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# Pluricomplex energy

## by

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#### 1. Introduction

This paper is a study of the complex Monge–Ampère operator  $(dd^c)^n$ . Let  $\Omega$  be an open and bounded subset of  $\mathbb{C}^n$ . If  $u_j \in C^2(\Omega)$ ,  $1 \leq j \leq n$ , then the Monge–Ampère operator operates on  $(u_1, ..., u_n)$  and equals  $dd^c u_1 \wedge ... \wedge dd^c u_n$ , where  $d=\partial+\bar{\partial}$  and  $d^c=i(\bar{\partial}-\partial)$ . If also each  $u_j$  is plurisubharmonic, then  $dd^c u_1 \wedge ... \wedge dd^c u_n$  is a positive measure. This operator is of great importance in pluripotential theory, where it plays a role similar to that of the Laplace operator in classical potential theory. The Laplace operator is a linear, second-order differential operator and thus is defined on all distributions on  $\Omega$ , while the complex Monge–Ampère operator is non-linear and cannot be defined on all plurisubharmonic functions on  $\Omega$ , cf. [14], [20] and [8]. Moreover, the operator is discontinuous in the weak\*-topology, cf. [9].

On the other hand, it was shown by Bedford and Taylor [2] that  $(dd^c)^n$  is welldefined on all locally bounded plurisubharmonic functions. The problem of extending the domain of definition beyond  $PSH \cap L_{loc}^{\infty}$  and describing the corresponding range has been studied by several authors: [2], [3], [8], [13], [15], [16] and [17]. See [1] for a survey on pluripotential theory. In particular, §4 of that paper contains a discussion of the domain of definition for  $(dd^c)^n$ . In this paper, we define certain classes  $\mathcal{E}_p$  and  $\mathcal{F}_p$  of plurisubharmonic functions, and study the complex Monge–Ampère operator  $(dd^c)^n$  on them.

We prove:

(1)  $\mathcal{E}_p$  and  $\mathcal{F}_p$  are convex cones (Theorem 3.3).

(2)  $(dd^c)^n$  is well-defined on  $\mathcal{E}_p$  (Theorem 3.5).

(3) The comparison principle is valid in  $\mathcal{F}_p$  (Theorem 4.5).

Our main result is to be found in §5, where we study the Dirichlet problem and give a complete description of  $(dd^c \mathcal{F}_p)^n$ ,  $p \ge 1$  (Theorem 5.1).

The remaining sections are based on the results from §5.

In §6, we consider the Dirichlet problem for  $\mathcal{E}_p$  and also prove a decomposition theorem for positive and compactly supported measures. The last two sections are devoted to the Dirichlet problem with continuous boundary data.

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## 2. The classes $\mathcal{E}_p$ and $\mathcal{F}_p$

Let  $\Omega$  be an open, bounded, connected and hyperconvex set in  $\mathbb{C}^n$ ,  $n \ge 2$ , i.e., there is a continuous plurisubharmonic function h on  $\Omega$  with  $\{z \in \Omega : h(z) < c\}$  relatively compact in  $\Omega$  for all c < 0. We denote by  $\mathcal{E}_0$  the class of negative and bounded plurisubharmonic functions  $\varphi$  on  $\Omega$  such that  $\lim_{z\to\xi} \varphi(z)=0$ ,  $\forall \xi \in \partial \Omega$ , and  $\int (dd^c \varphi)^n < +\infty$ .

Then  $\mathcal{E}_0$  is a convex cone, for if  $\varphi, \psi \in \mathcal{E}_0$  then  $\int_{\varphi=\alpha\psi} (dd^c(\varphi+\psi))^n = 0$  for some  $\alpha$ ,  $1 < \alpha < 2$ ,

$$\begin{split} \int_{\Omega} (dd^c (\varphi + \psi))^n &= \int_{\varphi - \alpha \psi < 0} (dd^c (\varphi + \psi))^n + \int_{\alpha \psi - \varphi < 0} (dd^c (\varphi + \psi))^n \\ &= \int_{\frac{1 + \alpha}{\alpha} \varphi < \varphi + \psi} (dd^c (\varphi + \psi))^n + \int_{(1 + \alpha) \psi < \varphi + \psi} (dd^c (\varphi + \psi))^n \\ &\leqslant 3^n \int_{\Omega} (dd^c \varphi)^n + (dd^c \psi)^n, \end{split}$$

by the comparison principle. Cf. [3], [7].

*Remark.* Integration by parts in the class  $\mathcal{E}_0$  is justified by the finite-mass assumption, cf. [12].

Definition 2.1. Given a Borel subset E of  $\Omega$ , we define the relative extremal plurisubharmonic function for E (relative to  $\Omega$ ) as the smallest upper semicontinuous majorant  $h_E^*(z)$  of

$$h_E(z) := \sup\{\varphi(z) \in \mathrm{PSH}(\Omega) : -1 \leqslant \varphi \leqslant 0, \, \varphi \leqslant -1 \text{ on } E\}.$$

*Remark.* The set  $\{h_E < h_E^*\}$  is pluripolar, cf. [3].

Definition 2.2. For every  $p \ge 1$ , we define  $\mathcal{E}_p(=\mathcal{E}_p(\Omega))$  to be the class of plurisubharmonic functions  $\varphi$  on  $\Omega$  such that there exists a sequence  $\varphi_j \in \mathcal{E}_0$  with  $\varphi_j \searrow \varphi, j \to +\infty$ , and  $\sup_j \int (-\varphi_j)^p (dd^c \varphi_j)^n < +\infty$ . If also  $\varphi_j$  can be chosen so that  $\sup_j \int (dd^c \varphi_j)^n < +\infty$ , we say that  $\varphi \in \mathcal{F}_p$ .

Note that  $\mathcal{E}_0 \subset \mathcal{F}_p \subset \mathcal{E}_p$ ,  $\forall p \ge 1$ , and that  $\mathcal{F}_q \subset \mathcal{F}_p$  if q > p by Hölder's inequality.

In the unit ball, the classical energy of a function  $\varphi \in \mathcal{E}_1$  is

$$\int -arphi \Delta arphi = 4^n \int -arphi (dd^c arphi) \wedge (dd^c (|z|^2 - 1))^{n-1}.$$

By Theorem 3.2 below, this can be estimated by a power of  $\int -\varphi (dd^c \varphi)^n$ , so all functions in  $\mathcal{E}_1$  are of finite classical energy. We may say that the functions in  $\mathcal{E}_1$  are the plurisubharmonic functions of finite pluricomplex energy.

Example 2.3. Consider  $\Omega = B(0, \frac{1}{2})$ , the ball of radius  $\frac{1}{2}$ , and  $v_{\alpha} = -(-\log |z|)^{\alpha} + (\log 2)^{\alpha}$ ,  $0 < \alpha < 1$ . Then  $0 \ge v_{\alpha} \in PSH(\Omega)$  and

$$(dd^{c}v_{\alpha})^{n} = n\alpha^{n}(1-\alpha)(-\log|z|)^{n(\alpha-1)-1}d\log|z| \wedge d^{c}\log|z| \wedge (dd^{c}\log|z|)^{n-1},$$

where  $d \log |z| \wedge d^c \log |z| \wedge (dd^c \log |z|)^{n-1} = c dV/|z|^{2n}$ , c a positive constant.

Thus  $v_{\alpha} \in \mathcal{E}_p$  if and only if

$$\int_0^{1/2} \frac{(-\log r)^{\alpha p} r^{2n-1}}{(-\log r)^{n(1-\alpha)+1} r^{2n}} \, dr < +\infty,$$

which is true exactly when

$$n(1-\alpha)+1-\alpha p>1.$$

Thus  $v_{\alpha} \in \mathcal{E}_p \Leftrightarrow n/p + n > \alpha$ .

## 3. The operator $(dd^c)^n$ is well-defined on $\mathcal{E}_p$

In this section, we extend the domain of definition of  $(dd^c)^n$  to  $\mathcal{E}_p$ .

LEMMA 3.1. If  $v \in \mathcal{E}_0$  then

$$\int (-\varphi)^{n+1} (dd^c v)^n \leq (n+1)! [\sup(-v)]^n \int (-\varphi) (dd^c \varphi)^n, \quad \forall \varphi \in \mathcal{E}_0.$$

Proof. Cf. [4].

