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# On the asymptotic distribution of eigenvalues

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# Introduction

Let  $\Omega$  be the union of a finite number of open, bounded and connected subsets of  $\mathbb{R}^n$ ,  $\Delta$  the *n*-dimensional Laplace operator and  $\varrho$  a real-valued function defined in  $\Omega$ . Consider the eigenvalue problems

$$\Delta f + \lambda \rho f = 0$$

with f or its normal derivative vanishing at the boundary. It has been shown by Courant ([1] p. 321) that, when  $\varrho$  is a bounded Riemann integrable function and  $\Omega$  satisfies a regularity condition, the asymptotic distribution of the eigenvalues is given by

$$N(\lambda) \sim (2\pi)^{-n} w_n \lambda^{n/2} \int_{\Omega} \varrho^{n/2}, \qquad (1)$$

where  $N(\lambda)$  stands for the number of eigenvalues smaller than  $\lambda$ , and  $w_n$  is the volume of the *n*-dimensional unit sphere. The object of this note is to show that (1) holds also when  $\varrho$  has a finite number of singular points y in  $\Omega$ . More precisely, we assume that  $\varrho$  is  $O(|x-y|^{-2\beta})$  in a neighbourhood of y, where  $0 < \beta < 1$  when  $n \ge 2$ , and  $0 < \beta < 1/2$  when n = 1. The method adopted can also be used to treat cases when  $\varrho$  becomes singular on manifolds of dimension < n.

# **Preliminaries**

We shall use the notations

$$(f,g)_{O} = \int_{O} f\overline{g}, \quad |f|_{O}^{2} = (f,f)_{O}, \quad |f|_{O,p} = \left(\int_{O} |f|^{p}\right)^{1/p},$$
$$(\nabla f, \nabla g)_{O} = \int_{O} \nabla f \overline{\nabla g}, \quad |\nabla f|_{O}^{2} = (\nabla f, \nabla f)_{O},$$

where O is an open subset of  $\mathbb{R}^n$ , the integrals are taken with ordinary Lebesgue measure and  $\nabla f$  is the gradient of f, taken in the weak (distributional) sense. Whenever it is convenient we shall leave out the index O.

Define 
$$F_1(O) = (f; |\nabla f| + |f| < \infty)$$

and let  $F_0(O)$  be the closure in  $F_1(O)$  of all continuously differentiable functions with compact support in O. The elements of  $F_0(O)$  vanish at the boundary of O at least in a weak sense. With the scalar product  $(f,g) + (\nabla f, \nabla g)$  both  $F_1(O)$ and  $F_0(O)$  are Hilbert spaces.

An open set O is said to be permitted, if it consists of a finite number of bounded and connected subsets,

the form (f, g) is compact (i.e. completely continuous) in  $F_1(O)$  (2)

and

$$|f|_q$$
 is majorized by a constant times  $|\nabla f| + |f|$ , (3)

where  $0 < q \le 2n/(n-2)$  when n > 2, and 0 < q when n = 1, 2. These two properties hold if the boundary of O is sufficiently smooth (see [2] p. 471 and [3] respectively). In particular, they hold when O is the sum of a finite number of rectangles.

A function  $\rho \ge 0$  is said to be permitted in O if

$$\int_{O'} \rho > 0 \text{ for every component } O' \text{ of } O$$
(4)

and

and

$$\int_{O} \varrho^{m/2} < \infty \,, \tag{5}$$

where m = n when n > 2, and m is some number > 2 when n = 1, 2. Let us put

$$(\varrho f, g) = (\varrho f, g)_O = \int_O \varrho f \overline{g}.$$

**Theorem 1.** If  $\rho$  is a permitted function in a permitted set O, then

the form (of, g) is compact in  $F_1(O)$  (6)

$$(\varrho f, f) + |\nabla f|^2 \sim |f|^2 + |\nabla f|^2 \quad in \quad F_1(O), \tag{7}$$

*i.e.* either side is majorized by a constant times the other for all f in  $F_1(O)$ .

