On Gröchenig, Heil, and Walnut's proof of the local three squares theorem

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It is plain that the positive (and nontrivial) part of Theorem 5.1 of the preceding paper by K. Gröchenig, C. Heil, and D. Walnut [1] is equivalent to the following theorem. (We keep the notation from [1] with the following slight exception: μ_k denotes the characteristic function of the interval $[-r_k, r_k]$, and ν_k is the characteristic function of the *d*-dimensional cube $[-r_k, r_k]^d$.)

Theorem. Suppose $0 < r_1 < r_2$ and $r_1/r_2 \notin \mathbf{Q}$, and set $R = r_1 + r_2$. Then the set of functions of the form $(g_1\mu_1)*\mu_2 + \mu_1*(g_2\mu_2)$, with $g_1, g_2 \in L^2(-R, R)$, is dense in $L^2(-R, R)$.

This observation underlies the proof of Theorem 5.1 of [1]. Below I will give a more direct proof of the theorem just stated. A *d*-dimensional extension, equivalent to the local three "squares" theorem in dimension d>1 (cf. Theorem 6.1 of [1]), will be obtained as a corollary of this theorem.

Proof. It is enough to consider linear combinations of $(g_1\mu_1)*\mu_2$, with $g_1(t) = e^{i\pi kt/r_1}$, and $\mu_1*(g_2\mu_2)$, with $g_2(t)=e^{i\pi kt/r_2}$, k denoting an arbitrary integer. Taking Fourier transforms, we see that the question is whether the linear span of the functions

$$\frac{G(t)}{t(t-\lambda_{j,k})},$$

with $\lambda_{j,k} = k/2r_j$ and $G(t) = \sin(2\pi r_1 t) \sin(2\pi r_2 t)$, is dense in the Paley–Wiener space PW_{2R}. This is answered in two steps.

First we prove that G(t)/t belongs to the closed span of these functions: Choosing $a_k > 0$ such that $\sum_k a_k = 1$ and $\sum_k a_k^2 = \varepsilon$, we obtain

$$\int_{\mathbf{R}} \left| \frac{G(t)}{t} - \sum_{k} \frac{a_k \lambda_{1,k} G(t)}{t(\lambda_{1,k} - t)} \right|^2 dt = \int_{\mathbf{R}} \left| \sum_{k} \frac{a_k G(t)}{\lambda_{1,k} - t} \right|^2 dt \le 2r_1 \pi^2 \varepsilon,$$

by the orthogonality of the functions $\sin(2\pi r_1 t)/(\lambda_{1,k}-t)$. The claim follows since $\varepsilon > 0$ is arbitrary.

In the second step, we check that the functions G(t)/t, $G(t)/t^2$ and $G(t)/(t-\lambda_{j,k})$ constitute a complete sequence in PW_{2R}. By step one, this will prove the theorem, since each of these functions is a linear combination of functions from the original sequence along with G(t)/t. This completeness can be proved in different ways. By performing a suitable block summation of a corresponding Lagrange-type interpolation formula, one may in fact obtain a considerably stronger result about the approximation of functions in PW_{2R} by linear combinations of functions from this sequence. Such an approximation result and thus in particular the completeness were proved by Levin [2]; see also [3, pp. 151–153], where this type of interpolation is investigated in the case that the zeros of the corresponding function G are simple and uniformly separated.

To make this note self-contained, I give an argument for the completeness. It is convenient to switch to the equivalent norm and inner product for PW_{2R} obtained by integrating along the horizontal line Im z = -1. We shall prove that a function F which is orthogonal to each of the given functions, must vanish identically. We see that

$$\int_{-\infty}^{\infty} F(x-i) \overline{\left(\frac{G(x-i)}{x-i-\lambda}\right)} \, dx = \int_{-\infty}^{\infty} F(x-i) \frac{\overline{G(x+i)}}{x-(\lambda-i)} \, dx.$$