THEOREM 3.2. Suppose  $u, v \in \mathcal{E}_0$ . If  $p \ge 1$  then

$$\begin{split} \int (-u)^p (dd^c u)^j \wedge (dd^c v)^{n-j} \\ \leqslant D_{j,p} \left( \int (-u)^p (dd^c u)^n \right)^{\frac{p+j}{p+n}} \left( \int (-v)^p (dd^c v)^n \right)^{\frac{n-j}{p+n}}, \quad 0 \leqslant j \leqslant n, \end{split}$$

where  $D_{j,p}$  equals p(p+j)(n-j)/(p-1) for p>1, and 1 for p=1.

*Proof.* Cf. [12], [18].

THEOREM 3.3. The classes  $\mathcal{E}_p$  and  $\mathcal{F}_p$  are convex cones.

*Proof.* If  $\alpha \ge 0$  and  $u \in \mathcal{E}_p$ , then obviously  $\alpha u \in \mathcal{E}_p$ .

If  $u, v \in \mathcal{E}_p$  we have to prove that  $u+v \in \mathcal{E}_p$ . Suppose that  $u_j \searrow u, v_j \searrow v$  as in the definition of  $\mathcal{E}_p$ . We have to estimate

$$\int (-u_j - v_j)^p (dd^c(u_j + v_j))^n$$

Using Hölder's inequality, it is enough to estimate terms of the form

$$\int (-u_j)^p (dd^c u_j)^s \wedge (dd^c v_j)^{n-s}, \quad 0 \leq s \leq n,$$

and

$$\int (-v_j)^p (dd^c u_j)^s \wedge (dd^c v_j)^{n-s}, \quad 0 \leqslant s \leqslant n$$

These terms can be estimated by

$$\int (-u_j)^p (dd^c u_j)^n$$
 and  $\int (-v_j)^p (dd^c v_j)^n$ 

using Theorem 3.2.

But these two sequences are uniformly bounded by assumption. The statement about  $\mathcal{F}_p$  follows now from the calculation in §2.

The proof of Theorem 3.3 is complete.

LEMMA 3.4. Suppose that  $u \in \mathcal{E}_p$  (or  $\mathcal{F}_p$ ),  $0 \ge v \in PSH(\Omega)$ . Then  $w = \max(u, v) \in \mathcal{E}_p$  (or  $\mathcal{F}_p$ ).

*Proof.* Suppose that  $u_j \searrow u$  as in the definition of  $\mathcal{E}_p$ . Put  $w_j = \max(u_j, v)$ . Then

$$\int (-w_j)^p (dd^c w_j)^n \leq \int (-u_j)^p (dd^c w_j)^n \\ \leq D_{0,p}^p \left( \int (-u_j)^p (dd^c u_j)^n \right)^{\frac{p}{p+n}} \left( \int (-w_j)^p (dd^c w_j)^n \right)^{\frac{n}{p+n}}$$

by Theorem 3.2. Therefore

$$\int (-w_j)^p (dd^c w_j)^n \leq D_{0,p}^{(p+n)/p} \int (-u_j)^p (dd^c u_j)^n.$$

Since  $u \in \mathcal{E}_p$ , the right-hand side is uniformly bounded, which proves the lemma.

THEOREM 3.5. Suppose  $\mathcal{E}_0 \ni u_j \searrow u$ ,  $j \rightarrow +\infty$ , and

$$\sup_j \int (-u_j)^p (dd^c u_j)^n < +\infty.$$

Then  $(dd^c u_j)^n$  is weakly convergent and the limit is independent of the particular sequence.

*Proof.* Let  $\varepsilon > 0$  and  $0 \leq \chi \in C_0^{\infty}(\Omega)$  be given. Define  $\delta = \sup_{\sup \chi} u_1$  (which we assume to be <0). For each j, find  $0 < r_j < r_{j-1}$  so that

$$r_j < \operatorname{dist}\left(\left\{u_j < \frac{1}{2}\delta\right\}, \complement\Omega\right)$$

 $\operatorname{and}$ 

$$\int \chi (dd^c u_{r_j})^n - \int \chi (dd^c u_j)^n \bigg| < \varepsilon, \tag{1}$$

where  $u_{r_j}(z) = \int u_j(z+r_j\xi) dV(\xi)$  (and where dV is the normalized Lebesgue measure on the unit ball).

Then  $u_j \leq u_{r_j}$  and  $u_{r_j}$  is continuous and plurisubharmonic on  $\{u_j < \frac{1}{2}\delta\}$ . Define  $\tilde{u}_j(z) = \max(u_{r_j} + \delta, 2u_j)$ . Then  $\{\tilde{u}_j\}$  is decreasing,  $\tilde{u}_j \in \mathcal{E}_p$  by Lemma 3.4 and

$$\sup_j \int (-\tilde{u}_j)^p (dd^c \tilde{u}_j)^n < +\infty.$$

We now claim that  $\lim_{j\to+\infty} \int \chi (dd^c \tilde{u}_j)^n$  exists. If we can prove this, the proof of the theorem is complete, since  $\varepsilon > 0$  in (1) is arbitrary.

We first note that  $\tilde{u} = \lim_{j \to +\infty} \tilde{u}_j \not\equiv -\infty$ . For let *h* be an exhaustion function in  $\mathcal{E}_0$  for  $\Omega$ . Then

$$\int (-\tilde{u})^p (dd^c h)^n = \lim_{j \to +\infty} \int (-\tilde{u}_j)^p (dd^c h)^n$$

$$\leq D_{0,p} \sup_j \left( \int (-\tilde{u}_j)^p (dd^c \tilde{u}_j)^n \right)^{\frac{p}{u+p}} \left( \int (-h)^p (dd^c h)^n \right)^{\frac{n}{n+p}} < +\infty.$$
(2)

Now, since  $\tilde{u}_j$  is continuous near supp  $\chi$ ,

$$\begin{split} \left| \int \chi (dd^c \tilde{u}_j)^n - \int \chi (dd^c \max(\tilde{u}_j, -k))^n \right| \\ &= \left| \int_{\tilde{u} \leqslant -k} \chi (dd^c \tilde{u}_j)^n + \int_{\tilde{u} > -k} \chi (dd^c \tilde{u}_j)^n \right. \\ &\left. - \int_{\tilde{u} \leqslant -k} \chi (dd^c \max(\tilde{u}_j, -k))^n - \int_{\tilde{u} > -k} \chi (dd^c \max(\tilde{u}_j, -k))^n \right| \end{split}$$

$$\leq \int_{\tilde{u} \leq -k} \chi (dd^c \tilde{u}_j)^n + \int_{\tilde{u} \leq -k} \chi (dd^c \max(\tilde{u}_j, -k))^n$$

$$\leq \frac{\sup \chi}{k^p} \int_{-\tilde{u} \geq k} k^p [(dd^c \tilde{u}_j)^n + (dd^c \max(\tilde{u}_j, -k))^n]$$

$$\leq \frac{\sup \chi}{k^p} \int \{ (-\tilde{u})^p (dd^c \tilde{u}_j)^n + (-\max(\tilde{u}_j, -k))^p (dd^c \max(\tilde{u}_j, -k))^n \}$$

$$\leq \frac{\sup \chi}{k^p} \operatorname{const} \cdot \sup \int (-\tilde{u}_j)^p (dd^c \tilde{u}_j)^n$$

by Theorem 3.2. This completes the proof of Theorem 3.5, since we have by [2] that  $(dd^c \max(\tilde{u}_j, -k))^n$  converges weakly for each k.

Definition 3.6. For  $u \in \mathcal{E}_p$ , we define  $(dd^c u)^n$  to be the non-negative measure found in Theorem 3.5.

THEOREM 3.7. If 
$$u_j \in \mathcal{E}_p$$
,  $u_j \nearrow u$ ,  $j \to +\infty$ , then  $u \in \mathcal{E}_p$  and  
 $(dd^c u_j)^n \to (dd^c u)^n$ ,  $j \to +\infty$ .

*Proof.* Since  $u=\max(u, u_1)$ ,  $u \in \mathcal{E}_p$  by Lemma 3.4. We can now use the ideas of Theorem 3.5, together with the monotone convergence theorem in [3], to prove Theorem 3.7.

THEOREM 3.8. If  $u \in \mathcal{E}_1$ , then  $\int u(dd^c u)^n > -\infty$ , and if  $v_j \in PSH(\Omega)$ ,  $0 \ge v_j \searrow u$ ,  $j \to +\infty$ , then  $\int v_j(dd^c v_j)^n \searrow \int u(dd^c u)^n$ ,  $j \to +\infty$ .

