

# SUBGROUPS OF IA AUTOMORPHISMS OF A FREE GROUP

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## 1. Introduction

Generators and defining relations for the group  $A_n$  of automorphisms of a free group of rank  $n$  were derived by J. Nielsen [11]. For  $n=2$ , this is a fairly easy task, but for  $n \geq 3$  it requires very difficult combinatorial arguments which have not been simplified since the appearance of Nielsen's paper. In order to obtain an easier approach to the investigation of  $A_n$  and a better understanding of its structure, it seems natural to study its subgroups.

For all  $n$ , the elements of  $A_n$  which induce the identical automorphism in the commutator quotient group  $F_n/F'_n$  form a normal subgroup  $K$  of  $A_n$ . Bachmuth [1] calls this the group of IA automorphisms of  $F_n$ . Magnus [8] showed that this subgroup is generated by the automorphisms

$$K_{ij}: a_i \rightarrow a_j a_i a_j^{-1}$$

$$a_k \rightarrow a_k, \quad k \neq i$$

and

$$K_{ijk}: a_i \rightarrow a_i a_j a_k a_j^{-1} a_k^{-1}$$

$$a_m \rightarrow a_m, \quad m \neq i$$

where  $a_1, a_2, \dots, a_n$  are a set of free generators of  $F_n$ , and where the subscripts of each of these automorphisms are distinct members of the set  $\{1, 2, \dots, n\}$ . In the present paper, we will study certain interesting subgroups of  $K$ , in the case  $n=3$ . In this case,  $K$  has a minimal set of nine generators, as  $K_{ijk}^{-1}$  is easily seen to be  $K_{ikj}$ . Some, although not all, of our results can be obtained for  $n > 3$  by the same methods.

In section 3, generators and defining relations for the subgroup  $K_1$  of those automorphisms in  $K$  which keep two generators of the free group fixed will be presented. In section 4, generators for the subgroup  $\tilde{K}_3$  of those automorphisms in  $K$  which leave one generator of the free group fixed will be found. Then, in section 5, the group of those automorphisms

which take every generator of  $F$  into a conjugate of itself will be studied. It will be shown that this group is just  $C$  (the subgroup generated by the double indexed generators of  $K$ ), and a set of defining relations for this group will be found. In section 6, a theorem about  $T$  (the group generated by the triple indexed generators of  $K$ ) being free will be stated, with some discussion of the proof. And finally, in section 7, some comments about some known relations in  $K$  will be made, leading to some conjectures about the structure of  $K$ .

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## 2. Notation

We will use  $a = a_1$ ,  $b = a_2$ , and  $c = a_3$  to denote a set of free generators of  $F = F_3$ .

If  $\mu$  is an automorphism given by  $a\mu = \alpha$ ,  $b\mu = \beta$ ,  $c\mu = \gamma$ , then  $\mu$  will frequently be denoted by  $\mu: (a; b; c) \rightarrow (\alpha; \beta; \gamma)$  or in some contexts just by  $(\alpha; \beta; \gamma)$ .

If  $\mu, \nu \in A = A_3$ , then  $\mu\nu$  means first apply  $\nu$  to  $(a; b; c)$  and then apply  $\mu$  to the result.

According to Nielsen [12, page 23],  $P$ ,  $Q$ ,  $O$ , and  $U$  can be chosen as a set of generators of  $A$ , where

$$P: (a; b; c) \rightarrow (b; a; c), \quad Q: (a; b; c) \rightarrow (a; c; b),$$

$$O: (a; b; c) \rightarrow (a^{-1}; b; c) \quad \text{and} \quad U: (a; b; c) \rightarrow (ab; b; c).$$

The subgroup of  $K$  generated by the double indexed  $K_{ij}$  will be called  $C$  (for conjugation) and its normal closure in  $K$  will be called  $N$ .

The subgroup of  $K$  generated by the triple indexed  $K_{ijk}$  will be called  $T$ .

$F'$  will denote the commutator subgroup of  $F$ .

If  $M$  and  $N$  are elements of a group, then the notation  $M \rightleftharpoons N$  means that  $M$  and  $N$  commute.

And finally,  $\text{gp} \langle g_1, \dots, g_n \rangle$  will denote the group generated by  $g_1, \dots, g_n$ .

## 3. The group $K_1$ of those automorphisms in $K$ which leave two generators of $F$ fixed

If the generators of  $F$  are  $a$ ,  $b$ , and  $c$ , then by  $K_1$  is meant the group of automorphisms which take  $(a; b; c) \rightarrow (aw; b; c)$ , where  $w \in F'$ .

Clearly  $K_{12}$ ,  $K_{13}$ , and  $K_{123}$  are in the group  $K_1$  as is any word in these generators.