*Proof.* By Hölder's inequality, (3) and (5),

$$(\varrho f, f) \leq |\varrho|_{m/2} |f|_{2\,m/(m-2)}^2 \leq C |\varrho|_{m/2} (|f|^2 + |\nabla f|^2), \tag{8}$$

where C is a constant. Hence  $(\varrho f, f)$  is bounded in  $F_1(O)$ . Put  $\varrho_{\lambda}(x) = \min(\lambda, \varrho(x))$ . It follows from (2) that  $(\varrho_{\lambda}f, f)$  is compact in  $F_1(O)$ , and (8) applied to  $\varrho - \varrho_{\lambda}$  shows that  $((\varrho - \varrho_{\lambda})f, f)$  tends to zero as  $\lambda \to \infty$ , uniformly on bounded sets in  $F_1(O)$ . From this (6) follows.

At the same time (8) proves that, with a suitable constant C,

$$(\varrho f, f) + |\nabla f|^2 \leq C(|f|^2 + |\nabla f|^2)$$
 in  $F_1(O)$ .

To prove the reverse inequality, it suffices to show that there is no sequence  $(f_j)_1^{\infty}$  such that

$$|\nabla f_j|^2 + |f_j|^2 = 1$$
 and  $|\nabla f_j|^2 + (\varrho f_j, f_j) \rightarrow 0.$ 

It is no restriction to assume that the sequence is weakly convergent to an element f in  $F_1(O)$ . Now

$$|f_j|^2 \rightarrow |f|^2$$
 and  $(\varrho f_j, f_j) \rightarrow (\varrho f, f)$ 

since the forms are compact. In particular  $(\varrho f, f) = 0$ ,  $|\nabla f_j| \to 0$  and |f| = 1. We also have

$$(\nabla f_j, \nabla f) + (f_j, f) \rightarrow |\nabla f|^2 + |f|^2$$

since the sequence is weakly convergent. Here

$$|(\nabla f_j, \nabla f)| \leq |\nabla f_j| |\nabla f|$$

tends to zero and  $(f_j, f)$  tends to  $|f|^2$ . Consequently

$$|f| = 1$$
 and  $|\nabla f|^2 + (\varrho f, f) = 0$ .

But by (4), the last relation implies that f=0, which is a contradiction. The proof is complete.

When  $\rho$  is permitted in a permitted set O, we can use

$$((f,g)) = (\nabla f, \nabla g) + (\varrho f, g)$$

as a scalar product in  $F(O) = F_0(O)$  or  $F_1(O)$ . Then, there is a compact, selfadjoint and linear transformation G defined in F(O) such that

$$(\varrho f, g) = ((Gf, g))$$

for all f and g in F(O).

From a theorem of Hilbert we have that

- (a) F(O) has an orthonormal basis, consisting of eigenfunctions of G;
- (b) every eigenvalue  $\mu$  of G is positive and every  $\mu \neq 0$  has finite multiplicity; the eigenvalues are enumerable and 0 is the only possible limit point.

If  $G\varphi = \mu\varphi$ , it follows that

$$(\varrho\varphi, g) = \mu(\nabla\varphi, \nabla g) + \mu(\varrho\varphi, g) \text{ when } g \in F(O),$$

and from this by Green's formula that

$$\Delta \varphi + \lambda_0 \varphi = 0 \tag{9}$$

$$\lambda = (1 - \mu)/\mu, \tag{10}$$

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with

where  $\Delta$  is the Laplace operator, taken in the weak sense. Further, if  $F(O) = F_1(O)$ , it follows that the normal derivative of  $\varphi$  vanishes at the boundary and, if  $F(O) = F_0(O)$ , that  $\varphi$  itself vanishes at the boundary. We shall in the following always interpret (9) in terms of the operator G, and  $\lambda$  and  $\mu$  shall be connected by (10).

Our aim is to prove the asymptotic formula (1), using the well-known Weyl-Courant principle.