*Proof.* Since  $u \in \mathcal{E}_1$ , it follows from Lemma 3.4 that  $v_j \in \mathcal{E}_1$ ,  $\forall j \in \mathbb{N}$ , and there is a decreasing sequence  $u_j \in \mathcal{E}_0$  with

$$\lim_{j \to +\infty} u_j = u$$
 and  $\sup_j \int -u_j (dd^c u_j)^n = \alpha < +\infty.$ 

Then

$$\int \max(u_j, v_k) (dd^c \max(u_j, v_k))^n \geqslant \int u_j (dd^c u_j)^n \geqslant -lpha, \quad orall j, k \in \mathbf{N},$$

so it is enough to prove that

$$\lim_{j \to +\infty} \int u_j (dd^c u_j)^n = \int u (dd^c u)^n.$$

We have for  $k \ge j$ ,

$$\begin{split} \int -u_j (dd^c u_j)^n &\leqslant \int -u_j (dd^c u_k)^n \\ &= \int_{u_j \geqslant -\varepsilon} -u_j (dd^c u_k)^n + \int_{u_j < -\varepsilon} -u_j (dd^c u_k)^n \end{split}$$

for  $\varepsilon > 0$ . Here

$$\begin{split} \int_{u_j \ge -\varepsilon} -u_j (dd^c u_k)^n &= \int_{u_j \ge -\varepsilon} -\sup(u_j, -\varepsilon) (dd^c u_k)^n \\ &\leqslant \left( \int_{\Omega} -\sup(u_j, -\varepsilon) (dd^c \sup(u_j, -\varepsilon))^n \right)^{\frac{1}{1+n}} \left( \int_{\Omega} -u_k (dd^c u_k)^n \right)^{\frac{n}{n+1}} \\ &\leqslant \left( \varepsilon \int (dd^c u_j)^n \right)^{\frac{1}{1+n}} \alpha^{n/(n+1)} \to 0, \quad \varepsilon \to 0. \end{split}$$

It follows from the proof of Theorem 3.5 that

$$\lim_{k \to +\infty} \int_{u_j < -\varepsilon} -u_j (dd^c u_k)^n \leqslant \int_{\Omega} -u_j (dd^c u)^n.$$

On the other hand, since  $-u_j$  is lower semicontinuous,

$$\lim_{k \to +\infty} \int_{\Omega} -u_j (dd^c u_k)^n \geqslant \int_{\Omega} -u_j (dd^c u)^n.$$

Therefore,  $\int u_j (dd^c u)^n = \lim_{k \to +\infty} \int u_j (dd^c u_k)^n, \forall j$ .

Now

$$\begin{split} \lim_{j \to +\infty} \int u_j (dd^c u_j)^n &\geqslant \lim_{j \to +\infty} \lim_{k \to +\infty} \int u_j (dd^c u_k)^n \\ &= \int u (dd^c u)^n \geqslant \lim_{k \to +\infty} \int u (dd^c u_k)^n \\ &= \varlimsup_{k \to +\infty} \lim_{j \to +\infty} \int u_j (dd^c u_k)^n \geqslant \lim_{j \to +\infty} \int u_j (dd^c u_j)^n. \end{split}$$

Hence  $\lim_{j\to+\infty} \int u_j (dd^c u_j)^n = \int u (dd^c u)^n$ , which completes the proof.

*Remark.* The analogue of Theorem 3.8 for p>1 will be given in Theorem 5.6. The main difference is that for p=1, when  $-u \leq -v$ , integration by parts gives

$$\int (-u)(dd^c u)^n \leqslant \int (-v)(dd^c v)^n,$$

i.e., the constant  $D_{0,1}$  in Theorem 3.2 equals 1, but for  $1 , we only know that <math>D_{0,p} \ge 1$ .

We conclude this section with a few additional properties of  $\mathcal{E}_p$ .

LEMMA 3.9. Suppose  $h_j \in \mathcal{E}_0$ ,

$$\int h_j (dd^c h_j)^n \to 0, \quad j \to \infty.$$

Then there is a subsequence  $\{h_{k_j}\}$  such that

$$\sum h_{k_j} \in \mathcal{E}_1.$$

*Proof.* Suppose that  $h_{k_j}$ ,  $1 \leq j \leq N$ , are chosen such that

$$\int \sum_{j=1}^{N} h_{k_j} \left( dd^c \sum h_{k_j} \right)^n > -1.$$

Choose  $h_{k_{N+1}}$  such that

$$\int \sum_{j=1}^{N+1} h_{k_j} \left( dd^c \sum_{j=1}^{N+1} h_{k_j} \right)^n > -1,$$

that is,

$$\int \sum_{j=1}^{N} h_{k_j} \left( dd^c \sum_{j=1}^{N+1} h_{k_j} \right)^n + \int h_{k_{N+1}} \left( dd^c \sum_{j=1}^{N+1} h_{k_j} \right)^n > -1.$$

Note that the first term is the sum of  $\int \sum_{j=1}^{N} h_{k_j} (dd^c \sum_{j=1}^{N} h_{k_j})^n$  and terms of the form  $\int \sum_{j=1}^{N} h_{k_j} (dd^c \sum_{j=1}^{N} h_{k_j})^{n-p} \wedge (dd^c h_{k_{N+1}})^p$ ,  $p \ge 1$ . The first term is strictly greater than -1 by assumption and all the others together with the second term can be choosen as close to zero as we wish by Theorem 3.2.

In particular, we can choose  $h_{N+1}$  so that

$$\int \sum_{j=1}^{N+1} h_{k_j} \left( dd^c \sum_{j=1}^{N+1} h_{k_j} \right)^n > -1.$$

It follows that  $h = \sum_{j=1}^{\infty} h_{k_j} \in \mathcal{E}_1$ .

PROPOSITION 3.10. Suppose that E is a pluripolar subset of  $\Omega$ . Then there is a  $\psi \in \mathcal{E}_1$  such that  $E \subset \{\psi = -\infty\}$ .

*Proof.* Choose a sequence of relatively compact open subsets  $\theta_j$  such that every point of E is in all but finitely many  $\theta_j$ , and such that

$$\int (dd^c h_{\theta_j})^n < \frac{1}{j}, \quad j \in \mathbf{N},$$

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where  $h_{\theta_j}$  is the relative extremal plurisubharmonic function for  $\theta_j$ . We extract a subsequence of  $(h_{\theta_j})_{j=1}^{\infty}$  such that  $h = \sum h_{\theta_{k_j}} \in \mathcal{E}_1$ , which is possible by Lemma 3.9. It follows that  $E \subset \{h = -\infty\}$ .

Example 3.11. We construct a function  $\gamma \in \mathcal{E}_p \setminus \mathcal{F}_p$ ,  $\forall p \ge 1$ . Let  $\Omega = B$  be the unit ball and define  $\gamma_j = \max(\log |z|, -1/2^j)$ . Then  $\int -\gamma_j (dd^c \gamma_j)^n = c/2^j$ , where  $0 < c = \int (dd^c \gamma_j)^n$ and  $\gamma = \sum_{k=1}^{\infty} \max(\log |z|, -1/2^{j_k}) \in \mathcal{E}_1$  for some subsequence  $(j_k)_{k=1}^{\infty}$  by Lemma 3.9.

Note also that  $\int (dd^c (\sum_{k=1}^m \max(\log |z|, -1/2^{j_k}))^n = mc$  by Stokes' theorem, so  $\gamma \notin \mathcal{F}_1$ . Since  $0 \ge \gamma \ge -1$ , it follows that  $\gamma \in \mathcal{E}_p \setminus \mathcal{F}_p$ ,  $\forall p \ge 1$ .

LEMMA 3.12. Suppose that  $u \in \mathcal{E}_1$ , where  $\Omega$  is a strictly pseudoconvex domain. Then

$$\overline{\lim_{z \to \xi}} u(z) = 0, \quad \forall \xi \in \partial \Omega.$$

*Proof.* Define  $\overline{\lim}_{z\to\xi} u(z), \xi \in \partial \Omega$ .

