[ \varphi\left(\theta\right) - \psi\left(\theta\right) \right] d\theta = \omega < \frac{\theta_1}{p_n}.$$

For  $p_n \to \infty$  we obtain  $\omega = 0$ , which shows the impossibility of the existence of the interval  $(\theta_1, \theta_2)$ , and the functions  $\Psi_f(r, \theta)$  and  $\Psi_g(r, \theta)$  must be identical.

If  $+\infty$  is not a limit point of  $\{p_n\}$ , then  $\lim p_n = -\infty$ . For this case we prove similarly that there cannot be any *first* interval (nearest to  $\theta = 0$ ) where  $\Psi_f(r, \theta) \neq \Psi_g(r, \theta)$ . This proves the theorem.

**Theorem 3.** Let f(z) and g(z) be functions of  $\mathcal{R}$ , and let the *M*-functions  $\Phi_f(r, a)$  and  $\Phi_g(r, a)$  be identical for an infinite number of r,  $r = r_i$ ,  $r_i \leq R$  (i = 1, 2, ...). Then the functions f(z) and g(z) are equivalent.

The functions  $(f(z))^m$ ,  $(g(z))^m$ , (m = 1, 2, 3, ...) are all analytic in  $|z| \le R$ . Put

$$(f(z))^m = \sum_{n=0}^{\infty} A_n^{(m)} z^n, \quad (g(z))^m = \sum_{n=0}^{\infty} B_n^{(m)} z^n.$$

Then for  $r = r_1, r_2, ..., r_n, ...$ 

(22) 
$$M_{2m}^{2m}(f,r) = M_{2m}^{2m}(g,r)$$

or

(23) 
$$\sum_{n=0}^{\infty} |A_n^{(m)}|^2 r^{2n} = \sum_{n=0}^{\infty} |B_n^{(m)}|^2 r^{2n}.$$

These power series are convergent for  $r < R + \delta$  if  $\delta$  is a sufficiently small positive number, and we obtain immediately that  $|A_n^{(m)}| = |B_n^{(m)}|$  for all m and n. The equality (22) therefore holds for all r in the interval (0, R). By theorem 2 f(z) and g(z) have identical M-functions in the interval (0, R). Then by theorem 1 the functions are equivalent.

6. The following lemma gives another proof of theorem 1 for functions that can be referred to the class  $\mathcal{N}_1$ .

**Lemma 4.** Let f(z) and g(z) of  $\mathcal{R}$  have power series of the form

$$f(z) = \sum_{\nu=0}^{\infty} a_{\nu} z^{\nu}, \quad g(z) = \sum_{\nu=0}^{\infty} b_{\nu} z^{\nu}$$

where  $a_0 a_1 \neq 0$ . Put

$$(f(z))^p = \sum_{\nu=0}^{\infty} a_{\nu}^{(p)} z^{\nu}, \quad (g(z))^p = \sum_{\nu=0}^{\infty} b_{\nu}^{(p)} z^{\nu}$$
$$a_{\nu}^{(1)} = a_{\nu}, \quad b_{\nu}^{(1)} = b_{\nu}.$$

Suppose that

$$|b_{v}^{(p)}| = |a_{v}^{(p)}|$$

for  $v = 0, 1, \ldots, n$  and  $p = p_1, p_2, \ldots, p_n$ , where  $p_k$  are real and unequal. Then

$$b_{\nu} = a_{\nu} e^{i(\alpha + \nu\beta)}, \qquad \nu = 0, 1, \dots, n$$
  
$$b_{\nu} = \bar{a}_{\nu} e^{i(\alpha + \nu\beta)}, \qquad \nu = 0, 1, \dots, n$$

or

where  $\alpha$  and  $\beta$  are real.

The lemma says that there is a function  $g_1(z) = \sum b'_{\nu} z^{\nu}$  equivalent to g(z), such that  $b'_{\nu} = a_{\nu}$ ,  $\nu = 0, 1, \ldots, n$ . Therefore in the proof we can substitute g(z) by a convenient equivalent function.

It is easily seen that the lemma is true for n = 1. We may suppose  $a_0 = b_0 = 1$  and  $a_1$  real and positive. We write

$$(f(z))^{p} = 1 + \sum_{\nu=1}^{\infty} a_{\nu}^{(p)} z^{\nu}, \qquad a_{\nu}^{(1)} = a_{\nu}$$
$$(g(z))^{p} = 1 + \sum_{\nu=1}^{\infty} b_{\nu}^{(p)} z^{\nu}, \qquad b_{\nu}^{(1)} = b_{\nu}$$