#### Weyl-Courant's principle

Let  $\Omega$  be a permitted set and  $\varrho$  a permitted function in  $\Omega$ , and let  $(\Omega_j)_{j=0}^{\infty}$ be a division of  $\Omega$  into permitted open subsets (their closures cover the closure of  $\Omega$ ). It is clear that  $\varrho$  is permitted in  $\Omega_j$  unless (4) fails to hold in  $\Omega_j$ . Let  $(\Omega_j)_{j=0}^{\beta}$  be the sets for which this does not happen, and let the function  $\sigma \ge 0$ satisfy (5) in  $\Omega$ . Put

$$H=\sum_{j=0}^{s}\oplus F(\Omega_{j}),$$

where  $F(\Omega_j) = F_0(\Omega_j)$  or  $F_1(\Omega_j)$ , and introduce the notations

$$(f,g) = \sum_{j=0}^{s} (f,g)_{\Omega_j}, \quad (\nabla f,\nabla g) = \sum_{j=0}^{s} (\nabla f,\nabla g)_{\Omega_j},$$
$$(\varrho f,g) = \sum_{j=0}^{s} (\varrho f,g)_{\Omega_j}, \quad (\sigma f,g) = \sum_{j=0}^{s} (\sigma f,g)_{\Omega_j}.$$

As a scalar product in the Hilbert space H we use

$$((f,g)) = (\nabla f, \nabla g) + (\varrho f, g) + (\sigma f, g).$$

It is clear that  $(of, f) \leq ((f, f))$  is compact in H, and hence

$$(\varrho f, g) = ((Gf, g)), \quad (f, Gf, g \in H)$$

defines a compact, self-adjoint and linear transformation G from H to H such that 1 > G > 0. An eigenfunction  $\varphi$  of G with the eigenvalue

$$\mu = (1 + \lambda)^{-1}$$
  
satisfies  
$$\Delta \varphi + (\lambda \rho - \sigma) \varphi = 0$$

in every  $\Omega_j$ , and its normal derivative vanishes at the boundary if  $F(\Omega_j) = F_1(\Omega_j)$ . Otherwise  $\varphi \in F_0(\Omega_j)$  vanishes itself at the boundary. If f = 0 except in one  $\Omega_j$ ,

Gf has the same property. Hence G is the direct sum of its restrictions  $G_i$  to  $\Omega_j, 0 \leq j \leq s$ . By the spectral theorem,  $F(\Omega_j)$  has an orthonormal basis consisting of eigenfunctions of  $G_{j}, 0 \leq j \leq s$ , and since these are also eigenfunctions of G and constitute an orthonormal basis of H, we have, provided every eigenvalue is counted with its multiplicity.

**Theorem 2.** The eigenvalues of G are the union of the eigenvalues of the  $G_j$ .

Now let  $(\varphi_i)_1^{\infty}$  be a complete orthonormal set of eigenfunctions of G and  $(\mu_i)_1^\infty$  the corresponding eigenvalues, ordered so that  $\mu_1 \ge \mu_2 \ge \dots$ . Then, if

$$\mu_j = (\mathbf{1} + \lambda_j)^{-1},$$

we have  $\lambda_1 \leq \lambda_2 \leq \dots$  Let

$$N(\lambda) = N(\lambda, \varrho, \sigma, H) = \sum_{\lambda_j < \lambda} 1$$

be the number of eigenvalues below  $\lambda$ . We have

**Theorem 3.**  $N(\lambda, \varrho, \sigma, H)$  is a non-decreasing function of  $\varrho$  and H and a nonincreasing function of  $\sigma$ .

