On a strong form of spectral synthesis

BY JOHN E. GILBERT

When G is a locally compact abelian group with character group Γ , the Fourier Transform of a function f in the group algebra $L^1(G)$ is defined by

$$f(\lambda) = \int_{\alpha} f(x) \overline{(x, \lambda)} dx \quad (\lambda \in \Gamma),$$

and, for a given closed set Ω in Γ , $I(\Omega)$ denotes the closed ideal in $L^1(G)$ of all functions for which $\hat{f} = 0$ on Ω . Following Wik ([8], p. 56) we say that

1. Ω is a Ditkin set if to each $f \in I(\Omega)$ there corresponds a sequence $\{\mu_n\} \subset I(\Omega)$ where $\hat{\mu}_n = 0$ in a neighbourhood of Ω and $||f - \mu_n \times f|| \to 0$ as $n \to \infty$.

2. Ω is a Strong Ditkin set if the sequence $\{\mu_n\}$ in condition 1 can be chosen independently of $f \in I(\Omega)$.

If $\Sigma_d(\Gamma)$ denotes the discrete coset-ring of Γ , i.e., the Boolean algebra generated by cosets of all subgroups of Γ whether closed or not, we prove in this note:

Theorem 1. Let Γ be a separable, metrizable group. Then each closed subset Ω of Γ in $\Sigma_d(\Gamma)$ is a Strong Ditkin set.

Using Theorem 1 we obtain immediately the converse of a result of Rosenthal ([6], Theorem 3.1, p. 187) completing the characterization of Strong Ditkin sets in \mathbb{R}^n , \mathbb{T}^n , ... without interior points.

Theorem 2. Let $\Gamma = \mathbb{R}^n$, T^n or any compact, metrizable group such that the union of all of its finite subgroups is everywhere dense. Then a closed set $\Omega \subset \Gamma$ having no interior points is a Strong Ditkin set if and only if $\Omega \in \Sigma_d(\Gamma)$.

The principal step in the proof of Theorem 1 is the description of closed sets in $\Sigma_d(\Gamma)$: every closed subset Ω of Γ (not necessarily separable, metrizable) in $\Sigma_d(\Gamma)$ is the finite union of sets of the form $\lambda(\Pi \setminus \Delta)$ where $\lambda \in \Gamma^1$, Π is a closed subgroup of Γ and Δ belongs to the coset-ring $\Sigma(\Pi)$ of Π (Gilbert [1], Theorem 3.1); conversely, every such union is a closed subset of Γ in $\Sigma_d(\Gamma)$. Thus, in \mathbb{R}^n for example, a closed set Ω without interior points is a Strong Ditkin set precisely when

$$\Omega = \boldsymbol{F} \cup \left(\bigcup_{i=1}^{m} \Omega_{i}\right) \tag{1}$$

¹ The group operation in all groups is written multiplicatively.

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with F a finite (possibly empty) set and Ω_i an affine transformation of a set Φ_i differing from $R^p \times a_1 Z \times ... \times a_q Z$ by at most finitely many cosets of R^p (here $0 \leq p = p(i) \leq n$, $p + q \leq n$, $a_j \in R$ and R^0 is interpreted as the trivial subgroup $\{0\}$). The examples given by Wik and Rosenthal follow easily from (1) as do the examples given by Rosenthal for sets which fail to be Strong Ditkin sets ([6], pp. 187, 8).

First we introduce some notation: for a closed subgroup H of G the norm on the group algebra $L^1(H)$ is written $\|(\cdot)\|_H$ while that on $L^1(G)$ or on the measure algebra M(G) is written $\|(\cdot)\|$. The Haar measure on G/H is adjusted so that

$$\int_{G} k(x) dx = \int_{G/H} \left(\int_{H} k(x\xi) d\xi \right) dx' \quad (x' = xH \in G/H)$$

for every k in the space $\mathcal{K}(G)$ of continuous functions with compact support in G. The mapping $f \to f'$ defined by

$$f'(x') = \int_{H} f(x\xi) d\xi \quad (x' \in G/H),$$

is norm decreasing homomorphism from $L^1(G)$ onto $L^1(G/H)$ (cf. Reiter [2], p. 415) which can be extended to the respective measure algebras M(G), M(G/H) (Rudin [7], Theorem 2.7.2; Reiter [5]). The Fourier Transform of f' is the restriction of \hat{f} to the annihilator group of H in Γ .