Then  $\gamma$  is upper semicontinuous and less than or equal to zero. If there is a point  $\xi_0$  where  $\gamma(\xi_0) < 0$ , then we can find a continuous function h on  $\partial\Omega$  such that  $\gamma \leq h \leq 0$  and  $h(\xi_0) < 0$ . Then there is a unique plurisubharmonic function v continuous up to the boundary, with vanishing Monge–Ampère mass and equal to h on  $\partial\Omega$ . Let  $u_j \in \mathcal{E}_0, u_j \to u$  as in the definition of u.

Then  $\lim_{j\to+\infty} \max(u_j, v) \in \mathcal{E}_1$ , so  $\lim_{j\to+\infty} \max(u_j, v) = \max(u, v) = v \in \mathcal{E}_1$ . By Theorem 3.8,

$$\lim_{j\to+\infty}\int -\max(u_j,v)(dd^c\max(u_j,v))^n=0,$$

but

$$0 \leqslant \int -\max(u_j, v) (dd^c \max(u_j, v))^n$$

is increasing in j, so  $\max(u_j, v) \equiv 0, \forall j$ , which is a contradiction.

#### 4. The comparison principle is valid

Here, we prove that the comparison principle is valid in  $\mathcal{F}_p$ . In particular, this means that we have uniqueness in  $\mathcal{F}_p$  for the Dirichlet problem we are going to study in §5.

LEMMA 4.1. Let U be an open subset of  $\Omega$  and assume that  $u, v \in \mathcal{E}_p$ , u=v near  $\partial U$ . Then

$$\int_U (dd^c u)^n = \int_U (dd^c v)^n.$$

*Proof.* Choose  $U' \subset \subset U$  so that u = v near  $\partial U'$ , and consider the usual regularizations  $u_{\varepsilon}$  and  $v_{\varepsilon}$ . If  $\varepsilon > 0$  is small enough,  $v_{\varepsilon} = u_{\varepsilon}$  near  $\partial U$ , and if  $\chi \in C_0^{\infty}(U')$  with  $\chi = 1$  near

 $\begin{array}{l} \{u_{\varepsilon} \neq v_{\varepsilon}\} \hspace{0.1cm} \text{then} \hspace{0.1cm} \int \chi (dd^{c}u_{\varepsilon})^{n} = \int u_{\varepsilon} dd^{c}\chi \wedge (dd^{c}u_{\varepsilon})^{n-1} = \int v_{\varepsilon} dd^{c}\chi \wedge (dd^{c}u_{\varepsilon})^{n-1} = \int \chi (dd^{c}v_{\varepsilon})^{n} \\ \text{since} \hspace{0.1cm} dd^{c}\chi = 0 \hspace{0.1cm} \text{where} \hspace{0.1cm} v_{\varepsilon} \neq u_{\varepsilon}. \hspace{0.1cm} \text{Hence} \end{array}$ 

so

$$\begin{split} &\int \chi (dd^c u)^n = \int \chi (dd^c v)^n, \\ &\int_U (dd^c u)^n = \int_U (dd^c v)^n. \end{split}$$

LEMMA 4.2. If  $u, v \in \mathcal{F}_p$  and if  $u \leq v$  on  $\Omega$ , then

$$\int_{\Omega} (dd^c u)^n \geqslant \int_{\Omega} (dd^c v)^n.$$

*Proof.* Let  $u_j$  and  $v_j$  be as in the definition of  $\mathcal{F}_p$  and let  $h \in \mathcal{E}_0 \cap C(\Omega)$ . Then

$$\begin{split} \int_{\Omega} -h(dd^{c}v_{j})^{n} &\leq \int_{\Omega} -h(dd^{c}u_{j})^{n} \\ &\leq \int_{\Omega} -h(dd^{c}u)^{n} + \lim_{j \to +\infty} \int_{h > -\varepsilon} -h(dd^{c}u_{j})^{n} \\ &\leq \int_{\Omega} -h(dd^{c}u)^{n} + \varepsilon \lim_{j \to +\infty} \int_{\Omega} (dd^{c}u_{j})^{n}. \end{split}$$

If we let  $\varepsilon$  tend to zero, we get that

$$\int_{\Omega} -h(dd^c v)^n \leqslant \int_{\Omega} -h(dd^c u)^n.$$

To complete the proof, we let h decrease to -1.

LEMMA 4.3. Suppose that we have 
$$\omega \in \mathcal{E}_p$$
,  $\omega_j \searrow \omega$ ,  $j \rightarrow +\infty$ , as in the definition of  $\mathcal{E}_p$ .  
If  $0 \ge u, v \in \text{PSH}(\Omega)$  then

$$\int_{\{u < v\}} (dd^c \omega)^n \leq \lim_{j \to \infty} \int_{\{u < v\}} (dd^c \omega_j)^n,$$
(3)

and if  $u \ge v$  near  $\partial \Omega$  then

$$\int_{\{u+\varepsilon\leqslant v\}} (dd^c \omega)^n \geqslant \lim_{j\to+\infty} \int_{\{u+\varepsilon\leqslant v\}} (dd^c \omega_j)^n, \quad \forall \varepsilon > 0.$$
(4)

*Proof.* Let  $\delta > 0$  be given. Since u and v are quasicontinuous ([3], [7, p. 37]), and since

$$\sup_{j}\int_{\Omega}(-\omega_{j})^{p}(dd^{c}\omega_{j})^{n}<+\infty,$$

it follows from Proposition 3.10 that there is an open set  $\mathcal{O}_{\delta}$  with  $\sup_{j} \int_{\mathcal{O}_{\delta}} (dd^{c}\omega_{j})^{n} < \delta$ , and there are two continuous functions  $\tilde{u}$  and  $\tilde{v}$  such that  $\{u \neq \tilde{u}\} \cup \{v \neq \tilde{v}\} \subset \mathcal{O}_{\delta}$ . Therefore

$$\{u < v\} \subset \{\tilde{u} < \tilde{v}\} \cup \mathcal{O}_{\delta} \subset \{u < v\} \cup \mathcal{O}_{\delta}$$

and

$$\{u + \varepsilon \leqslant v\} \subset \{\tilde{u} + \varepsilon \leqslant \tilde{v}\} \cup \mathcal{O}_{\delta} \subset \{u + \varepsilon \leqslant v\} \cup \mathcal{O}_{\delta},$$

and so

$$\int_{\{u < v\}} (dd^c \omega)^n \leq \int_{\{\tilde{u} < \tilde{v}\} \cup \mathcal{O}_{\delta}} (dd^c \omega)^n \leq \lim_{j \to +\infty} \int_{\{\tilde{u} < \tilde{v}\} \cup \mathcal{O}_{\delta}} (dd^c \omega_j)^n$$
$$\leq \lim_{j \to +\infty} \int_{\{u < v\} \cup \mathcal{O}_{\delta}} (dd^c \omega_j)^n \leq \lim_{j \to +\infty} \int_{\{u < v\}} (dd^c \omega_j)^n + \delta$$

Also, if  $u \ge v$  near the boundary of  $\Omega$  then

$$\{u \!+\! \varepsilon \!\leqslant\! v\} \subset \subset \Omega$$

and

$$\lim_{j \to +\infty} \int_{\{u+\varepsilon \leqslant v\}} (dd^c \omega_j)^n \leqslant \lim_{j \to +\infty} \int_{\{\tilde{u}+\varepsilon \leqslant \tilde{v}\}} (dd^c \omega_j)^n + \delta$$

$$\leqslant \int_{\{\tilde{u}+\varepsilon \leqslant \tilde{v}\}} (dd^c \omega)^n + \delta \leqslant \int_{\{u+\varepsilon \leqslant v\}} (dd^c \omega)^n + 2\delta.$$

Therefore

$$\int_{\{u+\varepsilon < v\}} (dd^{c}\omega)^{n} \leq \lim_{j \to +\infty} \int_{\{u+\varepsilon < v\}} (dd^{c}\omega_{j})^{n} + \delta$$
$$\leq \lim_{j \to +\infty} \int_{\{u+\varepsilon \leq v\}} (dd^{c}\omega_{j})^{n} + \delta \leq \int_{\{u+\varepsilon \leq v\}} (dd^{c}\omega)^{n} + 3\delta.$$