*Proof.* It suffices to prove that  $\lambda_j(\varrho, \sigma, H)$  has the reverse properties. The minimum-maximum principle gives

$$\mu_j = \mu_j(\varrho, \sigma, H) = \inf_{L} \sup_{f \in L} (\varrho f, f) / ((f, f)),$$

where L runs through all subspaces of H of codimension < j. Since

 $\mu_j = (1+\lambda_i)^{-1},$ 

we get 
$$\lambda_j = \lambda_j(\varrho, \sigma, H) = \sup_L \inf_{f \in L} (|\nabla f|^2 + (\sigma f, f))/(\varrho f, f).$$

Hence, it is clear that  $\lambda_j$  is a non-increasing function of  $\rho$  and a non-decreasing function of  $\sigma$ . Next, let  $H' \supset H$  be of the same type as H. Since cod L < j in H, there is a subspace  $M' \subset H'$  of dimension  $\langle j \rangle$  such that  $f \in H$  and  $f \perp M'$ implies  $f \in L$ . Hence,

$$\lambda_j(\varrho, \sigma, H) = \sup_{M'} \alpha(M'),$$

where  $\alpha(M') = \inf (|\nabla f|^2 + (\sigma f, f))/(\varrho f, f)$  when  $f \in H$  and  $f \perp M'$ . Replacing H by H', we get a new function

$$lpha'(M') \leqslant lpha(M').$$
  
 $\sup_{M'} lpha'(M') = \lambda_j(arrho, \sigma, H'),$ 

Since

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$$\lambda_j(\varrho, \sigma, H) \ge \lambda_j(\varrho, \sigma, H').$$

This completes the proof.

We conclude this section by proving two lemmas, which will be used later.

Lemma 1. If  $\varrho \ge 1$  is a permitted function in a permitted set O, then

$$N(\lambda, \varrho, 0, F_1(O)) \leq N((1+v) \lambda + v, \varrho, \sigma, F_1(O)),$$

where

$$v = C |\sigma|_{m/2}$$

with C depending only on m and O.

Proof. By Hölder's inequality, (3) and (5),

$$(\sigma f,f) \leq C |\sigma|_{m/2} (|\nabla f|^2 + |f|^2).$$

Hence, since  $\varrho \ge 1$  in O,

$$(\left|\nabla f^{2}\right| + (\sigma f, f))/(\varrho f, f) \leq (1 + v) \left|\nabla f\right|^{2}/(\varrho f, f) + v.$$

Consequently, with L running through all subspaces of  $F_1(O)$  of codimension < j,

$$\lambda_{j}(\varrho, \sigma, F_{1}(O)) = \sup_{L} \inf_{f \in L} \left( |\nabla f|^{2} + (\sigma f, f)) / (\varrho f, f) \right)$$
  
$$\leq \sup_{L} \inf_{f \in L} \left( (1+v) |\nabla f|^{2} / (\varrho f, f) + v \right) = (1+v) \lambda_{j}(\varrho, 0, F_{1}(O)) + v.$$

Thus,  $\lambda_j(\varrho, 0, F_1(O)) < \lambda$  implies  $\lambda_j(\varrho, \sigma, F_1(O)) < (1+v) \lambda + v$  and the lemma follows.

**Lemma 2.** If  $G\varphi = \mu\varphi$  and  $\mu = (1 + \lambda)^{-1}$ , then the support of  $\varphi$  cannot be contained in the set where  $\lambda \rho - \sigma < 0$ .

Proof. 
$$G\varphi = \mu\varphi \text{ gives } (\varrho\varphi, \varphi) = \mu((\varphi, \varphi)), \text{ i.e.}$$
  
 $|\nabla\varphi|^2 = ((\lambda \varrho - \sigma) \varphi, \varphi),$ 

and hence we have the lemma.

# The asymptotic formula

The case, where  $\Omega$  is a *n*-dimensional rectangle,  $\varrho$  a constant and  $H = F_0(\Omega)$  or  $F_1(\Omega)$ , is classical. Since  $(\nabla f, \nabla g)$  is invariant under translations and orthogonal transformations, we can assume that

$$\Omega = (x; 0 < x_k < a_k, 1 \leq k \leq n).$$

Then the eigenfunctions are

$$\prod_{j=1}^n \sin \pi l_j x_j a_j^{-1}, \quad \text{if} \quad H = F_0(\Omega),$$