Proof of Theorem 1. In view of the known structure of closed sets in $\Sigma_d(\Gamma)$ and the fact that finite unions of Strong Ditkin sets are again Strong Ditkin sets (Wik [8], Theorem 3) we need only show that a set of the form $\Pi \setminus \Delta$, $\Delta \in \Sigma(\Pi)$, is a Strong Ditkin set in Γ . For then, certainly, any translate $\lambda(\Pi \setminus \Delta)$ and hence any closed set $\Omega \in \Sigma_d(\Gamma)$ is a Strong Ditkin set. Since already we know that any $\Omega \in \Sigma_d(\Gamma)$ is a Ditkin set (Gilbert [1], Theorem 3.9), given any $\varepsilon > 0$ and $g \in I(\Omega)$, there exists $g_{\varepsilon} \in I(\Omega)$ such that

(a)
$$\hat{g}_{\varepsilon} = 0$$
 in a neighbourhood of Ω , (b) $\|g - g_{\varepsilon}\| < \varepsilon$. (2)

Now let $\Omega = \Pi \setminus \Delta$, $\Delta \in \Sigma(\Pi)$. When *H* is the annihilator group of Π in *G*, let μ_{Ω} be the idempotent measure in the measure algebra M(G/H) whose Fourier-Stieltjes Transform is the characteristic function of Δ (as a subset of Π). Further, let μ be any measure in M(G) for which the restriction to Π of the Fourier-Stieltjes Transform of μ coincides with that of μ_{Ω} . Now assume for the moment that the following result has been proved.

Lemma 3. For each A > 1, there is a sequence $\{\tau_n\} \subset M(G)$ such that

- (i) $\|\tau_n\| \leq A$,
- (ii) the Fourier-Stieltjes Transform of τ_n is 1 in some neighbourhood of Π ,
- (iii) for any $f \in L^1(G)$,

$$\lim_{n\to\infty}\int_{G}|\tau_n \times f|dx \leq A\int_{G/H}|f'(x')|dx'.$$
(3)

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Under the conditions of Γ there is a sequence $\{\delta_n\} \subset L^1(G)$ forming an approximate identity i.e., $\|\delta_n\| = 1$ and

$$||f - \delta_n \star f|| \to 0 \quad \text{as} \quad n \to \infty \quad (f \in L^1(G)).$$
 (4)

Since, clearly, $\mu \neq \delta_n \in I(\Omega)$, choose a sequence $\{v_n\} \subset I(\Omega)$ for which

(a)
$$\hat{v}_n = 0$$
 in a neighbourhood of Ω , (b) $||v_n - \mu \neq \delta_n|| \le 1/n$. (5)

Setting

$$\mu_n = \delta_n - (\tau_n \star \delta_n - \tau_n \star \nu_n) \quad (n = 1, 2, ...)$$

we can soon check that $\hat{\mu}_n = 0$ in some neighbourhood of Ω . On the other hand, for any $f \in L^1(G)$,

$$\|f-\mu_n \star f\| = \|f-\delta_n \star f+\tau_n \star (\delta_n \star f-\nu_n \star f)\|$$

$$\leq \|f-\delta_n \star f\| + \|\tau_n \star (f-\mu \star f)\| + A\|f\| \cdot \|\nu_n - \mu \star \delta_n\|,$$

i.e., by (3), (4), (5)

$$\lim_{n \to \infty} \left\| f - \mu_n \star f \right\| \leq A \int_{G/H} \left| f' - \mu' \star f' \right| dx'.$$
(6)

But $\mu' = \mu_{\Omega}$ and, if $f \in I(\Omega)$, then $\mu_{\Omega} \times f' = f'$, for clearly when $I_{H}(\Omega)$ is the closed ideal in $L^{1}(G/H)$ of functions whose Fourier Transforms vanish on Ω (as a subset of Π),

 $f' \in I_H(\Omega), \quad I_H(\Omega) = \mu_\Omega \times L^1(G/H)$

(cf. [4], p. 561). Hence, by (6), if $f \in I(\Omega)$, $\lim_{n \to \infty} ||f - \mu_n \times f|| = 0$ which proves that Ω is a Strong Ditkin set.