**THEOREM 1.**  *$K$  is generated by  $K_{12}$ ,  $K_{13}$ , and  $K_{123}$ .*

The proof of the theorem relies on the following lemma.

LEMMA 1. *If  $\mu: (a; b; c) \rightarrow (w(a, b, c); b; c)$  is an automorphism of the free group  $F$  and  $w(a, b, c)$  is freely reduced, then  $w(a, b, c)$  contains  $a$  exactly once.*

*Proof.* Clearly  $w$  contains  $a$  at least once since  $w, b,$  and  $c$  must be free generators for  $F$ , which implies  $a \in \text{gp}\langle w, b, c \rangle$ .

Suppose there is some automorphism in which  $w(a, b, c)$  contains  $a$  more than once. Let  $\alpha$  be such an automorphism in which  $w$  is of minimal length.

$$\alpha: (a; b; c) \rightarrow (w_\alpha(a, b, c); b; c).$$

Clearly  $w_\alpha(a, b, c)$  must begin and end in some power of  $a$  [not necessarily the same power for the beginning and end], since if it ends in  $b^s$  then applying the automorphism  $U^{-s}$  will result in an automorphism with a  $w$  of shorter length. [Similarly if it ends in  $c^s$  or begins in  $b^s$  or  $c^s$  then it could be shortened.] Therefore,  $w_\alpha(a, b, c) = a^p v(a, b, c) a^r$ , where  $v(a, b, c)$  does not begin or end in  $a$ . But since  $\alpha$  is an automorphism,  $w_\alpha, b,$  and  $c$  must be free generators of  $F$  and hence  $a = u(w_\alpha, b, c)$ —i.e.

$$a = w_\alpha^{p(1)} b^{q(1)} c^{r(1)} \dots w_\alpha^{p(k)} b^{q(k)} c^{r(k)},$$

where the exponents are integers, some of which may be zero. Now  $w_\alpha^p$  must begin and end in  $a$ , since  $F$  is a free group. Therefore, there can be no cancellation between  $w_\alpha^{p(i)}$  and  $b^{q(i)}$  or  $c^{r(i)}$  where  $j = i$  or  $i - 1$ . Therefore, again since  $F$  is free, all  $q(i)$  and  $r(i)$  must be zero, so  $a = u(w_\alpha, b, c) = w_\alpha^p$ . But this can only happen if  $p = \pm 1$  and  $w_\alpha = a^{\pm 1}$ . This contradicts the assumption that  $w_\alpha$  contains  $a$  twice. Therefore, the lemma is proved.

By the lemma, any automorphism in  $K_1$  must take  $a \rightarrow u(b, c)av(b, c)$  where  $u(b, c)v(b, c) \in F'(b, c)$  since we are dealing with an IA automorphism of  $F$ .

The proof of Theorem 1 now proceeds as follows: If  $k \in K_1, k: a \rightarrow u(b, c)av(b, c)$  then

$$u^{-1}(K_{12}, K_{13})k: a \rightarrow av(b, c)u(b, c),$$

where by  $u(K_{12}, K_{13})$  is meant the image of  $u(b, c)$  in  $\text{gp}\langle K_{12}, K_{13} \rangle$  under the mapping  $b \rightarrow K_{12}, c \rightarrow K_{13}$ .

Therefore, the theorem need only be proved for those automorphisms in  $K_1$  which take  $a \rightarrow aw(b, c)$  where  $w(b, c) \in F'(b, c)$ . Suppose in such an automorphism  $k$ ,

$$w(b, c) = w_1(b, c)c^\gamma b^\beta w_2(b, c),$$

where  $\beta = \pm 1$  and  $\gamma = \pm 1$ .

$$w_2(K_{12}, K_{13})k: a \rightarrow w_2(b, c)aw_1(b, c)c^\gamma b^\beta.$$

If  $\beta = \gamma = -1$ , then apply  $K_{123}$ ;

if  $\beta = \gamma = 1$ , then apply  $K_{13}^{-1} K_{123} K_{12} K_{13}$ ;

if  $\gamma = 1, \beta = -1$ , then apply  $K_{13}^{-1} K_{132} K_{13}$ ;

and if  $\gamma = -1, \beta = 1$ , then apply  $K_{12}^{-1} K_{132} K_{12}$ .

