## Interpolation by Lipschitz holomorphic functions\*

Boguslaw Tomaszewski

## Introduction

Let  $\mathbb{C}^d$  be d-dimensional complex space (d>1) with norm  $|z| = (|z_1|^2 + ... + |z_d|^2)^{1/2}$ and unit ball  $B = \{z \in \mathbb{C}^d : |z| < 1\}$ . By  $\mu$  we shall denote the rotation-invariant, normalized Borel measure on  $S = \partial B$  and by C(S) — the space of continuous functions on S. If  $f \in C(S)$  has a continuous extension  $\tilde{f} : \bar{B} \to \mathbb{C}$ , holomorphic on B, then we shall write  $f \in A(B)$ . We shall denote CA = S - A for  $A \subset S$  and by  $[z_1, z_2]$  — any shortest path on S joining  $z_1$  with  $z_2$   $(z_1, z_2 \in S)$ . Let  $\varrho(z_1, z_2)$ be the length of a path  $[z_1, z_2]$ , let  $q(z_1, z_2) = |1 - \langle z_1, z_2 \rangle|$  and let K(z, r) = $\{\xi \in S : q(z, \xi) < r\}$  ( $\langle z_1, z_2 \rangle$  be the scalar product of the vectors  $z_1$  and  $z_2$ ). We say that  $f \in \text{Lip } \alpha$ , where  $0 < \alpha \leq 1$ , if  $f \in C(S)$  and there exists a constant C such that

for  $z, \xi \in S$ .

$$|f(z)-f(\xi)| \leq C\varrho(z,\xi)$$

Aleksandrov proved [2] that for every real function  $g \in C(S)$  and for every  $\varepsilon > 0$ there exist functions  $f \in A(B)$  such that  $\operatorname{Re} f \leq g$  and  $\mu(\{z \in S : \operatorname{Re} f(z) = g(z)\}) \geq 1 - \varepsilon$ . Sibony proved [4] that if  $f \in A(B) \cap \operatorname{Lip} \alpha$  is a nonconstant function with norm  $\|f\|_{\infty} \leq 1$ , then  $\mu(\{z \in S : |f(z)| = 1\}) = 0$ . This theorem was strengthened by Henkin (see [3] sect. 11.4), who obtained the following result: If  $f \in A(B) \cap \operatorname{Lip} \alpha$  is a nonconstant function such that  $\operatorname{Re} f \leq 0$  and  $1 \geq \alpha > 1/2$ , then  $\mu(\{z \in S : \operatorname{Re} f(z) = 0\}) = 0$ . It is still an open problem, if the assumption  $1 \geq \alpha > 1/2$  can be replaced by a weaker condition  $1 \geq \alpha > b$ , where b < 1/2. We shall show that b has to be positive:

**Theorem.** For every  $\varepsilon > 0$  there exists  $\alpha > 0$  such that for every real function  $g \in \text{Lip } 1$  it is possible to find nonconstant functions  $f \in A(B) \cap \text{Lip } \alpha$  such that  $\text{Re } f \leq g$  on S, and

$$\mu(\{z \in S: \operatorname{Re} f(z) = g(z)\}) \geq 1 - \varepsilon.$$

<sup>\*</sup> This research was partially supported by National Science Foundation Grant MCS 8100782.

**Corollary 1.** For every  $\varepsilon > 0$  there exists  $\alpha > 0$  such that for every function  $g \in \text{Lip } 1, g > 0$  there exist nonconstant functions  $f \in A(B) \cap \text{Lip } \alpha$  such that  $|f(z)| \leq g(z)$  for  $z \in S$ , and

$$\mu(\{z\in S\colon |f(z)|=g(z)\})\geq 1-\varepsilon.$$

*Proof.* Define  $\tilde{g} = \log(g)$  and apply the Theorem to the function  $\tilde{g}$  instead of g. We shall get some functions  $\tilde{f} \in A(B)$ . The functions  $e^{\tilde{f}}$  will satisfy the assertion of Corollary 1.

**Corollary 2.** There exists  $\alpha > 0$  such that for every  $\varepsilon > 0$  it is possible to find nonconstant functions  $f \in A(B) \cap \text{Lip } \alpha$  such that  $|| f ||_{\infty} \leq 1$  and

$$\mu(\{z \in S: |f(z)| = 1\}) \ge 1 - \varepsilon.$$

**Proof.** Let us apply the assertion of Corollary 1 for  $\varepsilon_0 = 1/2$  and  $g \equiv 1$ . We shall get functions  $f \in A(B) \cap \text{Lip } \alpha$ , for some  $\alpha > 0$ , such that  $|f(z)| \leq 1$  for  $z \in S$ , and  $\mu(E) \geq 1/2$ , where  $E = \{z \in S : |f(z)| = 1\}$ . Let  $u = P[\chi_E]$  be the Poisson integral of the characteristic function of the set E. Let us fix  $\varepsilon > 0$ . Then  $u(a) > 1 - \varepsilon$  for some point  $a \in B$ . Let  $\psi \in \text{Aut}(B)$  be an automorphism of the ball B such that  $\psi(0) = a$  and let  $F = f \circ \psi$ . Then  $\chi_E \circ \psi = \chi_K$ , where  $K = \{z \in S : |F(z)| = 1\}$ . Moreover

$$\mu(K) = \int_{S} \chi_{K} d\mu = \int_{S} \chi_{E} \circ \psi d\mu = P[\chi_{E} \circ \psi](0) = P(\chi_{E})(\psi(0)) = u(a) \ge 1 - \varepsilon.$$

Also  $F \in A(B) \cap \text{Lip } \alpha$  and  $|F| \leq 1$  on S. This ends the proof of Corollary 2.

