

# Irreducibility of the punctual quotient scheme of a surface

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**Abstract.** It is shown that the punctual quotient scheme  $Q_l^r$  parametrizing all zero-dimensional quotients  $\mathcal{O}_{\mathbf{A}^2}^{\oplus r} \rightarrow T$  of length  $l$  and supported at some fixed point  $0 \in \mathbf{A}^2$  in the plane is irreducible.

Let  $X$  be a smooth projective surface,  $E$  a locally free sheaf of rank  $r \geq 1$  on  $X$ , and let  $l \geq 1$  be an integer. Let  $\text{Quot}(E, l)$  denote Grothendieck's quotient scheme [8] that parametrizes all quotients  $E \rightarrow T$ , where  $T$  is a zero-dimensional sheaf of length  $l$ . Sending a quotient  $E \rightarrow T$  to the point  $\sum_{x \in X} l(T_x)x$  in the symmetric product  $S^l(X)$  defines a morphism  $\pi: \text{Quot}(E, l) \rightarrow S^l(X)$  [8]. It is the purpose of this note to prove the following theorem.

**Theorem 1.** *The scheme  $\text{Quot}(E, l)$  is an irreducible scheme of dimension  $l(r+1)$ . The fibre of the morphism  $\pi: \text{Quot}(E, l) \rightarrow S^l(X)$  over a point  $\sum_x l_x x$  is irreducible of dimension  $\sum_x (rl_x - 1)$ .*

Using the irreducibility result, one can check that a generic point in the fibre over  $l_x \in S^l(X)$  represents a quotient  $E \rightarrow T$ , where  $T \cong \mathcal{O}_{X,x}/(s, t^l)$  and  $s$  and  $t$  are appropriately chosen local parameters in  $\mathcal{O}_{X,x}$ , i.e.  $T$  is the structure sheaf of a curvilinear subscheme in  $X$ .

If  $r=1$ , i.e. if  $E$  is a line bundle, then  $\text{Quot}(E, l)$  is isomorphic to the Hilbert scheme  $\text{Hilb}^l(X)$ . In this case, the first assertion of the theorem is due to Fogarty [6], whereas the second assertion was proved by Briançon [2]. For general  $r \geq 2$ , the first assertion of the theorem is a result due to J. Li and D. Gieseker [9], [7]. We give a different proof with a more geometric flavour, generalizing a technique from Ellingsrud and Strømme [5]. The second assertion is a new result for  $r \geq 2$ . After finishing this paper we learned about a different approach by Baranovsky [1].

The natural generalizations of the theorem to higher dimensional or singular varieties are false, as is already apparent in the  $r=1$  case of the Hilbert schemes [3].

### 1. Elementary modifications

Let  $X$  be a smooth projective surface and  $x \in X$ . If  $N$  is a coherent  $\mathcal{O}_X$ -sheaf, then  $e(N_x) = \text{hom}_X(N, k(x))$  denotes the dimension of the fibre  $N(x)$ , which by Nakayama's lemma is the same as the minimal number of generators of the stalk  $N_x$ . If  $T$  is a coherent sheaf with zero-dimensional support, we denote by  $i(T_x) = \text{hom}_X(k(x), T)$  the dimension of the socle of  $T_x$ , i.e. the submodule  $\text{Soc}(T_x) \subset T_x$  of all elements that are annihilated by the maximal ideal in  $\mathcal{O}_{X,x}$ .