LEMMA 4.4. Let  $p \ge 1$  and suppose  $u, v \in \mathcal{F}_p$ . Then

$$\int_{\{u < v\}} (dd^c v)^n \leqslant \int_{\{u < v\}} (dd^c u)^n.$$

*Proof.* Let  $v_j \searrow v$ ,  $u_j \searrow u$  as in the definition of  $\mathcal{F}_p$ , and choose an open set  $\mathcal{O}_{\delta}$  as in the previous proof with  $\sup_j \int_{\mathcal{O}_{\delta}} [(dd^c u_j)^n + (dd^c v_j)^n] < \delta$ . Using (3) of Lemma 4.3, we get

$$\int_{\{u < v\}} (dd^c v)^n \leq \lim_{j \to +\infty} \int_{\{u < v\}} (dd^c v_j)^n$$
$$\leq \lim_{j \to +\infty} \lim_{k \to +\infty} \int_{\{u_k < v\}} (dd^c v_j)^n \leq \lim_{j \to +\infty} \lim_{k \to +\infty} \int_{\{u_k < v_j\}} (dd^c v_j)^n.$$

By Lemma V:3, p. 42, in [7], this can be estimated by

$$\begin{split} \lim_{j \to +\infty} \lim_{k \to +\infty} \int_{\{u_k < v_j\}} (dd^c u_k)^n &\leq \lim_{j \to +\infty} \lim_{k \to +\infty} \int_{\{u \leq v_j\}} (dd^c u_k)^n \\ &\leq \lim_{j \to +\infty} \lim_{k \to +\infty} \int_{\{u \leq v_j\} \cap C\mathcal{O}_{\delta}} (dd^c u_k)^n + \delta \\ &\leq \int_{\{u \leq v\}} (dd^c u)^n + 2\delta + \lim_{k \to +\infty} \int_{\Omega} g(dd^c u_k)^n \\ &\leq \int_{\{u \leq v\}} (dd^c u)^n + 2\delta \\ &\quad + \lim_{k \to +\infty} \int_{\Omega} (g-1)(dd^c u_k)^n + \int_{\Omega} (dd^c u)^n \\ &\leq \int_{\{u \leq v\}} (dd^c u)^n + 2\delta + \int_{\Omega} g(dd^c u)^n, \end{split}$$

where g is any non-negative and continuous function which is bounded by 1 and equal to 1 close to the boundary of  $\Omega$ . In the second last step, we have used the estimate

$$\int_{\Omega} (dd^c u_j)^n \leqslant \int_{\Omega} (dd^c u)^n,$$

which follows from Lemma 4.2. To complete the proof, we let g tend to zero.

THEOREM 4.5 (the comparison principle). Let  $p \ge 1$  and suppose that  $u, v \in \mathcal{F}_p$  with  $(dd^c u)^n \le (dd^c v)^n$ . Then  $v \le u$  on  $\Omega$ .

Proof. Since  $\Omega$  admits a continuous exhaustion function in  $\mathcal{E}_0$ , there is to every point  $z_0 \in \Omega$  a continuous exhaustion function P so that  $(dd^c P)^n \ge dV$  near  $z_0$ , where dV denotes the Lebesgue measure. If there is a  $z_0 \in \Omega$  with  $u(z_0) < v(z_0)$ , take  $\eta > 0$  so small that  $u(z_0) < v(z_0) + \eta P(z_0)$ . Then the Lebesgue measure of  $T = \{z \in \Omega : u < v + \eta P\}$ is strictly positive, and so is  $\int_T (dd^c P)^n$ .

By Lemma 4.4 we have that

$$\int_T (dd^c(v\!+\!\eta P))^n \leqslant \int_T (dd^c u)^n,$$

but the right-hand side is assumed to be smaller than or equal to  $\int_T (dd^c v)^n$ . Hence  $\int_T (dd^c v)^n + \eta^n \int_T (dd^c P)^n \leq \int_T (dd^c v)^n$ , so  $\int_T (dd^c P)^n = 0$ , which is a contradiction.

Remark. Except for the above result, Lemma 4.4 is sometimes also called "the comparison principle". There is also a comparison principle for bounded plurisubharmonic functions: Suppose that u and v are bounded plurisubharmonic functions which are continuous and equal at the boundary of the domain  $\Omega$ . If  $(dd^c u)^n \leq (dd^c v)^n$  on  $\Omega$  then  $u \geq v$ on  $\Omega$ . Cf. [6].

### 5. The Dirichlet problem

We now prove the main theorem of this paper.

THEOREM 5.1. Let  $\Omega$  be a bounded and hyperconvex set in  $\mathbb{C}^n$ ,  $n \ge 2$ ,  $p \ge 1$  and  $\mu$ a positive measure with finite total mass on  $\Omega$ . Then there is a (uniquely determined) function  $u \in \mathcal{F}_p$  with  $(dd^c u)^n = \mu$  if and only if there is a constant A such that

$$\int (-\varphi)^p d\mu \leqslant A \left( \int (-\varphi)^p (dd^c \varphi)^n \right)^{\frac{p}{n+p}}, \quad \forall \varphi \in \mathcal{E}_0.$$
(5)

*Remark.* Note that if  $\mu$  is a measure satisfying (5) for some  $p \ge 1$ , then  $\mu$  puts no mass on pluripolar sets.

LEMMA 5.2. Suppose that  $\mu$  is a positive and compactly supported measure satisfying (5) with p > n/(n-1). If  $u_j \in \mathcal{E}_0 \cap C(\overline{\Omega})$ ,  $u_j \to u \in PSH(\Omega)$ ,  $j \to +\infty$ , a.e. dV, and if  $\sup_j \int (dd^c u_j)^n < +\infty$ , then  $\lim_{j\to+\infty} \int u_j d\mu = \int u d\mu$ .

*Proof.* Note that  $\overline{\lim}_{j\to+\infty} \int u_j d\mu \leq \int u d\mu$ , so it is enough to prove that  $\int u d\mu \leq \lim_{j\to+\infty} \int u_j d\mu$ . For each  $N \in \mathbb{N}$ , write  $A_N^j = \{z \in \operatorname{supp} \mu : u_j < -N\}$ . Then

$$\int_{A_N^j} d\mu \leqslant \int (-h_{A_N^j})^p \, d\mu \leqslant A \bigg( \int_\Omega (dd^c h_{A_N^j})^n \bigg)^{\frac{p}{n+p}} ,$$

where  $h_E(z)$  is the relative extremal plurisubharmonic function for E. By Lemma 4.4,

$$\begin{split} \int_{\Omega} (dd^c h_{A_N^j})^n &= \int_{\bar{A}_N^j} (dd^c h_{A_N^j})^n \leqslant \int_{2u_j/N < h_{A_N^j}} (dd^c h_{A_N^j})^n \\ &\leqslant \frac{2^n}{N^n} \int (dd^c u_j)^n \leqslant \frac{2^n}{N^n} \alpha, \end{split}$$
(6)

where  $\alpha = \sup \int (dd^c u_i)^n$ . Hence

$$\int_{A_N^j} d\mu \leqslant A(2^n \alpha)^{p/(n+p)} \frac{1}{N^{np/(n+p)}}$$

Since p > n/(n-1),  $\gamma = np/(n+p) > 1$ . Therefore

$$\int_{A_{2^N}^j} -u_j \, d\mu = \sum_{k=N}^\infty \int_{-2^{k+1} < u_j \leqslant -2^k} -u_j \, d\mu \leqslant A (2^n \alpha)^{p/(n+p)} \sum_{k=N}^\infty \frac{2^{k+1}}{2^{k\gamma}} \to 0, \quad N \to +\infty.$$

Thus

$$\int_{\Omega} -u_j \, d\mu = \int_{u_j \ge -2^N} -u_j \, d\mu + \int_{u_j < -2^N} -u_j \, d\mu$$

$$\leqslant \int 2^N d\mu + A(2^n \alpha)^{p/(n+p)} \sum_{k=N}^{\infty} \frac{2^{k+1}}{2^{k\gamma}}, \quad N \in \mathbf{N}.$$
(7)

In particular,  $\sup_j \int_{\Omega} -u_j d\mu < +\infty$ , and we see that it is enough to prove that  $\int -\max(u_j, -N) d\mu \rightarrow \int -\max(u, -N) d\mu$ ,  $j \rightarrow +\infty$ . In other words, we can assume that  $\{u_j\}$  is uniformly bounded.