To prove the assertion of the Theorem, we shall need the following lemmas:

**Lemma 1** (Aleksandrov). Let  $a, N>0, 0 . There exists a number <math>r_0>0$ and  $\sigma = \sigma(a, N, p, d) > 0$  such that for every number  $r < r_0$  and  $K(\xi, r)$  ( $\xi \in S$ ), it is possible to find a function  $h \in A(B)$  satisfying the following conditions:

(1)  $\operatorname{Re} h(0) = 0$ 

(2)  $|h(z)| \leq a$  for  $z \in K(\xi, r)$ 

$$\leq a\left(\frac{r}{q(\xi,r)}\right)^{N}$$
 for  $z\in S-K(\xi,r)$ .

(3)

This Lemma was proved by Aleksandrov [1]. The example of the function h, given by Aleksandrov, is  $h(z)=g_1\left(\frac{R'}{r}-\frac{R'}{r}\langle z,\xi\rangle\right)$ , where R' is some number independent of r and  $g_1(z)=ai(1+z)^{-N}$ . Hence h is a function defined on some neighborhood of S and it is constant in the directions  $w\in \mathbb{C}^n$  such that  $\langle w,\xi\rangle=0$ .

 $\int_{K(\xi,r)} |\operatorname{Re} h - 1|^p d\mu + \int_{CK(\xi,r)} |h|^p d\mu \leq (1-\sigma)\mu(K(\xi,r)).$ 

It follows that

(4)  $h'_w(z) = 0$  for  $z \in S$  and  $w \in \mathbb{C}^n$  such that  $\langle w, \xi \rangle = 0$ ,

where  $h'_w$  is a directional derivative of the function f at the (complex) direction w. Since the directional derivative of the function  $\frac{R'}{r} - \frac{R'}{r} \langle z, \xi \rangle$  at the direction

tion 
$$\xi$$
 is  $-\frac{R'}{r}$ , we have  
 $h'_{\xi}(z) = g'_{1}\left(\frac{R'}{r} - \frac{R}{r}\langle z, \xi \rangle\right) \left(-\frac{R'}{r}\right) = \frac{R'}{r} Nia \left(1 + \frac{R'}{r} - \frac{R'}{r}\langle z, \xi \rangle\right)^{-N-1}$ .  
But  $\left|1 + \frac{R'}{r} - \frac{R'}{r}\langle z, \xi \rangle\right| \ge \max\left(1, \frac{R'}{r}q(z, \xi)\right)$ , hence  
(5)  $h'_{\xi}(z) \le \frac{E}{r}$  for  $z \in K(\xi, r)$   
 $\le \frac{E}{r} \left(\frac{r}{q(z, \xi)}\right)^{-N-1}$  for  $z \in S - K(\xi, r)$ ,

where E is some constant independent of r.

**Lemma 2.** Let, for  $z \in [z_1, z_2]$ , v = v(z) be a unit vector tangent to the path  $[z_1, z_2]$  at the point z. Then

$$b_1 q(z_1, z_2) \ge \int_{[z_1, z_2]} |\langle v, z \rangle| \, da(z),$$

where a is the "length measure", i.e.  $da(z) = d\varrho(z_1, z)$ , and  $b_1$  is some constant.

*Proof.* Let  $z_0 \in S$  be a vector such that  $\langle z_1, z_0 \rangle = 0$  and the (complex) linear space generated by  $z_1$  and  $z_0$  contains  $z_2$ . Hence, there are numbers  $\alpha_1, \alpha_2 \in \mathbb{C}$  such that  $\alpha_1$  is real,  $|\alpha_1|^2 + |\alpha_2|^2 = 1$  and

$$z_2 = \cos t_0 z_1 + \sin t_0 (\alpha_1 i z_1 + \alpha_2 z_0),$$

where  $0 \le t_0 \le \pi$ . It follows that the function

$$\Gamma(t) = (\cos t + i\alpha_1 \sin t) z_1 + \alpha_2 \sin t z_0,$$

where  $0 \le t \le t_0$ , is a parametrization of the path  $[z_1, z_2]$ . If  $z = \Gamma(t)$  for some  $0 \le t \le t_0$ , then  $da(z) = |\Gamma'(t)| dt = dt$  and

$$v = v(z) = \Gamma'(t) = (-\sin t + i\alpha_1 \cos t) z_1 + \alpha_2 \cos t z_0.$$

Hence,  $\langle v, z \rangle = -i\alpha_1$  and  $\int_{[z_1, z_2]} |\langle v, z \rangle| d\lambda(z) = |\alpha_1| t_0$ . On the other hand,  $q(z_1, z_2) =$ 

$$|1 - \langle z, \Gamma(t_0) \rangle| = |1 - \cos t_0 + i \cdot \alpha_1 \cdot \sin t_0| \ge \max (1 - \cos t_0, \sin t_0) \cdot |\alpha_1|. \text{ Hence}$$
$$\int_{[z_1, z_2]} |\langle v, z \rangle| \, d\lambda(z) \ge \frac{t_0}{\max (1 - \cos t_0, \sin t_0)} \, q(z_1, z_2) \ge \frac{\pi}{2} \, q(z_1, z_2).$$

This ends the proof of Lemma 2.