**Lemma 2.** *Let  $[q: E \rightarrow T] \in \text{Quot}(E, l)$  be a closed point and let  $N$  be the kernel of  $q$ . Then the socle dimension of  $T$  and the number of generators of  $N$  at  $x$  are related as*

$$e(N_x) = i(T_x) + r.$$

*Proof.* Write  $e(N_x) = r + i$  for some integer  $i \geq 0$ . Then there is a minimal free resolution  $0 \rightarrow \mathcal{O}_{X,x}^i \xrightarrow{\alpha} \mathcal{O}_{X,x}^{r+i} \rightarrow N_x \rightarrow 0$ , where all coefficients of the homomorphism  $\alpha$  are contained in the maximal ideal of  $\mathcal{O}_{X,x}$ . We have  $\text{Hom}(k(x), T_x) \cong \text{Ext}_X^1(k(x), N_x)$  and applying the functor  $\text{Hom}(k(x), \cdot)$  one finds an exact sequence

$$0 \rightarrow \text{Ext}_X^1(k(x), N_x) \rightarrow \text{Ext}_X^2(k(x), \mathcal{O}_{X,x}^i) \xrightarrow{\alpha'} \text{Ext}_X^2(k(x), \mathcal{O}_{X,x}^{r+i}).$$

But as  $\alpha$  has coefficients in the maximal ideal, the homomorphism  $\alpha'$  is zero. Thus  $\text{Hom}(k(x), T) \cong \text{Ext}_X^2(k(x), \mathcal{O}_{X,x}^i) \cong k(x)^i$ .  $\square$

The main technique for proving the theorem will be induction on the length of  $T$ . Let  $N$  be the kernel of a surjection  $E \rightarrow T$ , let  $x \in X$  be a closed point, and let  $\lambda: N \rightarrow k(x)$  be any surjection. Define a quotient  $E \rightarrow T'$  by means of the push-out diagram

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & \\
 & & \uparrow & & \uparrow & & \\
 0 & \longrightarrow & k(x) & \xrightarrow{\mu} & T' & \longrightarrow & T \longrightarrow 0 \\
 & & \uparrow \lambda & & \uparrow & & \parallel \\
 0 & \longrightarrow & N & \longrightarrow & E & \longrightarrow & T \longrightarrow 0 \\
 & & \uparrow & & \uparrow & & \\
 & & N' & \xlongequal{\quad} & N' & & \\
 & & \uparrow & & \uparrow & & \\
 & & 0 & & 0 & & 
 \end{array}$$

In this way every element  $\langle \lambda \rangle \in \mathbf{P}(N(x))$  determines a quotient  $E \rightarrow T'$  together with an element  $\langle \mu \rangle \in \mathbf{P}(\text{Soc}(T'_x)^\vee)$ . (Here  $W^\vee := \text{Hom}_k(W, k)$  denotes the vector space dual to  $W$ .) Conversely, if  $E \rightarrow T'$  is given, any such  $\langle \mu \rangle$  determines  $E \rightarrow T$  and a point  $\langle \lambda \rangle$ . We will refer to this situation by saying that  $T'$  is obtained from  $T$  by an elementary modification.

We need to compare the invariants for  $T$  and  $T'$ . Obviously,  $l(T') = l(T) + 1$ . Applying the functor  $\text{Hom}(k(x), \cdot)$  to the upper row in the diagram we get an exact sequence

$$0 \longrightarrow k(x) \longrightarrow \text{Soc}(T'_x) \longrightarrow \text{Soc}(T_x) \longrightarrow \text{Ext}_X^1(k(x), k(x)) \cong k(x)^2,$$

and therefore  $|i(T_x) - i(T'_x)| \leq 1$ . Moreover, we have  $0 \leq e(T'_x) - e(T_x) \leq 1$ . Two cases deserve closer inspection. Firstly, if  $e$  increases, then  $T'$  splits.

**Lemma 3.** *Consider the natural homomorphisms  $f: \text{Soc}(T'_x) \rightarrow T'_x \rightarrow T'(x)$  and  $g: N(x) \rightarrow E(x)$ . The following assertions are equivalent:*

- (1)  $e(T'_x) = e(T_x) + 1$ ,
- (2)  $\langle \mu \rangle \notin \mathbf{P}(\ker(f)^\vee)$ ,
- (3)  $\langle \lambda \rangle \in \mathbf{P}(\text{im}(g))$ .