In this case, since  $\sup_j \int u_j^2 d\mu < +\infty$ , there is a  $v \in L^2(d\mu)$  and a subsequence  $u_{j_t}$  so that  $(1/M) \sum_{t=1}^M u_{j_t} \rightarrow v$  in  $L^2(d\mu)$ . Then there is a subsequence  $M_q$  such that  $f_q = (1/M_q) \sum_{t=1}^{M_q} u_{j_t} \rightarrow v$  a.e.  $d\mu$ ,  $q \rightarrow +\infty$ . But  $f_q \rightarrow u$  in  $L^2(dV)$  so  $(\sup_{r \ge q} f_r)^* \searrow u$  everywhere, and

$$\int \left(\sup_{r \geqslant q} f_r\right)^* d\mu = \int \sup_{r \geqslant q} f_r \, d\mu \to \int v \, d\mu, \quad q \to \infty,$$

from the remark above and the fact that  $f_r \rightarrow v$  a.e.  $d\mu$ . Thus we have  $\int u \, d\mu = \int v \, d\mu = \lim_{t \rightarrow 0} \int u_{j_t} \, d\mu$ .

LEMMA 5.3. If we have that  $u_s \in \mathcal{E}_0 \cap C(\Omega)$ ,  $u \in \text{PSH}$ ,  $u_s \to u$ ,  $s \to +\infty$ , a.e. dV,  $\sup \int -u_s (dd^c u_s)^n < +\infty$  and if  $\int |u - u_s| (dd^c u_s)^n \to 0$ , then  $(dd^c u_s)^n \to (dd^c u)^n$ .

*Proof.* We can assume  $\int |u-u_s| (dd^c u_s)^n < 1/s^2$ . Then, for  $0 \leq \chi \in C_0^{\infty}(\Omega)$ ,

$$\begin{split} \int \chi (dd^{c}u)^{n} &- \int \chi (dd^{c}u_{s})^{n} \bigg| \\ &= \left| \int \chi [(dd^{c}u)^{n} - (dd^{c}(\max(u_{s}+1/s,u)-1/s))^{n} \\ &+ (dd^{c}(\max(u_{s}+1/s,u)-1/s))^{n} - (dd^{c}u_{s})^{n}] \right| \\ &\leq \left| \int \chi [(dd^{c}u)^{n} - (dd^{c}\max((u_{s}+1/s,u)-1/s))^{n} - (dd^{c}u_{s})^{n}] \right| \\ &+ \left| \int_{u_{s}+1/s \leqslant u} \chi [(dd^{c}(\max(u_{s}+1/s,u)-1/s))^{n} - (dd^{c}u_{s})^{n}] \right| \\ &\leq \left| \int \chi [(dd^{c}u)^{n} - (dd^{c}\max((u_{s}+1/s,u)-1/s))^{n}] \right| \\ &+ 2 \sup \chi \left| \int_{u_{s}+1/s \leqslant u} (dd^{c}u_{s})^{n} \right|. \end{split}$$

Since

$$\int_{u_s+1/s\leqslant u} (dd^c u_s)^n\leqslant s\int |u-u_s|(dd^c u_s)^n\to 0,\quad s\to+\infty,$$

it is enough to prove that

$$(dd^c(\max(u_s+1/s,u)-1/s))^n \to (dd^cu)^n, \quad s \to +\infty.$$

Define  $g_s = \max(u_s + 1/s, u) - 1/s$ . Then

$$\int_{g_s < -N} (dd^c g_s)^n \leq \frac{1}{N} \int (-u_s) (dd^c u_s)^n.$$

Hence  $\int_{g_s < -N} (dd^c g_s)^n \to 0$  uniformly in s when  $N \to +\infty$ , so it is enough to prove that

$$(dd^c \max(g_s, -N))^n \rightarrow (dd^c \max(u, -N))^n, \quad s \rightarrow +\infty, \ \forall N \in \mathbb{N}.$$

It follows from the construction of  $g_s$  that

$$\max(g_s, -N) \rightarrow \max(u, -N)$$

in  $C_n$ -capacity (cf. [21]). We can therefore apply Theorem 1 in [21] to conclude that

$$(dd^c \max(g_s, -N))^n \to (dd^c \max(u, -N))^n, \quad s \to +\infty,$$

which completes the proof of Lemma 5.3.

LEMMA 5.4. Suppose that  $u \in \mathcal{E}_p$  and that  $\psi$  is a negative, continuous and plurisubharmonic function on  $\Omega$ . Then

$$\chi_A(dd^c u)^n = \chi_A(dd^c \max(u, \psi))^n,$$

where  $A = \{z \in \Omega : u > \psi\}$ .

In particular,

$$\chi_A(dd^c u)^n \leq (dd^c \max(u, \psi))^n.$$

Proof. The lemma is trivially true when u is continuous. Let K be a given compact subset of  $\Omega$ , and  $\mathcal{O}$  a relatively compact open subset of  $\Omega$  containing K. Following the proof of Theorem 3.5, given  $\delta < 0$ , choose  $v_j \in \mathcal{E}_p$ ,  $v_j$  decreasing to  $\max(u+\delta, 2u)$  on  $\Omega$ , and  $v_j$  decreasing to  $u+\delta$  on  $\mathcal{O}$ , and  $v_j$  continuous on  $\mathcal{O}$ . Given  $\varepsilon > 0$ , choose  $\mathcal{O}_1$  open in  $\mathcal{O}$ , containing K, and  $K_1$  compact in  $A \cap K$ , such that

$$\int (dd^c h_{\mathcal{O}_1 \setminus K_1})^n < \varepsilon.$$

Then, with

$$A^j = \{ z \in \mathcal{O} : v_j > \psi + \delta \},\$$

we have

$$\chi_{A^j}(dd^c \max(v_j, \psi + \delta))^n = \chi_{A^j}(dd^c v_j)^n.$$

So

$$\chi_{K\cap A}(dd^c v_j)^n = \chi_{K\cap A}(dd^c \max(v_j, \psi + \delta))^n,$$

and therefore,

$$\chi_{A\cap K}(dd^c \max(v_j,\psi+\delta))^n = \chi_{\mathcal{O}_1}(dd^c v_j)^n + (\chi_{A\cap K} - \chi_{\mathcal{O}_1})(dd^c v_j)^n.$$

Here,

$$\int (\chi_{\mathcal{O}_1} - \chi_{A \cap K}) (dd^c v_j)^n \leqslant \int -h_{\mathcal{O}_1 \setminus K_1} (dd^c v_j)^n$$
$$\leqslant D_{0,p} \left( \int (dd^c h_{\mathcal{O}_1 \setminus K_1})^n \right)^{\frac{p}{n+p}} \left( \int (-v_j)^p (dd^c v_j)^n \right)^{\frac{n}{n+p}}$$
$$\leqslant \operatorname{const} \cdot \varepsilon^{p/(n+p)}.$$

Since  $\chi_{\mathcal{O}_1}$  is lower semicontinuous, we can now use

$$\begin{split} \chi_{\mathcal{O}_1}(dd^c v_j)^n + (\chi_{A\cap K} - \chi_{\mathcal{O}_1})(dd^c v_j)^n \\ &= \chi_{A\cap K}(dd^c \max(v_j, \psi + \delta)^n) \\ &= \chi_{K_1}(dd^c \max(v_j, \psi + \delta))^n + (\chi_{A\cap K} - \chi_{K_1})(dd^c \max(v_j, \psi + \delta))^n) \end{split}$$

to conclude that

$$\chi_{\mathcal{O}_1}(dd^c u)^n \leqslant \chi_{K_1}(dd^c \max(u+\delta,\psi+\delta))^n + d\mu_{\varepsilon},$$

where

$$\int d|\mu_{\varepsilon}|\leqslant \varepsilon.$$

Therefore,

$$\chi_{A\cap K}(dd^c u)^n \leq \chi_{A\cap K}(dd^c \max(u,\psi))^n$$

and the reverse inequality can be obtained in a similar way using

$$\begin{split} \chi_{K_1}(dd^c v_j)^n + (\chi_{A\cap K} - \chi_{K_1})(dd^c v_j)^n \\ &= \chi_{A\cap K}(dd^c v_j)^n = \chi_{A\cap K}(dd^c \max(v_j, \psi + \delta))^n \\ &= \chi_{\mathcal{O}_1}(dd^c \max(v_j, \psi + \delta))^n + (\chi_{A\cap K} - \chi_{\mathcal{O}_1})(dd^c \max(v_j, \psi + \delta))^n. \end{split}$$

Proof of Theorem 5.1. Suppose first that p > n/(n-1) and that  $\mu$  has compact support in  $\Omega$ . For each s large enough, we consider a subdivision  $I^s$  of supp  $\mu$  consisting of