For  $g \in C(S)$ , g > 0, let  $\beta(g) = \sup \left(\frac{g(z_1)}{g(z_2)}q(z_1, z_2)^{-1}\right)$ , where the supremum is taken over all points  $z_1, z_2 \in S$  such that  $\frac{g(z_1)}{g(z_2)} \ge 2$ . If there are not such points, we define  $\beta(g) = 1$ .

For  $\xi, z \in S$ , let

$$\gamma_{\xi}(z) = \limsup_{\eta \to 0} \left| \frac{g\left(\frac{z+\eta\xi}{|z+\eta\xi|}\right) - g(z)}{\eta} \right|.$$

We shall say that  $\gamma(g) \leq R$ , if  $\frac{\gamma_{\xi}(g)(z)}{g(z)} \leq R |\langle z, \xi \rangle| + \sqrt{R}$  for all  $z, \xi \in S$ .

For  $g \in C(S)$  (not necessarily positive) we define

$$T(g) = \sup_{z,\xi \in S, z \neq \xi} \left| \frac{g(z) - g(\xi)}{z - \xi} \right|.$$

There exists a constant  $C_1$  such that, for every  $r \ge 0$ ,  $k \ge 1$ ,  $z \in S$ , the inequality  $\mu(K(z, kr)) \le C_1 k^d \mu(K(z, r))$  holds (see [3] sect. 5.1.4).

**Lemma 3.** Assume that  $g \in C(S)$ , g > 0,  $\gamma(g) \leq R$ , s > 1,  $z_1, z_2 \in S$  and  $\frac{g(z_1)}{g(z_2)} \geq s$ . Then  $q(z_1, z_2) \geq C_2 \left(\frac{s-1}{s}\right)^2 R^{-1}$ , where  $C_2$  is some constant.

**Proof.** Let  $g, s, z_1, z_2$  satisfy the assumption of Lemma 3. Let us take  $z \in [z_1, z_2]$  such that  $g(z)=g(z_1)$  and  $g(\zeta) \leq g(z_1)$  for every  $\zeta \in [z, z_2]$ . Let  $v=v(\zeta)$  be a unit vector tangent to  $[z_1, z_2]$  at the point  $\zeta \in [z, z_2]$ . Then

$$g(z) - g(z_2) \leq \int_{[z_1, z_2]} \gamma_{\nu}(g)(\xi) \, d\lambda(\xi) \leq \int_{[z_1, z_2]} g(\xi) \left( R | \langle \nu, \xi \rangle | + \sqrt{R} \right) \, da(\xi)$$
  
$$\leq [b_1 R q(z, z_2) + R \varrho(z, z_2)] g(z_1) \leq [b_1 R q(z_1, z_2) + \sqrt{R} \varrho(z_1, z_2)] g(z_1),$$

because of Lemma 2 and the inequalities  $q(z, z_2) \leq q(z_1, z_2)$ ,  $\varrho(z, z_2) \leq \varrho(z_1, z_2)$ . Dividing by  $g(z_1)$ , we get

$$q(z_1, z_2) \ge 1/2 \, \frac{g(z_1) - g(z_2)}{Rbg(z_1)} \ge 1/2 \, b_1^{-1} R^{-1} \frac{s-1}{s}$$

or

$$\varrho(z_1, z_2) \ge 1/2 \, \frac{g(z_1) - g(z_2)}{g(z_1)} \, R^{-1/2} \ge 1/2 \, \frac{s-1}{s} \, R^{-1/2}.$$

Since there exists a constant  $b_2$  such that, for  $z', z'' \in S$ ,  $\varrho(z', z'')^2 \leq b_2 q(z', z'')$ , the assertion of Lemma 3 follows. Let us fix some number 0 .

**Lemma 4.** There exist constants  $C, \tau > 0$ , with the following properties: If  $g \in C(S)$ , g > 0,  $R \ge 1$  and  $\max(\beta(g), \gamma(g)) \le R$ , then there exists a function  $h \in A(B)$  such that

(i)  $|h| \leq \frac{1}{10}g$  on S, (ii)  $||g - \operatorname{Re} h||_{p}^{p} \leq (1 - \tau) ||g||_{p}^{p}$ , (iii)  $\max \left(\beta(g - \operatorname{Re} h), \gamma(g - \operatorname{Re} h)\right) \leq CR$ , and (iv)  $T(h) \leq CR ||g||_{\infty}$ .