For Im $\lambda > -1$, the integrand times $e^{i2\pi Rx}$ belongs to H^1 of the upper half-plane. Thus

$$\begin{split} \int_{-\infty}^{\infty} F(x-i)\overline{\left(\frac{G(x-i)}{x-i-\lambda}\right)} \, dx &= \int_{-\infty}^{\infty} F(x-i) \frac{\overline{G(x-i)}}{x-(\lambda-i)} \left(1 - e^{i2\pi R(x-(\lambda-i))}\right) \, dx \\ &= e^{-i2\pi R(\lambda-i)} \\ &\times \int_{-\infty}^{\infty} F(x-i) \overline{G(x+i)} e^{-i2\pi Rx} \frac{\sin 2\pi R(x-(\lambda-i))}{x-(\lambda-i)} \, dx \end{split}$$

for Im $\lambda > -1$. As a function of λ , the right-hand side belongs to PW_{2R}. The orthogonality of F to each function in our sequence implies that this function is of the form H(z)G(z) for some entire function H. This is impossible unless $H \equiv 0$, whence $F(x-i)\overline{G(x+i)}e^{-i2\pi Rx}$ must be orthogonal to PW_{2R}. We let f denote the inverse Fourier transform of F and use the Paley–Wiener theorem to see that then a linear combination of f(t), $f(t-2r_1)$, $f(t-2r_2)$, f(t-2R) is orthogonal to $L^2(-R, R)$ considered as a subspace of $L^2(\mathbf{R})$. This can only occur when f is zero almost everywhere. \Box

We shall deduce two corollaries of this theorem, of which the second is equivalent to the positive part of Theorem 6.1 of [1]. To simplify the book-keeping, we

set

$$\Pi_m^* h_m = h_1 * h_2 * \dots * h_n$$

when h_1, h_2, \ldots, h_n are functions defined on **R**.

Corollary 1. Suppose $0 < r_1 < r_2 < ... < r_m \ (m \ge 2)$ and $r_j/r_k \notin \mathbf{Q}$ for $j \ne k$, and set $R = \sum_{j=1}^m r_j$. Then the set of functions of the form $\sum_{j=1}^m (g_j \mu_j) * (\prod_{k \ne j}^* \mu_k)$, with $g_j \in L^2[-R, R]$, is dense in $L^2(-R, R)$.

Proof. The proof is by induction on m. We note that when m=2, the statement is identical to that of the theorem. We set m=n+1 and assume the corollary has been established for m=n.

In what follows we let $\hat{\mu}_k$ denote the characteristic function of the interval $[-R+r_k, R-r_k]$. The induction hypothesis implies that any function $\mu_k * (\hat{h}_k \hat{\mu}_k)$, $\hat{h}_k \in L^2(-R, R)$, may be approximated by functions of the form $\sum_{j \neq k} (g_j \mu_j) * (\prod_{l \neq j}^* \mu_l)$. In particular, we may choose

$$h_l\hat{\mu}_l = (h_j\mu_j) * (h_k\mu_k) * \Pi_{p\neq j,k,l}^* \mu_p,$$

assuming of course that $j, k \neq l$. In other words, any function $(h_j \mu_j) * (h_k \mu_k) * \prod_{p \neq j,k}^* \mu_p$ may be approximated by functions of the form stated in the corollary. Using the induction hypothesis a second time (freezing j and letting k vary), we find that any function $(h_j \mu_j) * (\hat{h}_j \hat{\mu}_j)$ may be approximated by those same functions. Now remains only the simple exercise to check that the linear combinations of functions of the form $(h_j \mu_j) * (\hat{h}_j \hat{\mu}_j), h_j, \hat{h}_j \in L^2(-R, R)$, constitute a dense subset of $L^2(-R, R)$. \Box

Corollary 2. Suppose $0 < r_1 < r_2 < ... < r_{d+1}$ and $r_j/r_k \notin \mathbf{Q}$ when $j \neq k$, and set $R = \sum_{j=1}^{d+1} r_j$. Then the set of functions of the form $\sum_{j=1}^{d+1} \nu_j * (\hat{g}_j \hat{\nu}_j)$, with $\hat{\nu}_j$ denoting the characteristic function of $[-R+r_j, R-r_j]^d$ and $\hat{g}_j \in L^2[-R, R]^d$, is dense in $L^2[-R, R]^d$.

Proof. Corollary 2 is an immediate consequence of Corollary 1 (with m=d+1), since any product $f_1(x_1)f_2(x_2) \dots f_d(x_d)$, with each f_j a function as in Corollary 1, is a function as stated in Corollary 2. \Box

References

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