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and 
$$\prod_{j=1}^{n} \cos \pi l_j x_j a_j^{-1}, \quad \text{if} \quad H = F_1(\Omega),$$

where  $l_j = 1, 2, 3, ...$  in the first and 0, 1, 2, 3, ... in the second case. Thus, the eigenvalues are

$$\pi^2 \, \varrho^{-1} \sum_{j=1}^n \, (l_j / a_j)^2$$

which gives

$$N(\lambda, \varrho, 0, H) = (2\pi)^{-n} w_n \int_{\Omega} (\lambda \varrho)^{n/2} + O(\lambda^{(n-1)/2}),$$

where  $w_n$  is the volume of the *n*-dimensional unit sphere,  $(w_1 = 2)$ . This estimate will be used later. We shall also need

**Lemma 3.** If  $a_i \leq a$  and  $a_l \leq b$  when  $l \neq i$ , then

$$N(\lambda, \varrho, 0, F_1(\Omega)) \leq 2^{n-1} (1 + b^{n-1} (\lambda \varrho)^{(n-1)/2}) (1 + a(\lambda \varrho)^{\frac{1}{2}}).$$

Proof. The number of non-negative integral solutions of

$$\sum_{j=1}^{n} (l_j/a_j)^2 < \pi^{-2} \varrho \lambda$$
$$\prod_{j=1}^{n} (1 + \pi^{-1} a_j (\varrho \lambda)^{\frac{1}{2}})$$

is majorized by

so that the lemma follows.

Now, to simplify the notations, write

$$\begin{split} \bar{N}(\varrho, \sigma, H) &= \lim \ \sup \ \lambda^{-n/2} \ N(\lambda, \varrho, \sigma, H), \quad (\lambda \to \infty), \\ \underline{N}(\varrho, \sigma, H) &= \lim \ \inf \ \lambda^{-n/2} \ N(\lambda, \varrho, \sigma, H), \quad (\lambda \to \infty) \end{split}$$

and  $N(\varrho, \sigma, H) = \overline{N} = N$  when the limits are equal. Also, put

$$M(\varrho, \Omega) = (2\pi)^{-n} w_n \int_{\Omega} \varrho^{n/2}.$$
$$\Omega = (\Omega_j)_{j=0}^p$$

When

is a sum of rectangles, and  $\underline{\varrho}$  and  $\overline{\varrho}$  are constants in these rectangles such that  $\underline{\varrho} \leq \underline{\varrho}$  and  $0 < \overline{\varrho} \geq \underline{\varrho}$ , Theorem 2 and Theorem 3 give

$$\Sigma' N(\lambda, \underline{\varrho}, 0, F_0(\Omega_j)) \leq N(\lambda, \varrho, 0, F_0(\Omega)) \leq N(\lambda, \varrho, 0, F_1(\Omega)) \leq \Sigma N(\lambda, \overline{\varrho}, 0, F_1(\Omega_j)),$$

where  $\Sigma'$  denotes that we only sum over such j that  $\rho > 0$  in  $\Omega_j$ . Hence,

$$M(\varrho, \Omega) \leq \underline{N}(\varrho, 0, F_0(\Omega)) \leq \overline{N}(\varrho, 0, F_1(\Omega)) \leq M(\overline{\varrho}, \Omega).$$

If  $\rho$  is bounded and Jordan measurable, the first and the last term can be made arbitrarily close by choosing a fine subdivision of  $\Omega$ , and hence

$$N(\varrho, 0, F) = M(\varrho, \Omega), \tag{11}$$
  
$$F = F_0(\Omega) \text{ or } F_1(\Omega).$$

where

This is the asymptotic formula in this very regular case. We shall see that the same formula holds also when  $\rho$  has moderate singularities, more precisely, if

- (a)  $\Omega$  is a finite sum of rectangles,
- (b)  $\rho \ge 0$ ,  $\int_{\Omega} \rho \ge 0$  and  $\rho$  is bounded except for a finite number of singular points y in  $\Omega$ , where

$$\varrho(x) = O(|x - y|^{-2\beta})$$
(12)

with  $0 < \beta < 1$  when  $n \ge 2$ , and  $0 < \beta < \frac{1}{2}$  when n = 1,

and

(c)  $\rho$  is Jordan measurable.