*Proof.* Let N=d+4,  $P=\sum_{n=0}^{\infty}\sum_{k=2^n}^{\infty}2^d(k+2)^{d+1}2^{n+1}k^{-N}$  and  $a=(20PC_1)^{-1}$ . Let  $\sigma=\sigma(a, N, p, d)$  and  $r_0$  be numbers given by Lemma 1 and let  $\eta$  be a constant such that  $0 \le \eta \le r_0$  and

$$\left(1 - \left(4\frac{\eta}{C_1}\right)^{1/2}\right)^{-1} \leq \min\left[2, 1 + \left(\frac{1}{2}\sigma\right)^{1/p}, \left(1 - \frac{1}{2}\sigma\right)^{-1/2p}\right]$$

From Lemma 3 it follows that if one of the inequalities

(6)  

$$|g(z_1) - g(z_2)|^p \ge g(z_2)^p \frac{1}{2}\sigma,$$

$$(1 - \frac{1}{2}\sigma)^{1/2p}g(z_2) \ge g(z_1),$$

$$1/2g(z_1) \ge g(z_2) \text{ or } 1/2g(z_2) \ge g(z_1)$$

holds with g satisfying the assumptions of Lemma 4, then  $q(z_1, z_2) \ge 4r$ , where  $r = \eta R^{-1}$ .

Let  $\mathfrak{F} = \{K(\xi_j, r)\}_{j=1}^M$  be a maximal family of disjoint balls and let  $D = \bigcup \mathfrak{F}$ . Since (6) fails for  $z_1 = \xi_j$  and  $z_2 \in K(\xi_j, 4r)$ , we have

$$\int_{K(\xi_j, 4r)} g^p d\mu \leq 2^p g(\xi_j)^p \mu \big( K(\xi_j, 4r) \big)$$
$$\leq 2^p C_1 4^d g(\xi_j)^p \mu \big( K(\xi_j, r) \big) \leq F \int_{K(\xi_j, r)} g^p d\mu,$$

where  $F = 2^{p} C_{1} 4^{d} 2^{p}$ .

Summing over all j=1, 2, ..., M and applying the equality  $S = \bigcup_{j=1}^{M} K(\xi_j, 4r)$  (because  $q^{1/2}$  is a metric), we get

(7) 
$$\int_{S} g^{p} d\mu \leq F \int_{D} g^{p} d\mu$$

Lemma 1 yields functions  $h_j$  (j=1, 2, ..., M) associated to  $K(\xi_j, r)$  with a and

N defined above. We claim that the function  $h = \sum_{j=1}^{M} g(\xi_j) h_j$  satisfies the conclusion of Lemma 4. Let us denote  $H_j = g(\xi_j) \operatorname{Re} h_j$  for j = 1, 2, ..., M. We have

(8) 
$$\int_{K(\xi_{j},r)} |g-H_{j}|^{p} d\mu + \int_{CK(\xi_{j},r)} |H_{j}|^{p} d\mu$$
$$\leq \int_{K(\xi_{j},r)} |g-g(\xi_{j})|^{p} d\mu + g(\xi_{j})^{p} \int_{K(\xi_{j},r)} |1-h_{j}|^{p} d\mu + g(\xi_{j})^{p} \int_{CK(\xi_{j},r)} |h_{j}|^{p} d\mu.$$

Since (b) fails for  $z_1 = \xi_j$  and  $z_2 \in K(\xi_j, r)$ , we get

(9) 
$$\int_{K(\xi_j,r)} |g-g(\xi_j)|^p d\mu \leq 1/2 \sigma \mu \big( K(\xi_j,r) \big) g(\xi_j)^p.$$

Using the same argument, we show that

(10) 
$$[(1-1/2\sigma)^{1/2p}g(\xi_j)]^p \mu(K(\xi_j, r)) \leq \int_{K(\xi_j, r)} g^p d\mu.$$

Combining (8), (9), (3) and (10) we obtain

(11) 
$$\int_{K(\xi_{j},r)} |g-H_{j}|^{p} d\mu + \int_{CK(\xi_{j},r)} |H_{j}|^{p} d\mu \leq (1-1/2\sigma)\mu (K(\xi_{j},r))g(\xi_{j})^{p}$$
$$\leq (1-1/2\sigma)^{1/2} \int_{K(\xi_{j},r)} g^{p} d\mu = (1-\tau^{*}) \int_{K(\xi_{j},r)} g^{p} d\mu,$$

where  $\tau^* = 1 - (1 - 1/2\sigma)^{1/2}$ . Let  $D = \bigcup_{j=1}^M K(\xi_j, r)$ . On  $K(\xi_j, r)$  the following inequality

$$|g-\operatorname{Re} h|^p \leq |g-H_j|^p + \sum_{i=j} |H_i|^p$$

holds, and on CD,

$$|g-\operatorname{Re} h|^p \leq g^p + \sum_{i=1}^M |H_i|^p$$

Hence

$$\int_{S} |g - \operatorname{Re} h|^{p} d\mu \leq \sum_{j=1}^{M} \left[ \int_{K(\xi_{j}, r)} |g - H_{j}|^{p} d\mu + \sum_{i \neq j} \int_{K(\xi_{j}, r)} |H_{i}|^{p} d\mu \right] + \int_{CD} g^{p} d\mu + \int_{CD} \sum_{i=1}^{M} |H_{i}|^{p} d\mu.$$