Moreover, if these conditions are satisfied, then  $T' \cong T \oplus k(x)$  and  $i(T'_x) = i(T_x) + 1$ .

*Proof.* Clearly,  $e(T'_x) = e(T_x) + 1$  if and only if  $\mu(1)$  represents a non-trivial element in  $T'(x)$  if and only if  $\mu$  has a left inverse if and only if  $\lambda$  factors through  $E$ .  $\square$

Secondly, if  $i$  increases for all modifications  $\lambda$  from  $T$  to any  $T'$ , then the same phenomenon occurs for all 'backwards' modifications  $\mu'$  from  $T$  to any  $T^-$ .

**Lemma 4.** *Still keeping the notation above, let  $E \rightarrow T'_\lambda$  be the modification of  $E \rightarrow T$  determined by the point  $\langle \lambda \rangle \in \mathbf{P}(N(x))$ . Similarly, for  $\langle \mu' \rangle \in \mathbf{P}(\text{Soc}(T_x)^\vee)$  let  $T_{\mu'}^- = T/\mu'(k(x))$ . If  $i(T_{\lambda,x}') = i(T_x) + 1$  for all  $\langle \lambda \rangle \in \mathbf{P}(N(x))$ , then  $i(T_x) = i(T_{\mu',x}^-) - 1$  for all  $\langle \mu' \rangle \in \mathbf{P}(\text{Soc}(T_x)^\vee)$  as well.*

*Proof.* Let

$$\Phi: \text{Hom}_X(N, k(x)) \longrightarrow \text{Hom}_k(\text{Ext}_X^1(k(x), N), \text{Ext}_X^1(k(x), k(x)))$$

be the homomorphism which is adjoint to the natural pairing

$$\text{Hom}_X(N, k(x)) \otimes \text{Ext}_X^1(k(x), N) \longrightarrow \text{Ext}_X^1(k(x), k(x)).$$

By identifying  $\text{Soc}(T_x) \cong \text{Ext}_X^1(k(x), N)$ , we see that  $i(T_{\lambda,x}') = 1 + i(T_x) - \text{rank}(\Phi(\lambda))$ . The action of  $\Phi(\lambda)$  on a socle element  $\mu': k(x) \rightarrow T$  can be described by the following

diagram of pull-backs and push-forwards

$$\begin{array}{ccccccc}
 0 & \longrightarrow & N & \longrightarrow & E & \longrightarrow & T \longrightarrow 0 \\
 & & \parallel & & \uparrow & & \uparrow \mu' \\
 0 & \longrightarrow & N & \longrightarrow & N_{\mu'}^- & \longrightarrow & k(x) \longrightarrow 0 \\
 & & \downarrow \lambda & & \downarrow & & \parallel \\
 0 & \longrightarrow & k(x) & \longrightarrow & \xi & \longrightarrow & k(x) \longrightarrow 0.
 \end{array}$$

The assumption that  $i(T'_{\lambda,x})=1+i(T_x)$  for all  $\lambda$ , is equivalent to  $\Phi=0$ . This implies that for every  $\mu'$  and every  $\lambda$  the extension in the third row splits, which in turn means that every  $\lambda$  factors through  $N_{\mu'}^-$ , i.e. that  $N(x)$  embeds into  $N_{\mu'}^-(x)$ . Hence, for  $T_{\mu'}^- = E/N_{\mu'}^- = \text{coker}(\mu)$  we get  $i(T_{\mu',x}^-) = e(N_{\mu',x}^-) - r = e(N_x) + 1 - r = i(T_x) + 1$ .  $\square$

### 2. The global case

Let  $Y_l = \text{Quot}(E, l) \times X$ , and consider the universal exact sequence of sheaves on  $Y_l$ ,

$$0 \longrightarrow \mathcal{N} \longrightarrow \mathcal{O}_{\text{Quot}} \otimes E \longrightarrow \mathcal{T} \longrightarrow 0.$$

The function  $y=(s, x) \mapsto i(\mathcal{T}_{s,x})$  is upper semi-continuous. Let  $Y_{l,i}$  denote the locally closed subset  $\{y=(s, x) \in Y_l \mid i(\mathcal{T}_{s,x})=i\}$  with the reduced subscheme structure.