 $c \cdot 3^{2ns}$  isomorphic, semi-open cubes  $I_j^s$  with side  $\left(\frac{1}{3}\right)^s$ ,  $1 \leq j \leq c 3^{2ns}$ . By [6] or [11] we can find  $u_s \in PSH(\Omega) \cap C(\overline{\Omega})$  with

$$\lim_{z\to\xi} u_s(z) = 0, \quad \forall \xi \in \partial \Omega,$$

and

$$(dd^c u_s)^n = \sum_j \left( \int_{I_j^s} d\mu \right) \chi_{I_j^s} \frac{1}{d_s^{2n}} \, dV,$$

where  $d_s = (\frac{1}{3})^s =$  length of side of  $I_j^s$ , and where dV is the Lebesgue measure. Using the super mean-value property for superharmonic functions, we have

$$\int -u_s (dd^c u_s)^n \leqslant \operatorname{const} \cdot \int -u_s \, d\mu,$$

which is uniformly bounded since  $\int (dd^c u_s)^n = \mu(1) < +\infty$ , as already noted in (7) in the proof of Lemma 5.2. It follows from (2) that

$$\sup_{s} \int_{\Omega'} -u_s \, dV < +\infty, \quad \forall \Omega' \subset \subset \Omega,$$

so we can pick a subsequence  $(u_{s_j})_{j=1}^{\infty}$ , again denoted by  $(u_s)$ ,  $u_s \rightarrow u \in \text{PSH}(\Omega)$ ,  $s \rightarrow +\infty$ , a.e. dV. Since  $u = \lim_{j \to \infty} (\sup_{s>j} u_s)^*$  we have that  $u \in \mathcal{F}_1$ . Define

$$V_s(x) = \frac{1}{B(nd_s)} \int_{|\xi| \leq nd_s} |u(x+\xi) - u_s(x+\xi)| \, dV,$$

where B(r) is the volume of the ball with radius r. Then

$$\begin{split} \int |u-u_s| (dd^c u_s)^n &= \sum_j \left( \int_{I_j^s} d\mu \right) d_s^{-2n} \int_{I_j^s} |u-u_s| \, dV \\ &\leqslant \sum_j \frac{B(nd_s)}{d_s^{2n}} \int_{I_j^s} V_s(x) \, d\mu(x) \leqslant \operatorname{const} \cdot \int V_s(x) \, d\mu(x) \, d$$

Now,

$$\begin{split} V_{s}(x) &= \frac{1}{B(nd_{s})} \int_{|\xi| < nd_{s}} |u(x+\xi) - u_{s}(x+\xi)| \, dV \\ &= \frac{1}{B(nd_{s})} \int_{|\xi| \leq nd_{s}} |u(x+\xi) - \sup_{j \ge s} u_{j}(x+\xi) + \sup_{j \ge s} u_{j}(x+\xi) - u_{s}(x+\xi)| \, dV \\ &\leq \frac{1}{B(nd_{s})} \int_{|\xi| \leq nd_{s}} (\sup_{j \ge s} u_{j}(x+\xi) - u(x+\xi)) \, dV \\ &\quad + \frac{1}{B(nd_{s})} \int_{|\xi| \leq nd_{s}} \sup_{j \ge s} u_{j}(x+\xi) \, dV - \frac{1}{B(nd_{s})} \int_{|\xi| \leq nd_{s}} u_{s}(x+\xi) \, dV \end{split}$$

$$\leq \frac{1}{B(nd_s)} \int_{|\xi| \leq nd_s} \left[ \left( \sup_{j \geq s} u_j(x+\xi) \right)^* - u(x+\xi) \right] dV \\ + \frac{1}{B(nd_s)} \int_{|\xi| \leq nd_s} \left( \sup_{j \geq s} u_j(x+\xi) \right)^* dV - u_s(x).$$

It follows now from monotone convergence and Lemma 5.2 that

$$\int V_s(x)\,d\mu(x)\to 0,\quad s\to +\infty,$$

and then from Lemma 5.3 that

$$(dd^c u_j)^n \to (dd^c u)^n, \quad j \to +\infty.$$

But  $(dd^c u_s)^n \rightarrow \mu$ ,  $s \rightarrow +\infty$ , by construction, so  $\mu = (dd^c u)^n$ .

It remains to prove that  $u \in \mathcal{E}_p$ . Define  $\chi_N$  as the characteristic function for

$$\{z \in \Omega : u \ge -N\}.$$

By what we have just proved, we can find  $\varphi_N \in \mathcal{F}_1$  with

$$(dd^c\varphi_N)^n = \chi_N (dd^c u)^n.$$

By Lemma 5.4,  $\varphi_N \ge \max(u, -N)$ , so it follows from Lemma 4.2 and from Theorem 3.3 in [10] that  $\varphi_N \in \mathcal{E}_0$ . Thus

$$\int (-\varphi_N)^p (dd^c \varphi_N)^n = \int (-\varphi_N)^p \chi_N (dd^c u)^n$$
  
$$\leqslant \int (-\varphi_N)^p (dd^c u)^n \leqslant A \left( \int (-\varphi_N)^p (dd^c \varphi_N)^n \right)^{\frac{p}{n+p}}.$$

Therefore

$$\int (-\varphi_N)^p (dd^c \varphi_N)^n \leqslant A^{(n+p)/n},$$

so  $\lim_{N\to+\infty} \varphi_N \in \mathcal{F}_p$  and  $u = \lim_{N\to+\infty} \varphi_N$  by Theorem 4.5. Suppose now that  $p \ge 1$ . Fix q > n/(n-1) and choose

$$E > D_{q,0} \left( \int (dd^c h_K)^n \right)^{\frac{n}{n+q}},$$

where K is the support of  $\mu$ . Define

$$M = \left\{ \nu \ge 0 : \operatorname{supp} \nu \subset K, \int (-\varphi)^q \, d\nu \le E \left( \int (-\varphi)^q (dd^c \varphi)^n \right)^{\frac{q}{n+q}}, \, \forall \varphi \in \mathcal{E}_0 \right\}.$$

If  $L \subset K$  then, by Theorem 3.2,  $(dd^c h_L)^n \in M$ , so if G is any Borel subset of K with  $\nu(G)=0$  for all  $\nu \in M$ , then G is pluripolar.

Fix  $0 \neq \nu_0 \in M$  and define

$$R = \left\{ \nu \ge 0 : \nu(1) = 1, \operatorname{supp} \nu \subset K, \right.$$

$$\int (-\varphi)^q \, d\nu \leqslant \left(\frac{E}{T} + \frac{E}{\nu_0(1)}\right) \left(\int (-\varphi)^q (dd^c \varphi)^n\right)^{\frac{q}{n+q}}, \, \forall \varphi \in \mathcal{E}_0 \bigg\},$$

where  $T = \sup\{\nu(1) : \nu \in M\}$ . Then

$$\frac{(T-\nu(1))\nu_0+\nu_0(1)\nu}{T\nu_0(1)} \in R$$

for all  $\nu \in M$ . Obviously, R is a weak\*-compact convex set of probability measures. By a generalization of the Radon-Nikodym theorem in [19], there is a  $\nu \in R$ ,  $f \in L^1(d\nu)$  and a positive measure  $\nu_s$  which is orthogonal to R, such that

$$\mu = f \, d\nu + \nu_s.$$

Note that if  $\nu(G)=0$  for all  $\nu \in R$ , then G is pluripolar.

From the remark after Theorem 5.1,  $\mu$  has no mass on pluripolar sets, so  $\nu_s = 0$  and  $\mu = f d\nu$ . We have already proved that there is, to every N, a unique

 $u_N \in \mathcal{F}_q$ 

with  $(dd^c u_N)^n = f_N d\nu$  where  $f_N = \inf(f, N)$ . Then  $u_N \ge u_{N+1}$  by Theorem 4.5, and repeating the corresponding argument above, we conclude that  $\lim u_N \in \mathcal{F}_p$ , which completes the proof if  $\mu$  has compact support.

Finally, if only  $\mu(1) < +\infty$ , consider  $\chi_{k_N} \mu$ , where

$$k_N = \{ z \in \Omega : \operatorname{dist}(z, \complement\Omega) \ge 1/N \},\$$

and repeat the argument above.