In any of these cases the result will be

$$w_2(b, c)aw_1(b, c)b^\beta c^\gamma,$$

and then applying  $w_2^{-1}(K_{12}, K_{13})$  we will get:

$$aw_1(b, c)b^\beta c^\gamma w_2(b, c).$$

Therefore, given any automorphism in  $K_1$  of the form

$$a \rightarrow aw_1(b, c)c^\gamma b^\beta w_2(b, c),$$

multiplying this automorphism by the proper automorphism in  $\text{gp} \langle K_{12}, K_{13}, K_{123} \rangle$  results in an automorphism taking

$$a \rightarrow aw_1(b, c)b^\beta c^\gamma w_2(b, c).$$

Continuing this process, the above automorphism can be brought into the form  $a \rightarrow abec^\sigma$  simply by multiplying by the proper elements of  $\text{gp} \langle K_{12}, K_{13}, K_{123} \rangle$ . But, since the resulting automorphism is in  $K_1$ , and hence in  $K$ ,  $\rho = \sigma = 0$ .

Therefore, any automorphism in  $K_1$  can be changed to the identity automorphism by multiplying by an automorphism in  $\text{gp} \langle K_{12}, K_{13}, K_{123} \rangle$ , and so the theorem is proven.

Note, by the way, that any mapping taking  $(a; b; c)$  into  $(aw(b, c); b; c)$  is an automorphism for an arbitrary  $w(b, c)$ , since it can be generated by  $U$  and  $QUQ$ . If  $w(b, c) \in F'(b, c)$ , then this automorphism will be in  $K$  and hence in  $K_1$ , and hence will be generated by  $K_{12}, K_{13}, K_{123}$ .

Now that the generators for the group  $K_1$  are known, one would like to find defining relations. In order to do this, it is useful to introduce new generators for  $K_1$  which facilitate this process. Let

$$w_{\beta\gamma} = b^\beta c^\gamma b^{-1} c^{-1} b c^{1-\gamma} b^{-\beta}.$$

Then the  $w_{\beta\gamma}$  are free generators of  $F'(b, c)$ . [This is a consequence of a theorem proved in reference 6.] Let  $R_{\beta\gamma}$  be the automorphism of  $F$  given by  $(a; b; c)$  going into  $(aw_{\beta\gamma}; b; c)$ . By the note above  $R_{\beta\gamma}$  is clearly an automorphism in  $K_1$ . Similarly, define

$$L_{\beta\gamma}: (a; b; c) \rightarrow (w_{\beta\gamma}a; b; c).$$

$L_{\beta\gamma} = OR_{\beta\gamma}^{-1}O$ , so  $L_{\beta\gamma}$  is an automorphism, and since it is clearly in  $K$  it is in  $K_1$ . Now  $K_{123} = R_{11}$ , so  $K_1$  is generated by  $K_{12}$ ,  $K_{13}$ ,  $R_{\beta\gamma}$ , and  $L_{\beta\gamma}$ . The relations below (3.1) are easily seen to be true.

$$\begin{aligned}
K_{12}^\varepsilon R_{\beta\gamma} K_{12}^{-\varepsilon} &= R_{\beta+\varepsilon, \gamma} \\
\varepsilon &= \pm 1 \\
K_{12}^\varepsilon L_{\beta\gamma} K_{12}^{-\varepsilon} &= L_{\beta+\varepsilon, \gamma}
\end{aligned}$$

$$\begin{aligned}
K_{13} R_{\beta\gamma} K_{13}^{-1} &= \begin{cases} R_{11} R_{21} \dots R_{\beta 1} R_{\beta, \gamma+1} R_{\beta 1}^{-1} \dots R_{21}^{-1} R_{11}^{-1} & \text{if } \beta > 0 \\ R_{0, \gamma+1} & \text{if } \beta = 0 \\ R_{01}^{-1} R_{-1, 1}^{-1} \dots R_{\beta+1, 1}^{-1} R_{\beta, \gamma+1} R_{\beta+1, 1} \dots R_{-1, 1} R_{01} & \text{if } \beta < 0 \end{cases} \\
K_{13} L_{\beta\gamma} K_{13}^{-1} &= \begin{cases} L_{11}^{-1} L_{21}^{-1} \dots L_{\beta 1}^{-1} L_{\beta, \gamma+1} L_{\beta 1} \dots L_{21} L_{11} & \text{if } \beta > 0 \\ L_{0, \gamma+1} & \text{if } \beta = 0 \\ L_{01} L_{-1, 1} \dots L_{\beta+1, 1} L_{\beta, \gamma+1} L_{\beta+1, 1}^{-1} \dots L_{-1, 1}^{-1} L_{01}^{-1} & \text{if } \beta < 0 \end{cases} \\
K_{13}^{-1} R_{\beta\gamma} K_{13} &= \begin{cases} R_{00} R_{-1, 0} \dots R_{\beta+1, 0} R_{\beta, \gamma-1} R_{\beta+1, 0}^{-1} \dots R_{-1, 0}^{-1} R_{00}^{-1} & \text{if } \beta < 0 \\ R_{0, \gamma-1} & \text{if } \beta = 0 \\ R_{10}^{-1} \dots R_{\beta 0}^{-1} R_{\beta, \gamma-1} R_{\beta 0} \dots R_{10} & \text{if } \beta > 0 \end{cases} \\
K_{13}^{-1} L_{\beta\gamma} K_{13} &= \begin{cases} L_{00}^{-1} L_{-1, 0}^{-1} \dots L_{\beta+1, 0}^{-1} L_{\beta, \gamma-1} L_{\beta+1, 0} \dots L_{-1, 0} L_{00} & \text{if } \beta < 0 \\ L_{0, \gamma-1} & \text{if } \beta = 0 \\ L_{10} L_{20} \dots L_{\beta 0} L_{\beta, \gamma-1} L_{\beta 0}^{-1} \dots L_{10}^{-1} & \text{if } \beta > 0 \end{cases}
\end{aligned} \tag{3.1}$$