**Proposition 5.** *The scheme  $Y_l$  is irreducible of dimension  $(r+1)l+2$ . For each  $i \geq 0$  one has  $\text{codim}(Y_{l,i}, Y_l) \geq 2i$ .*

Clearly, the first assertion of the theorem follows from this.

*Proof.* The proposition will be proved by induction on  $l$ , the case  $l=1$  being trivial:  $Y_1 = \mathbf{P}(E) \times X$ , the stratum  $Y_{1,1}$  is the graph of the projection  $\mathbf{P}(E) \rightarrow X$  and  $Y_{1,i} = \emptyset$  for  $i \geq 2$ . Hence suppose the proposition has been proved for some  $l \geq 1$ .

We describe the ‘global’ version of the elementary modification discussed above. Let  $Z = \mathbf{P}(\mathcal{N})$  be the projectivization of the family  $\mathcal{N}$  and let  $\varphi = (\varphi_1, \varphi_2): Z \rightarrow Y_l = \text{Quot}(E, l) \times X$  denote the natural projection morphism. On  $Z \times X$  there is a canonical epimorphism

$$\Lambda: (\varphi_1 \times \text{id}_X)^* \mathcal{N} \longrightarrow (\text{id}_Z, \varphi_2)_* \varphi^* \mathcal{N} \longrightarrow (\text{id}_Z, \varphi_2)_* \mathcal{O}_Z(1) =: \mathcal{K}.$$

As before we define a family  $\mathcal{T}'$  of quotients of length  $l+1$  by means of  $\Lambda$ ,

$$\begin{array}{ccccccc}
 0 & \longrightarrow & \mathcal{K} & \longrightarrow & \mathcal{T}' & \longrightarrow & (\varphi_1, \text{id}_X)^* \mathcal{T} \longrightarrow 0 \\
 & & \uparrow \Lambda & & \uparrow & & \parallel \\
 0 & \longrightarrow & (\varphi_1, \text{id}_X)^* \mathcal{N} & \longrightarrow & \mathcal{O}_Z \otimes E & \longrightarrow & (\varphi_1, \text{id}_X)^* \mathcal{T} \longrightarrow 0.
 \end{array}$$

Let  $\psi_1: Z \rightarrow \text{Quot}(E, l+1)$  be the classifying morphism for the family  $\mathcal{T}'$ , and define  $\psi := (\psi_1, \psi_2 := \varphi_2): Z \rightarrow Y_{l+1}$ . The scheme  $Z$  together with the morphisms  $\varphi: Z \rightarrow Y_l$  and  $\psi: Z \rightarrow Y_{l+1}$  allows us to relate the strata  $Y_{l,i}$  and  $Y_{l+1,j}$ . Note that  $\psi(Z) = \bigcup_{j \geq 1} Y_{l+1,j}$ .

The fibre of  $\varphi$  over a point  $(s, x) \in Y_{l,i}$  is given by  $\mathbf{P}(\mathcal{N}_s(x)) \cong \mathbf{P}^{r-1+i}$ , since  $\dim(\mathcal{N}_s(x)) = r+i(T_{s,x}) = r+i$  by Lemma 2. Similarly, the fibre of  $\psi$  over a point  $(s', x) \in Y_{l+1,j}$  is given by  $\mathbf{P}(\text{Soc}(T'_{s',x})^\vee) \cong \mathbf{P}^{j-1}$ . If  $T'$  is obtained from  $T$  by an elementary modification, then  $|i(T') - i(T)| \leq 1$  as shown above. This can be stated in terms of  $\varphi$  and  $\psi$  as follows: For each  $j \geq 1$  one has