Each function  $|H_i|^p$  is integrated over *CD* and over all  $K(\xi_j, r)$  with  $j \neq i$ , hence over  $CK(\xi_i, r)$ . Thus

(12) 
$$\int_{S} |g - \operatorname{Re} h|^{p} d\mu \leq \sum_{j=1}^{M} \int_{K(\xi_{j}, r)} |g - H_{j}|^{p} d\mu + \sum_{j=1}^{M} \int_{CK(\xi_{j}, r)} |H_{j}|^{p} d\mu + \int_{CD} g^{p} d\mu.$$

Summing (11) over j=1, 2, ..., M and applying to (12), we get

$$\int_{S} |g - \operatorname{Re} h|^{p} d\mu \leq (1 - \tau^{*}) \int_{D} g^{p} d\mu + \int_{CD} g^{p} d\mu = \int_{S} g^{p} d\mu - \tau^{*} \int_{D} g^{p} d\mu,$$

and because of (7)

(13) 
$$\int_{S} |g - \operatorname{Re} h|^{p} d\mu \leq \int_{S} g^{p} d\mu - \frac{\tau^{*}}{F} \int_{S} g^{p} d\mu = (1 - \tau) \int_{S} g^{p} d\mu,$$

for  $\tau = \frac{\tau^*}{F}$ . This proves (ii).

Next, we prove (i). Let us fix a point  $z \in S$ . Define

$$A_n = A_n(z) = \{\xi_j \colon 2^n g(z) \le g(\xi_j) < 2^{n+1} g(z)\}$$

for  $n=1, 2, ..., A_0 = A_0(z) = \{\xi_j : g(\xi_j) < 2g(z)\}$ . Let us assume that  $\xi_j \in A_n$  for some n > 0. Then  $R \ge \beta(g) \ge \frac{g(\xi_j)}{g(z)} q(z, \xi_j)^{-1} \ge 2^n q(z, \xi_j)^{-1}$ , hence

$$q(z,\,\xi_j) \geqq 2^n R^{-1} \geqq 2^n r.$$

Hence, if  $A_n^k = \{\xi_j \in A_n : kr \le q(z, \xi_j) < (k+1)r\}$ , then  $A_n^k = \emptyset$  for  $k = 1, 2, ..., 2^n - 1$ . Since  $K(\xi_j, r) \subset K(z, 2(k+2)r)$  for  $\xi_j \in A_n^k$  (this inclusion follows from the fact that  $q^{1/2}$  is a metric on S), we have

$$|A_n^k| \mu \big( K(\xi_j, r) \big) \leq \mu \big( K(z, 2(k+2)r) \big) \leq C_1 2^d (k+2)^d \mu \big( K(z, r) \big)$$

and since  $\mu(K(\xi_j, r)) = \mu(K(z, r))$ , it follows that  $|A_n^k| \leq C_1 2^d (k+2)^d$ . Because of (2) and the definitions of  $A_n^k$  and a, we have

(14) 
$$|h(z)| \leq \sum_{i=1}^{M} |h_j(z)| g(\xi_j) \leq \sum_{n=0}^{\infty} \sum_{k=0}^{\infty} \sum_{\xi_j \in A_n^k} (k^{-N}a) 2^{n+1} g(z)$$

$$\leq \sum_{n=0}^{\infty} \sum_{k=2^n}^{\infty} C_2 2^d (k+2)^d 2^{n+1} k^{-N} ag(z) \leq \frac{1}{10} g(z),$$

which proves (i).

We turn to (iv). Let  $\xi, z \in S$ . For  $\xi_j \in A_n^k(z)$ , we have

$$\langle \xi, \xi_j \rangle | \leq |\langle \xi, z \rangle| + |\langle \xi, \xi_j - z \rangle|$$

 $\leq |\langle \xi, z \rangle| + |\xi_j - z| \leq |\langle \xi, z \rangle| + \sqrt{2} q(\xi_j, z)^{1/2} \leq |\langle \xi, z \rangle| + \sqrt{2} [(k+1)r]^{1/2}.$ 

Now, applying (4) and (5), we obtain

$$|g(\xi_j)(h_j)'_{\xi}|(z) \leq E \frac{a}{r} k^{-N-1} g(\xi_j) |\langle \xi, \xi_j \rangle|$$
  
$$\leq E \frac{a}{r} k^{-N-1} 2^{n+1} g(z) (|\langle \xi, z \rangle| + \sqrt{2} [(k+1)r]^{1/2})$$

Summing over j=1, 2, ..., M and applying the same argument as before, we get

(15) 
$$|h'_{\xi}(z)| \leq \sum_{j=1}^{M} |g(\xi_{j})(h_{j})'_{\xi}(z)| \leq Ear^{-1}C_{2}2^{d}g(z)$$
$$\cdot \sum_{n=0}^{\infty} \sum_{k=2^{n}}^{\infty} (k+2)^{d}k^{-N-1}2^{n+1} (|\langle \xi, z \rangle| + \sqrt{2}[(k+1)r]^{1/2})$$
$$\leq C_{3}g(z) (|\langle \xi, z \rangle| R + \sqrt{R}),$$

where  $C_3$  is some constant. This inequality shows that

(16) 
$$T(h) \leq 2C_3 R \|g\|_{\infty}.$$

Proof of (iii): Because of (15) and (14), we have

$$\gamma_{\xi}(g - \operatorname{Re} h)(z) \leq [\gamma_{\xi}(g) + |h_{\xi}'|](z) \leq (1 + C_{3}) (|\langle \xi, z \rangle| R + \sqrt{R}) g(z)$$
$$\leq \frac{10}{9} (1 + C_{3}) (|\langle \xi, z \rangle| R + \sqrt{R}) (g - \operatorname{Re} h)(z).$$