Using these relations, any time  $R_{\beta\gamma}^\pm$  or  $L_{\beta\gamma}^\pm$  is followed, in some given word in  $K_1$ , by  $K_{12}^\pm$  or  $K_{13}^\pm$  it can be replaced by  $K_{12}^\pm$  or  $K_{13}^\pm$  followed by some word in the  $R_{\beta\gamma}$ , and  $L_{\beta\gamma}$ . Eventually the given word can be brought into the form  $w_1(K_{12}, K_{13})w_2(R_{\beta\gamma}, L_{\beta\gamma})$ . Also,

$$K_{12} K_{13} K_{12}^{-1} K_{13}^{-1} = R_{11}^{-1} L_{11}, \tag{3.2}$$

so, using the relations (3.1) and (3.2), any word in  $\text{gp} \langle K_{12}, K_{13}, R_{\beta\gamma}, L_{\beta\gamma} \rangle$  can be changed into the form  $K_{12}^a K_{13}^b w_3(R_{\beta\gamma}, L_{\beta\gamma})$ . Such an automorphism takes  $(a; b; c)$  into

$$(b^\sigma c^\rho f_1 a f_2 c^{-\rho} b^{-\sigma}; b; c)$$

where  $f_1$  and  $f_2$  are in  $F'(b, c)$ . This cannot be the identity unless  $\rho = \sigma = 0$ . Therefore, any relation in  $\text{gp} \langle K_{12}, K_{13}, R_{\beta\gamma}, L_{\beta\gamma} \rangle$  can be brought by means of (3.1) and (3.2) into the form  $w_3(R_{\beta\gamma}, L_{\beta\gamma}) = 1$ . But since  $w_{\beta\gamma}$  is a free set of generators of  $F'(b, c)$ , then clearly  $\text{gp} \langle R_{\beta\gamma} \rangle$  is free as is  $\text{gp} \langle L_{\beta\gamma} \rangle$ . Also since multiplication on the right is completely independent of multiplication on the left,

$$R_{\beta\gamma} \rightleftharpoons L_{\rho\sigma}. \tag{3.3}$$

This gives a set of defining relations for  $\text{gp} \langle R_{\beta\gamma}, L_{\beta\gamma} \rangle$ .

Therefore the following presentation for  $K_1$  is obtained:

$$K_1 = \langle K_{12}, K_{13}, R_{\beta\gamma}, L_{\beta\gamma} \mid (3.1), (3.2), (3.3) \rangle.$$

One would like to have a presentation for  $K_1$  in terms of the generators  $K_{12}$ ,  $K_{13}$ , and  $K_{123}$ . But

$$R_{\beta\gamma} = K_{12}^\beta K_{13}^{\gamma-1} K_{12}^{-1} K_{123} K_{12} K_{13}^{1-\gamma} K_{12}^{-\beta}$$

and

$$L_{\beta\gamma} = K_{12}^\beta K_{13}^\gamma K_{12}^{-1} K_{13}^{-1} K_{123} K_{12} K_{13}^{1-\gamma} K_{12}^{-\beta}.$$

Therefore, substituting these expressions in (3.1), (3.2), (3.3), one gets a set of defining relations for  $K_1$  in terms of  $K_{12}$ ,  $K_{13}$ , and  $K_{123}$ .

#### 4. The subgroup $\tilde{K}_3$ of those automorphisms in $K$ which leave one generator of $F$ fixed

$\tilde{K}_3$  stands for the group of automorphisms of  $F$  which take  $(a; b; c)$  into  $(aw; bu; c)$  where  $w, u \in F'$ .

Clearly,  $K_{12}$ ,  $K_{13}$ ,  $K_{123}$ ,  $K_{21}$ ,  $K_{23}$ , and  $K_{213}$  are in this group, as is any word generated by them, and again the converse is true.