$$\psi^{-1}(Y_{l+1,j}) \subset \bigcup_{|i-j| \leq 1} \varphi^{-1}(Y_{l,i}).$$

Using the induction hypothesis on the dimension of  $Y_{l,i}$  and the computation of the fibre dimension of  $\varphi$  and  $\psi$ , we get

$$\dim(Y_{l+1,j}) + (j-1) \leq \max_{|i-j| \leq 1} \{(r+1)l+2-2i+(r-1+i)\}$$

and

$$\dim(Y_{l+1,j}) \leq (r+1)(l+1)+2-2j - \min_{|i-j| \leq 1} \{i-j+1\}.$$

As  $\min_{|i-j| \leq 1} \{i-j+1\} \geq 0$ , this proves the dimension estimates of the proposition.

It suffices to show that  $Z$  is irreducible. Then  $\text{Quot}(E, l+1) = \psi_1(Z)$  and  $Y_{l+1}$  are irreducible as well.

Since  $X$  is a smooth surface, the epimorphism  $\mathcal{O}_{\text{Quot}} \otimes E \rightarrow \mathcal{T}$  can be completed to a finite resolution

$$0 \longrightarrow \mathcal{A} \longrightarrow \mathcal{B} \longrightarrow \mathcal{O}_{\text{Quot}} \otimes E \longrightarrow \mathcal{T} \longrightarrow 0$$

with locally free sheaves  $\mathcal{A}$  and  $\mathcal{B}$  on  $Y_l$  of rank  $n$  and  $n+r$ , respectively, for some positive integer  $n$ . It follows that  $Z = \mathbf{P}(\mathcal{N}) \subset \mathbf{P}(\mathcal{B})$  is the vanishing locus of the composite homomorphism  $\varphi^* \mathcal{A} \rightarrow \varphi^* \mathcal{B} \rightarrow \mathcal{O}_{\mathbf{P}(\mathcal{B})}(1)$ . In particular, assuming by induction that  $Y_l$  is irreducible,  $Z$  is locally cut out from an irreducible variety of dimension  $(r+1)l+2+(r+n-1)$  by  $n$  equations. Hence every irreducible component of  $Z$  has dimension at least  $(r+1)(l+1)$ . But the dimension estimates for the stratum  $Y_{l,i}$  and the fibres of  $\varphi$  over it yield

$$\dim(\varphi^{-1}(Y_{l,i})) \leq (r+1)l+2-2i+(r+i-1) = (r+1)(l+1)-i,$$

which is strictly less than the dimension of any possible component of  $Z$ , if  $i \geq 1$ . This implies that the irreducible variety  $\varphi^{-1}(Y_{l,0})$  is dense in  $Z$ . Moreover, since the fibre of  $\psi$  over  $Y_{l+1,1}$  is zero-dimensional,  $\dim(Y_{l+1}) = \dim(Y_{l+1,1}) + 2 = \dim(Z) + 2$  has the predicted value.  $\square$

**3. The local case**

We now concentrate on quotients  $E \rightarrow T$ , where  $T$  has support in a single fixed closed point  $x \in X$ . For those quotients the structure of  $E$  is of no importance, and we may assume that  $E \cong \mathcal{O}_X^r$ . Let  $Q_i^r$  denote the closed subset

$$\{[\mathcal{O}_X^r \rightarrow T] \in \text{Quot}(\mathcal{O}_X^r, l) \mid \text{Supp}(T) = \{x\}\}$$

with the reduced subscheme structure. We may consider  $Q_i^r$  as a subscheme of  $Y_{l,1}$  by sending  $[q]$  to  $([q], x)$ . Then it is easy to see that  $\varphi^{-1}(Q_i^r) = \psi^{-1}(Q_{l+1}^r)$ . Let this scheme be denoted by  $Z'$ .