This proves that

(17) 
$$\gamma(g-\operatorname{Re} h) \leq \left(\frac{10}{9}\left(1+C_3\right)\right)^2 R$$

Let us assume that  $\frac{(g - \operatorname{Re} h)(z_1)}{(g - \operatorname{Re} h)(z_2)} = s \ge 2$ . Because of (14), we have  $\frac{g(z_1)}{g(z_2)} \ge \frac{9}{11}s$ . If  $\frac{9}{11}s \ge 2$ , then (because  $\beta(g) \le R$ )

$$\left(\frac{9}{11}s\right)q(z_1, z_2)^{-1} \leq R,$$

hence

$$\frac{(g - \operatorname{Re} h)(z_1)}{(g - \operatorname{Re} h)(z_2)} q(z_1, z_2)^{-1} \leq \frac{11}{9} R.$$

If  $\frac{9}{11}s \le 2$ , then applying Lemma 3 and the inequality  $s_0 \stackrel{\text{def}}{=} \frac{9}{11}s \le \frac{18}{11}$ , we have  $q(z_1, z_2) \ge C_2 \left(\frac{s_0 - 1}{s_0}\right)^2 R^{-1} \ge C_2 \frac{49}{324} R^{-1}$ , hence

$$\frac{(g - \operatorname{Re} h)(z_1)}{(g - \operatorname{Re} h)(z_2)} q(z_1, z_2)^{-1} \le s \frac{324}{49C_2} R \le \frac{792}{49C_2} R$$

because  $s \leq \frac{22}{9}$ . This shows that  $\beta(g - \operatorname{Re} h) \leq \max\left(\frac{11}{9}, \frac{792}{49C_2}\right)R$  and together with (17) concludes the proof of (iii).

**Lemma 5.** To every  $\psi > 0$  corresponds a number  $W=W(\psi)>0$  with the following property: If  $g \in C(S)$ , g > 0,  $\max(\beta(g), \gamma(g)) \leq R$ , then there is an  $h \in A(B)$ , with Re h(0)=0, so that

- (i)  $||h||_{\infty} \leq W ||g||_{\infty}$ ,
- (ii) Re h < g on S,
- (iii)  $||g \operatorname{Re} h||_{p}^{p} \leq \psi ||g||_{p}^{p}$ ,
- (iv)  $\operatorname{max}(\beta(g-\operatorname{Re} h), \gamma(g-\operatorname{Re} h)) \leq WR$ ,
- (v)  $T(h) \leq WR \|g\|_{\infty}$ .

**Proof.** Let us take a function g satisfying the assumptions of Lemma 5 and a number  $n = \left[\frac{\log \psi}{\log (1-\tau)}\right] + 1$ . We shall construct two sequences of functions:  $\{g_0, g_1, ..., g_n\}$  and  $\{h_1, h_2, ..., h_n\}$ . Let us put  $g_0 = g$ . Now let us assume that for  $0 \le i < n$  we constructed a sequence  $\{g_1, g_2, ..., g_i\}$  of positive and continuous functions on S such that

(a)  $\max(\beta(g_i), \gamma(g_i)) \leq C^i R$ ,

where C is a constant as in Lemma 4. Of course, this condition is satisfied for i=0. Lemma 4, applied to  $g_i$  and  $C^i R$  in place of g and R, yields a function  $h_{i+1} \in A(B)$ ,  $h_{i+1}(0)=0$ , satisfying the following conditions:

- (b)  $|h_{i+1}(z)| \leq \frac{1}{10} g_i(z)$  for  $z \in S$ ,
- (c)  $||g_i \operatorname{Re} h_{i+1}||_p^p \leq (1-\tau) ||g_i||_p^p$ ,
- (d)  $\max \left(\beta(g_i \operatorname{Re} h_{i+1}), \gamma(g_i \operatorname{Re} h_{i+1})\right) \leq C^{i+1}R,$
- (e)  $T(h_{i+1}) \leq C^{i+1} R \|g_i\|_{\infty}$ .

Let us define  $g_{i+1}=g_i-\operatorname{Re} h_{i+1}$ . From (b) it follows that  $g_{i+1}\geq 0$ . The condition (d) is the condition (a) for i-1.