**THEOREM 2.**  $K_{12}$ ,  $K_{13}$ ,  $K_{123}$ ,  $K_{21}$ ,  $K_{23}$ , and  $K_{213}$  generate  $\tilde{K}_3$ .

The method of proof of this theorem is based upon the work of Magnus [8, section 6] in finding the generators of  $K$ .

The proof depends on the following lemma, due to Nielsen. (This lemma is an easy consequence of the fact that any set of free generators of the free group can be changed into  $(a, b, c)$  by elementary Nielsen transformations without increasing total length [11] (or see [9, Theorem 3.1]).

**NIELSEN'S LEMMA.** Let  $\alpha, \beta, c$  be free generators of  $F$ , where  $\alpha$  and  $\beta$  are words in  $(a, b, c)$ . Then  $(\alpha; \beta; c)$  can be changed into  $(a; b; c)$  by the following processes:

- |  |                                       |   |
|--|---------------------------------------|---|
| 1. $\alpha \rightarrow \alpha\beta^{\pm 1}$ or $\beta^{\pm 1}\alpha$ | 2. $\alpha \rightarrow \beta^{\pm 1}$ | 3. $\alpha \rightarrow \alpha c^{\pm 1}$ or $c^{\pm 1}\alpha$ or $\alpha$ |
| $\beta \rightarrow \beta$  | $\beta \rightarrow \alpha^{\pm 1}$    | $\beta \rightarrow \beta c^{\pm 1}$ or $c^{\pm 1}\beta$ or $\beta$        |
| $c \rightarrow c$  | $c \rightarrow c$                     | $c \rightarrow c$   |

without ever increasing  $|\alpha| + |\beta| + |c|$ , where  $||$  means length in terms of  $a, b$ , and  $c$ .

Nielsen's lemma gives generators for the subgroup  $\tilde{A}_3$  of those automorphisms of  $F$  which leave  $c$  fixed. These can easily be shown equivalent to the following automorphisms:

$$\begin{aligned}\mu_1: (\alpha; \beta; c) &\rightarrow (\alpha\beta; \beta; c), & \mu_2: (\alpha; \beta; c) &\rightarrow (\beta\alpha; \beta; c), \\ \mu_3: (\alpha; \beta; c) &\rightarrow (\beta; \alpha; c), & \mu_4: (\alpha; \beta; c) &\rightarrow (\alpha c; \beta; c), \\ \mu_5: (\alpha; \beta; c) &\rightarrow (\alpha; c\beta; c).\end{aligned}$$

Now  $K \cap \tilde{A}_3$  consists of those automorphisms of  $F$  which leave  $c$  fixed, and which induce the identity automorphism of  $F/F'$ . But this is clearly just  $\tilde{K}_3$ . Since  $K$  is normal in  $A$ ,  $\tilde{K}_3 = K \cap \tilde{A}_3$  is normal in  $\tilde{A}_3$ . Also,  $A/K = G$ , the full 3 by 3 modular group ([12, page 28] or [8, section 6]), so  $\tilde{A}_3/\tilde{K}_3 = \tilde{G}_3$ , some subgroup of  $G$ . Clearly a matrix will be in  $\tilde{G}_3$  only if it is in  $G$  and it is of the form.

$$\begin{vmatrix} a_{11} & a_{12} & e \\ a_{21} & a_{22} & f \\ 0 & 0 & 1 \end{vmatrix}$$

where  $a_{11}a_{22} - a_{12}a_{21} = \pm 1$ , and all entries are integers.

Conversely, given a matrix of the above form, the matrix

$$\begin{vmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{vmatrix}$$

is in the 2 by 2 modular group, and hence corresponds to an automorphism  $\mu$  of the free group generated by  $a$  and  $b$  ([12], or [8, p. 168]). But then the given matrix corresponds to the automorphism  $\mu_4^e \mu_5^f \mu$ . Therefore, a three by three matrix is in  $\tilde{G}_3$  if and only if it has integer entries with determinant  $\pm 1$  and its third row is  $0\ 0\ 1$ .

Suppose a presentation for  $\tilde{G}_3$  can be found; then  $\tilde{K}_3$  is the normal subgroup in  $\tilde{A}_3$  generated by the preimages of the relators in  $\tilde{G}_3$ .

The group of matrices of the form

$$\begin{vmatrix} a_{11} & a_{12} & 0 \\ a_{21} & a_{22} & 0 \\ 0 & 0 & 1 \end{vmatrix}$$

with determinant  $\pm 1$  is generated by the matrices

$$P = \begin{vmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{vmatrix}, \quad U = \begin{vmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{vmatrix}$$

with defining relations

$$P^2 = (PUPU^{-1}PU)^2 = (PU^{-1}PU^2)^4 = 1 \tag{4.1}$$

as is easily seen from [12, page 8].