We will use a stratification of  $Q_i^r$  both by the socle dimension  $i$  and the number of generators  $e$  of  $T$  and denote the corresponding locally closed subset by  $Q_{l,i}^{r,e}$ . Moreover, let  $Q_{l,i}^r = \bigcup_e Q_{l,i}^{r,e}$  and define  $Q_i^{r,e}$  similarly. Of course,  $Q_{l,i}^{r,e}$  is empty unless  $1 \leq i \leq l$  and  $1 \leq e \leq \min\{r, l\}$ .

To prove the second half of the theorem it suffices to show the following.

**Proposition 6.** *The scheme  $Q_l^r$  is an irreducible variety of dimension  $rl - 1$ .*

**Lemma 7.** *We have  $\dim(Q_{l,i}^{r,e}) \leq (rl - 1) - (2(i - 1) + \binom{e}{2})$ .*

*Proof.* The proof is done by induction on  $l$ . If  $l = 1$ , then  $Q_1^r \cong \mathbf{P}^{r-1}$ , and  $Q_{1,i}^{r,e} = \emptyset$  if  $e \geq 2$  or  $i \geq 2$ . Assume that the lemma has been proved for some  $l \geq 1$ .

Let  $[q': \mathcal{O}_X^r \rightarrow T'] \in Q_{l+1,j}^{r,e}$  be a closed point. Suppose that the map  $\mu: k(x) \rightarrow T'(x)$  represents a point in  $\psi^{-1}([q']) = \mathbf{P}(\text{Soc}(T'_x)^\vee)$  and that  $T_\mu = \text{coker}(\mu)$  is the corresponding modification. If  $i = i(T_{\mu,x})$  and  $\varepsilon = e(T_{\mu,x})$ , then, according to Section 1, the pair  $(i, \varepsilon)$  can take the following values

$$(1) \quad (i, \varepsilon) = (j - 1, e - 1), (j - 1, e), (j, e) \text{ or } (j + 1, e),$$

in other words

$$\psi^{-1}(Q_{l+1,j}^{r,e}) \subset \varphi^{-1}(Q_{l,j-1}^{r,e-1}) \cup \bigcup_{|i-j| \leq 1} \varphi^{-1}(Q_{l,i}^{r,e}).$$

Subdivide  $A = Q_{l,j}^{r,e}$  into four locally closed subsets  $A_{i,\varepsilon}$  according to the generic value of  $(i, \varepsilon)$  on the fibres of  $\psi$ . Then

$$\dim(A_{i,\varepsilon}) + (j - 1) \leq \dim(Q_{l,i}^{r,\varepsilon}) + d_{i,\varepsilon},$$

where  $d_{i,\varepsilon}$  is the fibre dimension of the morphism

$$\varphi: \psi^{-1}(A_{i,\varepsilon}) \cap \varphi^{-1}(Q_{l,i}^{r,\varepsilon}) \longrightarrow Q_{l,i}^{r,\varepsilon}.$$

By the induction hypothesis we have bounds for  $\dim(Q_{l,i}^{r,\varepsilon})$ , and we can bound  $d_{i,\varepsilon}$  in the four cases (1) as follows.

(A) Let  $[q: \mathcal{O}_X^r \rightarrow T] \in Q_{l,j-1}^{r,e-1}$  be a closed point with  $N = \ker(q)$ . As we are looking for modifications  $T'$  with  $e(T'_x) = e$ , we are in the situation of Lemma 3 and may conclude

$$\begin{aligned} \varphi^{-1}([q]) \cap \psi^{-1}(A_{e-1,j-1}) &\cong \mathbf{P}(\text{im}(g: N(x) \rightarrow k(x)^r)) \\ &\cong \mathbf{P}(\ker(k(x)^r \rightarrow T(x))) \cong \mathbf{P}^{r-e}, \end{aligned}$$

since  $\text{im}(k(x)^r \rightarrow T(x)) \cong k^{e-1}$ . Hence  $d_{j-1,e-1} = r - e$  and

$$\begin{aligned} \dim(A_{j-1,e-1}) &\leq \dim Q_{l,j-1}^{r,e-1} + (r - e) - (j - 1) \\ &\leq \left\{ (rl - 1) - 2(j - 2) - \binom{e-1}{2} \right\} + (r - e) - (j - 1) \\ &= \left\{ (r(l+1) - 1) - 2(j - 1) - \binom{e}{2} \right\} - (j - 2). \end{aligned}$$

Note that this case only occurs for  $j \geq 2$ , so that  $(j - 2)$  is always nonnegative.