Putting

$$A_{m\mu} = \sum_{\mu_i} \frac{|\mu|}{|\mu_1| |\mu_2 \dots |\mu_{m-\mu+1}|} \cdot a_1^{\mu_1} a_2^{\mu_2} \dots a_{m-\mu+1}^{\mu_{m-\mu+1}}$$

the summation being over

$$\begin{cases} \mu_i \ge 0, & \mu_1 + \mu_2 + \dots + \mu_{m-\mu+1} = \mu \\ \mu_1 + 2 \mu_2 + \dots + (m - \mu + 1) \mu_{m-\mu+1} = m \end{cases}$$

we obtain

$$a_m^{(p)} = \sum_{\mu=1}^m {p \choose \mu} A_{m\mu}$$

Similarly we write

$$b_m^{(p)} = \sum_{\mu=1}^m \binom{p}{\mu} B_{m\mu}.$$

We prove the lemma by induction. The lemma is true for n = 1, let it be true for n - 1. Then we may suppose

$$b_1 = a_1, \ b_2 = a_2, \ldots, \ b_{n-1} = a_{n-1}.$$

Then

$$B_{n\mu}=A_{n\mu}, \qquad \mu=2, 3, \ldots, n$$

The equalities

$$|b_n^{(p)}| = |a_n^{(p)}|, \qquad p = p_1, p_2, \ldots, p_n$$

can be written

$$igg|igg( p \ 1 igg) a_n + \sum_{\mu=2}^n igg( p \ \mu igg) A_{n\mu} igg| = igg|igg( p \ 1 igg) b_n + \sum_{\mu=2}^n igg( p \ \mu igg) A_{n\mu} igg| 
onumber p = p_1, p_2, \dots, p_n$$

 $\mathbf{or}$ 

$$\binom{p}{1} \left( |a_n|^2 - |b_n|^2 \right) + \sum_{\mu=2}^n \binom{p}{\mu} \left\{ (a_n \bar{A}_{n\mu} + \bar{a}_n A_{n\mu}) - (b_n \bar{A}_{n\mu} + \bar{b}_n A_{n\mu}) \right\} = 0$$

$$p = p_1, p_2, \dots, p_n.$$

Since  $p_k$  are unequal, the determinant

$$D = \begin{vmatrix} \begin{pmatrix} p_1 \\ 1 \end{pmatrix}, \begin{pmatrix} p_1 \\ 2 \end{pmatrix}, \dots, \begin{pmatrix} p_1 \\ n \end{pmatrix} \\ \dots \\ \begin{pmatrix} p_n \\ 1 \end{pmatrix}, \begin{pmatrix} p_n \\ 2 \end{pmatrix}, \dots, \begin{pmatrix} p_n \\ n \end{pmatrix} \end{vmatrix}$$
$$= \frac{p_1 p_2 \dots p_n}{|2| |3| \dots |n|} \prod_{i>k} (p_i - p_k)$$

does not vanish. Therefore

$$|b_n| = |a_n|$$

and

$$a_n \bar{A}_{n\mu} + \bar{a}_n A_{n\mu} = b_n \bar{A}_{n\mu} + \bar{b}_n A_{n\mu}$$
  $\mu = 2, 3, ..., n.$ 

If  $A_{n\mu} \neq 0$  these conditions give

(24) 
$$\arg(a_n \bar{A}_{n\mu}) = \pm \arg(b_n \bar{A}_{n\mu}) \qquad \mu = 2, 3, ..., n.$$

Now we shall prove: If all the coefficients  $a_1, a_2, \ldots, a_{n-1}$  are real, then we must have  $b_n = a_n$  or  $b_n = \bar{a}_n$ . If at least one of the coefficients  $a_2, \ldots, a_{n-1}$  is complex, then we must have  $b_n = a_n$ . If  $a_n = 0$  there is nothing to prove. As  $A_{nn} = a_1^n$  is real and positive the condition (24) gives that either  $b_n = a_n$  or  $b_n = \bar{a}_n$ . Then the lemma is proved in the first case. If  $a_m, m < n$ , is the first complex coefficient, we see that

$$A_{n;n-m+1} = (n - m + 1) a_1^{n-m} a_m$$
  
+ (a polynomial of  $a_1, a_2, \dots, a_{m-1}$ )

cannot be real. Putting in (24)  $\mu = n$  and then  $\mu = n - m + 1$  we obtain  $b_n = a_n$ . This proves the lemma.

Now suppose the conditions of theorem 1 are fullfilled and  $f(0) f'(0) \neq 0$ . Then from the corollary of lemma 1 follows that

$$M_{2p}(f,r) = M_{2p}(g,r), \qquad p = 1, 2, \ldots, n, \ldots$$

in an interval  $0 < r < r_1$ . Then we have (compare the proof of theorem 3)  $|b_n^{(p)}| = |a_n^{(p)}|$  for all integers p and n. Then from lemma 4 the functions f(z) and g(z) are equivalent.

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