We shall prove that the function  $h = \sum_{i=1}^{n} h_i$  satisfies the conditions (i)—(v) of Lemma 4 with  $W = (2\tilde{C})^{n+1}$ , where  $\tilde{C} = \max(1, C)$ . We claim that  $||g_i||_{\infty} \le 2^i ||g_0||_{\infty}$  for i=0, 1, ..., n. The equality holds for i=0. Let us assume that this is true for some  $0 \le i < n$ . Then

1

$$\begin{split} \|g_{i+1}\|_{\infty} &= \|g_i - \operatorname{Re} h_{i+1}\|_{\infty} \leq \|g_i\|_{\infty} + \|h_{i+1}\|_{\infty} \leq \|g_i\|_{\infty} + \frac{1}{10} \|g_i\|_{\infty} \\ &\leq \left(1 + \frac{1}{10}\right) 2^i \|g_0\|_{\infty} \leq 2^{i+1} \|g_0\|_{\infty}, \end{split}$$

because of (b) and our assumption. Hence,

$$\|h\|_{\infty} \leq \sum_{i=1}^{n} \|h_{i}\|_{\infty} \leq \frac{1}{10} \sum_{i=1}^{n} \|g_{i-1}\| \leq \sum_{i=1}^{n} 2^{i-1} \|g_{0}\|_{\infty} \leq 2^{n} \|g\|_{\infty},$$

because of (b) and the definition of  $g_0$ . This proves (i), since  $2^n \leq W$ .

We have  $0 < g_n = g_0 - (\operatorname{Re} h_1 + \operatorname{Re} h_2 + ... + \operatorname{Re} h_n) = g - \operatorname{Re} h$  and (ii) follows. We shall show that  $\|g_i\|_p^p \leq (1-\tau)^i \|g\|_p^p$ . The equality holds for i=0. If it is true for some  $0 \leq i < n$ , then  $\|g_{i+1}\|_p^p \leq (1-\tau) \|g_i\|_p^p \leq (1-\tau)^{i+1} \|g\|_{\infty}$ , because of (c) and the definition of  $g_{i+1}$ . It follows that  $\|g - \operatorname{Re} h\|_p^p = \|g_n\|_p^p \leq (1-\tau)^n \|g\|_p^p \leq \psi \|g\|_p^p$ , because of our choice of n. This proves (iii).

The condition (iv) follows from (d) for i=n-1, since  $g_{n-1}$ -Re  $h_n=g$ -Re h. Finally, because of (e) and the inequality  $||g_i||_{\infty} \leq 2^i ||g||_{\infty}$ , we have

$$T(h) \leq \sum_{i=1}^{n} T(h_{i}) \leq \sum_{i=1}^{n} C^{i} R \|g_{i-1}\|_{\infty} \leq \sum_{i=1}^{n} C^{i} 2^{i-1} R \|g\|_{\infty},$$

which proves (v).

**Lemma 6.** Let us assume that  $h_i \in C(S)$ ,  $||h_i||_{\infty} \leq w_1 2^{-i}$ ,  $T(h_i) \leq w_2 W^i$ , where  $w_1, w_2, W$  are some constants,  $W \geq 2$ , i=1, 2, ... Then  $h = \sum_{i=1}^{\infty} h_i \in \text{Lip } \alpha$  for  $\alpha \leq \frac{1}{2} \frac{\log 2}{\log W}$ .

Proof. Let us take any number  $0 < \varkappa \leq 1$  and an integer *n* such that  $W^{-(2n+2)} \leq \varkappa \leq W^{-2n}$ . Define  $f_1 = \sum_{i=1}^n h_i$ ,  $f_2 = \sum_{i=n+1}^\infty h_i$ . Then  $T(f_1) \leq \sum_{i=1}^n T(h_i) \leq w_2 W^{n+1}$ . Hence, if  $\varrho(z_1, z_2) = \varkappa$ , then  $|f_1(z_1) - f_1(z_2)| \leq w_2 W^{n+1} \varkappa \leq w_2 W^{-n+1}$ . For  $V = 2 \max(w_2 W^2, 4w_1)$  and  $\alpha \leq \frac{1}{2} \frac{\log 2}{\log W}$ , we have  $|h(z_1) - h(z_2)| \leq |f_1(z_1) - f_1(z_2)| + |f_2(z_1) - f_2(z_2)|$   $\leq w_2 W^{-n+1} + \sum_{i=n+1}^\infty (|h_i(z_1)| + |h_i(z_2)|) \leq w_2 W^{-n+1} + 2w_1 2^{-n}$  $\leq 2 \max(w_2 W^2, 4w_1) 2^{-(n+1)} \leq V \varkappa^2 = V \varrho(z_1, z_2).$ 

This ends the proof of Lemma 6.

To prove the assertion of the Theorem, let us assume at first that  $g \in \text{Lip 1}$  and g > 0. Let  $1/2 \ge \varepsilon > 0$ ,  $\psi = 1/4\varepsilon$  and let  $W = W(\psi)$  be a corresponding number from Lemma 5. It follows that  $\max(\beta(g), \gamma(g)) \ge R$  for some number  $R \ge 1$ . We shall construct two sequences of functions:  $\{g_i\}_{i=0}^{\infty}$  and  $\{h_i\}_{i=1}^{\infty}$  such that  $g_i \in C(S)$ ,  $g_i > 0$  and

(\*) 
$$\max(\beta(g_i), \gamma(g_i)) \leq RW^i$$

for i=0, 1, ...