For convenience, the norm |x| is defined as  $\max_j |x_j|$ . We have made the first assumption, since we are interested only in the singularities of the function  $\varrho$  and not in the complications that arise from the boundary. For generalization to more general regions we refer to [1]. The third condition implies that  $\varrho^{n/2}$  is Riemann integrable.

Now, let  $\Omega_0$  be such a sum of rectangular neighbourhoods of the y that  $\Omega - \Omega_0$  is also a sum of rectangles. Then we have by Theorem 2 and Theorem 3

$$\begin{split} N(\lambda, \varrho, 0, F_0(\Omega - \Omega_0)) &\leq N(\lambda, \varrho, 0, F_0(\Omega)) \leq N(\lambda, \varrho, 0, F_1(\Omega)) \leq N(\lambda, \varrho, 0, F_1(\Omega_0)) \\ &+ N(\lambda, \varrho, 0, F_1(\Omega - \Omega_0)) \end{split}$$

and hence

$$M(\varrho, \Omega - \Omega_0) \leq \underline{N}(\varrho, 0, F_0(\Omega)) \leq \overline{N}(\varrho, 0, F_1(\Omega) \leq \overline{N}(\varrho, 0, F_1(\Omega_0)) + M(\varrho, \Omega - \Omega_0).$$

Here we see that in order that

$$N(\varrho, 0, F(\Omega)) = M(\varrho, \Omega)$$

with  $F = F_0$  or  $F_1$ , it is sufficient to prove that

$$\bar{N}(\varrho, 0, F_1(\Omega_0)) \rightarrow 0$$

as the diameter of  $\Omega_0$  tends to zero. Hence, if

 $D_t$  stands for the cube |x| < t

we have, putting

$$\xi(x) = |x|^{-2\beta},$$

that it suffices to show that

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$$\vec{N}(\xi, 0, F_1(D_r)) \to 0 \quad \text{when } r \to 0.$$

$$N(\lambda) = N(\lambda, \xi, 0, F_1(D)),$$
(13)

Consider  $N(\lambda) = N(\lambda, \xi)$ 

where  $D = D_r$ . When  $\xi \ge 1$  in D and  $\sigma \ge 0$  satisfies (5), we have according to Lemma 1  $N(2 \ne 0 = F(D)) \le N((1 + v) \lambda + v, \xi, \sigma, F, (D)).$ 

$$N(\lambda, \xi, 0, F_1(D)) \leq N((1+v)\lambda + v, \xi, \sigma, F_1(D))$$
$$v = C |\sigma|_{m/2}$$

where

with C depending on m and D and hence on r.

We put 
$$\sigma(x) = |x|^{-2(\beta+\varepsilon)}$$

 $(\beta < \beta + \varepsilon < 1 \text{ when } n \ge 2, \beta < \beta + \varepsilon < \frac{1}{2} \text{ when } n = 1)$ 

inside a cube D' with its centre at the origin and

 $\sigma(x) = 0$ 

outside, and choose D' so small that  $v \leq 1$ . Then

$$N(\lambda, \xi, 0, F_1(D)) \leq N(\lambda', \xi, \sigma, F_1(D)),$$

where  $\lambda' = 2\lambda + 1$ . Consider  $D_s \subset D$ . By Theorem 2 and Theorem 3

$$N(\lambda',\xi,\sigma,F_1(D)) \leq N(\lambda',\xi,0,F_1(D-D_s)) + N(\lambda',\xi,\sigma,F_1(D_s)).$$
(14)

Now determine  $s = s(\lambda)$  so that  $D_s \subset D'$  and

$$\lambda' \xi - \sigma < 0 \text{ in } D_s.$$
  
$$\lambda' = 2\lambda + 1 = s^{-2t}$$
(15)

and  $\lambda$  is sufficiently large.