Also, the group of matrices of the form

$$\begin{vmatrix} 1 & 0 & e \\ 0 & 1 & f \\ 0 & 0 & 1 \end{vmatrix}$$

are generated by

$$Q = \begin{vmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{vmatrix}, \quad S = \begin{vmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{vmatrix}$$

with defining relations

$$QSQ^{-1}S^{-1} = 1. \quad (4.2)$$

The group of matrices

$$\begin{vmatrix} a_{11} & a_{12} & e \\ a_{21} & a_{22} & f \\ 0 & 0 & 1 \end{vmatrix}$$

is easily seen to be a splitting extension of the group of matrices

$$\begin{vmatrix} 1 & 0 & e \\ 0 & 1 & f \\ 0 & 0 & 1 \end{vmatrix} \quad \text{by the group} \quad \begin{vmatrix} a_{11} & a_{12} & 0 \\ a_{21} & a_{22} & 0 \\ 0 & 0 & 1 \end{vmatrix},$$

and the action is given by conjugation, resulting in the relations

$$PQP^{-1}S^{-1} = PSP^{-1}Q^{-1} = UQU^{-1}Q^{-1} = USU^{-1}S^{-1}Q^{-1} = 1. \quad (4.3)$$

Therefore,  $\tilde{G}_3 = \langle P, Q, S, U \mid (4.1), (4.2), (4.3) \rangle$ .

Now, as was seen above,  $\tilde{A}_3$  is generated by  $\mu_1, \mu_2, \mu_3, \mu_4$ , and  $\mu_5$ . The natural mapping of  $\tilde{A}_3 \rightarrow \tilde{G}_3$  takes  $\mu_1 \rightarrow U, \mu_2 \rightarrow U, \mu_3 \rightarrow P, \mu_4 \rightarrow Q$ , and  $\mu_5 \rightarrow S$ . Therefore,  $\tilde{K}_3$  is the normal subgroup of  $\tilde{A}_3$  generated by all possible preimages of (4.1), (4.2), and (4.3) substituting  $\mu_1$  or  $\mu_2$  for  $U, \mu_3$  for  $P, \mu_4$  for  $Q$ , and  $\mu_5$  for  $S$ . To show that this is just the group generated by  $K_{12}, K_{13}, K_{123}, K_{21}, K_{23}$ , and  $K_{213}$ , it is only necessary to show that each such preimage of a defining relation is in this group, and that this group is normal in  $\tilde{A}_3$ .

This is easily checked (see [4] for more detail), and so Theorem 2 is proved.

As of yet, no set of defining relations for  $\tilde{K}_3$  has been found, and it seems as if this may be as difficult as finding relations for all of  $K$ .

### 5. The subgroup $C^*$ of those automorphisms which take each generator of $F$ into a conjugate of itself

**THEOREM 3.** *The group  $C^*$  of those automorphisms which take each generator of  $F$  into a conjugate of itself is just  $C$ , the group generated by the double indexed generators of  $K$ .*



*Proof.* Clearly  $C \subseteq C^*$ . Conversely, given an automorphism in  $C^*$ , apply an inner automorphism so that the resulting automorphism takes  $c \rightarrow c$ . Since the group of inner automorphisms is generated by  $K_{12}K_{32}$ ,  $K_{21}K_{31}$ , and  $K_{13}K_{23}$ , to prove the theorem it suffices to show that any automorphism of the form

$$(a; b; c) \rightarrow (TaT^{-1}; SbS^{-1}; c)$$

is in  $C$ . Applying  $K_{13}^e$  and  $K_{23}^{\delta}$  to such an automorphism, we can obtain another of the same type in which neither  $T$  nor  $S$  begins with  $c$ . Since this is an automorphism, at least half of  $Ta^{\pm 1}T^{-1}$  or  $Sb^{\pm 1}S^{-1}$  must be cancelled in  $Ta^{\pm 1}T^{-1}Sb^{\pm 1}S^{-1}$  [9, Theorem 3.2]. But both are of odd length so more than half of one of them must be cancelled—i.e. either  $a^{\pm 1}T^{-1}$  is cancelled by  $S$  or  $Sb^{\pm 1}$  is cancelled by  $T^{-1}$ . In the first case, applying  $K_{21}^{\pm 1}$  shortens the total length while, in the second, applying  $K_{12}^{\pm 1}$  has the same effect. Continuing in this manner—applying  $K_{13}^e$  or  $K_{23}^{\delta}$  to cancel any  $c$ 's that appear at the extremes of either of the first two components at any stage, and otherwise applying  $K_{12}^{\pm 1}$  or  $K_{21}^{\pm 1}$  (whichever shortens the total length) the identity automorphism must eventually be reached, since at each step the total length decreases. But this proves the theorem, since in reducing the arbitrary automorphism to the identity only double indexed automorphisms were used.