Let  $g_0 = g$  and let us assume that, for some  $i \ge 0$ , we constructed  $g_i \in C(S)$ ,  $g_i > 0$ , satisfying the condition (\*). Lemma 5, applied to  $g_i$  and  $RW^i$  in place

336

of g and R, yields a function  $h_{i+1} \in A(B)$ ,  $h_{i+1}(0) = 0$ , satisfying the following conditions:

- (i)'  $\|h_{i+1}\|_{\infty} \leq W \|g_i\|_{\infty}$ ,
- (ii)' Re  $h_{i+1} < g_i$  on S,
- (iii)'  $||g_i \operatorname{Re} h_{i+1}||_p^p \leq \psi ||g_i||,$
- (iv)'  $\max \left(\beta(g_i \operatorname{Re} h_{i+1}), \gamma(g_i \operatorname{Re} h_{i+1})\right) \leq RW^{i+1}$ .

(v)' 
$$T(h_{i+1}) \leq W^{i+1} R \|g_i\|_{\infty}.$$

We define

(vi)' 
$$g_{i+1} = \min(g_i - \operatorname{Re} h_{i+1}, 2^{-i-1} ||g||_{\infty}).$$

The definition (vi)' and the condition (iv)' show that  $\gamma(g_{i+1}) \leq RW^{i+1}$ . Let  $z_1, z_2 \in S$  be points such that  $\frac{g_{i+1}(z_1)}{g_{i+1}(z_2)} \geq 2$ . Then

$$g_{i+1}(z_2) = (g_i - \operatorname{Re} h_{i+1})(z_2)$$

and

$$\frac{g_{i+1}(z_1)}{g_{i+1}(z_2)}\,\varrho(z_1,\,z_2)^{-1} \leq \frac{(g_i - \operatorname{Re} h_{i+1})(z_1)}{(g_i - \operatorname{Re} h_{i+1})(z_2)}\,\varrho(z_1,\,z_2)^{-1} \leq RW^{i+1},$$

because of (iv)' and the inequality  $\frac{(g_i - \operatorname{Re} h_{i+1})(z_1)}{(g_i - \operatorname{Re} h_{i+1})(z_2)} \ge 2$ . Hence,  $\beta(g_{i+1}) \le RW^{i+1}$ and this ends the proof of (\*) for i+1 instead of i.

Moreover, from (vi)' it follows that  $||g_i||_{\infty} \leq 2^{-i} ||g||_{\infty}$ . Hence, because of (i)',

(a)' 
$$||h_{i+1}||_{\infty} \leq W 2^{-i} ||g||_{\infty}.$$

Since  $0 < g_{i+1} \le g_i - \operatorname{Re} h_{i+1}$ , from (iii)' and by easy induction, it follows that

(b)' 
$$||g_i||_p^p \leq \psi^i ||g||_{\infty}$$
.

The condition (v)', applied for i-1 instead of *i*, together with the inequality  $||g_{i-1}||_{\infty} \leq 2^{-i+1} ||g||_{\infty} \leq ||g||_{\infty}$ , gives us

(c)'  $T(h_i) \leq W^i R \|g\|_{\infty}$ .

Because of Lemma 6, (a)' and (c)', it follows that  $f = \sum_{i=1}^{\infty} h_i \in \text{Lip } \alpha$ , for  $\alpha \leq \frac{1}{2} \frac{\log 2}{\log W}$ . Moreover  $\text{Re} f \leq g$ . Let  $A_i = \{g_i - \text{Re} h_{i+1} \leq 2^{-i-1} \|g\|_{\infty}\} = \{g_i - \text{Re} h_{i+1} = g_{i+1}\}.$  Then, because of (iii)' and (b)',

$$\mu(S-A_i) \leq \frac{\|g_i - \operatorname{Re} h_{i+1}\|_p^p}{[2^{-i-1}\|g\|_{\infty}]^p} \leq \psi^{i+1} 2^{i+1}.$$

Hence,  $\mu(\bigcap_{i=1}^{\infty} A_i) = 1 - \mu(\bigcup_{i=1}^{\infty} (S - A_i)) \ge 1 - \sum_{i=1}^{\infty} \mu(S - A_i) \ge 1 - \sum_{i=1}^{\infty} (2\psi)^i \ge 1 - \varepsilon$ . For  $z \in \bigcap_{i=1}^{\infty} A_i$ , we have  $\operatorname{Re} f(z) = g(z)$ . This ends the proof of the assertion

of the Theorem in the case g>0. The general case follows by replacing g by g+c, if necessary, where c is some positive constant.

## Acknowledgements

I am grateful to Professor Walter Rudin for his many valuable hints during preparation of this text.

## References

- 1. ALEKSANDROV, A. B., The existence of inner functions in the ball, *Mat. Sb.* 118 (1982), 147–163. (In Russian: Mathematics of the USSR Sbornik, Vol. 46.2 (1983), 143–161.)
- 2. ALEKSANDROV, A. B., preprint.
- 3. RUDIN, W., Function theory in the unit ball of  $C^n$ , Springer-Verlag, New York, 1980.
- SIBONY, N., Valeurs au bord de fonctions holomorphes et ensembles polynomialement convexes, Lecture Notes in Mathematics, No. 578, 300-313, Springer-Verlag, Heidelberg, 1977.

Received January 1, 1984

Boguslaw Tomaszevski Department of Mathematics Oklahoma State University Stillwater, Oklahoma 74078 USA