(B) In the three remaining cases

$$\varepsilon = e \quad \text{and} \quad i = j - 1, \quad j, \quad \text{or} \quad j + 1,$$

we begin with the rough estimate  $d_{i,e} \leq r + i - 1$  as in Section 2. This yields

$$\begin{aligned} \dim(A_{i,e}) &\leq \left\{ (rl - 1) - 2(i - 1) - \binom{e}{2} \right\} + (r + i - 1) - (j - 1) \\ (2) \quad &= \left\{ (r(l+1) - 1) - 2(j - 1) - \binom{e}{2} \right\} - (i - j). \end{aligned}$$

Thus, if  $i = j$  we get exactly the estimate asserted in the lemma, if  $i = j + 1$  the estimate is better than what we need by 1, but if  $i = j - 1$ , the estimate is not good enough and fails by 1. It is this latter case that we must further study. Let  $[q: \mathcal{O}_X^r \rightarrow T]$  be a point in  $Q_{l,j-1}^{r,e}$  with  $N = \ker(q)$ . There are two alternatives.

(i) Either the fibre  $\varphi^{-1}([q]) \cap \psi^{-1}(A_{j-1,e})$  is a *proper* closed subset of  $\mathbf{P}(N(x))$  which improves the estimate for the dimension of the fibre  $\varphi^{-1}([q])$  by 1;

(ii) or this fibre *equals* with  $\mathbf{P}(N(x))$ , which means that the socle dimension increases for all modifications of  $T$ . In this case we conclude from Lemma 4 that also  $i(T^-) = i(T) + 1$  for every modification  $T^- = \text{coker}(\mu^-: k(x) \rightarrow T)$ . But, as we just saw, calculation (2), applied to the contribution of  $Q_{l-1,j}^{r,e}$  to  $Q_{l,j-1}^{r,e}$ , shows that the dimension estimate for the locus of such points  $[q]$  in  $Q_{l,j-1}^{r,e}$  can be improved by 1 compared to the dimension estimate for  $Q_{l,j-1}^{r,e}$  as stated in the lemma.

Hence in either case we can improve estimate (3) by 1 and get

$$\dim(A_{j-1,e}) \leq (r(l+1)-1)-2(j-1) - \binom{e}{2}$$

as required. Thus, the lemma holds for  $l+1$ .  $\square$

**Lemma 8.** *We have  $\psi(\varphi^{-1}(Q_{l,i}^{r,e}) \subset \overline{Q_{l+1}^{r,e}}$ .*

*Proof.* Let  $[q: \mathcal{O}_X^r \rightarrow T] \in Q_{l,i}^{r,e}$  be a closed point with  $N = \ker(q)$ . Then  $\varphi^{-1}([q]) = \mathbf{P}(N(x)) \cong \mathbf{P}^{r+i-1}$  and  $\varphi^{-1}([q]) \cap \psi^{-1}(Q_{l+1}^{r,e+1}) \cong \mathbf{P}(\text{im}(G)) \cong \mathbf{P}^{r-e-1}$ . Since we always have  $e \geq 1, i \geq 1$ , a dense open part of  $\varphi^{-1}([q])$  is mapped to  $Q_{l+1}^{r,e}$ .  $\square$

**Lemma 9.** *If  $r \geq 2$  and if  $Q_l^{r-1}$  is irreducible of dimension  $(r-1)l-1$ , then  $Q_l^{r,<r} := \bigcup_{e < r} Q_l^{r,e}$  is an irreducible open subset of  $Q_l^r$  of dimension  $rl-1$ .*