Remarks. Lemma 3 is only a mild reworking of a generalization by Reiter (unpublished, but see Reiter [3], Lemma 2) of a result of Calderon (cf. Rudin [7], Theorem 2.7.5). From Reiter's version of Lemma 3 it follows, in particular, that if $\Omega \subset \Pi$ is a Ditkin set in Π then Ω is a Ditkin set in Γ . Theorem 1 shows, in effect, that a similar result holds with Ditkin set replaced by Strong Ditkin set at least when $\Omega \in \Sigma(\Pi)$. In general, however, the result fails for, as Rosenthal points out, a finite interval in \mathbb{R}^1 is a Strong Ditkin set in \mathbb{R}^1 but it cannot be a Strong Ditkin set in \mathbb{R}^2 since it then has no interior points and does not belong to the discrete cosetring $\Sigma_d(\mathbb{R}^2)$. Notice that, even so, it is a Ditkin set in \mathbb{R}^2 . It is, perhaps, worth noting that the proof of Theorem 1 for an arbitrary Strong Ditkin set fails because the rate at which

$$\lim_{n\to\infty} \left(\|\tau_n \times f\| - A \int_{G/H} |f'(x')| \, dx' \right)$$

becomes 0 or negative depends on f and it should be clear that the sequence $\{\mu_n\}$ cannot always be chosen independently of $f \in I(\Omega)$.

Proof of Lemma 3. When $\{K_n\}$ is an increasing sequence of compact symmetric sets in H for which $\bigcup_n K_n = H$, let $\{U_n\}$ be a nested sequence of symmetric neighbourhoods of the identity e in Γ/H^1 shrinking to e and such that |1-(x, y)| < 1/n, $x \in K_n$, $\gamma \in U_n$. Following the construction of approximate units in $L^1(H)$ as in Reiter ([2], p. 405) we obtain, for each A > 1, a sequence $\{\tau_n\} \subset L^1(H)$ satisfying

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(i)
$$\int_{H} |\tau_n(\xi)| d\xi \leq A,$$

(ii) $\hat{\tau}_n = 1$ in a neighbourhood of e in Γ/Π ,

(iii)
$$\sup_{\eta \in K_n} \int_H |\tau_n(\eta^{-1}\xi) - \tau_n(\xi)| d\xi < 2A/n.$$

When each τ_n is regarded as a measure on G, the first two of these conditions give the corresponding conditions (i), (ii) of the lemma. For condition (iii) suppose first that (3) has been established for each $k \in \mathcal{K}(G)$. Then, by (i), for any $\varepsilon > 0$ and $k \in \mathcal{K}(G)$ satisfying $||f-k|| < \varepsilon$,

$$egin{aligned} &\lim_{n o\infty} \int_G |\, au_n imes f \,|\, dx \leqslant \lim_{n o\infty} \left\{ \int_G |\, au_n imes k\, dx + \|\, f - k\,\|,\,\,\|\, au_n\,\|_H
ight\} \ &\leqslant Aigg(\int_{G/H} |\, f'(x')\,|\, dx' + \int_{G/H} |\, f'-k'\,|\, dx + arepsilonigg) \ &\leqslant Aigg(\int_{G/H} |\, f'(x')\,|\, dx'igg) + 2Aarepsilon, \end{aligned}$$

i.e., (3) then holds for f since ε was arbitrary. Now only minor modifications are required of the second and third steps in Reiter's proof of his Lemma 2 in [3] to obtain (3) for $k \in \mathcal{K}(G)$. For clearly

$$\|k_{x} \times \tau_{n}\|_{H} \leq \int_{H} \left| \int_{H} k_{x}(\eta) \left\{ \tau_{n}(\eta^{-1}\xi) - \tau(\xi) \right\} d\eta \left| d\xi + A \right| \int_{H} k(x\eta) d\eta \right|$$
$$\leq A \left| \int_{H} k(x\eta) d\eta \right| + (2A/n) \|k_{x}\|_{H}$$
(7)

for all sufficiently large n since the function $k(x\eta)$ vanishes outside some fixed compact set as x ranges over the support of k and η over H (in fact, the compact set $C^{-1} \cdot C \cap H$ where C is the support of K). As both sides of (7) are functions on G/H we may integrate both sides with respect to dx' obtaining

$$\begin{split} \int_{G/H} \|k_x \times \tau_n\|_H dx &= \int_{G/H} \left\{ \int_H \left| \int_H k_x(\eta) \tau_n(\eta^{-1}\xi) \, d\eta \right\} dx \\ &= \int_{G/H} \left\{ \int_H \left| \int_H k(x\xi\eta) \tau_n(\eta^{-1}) \, d\eta \right| d\xi \right\} dx \\ &= \int_G \left| \int_H k(x\eta) \tau_n(\eta^{-1}) \, d\eta \right| dx = \|\tau_n \times k\| \\ &\leq A \int_{G/H} |k'(x')| \, dx' + (2A/n) \int_G |k(x)| \, dx. \end{split}$$

Taking the limit as $n \to \infty$ we obtain (3). This completes the proof of the lemma.

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