This is possible, if e.g.

Then, by Lemma 2, the second term on the right side of (14) vanishes, and we get

$$N(\lambda) \leq N(\lambda', \xi, 0, F_1(D-D_s)).$$

Now it is easy to estimate the right side.

We choose p numbers such that

$$r=r_0>r_1>\ldots r_p=s.$$

A more precise choise will be made later. Put  $D_j = D_{r_j}$ . Then by Theorem 2 and Theorem 3,

$$N(\lambda) \leq \sum_{j=0}^{p-1} N(\lambda', \xi, 0, F_1(D_j - D_{j+1})).$$
(16)

Now  $D_j - D_{j+1}$  is obviously a sum of a fixed number of rectangular regions of diameter  $\leq r_j$ , having one side equal to  $(r_j - r_{j+1})$ . Further,  $\xi \leq r_{j+1}^{-2\beta}$  in  $D_j - D_{j+1}$ . Hence, by (15), (16), Lemma 3 and Theorem 3, there is a constant C such that

$$N(\lambda) \leq C \sum_{j=0}^{p-1} (1 + r_j^{n-1} r_{j+1}^{-\beta(n-1)} \lambda^{(n-1)/2}) (1 + r_{j+1}^{-\beta} (r_j - r_{j+1}) \lambda^{\frac{1}{2}})$$

and hence

$$\lambda^{-n/2} N(\lambda) \leq C \sum_{j=0}^{p-1} \left( (\lambda)^{-(n+1)/2} + r_j^{n-1} r_{j+1}^{-\beta(n-1)} \right) \left( (\lambda)^{-\frac{1}{2}} + r_{j+1}^{-\beta} (r_j - r_{j+1}) \right).$$

By virtue of (15) we may write

$$\lambda^{-n/2} N(\lambda) \leq C \sum_{j=0}^{p-1} (s^{(n-1)e} + r_j^{n-1} r_{j+1}^{-\beta(n-1)}) (s^e + r_{j+1}^{-\beta} (r_j - r_{j+1}))$$

provided we increase the constant.

We now choose the numbers  $r_i$  so that

$$2 \leq r_j / r_{j+1} \leq 4 \quad \text{for all } j. \tag{17}$$

It is easy to see that this is always possible if s < r/2. Then we have

$$s^{\epsilon}p \to 0 \text{ as } s \to 0.$$
 (18)

Further, (17) gives, with still another C,

$$\lambda^{-n/2} N(\lambda) \leq C \sum_{j=0}^{p-1} \left( s^{(n-1)\epsilon} + r_j^{(n-1)(1-\beta)} \right) \left( s^{\epsilon} + r_j^{-\beta} \left( r_j - r_{j+1} \right) \right)$$

 $s = r_p \leq 2^{-p} r$ 

Since  $1-\beta > 0$ , we obtain the following majorant for the right side

$$C(s^{(n-1)\varepsilon}+r^{(n-1)(1-\beta)})\left(s^{\varepsilon}p+\int_{0}^{r}t^{-\beta}\,dt\right),$$

and hence by (18)

$$\lim \sup \lambda^{-n/2} N(\lambda) \leq C r^{(n-1)(1-\beta)} \int_0^r t^{-\beta} dt = O(r^{n(1-\beta)}),$$

which tends to zero with r and the proof is finished.

*Remark.* Using the Weyl-Courant principle and the fact that  $\xi$  is homogeneous of order  $-2\beta$ , it is easy to see that

$$\lambda_j(\xi, 0, F_1(D_r)) = r^{2(\beta-1)} \lambda_j(\xi, 0, F_1(D_1))$$

This gives

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$$N(\lambda, \xi, 0, F_1(D_r)) = N(r^{2(1-\beta)}\lambda, \xi, 0, F_1(D_1)).$$

Hence (13) is a consequence of

$$\bar{N}(\xi, O, F_1(D_1)) < \infty,$$

and this follows if we put r=1 in the proof above.

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