*Proof.* Let  $M$  be the variety of all  $r \times (r-1)$  matrices over  $k$  of maximal rank, and let  $0 \rightarrow \mathcal{O}_M^{r-1} \rightarrow \mathcal{O}_M^r \rightarrow \mathcal{L} \rightarrow 0$  be the corresponding tautological sequence of locally free sheaves on  $M$ . Consider the open subset  $U \subset M \times Q_l^r$  of points  $(A, [\mathcal{O}^r \rightarrow T])$  such that the composite homomorphism

$$\mathcal{O}^{r-1} \xrightarrow{A} \mathcal{O}^r \longrightarrow T$$

is surjective. Clearly, the image of  $U$  under the projection to  $Q_l^r$  is  $Q_l^{r,<r}$ . On the other hand, the tautological epimorphism

$$\mathcal{O}_{U \times X}^{r-1} \longrightarrow \mathcal{O}_{U \times X}^r \longrightarrow (\mathcal{O}_M \otimes T)|_{U \times X}$$

induces a classifying morphism  $g': U \rightarrow Q_l^{r-1}$ . The morphism

$$g = (pr_1, g'): U \longrightarrow M \times Q_l^{r-1}$$

is surjective. In fact, it is an affine fibre bundle with fibre

$$g^{-1}(g(A, [\mathcal{O}^{r-1} \rightarrow T])) \cong \text{Hom}_k(\mathcal{L}(A), T) \cong \mathbf{A}_k^l.$$

Since  $Q_l^{r-1}$  is irreducible of dimension  $(r-1)l-1$  by assumption,  $U$  is irreducible of dimension  $rl-1 + \dim(M)$ , and  $Q_l^{r,<r}$  is irreducible of dimension  $rl-1$ .  $\square$

*Proof of Proposition 6.* The irreducibility of  $Q_l^r$  will be proved by induction over  $r$  and  $l$ . The case  $l=1, r$  arbitrary is trivial; whereas the case  $l$  arbitrary,  $r=1$  is the case of the Hilbert scheme, for which there exist several proofs ([2], [5]).



Assume therefore that  $r \geq 2$  and that the proposition holds for  $(l, r)$  and  $(l+1, r-1)$ . We will show that it holds for  $(l+1, r)$  as well.

Recall that  $Z' := \varphi^{-1}(Q_i^r) = Q_i^r \times_{Y_i} Z$ . Every irreducible component of  $Z'$  has dimension greater than or equal to  $\dim(Q_i^r) + r - 1 = r(l+1) - 2$  (cf. Section 2). On the other hand,  $\dim(\varphi^{-1}(Q_{l,i}^r)) \leq rl - 1 - 2(i-1) + (r+i-1) = r(l+1) - i$ . Thus an irreducible component of  $Z'$  is either the closure of  $\varphi^{-1}(Q_{l,1}^r)$  (of dimension  $r(l+1) - 1$ ) or the closure of  $\varphi^{-1}(W)$  for an irreducible component  $W \subset Q_{l,2}^r$  of maximal possible dimension  $rl - 3$ . But according to Lemma 8 the image of  $\varphi^{-1}(W)$  under  $\psi$  will be contained in the closure of  $Q_{l+1}^{r, < r}$ , unless  $W$  is contained in  $Q_{l,2}^{r,r}$ . But Lemma 7 says that  $Q_{l,2}^{r,r}$  has codimension  $\geq 2 + \binom{r}{2} \geq 3$  if  $r \geq 2$ , and hence cannot contain  $W$  for dimensional reasons. Hence any irreducible component of  $Z'$  is mapped by  $\psi$  into the closure of  $Q_{l+1}^{r, < r}$ , which is irreducible by Lemma 9 and the induction hypothesis. This finishes the proof of the proposition.  $\square$

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