The following is a presentation for  $C$ .

**THEOREM 4.**  $C = \langle K_{12}, K_{13}, K_{21}, K_{23}, K_{31}, K_{32} \mid K_{ij} \rightleftharpoons K_{kj}, K_{ij} \rightleftharpoons K_{ik}K_{jk}, i \neq j \neq k \neq i \rangle$ .

This theorem is also proven by Levinger as an outgrowth of more general considerations [5, Theorem 6.1]. However, our approaches differ, so the theorem is presented here.

To prove the theorem, note first that the group  $I$  of inner automorphisms of  $F$  is generated by  $I_1 = K_{21}K_{31}$ ,  $I_2 = K_{12}K_{32}$ , and  $I_3 = K_{13}K_{23}$ , and is a free group. Then we need the following lemma.

**LEMMA 2.**  $C/I \cong \text{gp} \langle K_{12}, K_{13}, K_{21} \rangle$  and the extension splits.

*Proof of Lemma.* Using the relations

$$\begin{aligned} (1) \quad & K_{ij} \rightleftharpoons K_{kj} \quad i \neq j \neq k \neq i \\ (2) \quad & K_{ij}^e I_k K_{ij}^{-e} = I_k \quad \text{if } i \neq k \\ (3) \quad & K_{ij}^e I_i K_{ij}^{-e} = I_j^e I_i I_j^{-e} \end{aligned} \tag{5.1}$$

any word in  $C$  can be brought into the form

$$v_1(I)v_2(K_{12}, K_{13}, K_{21}).$$

I.e., first replace  $K_{23}^e$ ,  $K_{31}^e$ , and  $K_{32}^e$  respectively by  $I_3^e K_{13}^{-e}$ ,  $I_1^e K_{21}^{-e}$ , and  $I_2^e K_{12}^{-e}$ . Then, using the above relations, bring the inner automorphisms to the left, without changing any of

the  $K_{ij}$  remaining. Clearly,  $v_2(K_{12}, K_{13}, K_{21})$  can be chosen as the coset representative of the above element, and so, to finish the proof of the lemma, it is enough to show that no word in  $\text{gp} \langle K_{12}, K_{13}, K_{21} \rangle$  other than the identity is an inner automorphism. (This shows firstly that exactly those relations which hold in  $\text{gp} \langle K_{12}, K_{13}, K_{21} \rangle$  hold in  $C/I$ , and secondly that the coset representative  $v_{2,3}$  of a product must be the product  $v_{2,1}v_{2,2}$  of the coset representatives, otherwise  $v_{2,1}v_{2,2}v_{2,3}^{-1}$  would be in  $I$  but not a relator in  $\text{gp} \langle K_{12}, K_{13}, K_{21} \rangle$ ).

So suppose some inner automorphism is also in  $\text{gp} \langle K_{12}, K_{13}, K_{21} \rangle$ . Since it is an inner automorphism, it takes  $(a; b; c)$  into  $(waw^{-1}; wbw^{-1}; wcw^{-1})$ . But  $\text{gp} \langle K_{12}, K_{13}, K_{21} \rangle \subseteq \tilde{K}_3$ , and hence any element of it leaves  $c$  fixed. Therefore,  $w$  must be  $c^\beta$  for some  $\beta$ . But the inner automorphism taking  $(a; b; c)$  into  $(c^\beta ac^{-\beta}; c^\beta bc^{-\beta}; c)$  is just  $K_{13}^\beta K_{23}^\beta$ . Therefore,

$$K_{13}^\beta K_{23}^\beta = u(K_{12}, K_{13}, K_{21})$$

or

$$K_{13}^\beta K_{23}^\beta u^{-1}(K_{12}, K_{13}, K_{21}) = 1.$$

The exponent sum of  $K_{23}$  in this relation is  $\beta$ , but  $K/K'$  is free abelian of rank 9 [2, page 7], and therefore the exponent sum of each generator of  $K$  must be zero in any relation. Therefore,  $\beta=0$ , and so the inner automorphism involved is just the identity, so the lemma is proven.

Note that the relations (5.1) just give the action of  $\text{gp} \langle K_{12}, K_{13}, K_{21} \rangle$  on  $I$ , and so, since  $I$  is free, all that remains to be done to find a presentation for  $C$  is to find one for  $\text{gp} \langle K_{12}, K_{13}, K_{21} \rangle$ .

**LEMMA 3.**  $\text{gp} \langle K_{12}, K_{13}, K_{21} \rangle$  is free of rank 3.

The lemma finishes the proof because  $C/I \cong \text{gp} \langle K_{12}, K_{13}, K_{21} \rangle$ .  $I$  is free;  $\text{gp} \langle K_{12}, K_{13}, K_{21} \rangle$  is free; the extension is a splitting extension, so a trivial factor set can be chosen; and the action of  $\text{gp} \langle K_{12}, K_{13}, K_{21} \rangle$  on  $I$  is given by the relations (5.1). Therefore,  $C = \text{gp} \langle K_{12}, K_{13}, K_{21}, I_1, I_2, I_3 \mid (5.1) \rangle$ . But

$$I_1 = K_{21}K_{31}, \quad I_2 = K_{12}K_{32}, \quad \text{and} \quad I_3 = K_{13}K_{23},$$

and the third line of (5.1) is derivable from the second

$$(K_{ij}^e I_i K_{ij}^{-e} = K_{ij}^e K_{kj}^e K_{kj}^{-e} I_i K_{ij}^{-e} = I_j^e I_i K_{kj}^{-e} K_{ij}^{-e} = I_j^e I_i I_j^{-e}),$$

which is equivalent to the relations

$$K_{ij} \rightleftharpoons K_{ik} K_{jk}.$$

Therefore,

$$C = \text{gp} \langle K_{12}, K_{13}, K_{21}, K_{23}, K_{31}, K_{32} \mid K_{ij} \rightleftharpoons K_{kj}, K_{ij} \rightleftharpoons K_{ik} K_{jk}, \quad i \neq j \neq k \neq i \rangle, \quad \text{q.e.d.}$$

The proof of Lemma 3 is quite messy and relies on the type of combinatorial arguments due to Nielsen. The details of the proof will not be presented here, but may be found in [4].

### 6. The subgroup $T$ generated by the triple indexed generators of $K$

Bachmuth proved that  $T$  is a free group on the three free generators  $K_{123}$ ,  $K_{213}$ , and  $K_{312}$  [1, Theorem 4]. The method of proof is to find a representation of  $K$ , and to show that the elements of the representation corresponding to  $K_{123}$ ,  $K_{213}$ , and  $K_{312}$  generate a free group of rank 3. I too use this idea to prove this theorem, but I use a representation different from the one used by Bachmuth and I believe my proof is simpler, so I am including it here.

Burau [3] gave a matrix representation for the Braid group. An explanation of the method used to get this representation may be found in a paper by Magnus and Peluso [10]. This same approach may be used to find matrix representations for many groups of automorphisms. As a  $K$ -characteristic subgroup of the free group  $F$  of rank 3, we choose  $H$ , the normal closure of  $ab^{-1}$  and  $bc^{-1}$ ; and we denote the groupring of  $F/H$  by  $R$ . Then  $R$  is isomorphic to the ring of polynomials with integral coefficients in  $v^\pm$ , where  $v$  is an indeterminate, and  $H/H'$  is a free  $R$ -module of rank two on which the automorphisms of  $K$  act as linear mappings. In this representation,  $K_{123}$ ,  $K_{213}$ , and  $K_{312}$  correspond respectively to the matrices

$$\begin{vmatrix} 1 & v-v^2 \\ 0 & 1 \end{vmatrix}, \quad \begin{vmatrix} 1+v^2-v & v^2-v \\ v-v^2 & 1+v-v^2 \end{vmatrix}, \quad \begin{vmatrix} 1 & 0 \\ v^2-v & 1 \end{vmatrix}.$$

To show these matrices generate a free group, it is enough to find a particular value of  $v$  for which the group is free. Putting  $v=4$ , we get the matrices

$$\begin{vmatrix} 1 & -12 \\ 0 & 1 \end{vmatrix}, \quad \begin{vmatrix} 13 & 12 \\ -12 & -11 \end{vmatrix}, \quad \begin{vmatrix} 1 & 0 \\ 12 & 1 \end{vmatrix}.$$

Letting

$$N = \begin{vmatrix} 1 & 2 \\ 0 & 1 \end{vmatrix}, \quad M = \begin{vmatrix} 1 & 0 \\ 2 & 1 \end{vmatrix},$$

these matrices are just  $N^{-6}$ ,  $(N^{-1}M)^6$ , and  $M^6$  respectively. But  $N$  and  $M$  generate a free group of rank 2 [7], and so it is clear that  $N^{-6}$ ,  $(N^{-1}M)^6$ , and  $M^6$  generate a free group of rank 3.

But then  $T$  is free, since it is generated by three generators and has a free quotient group of rank 3.

### 7. Conjectures

In conclusion, we would like to venture some as yet unproved conjectures, some supportive evidence for which may be found in [4].

1.  $K$  is not finitely related.
2. If  $N$  represents the normal closure of  $C$  in  $K$ , then  $N \cap T = 1$ , or, alternatively,  $K/N \cong T$ , which would imply that  $K$  has a free quotient group of rank 3.

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