The "only if" part of Theorem 5.1 follows from Theorem 3.2, which completes the proof of Theorem 5.1.  $\hfill \Box$ 

COROLLARY 5.5. Suppose that  $\mu$  is a positive and compactly supported measure such that

$$\mu(K) \leqslant A \left( \int (dd^c h_K)^n \right)^{p/n}, \quad \forall K \subset \subset \Omega,$$

for some p>1 and some A. Then there is a  $u \in \mathcal{F}_1$  with  $(dd^c u)^n = \mu$ .

*Proof.* With notations as in Lemma 5.2, it follows from the inequality (6) and the assumption that

$$\int_{A_N^j} d\mu \leqslant A(2^n \alpha)^{p/(n+p)} \frac{1}{N^{np/(n+p)}}.$$

Therefore, the crucial inequality (7) holds true and the proof of the corollary can be completed using the first part of the proof of Theorem 5.1.  $\Box$ 

We can now prove a generalization of Theorem 3.8.

THEOREM 5.6. Suppose that  $u \in \mathcal{F}_1$  and p > 1. If  $\int (-u)^p (dd^c u)^n < +\infty$  then  $u \in \mathcal{F}_p$ , and conversely, if  $u \in \mathcal{F}_p$  then there exists a decreasing sequence  $u_j \in \mathcal{E}_0$  with  $\lim u_j = u$ and

$$\lim_{j\to+\infty}\int (-u_j)^p (dd^c u_j)^n = \int (-u)^p (dd^c u)^n < +\infty.$$

Furthermore, if  $\{v_j\}$  is any sequence of functions in  $\mathcal{E}_0$ , decreasing to  $u \in \mathcal{E}_p$ , then

$$\sup_j \int (-v_j)^p (dd^c v_j)^n < +\infty.$$

*Proof.* The last statement follows from the proof of Lemma 3.4. Suppose  $u \in \mathcal{F}_p$ . Since  $(-u)^p$  is lower semicontinuous,  $\int (-u)^p (dd^c u)^n < +\infty$ .

Suppose that  $u \in \mathcal{F}_1$  and  $\int (-u)^p (dd^c u)^n < +\infty$ . With notations as in Lemma 5.4, we use Theorem 5.1 to find  $u_N \in \mathcal{E}_0$ ,  $(dd^c u_N)^n = \chi_{A_N} (dd^c u)^n$ . Since  $u \in \mathcal{F}_1$ ,  $u_N$  decreases to u by Theorem 4.5. Now,

$$\int (-u_N)^p (dd^c u_N)^n = \int (-u_N)^p \chi_{A_N} (dd^c u)^n \to \int (-u)^p (dd^c u)^n, \quad N \to +\infty,$$

by monotone convergence. Therefore,  $u \in \mathcal{F}_p$  and the theorem is proved.

THEOREM 5.7. Suppose that  $\mu$  is a positive and compactly supported measure on  $\Omega \subset \mathbb{C}^n$ ,  $n \ge 2$ . If there is a constant A so that for some  $p \ge 1$ ,

$$\int (-\varphi)^p d\mu \leqslant A \left( \int (-\varphi) (dd^c \varphi)^n \right)^{\frac{p}{n+1}}, \quad \forall \varphi \in \mathcal{E}_0,$$

then there is a  $u \in \mathcal{F}_p$  with

$$(dd^c u)^n = \mu$$

Furthermore, if  $0 \leqslant f \in L^{p/(p-1)}(d\mu)$  then there is a  $v \in \mathcal{F}_1$  with

$$(dd^cv)^n = f d\mu.$$

*Proof.* It follows from Theorem 5.1 that there is a  $u \in \mathcal{F}_1$  with

$$(dd^c u)^n = \mu$$

Now,

$$\int (-u)^p (dd^c u)^n \leqslant A \left( \int (-u) (dd^c u)^n \right)^{\frac{p}{n+1}} \leqslant A \left( \int (-u)^p (dd^c u)^n \right)^{\frac{1}{n+1}} \left( \int d\mu \right)^{\frac{p-1}{n+1}}$$

by Theorem 3.8 and Hölder's inequality. It follows that

$$\int (-u)^p (dd^c u)^n \leqslant \left(\int d\mu\right)^{\frac{p-1}{n}} A^{(n+1)/n},$$

so  $u \in \mathcal{F}_p$  by Theorem 5.6. Now, if  $0 \leq f \in L^{p/(p-1)}(d\mu)$ ,

$$\begin{split} \int (-\varphi)f\,d\mu &\leqslant \left(\int (-\varphi)^p\,d\mu\right)^{\frac{1}{p}} \left(\int f^{p/(p-1)}\,d\mu\right)^{\frac{p-1}{p}} \\ &\leqslant A^{1/p} \left(\int (-\varphi)(dd^c\varphi)^n\right)^{\frac{1}{n+1}} \left(\int f^{p/(p-1)}\,d\mu\right)^{\frac{p-1}{p}} \end{split}$$

So another application of Theorem 5.6 completes the proof.

#### 

### 6. Some applications

PROPOSITION 6.1. Let  $\Omega$  be a hyperconvex domain. Suppose that  $\mu$  is a positive measure with finite mass on  $\Omega$  such that  $\mu \leq (dd^c \psi)^n$ , where  $\psi$  is a bounded plurisubharmonic function on  $\Omega$ . Then there is a uniquely determined bounded plurisubharmonic function  $\varphi \in \mathcal{F}_1$  with  $(dd^c \varphi)^n = \mu$ .

*Remark.* This is Theorem A in [15] in the case of boundary data zero. See also Theorem 8.1.

Proof. It is no restriction to assume  $-1 \leq \psi \leq 0$ . Consider  $h_N = \max(\psi, Nh)$  where  $h \in \mathcal{E}_0$  is an exhaustion function for  $\Omega$ . It follows from Theorems 3.2, 3.4, 4.5 and 5.1 that there is a uniquely determined  $\psi_N \in \mathcal{E}_0$  with  $(dd^c \psi_N)^n = \chi_{A_N} d\mu$ , where

$$A_N = \{z \in \Omega : Nh < -1\}.$$

Then

$$0 \geqslant \psi_N \geqslant h_N \geqslant \psi,$$

so  $\lim_{N\to+\infty} \psi_N \in \mathcal{F}_1 \cap L^\infty$  since we have assumed that  $\mu$  has bounded total mass.  $\Box$ 

Next, we extend Theorem 5.1 to  $\mathcal{E}_p$ ,  $p \ge 1$ .

THEOREM 6.2. Let  $\Omega$  be a hyperconvex domain and suppose that  $\mu$  is a positive measure on  $\Omega$  such that (5) holds for some  $p \ge 1$ . Then there is a uniquely determined  $u \in \mathcal{E}_p$  with  $(dd^c u)^n = \mu$ .

Proof. Let  $(K_j)_{j=1}^{\infty}$  be an increasing sequence of compact subsets of  $\Omega$  with  $\bigcup_{j=1}^{\infty} K_j = \Omega$ . It follows from (5) that there is a uniquely determined  $u_j \in \mathcal{F}_p$  with  $(dd^c u_j)^n = \chi_{K_j} d\mu$ . Then  $u_j$  is a decreasing sequence of functions in  $\mathcal{F}_p$  and it follows from Theorem 5.6 that

$$\int (-u_j)^p (dd^c u_j)^n = \int (-u_j)^p \chi_{K_j} \, d\mu \leq \int (-u_j)^p \, d\mu \leq A \left( \int (-u_j)^p (dd^c u_j)^n \right)^{\frac{p}{n+p}}.$$

Therefore,

$$\lim_{j\to+\infty}u_j=u\in\mathcal{E}_p$$

and  $(dd^c u)^n = \mu$ .

Let now h be a continuous exhaustion function for  $\Omega$  in  $\mathcal{E}_0$  and define

$$A_m = \{ z \in \Omega : v > -m(-h)^{1/p} \},\$$

where  $v \in \mathcal{E}_p$  and  $(dd^c v)^n = \mu$ . We have then by Lemma 5.4,

$$\chi_{K_i}\chi_{A_m}d\mu \leqslant (dd^c \max(v, -m(-h)^{1/p}))^n.$$

Thus

$$U(\chi_{K_1}\chi_{A_m}, 0) \geq \max(v, -m(-h)^{1/p}),$$

where  $U(\chi_{K_j}\chi_{A_m}d\mu, 0)$  denotes the unique function in  $\mathcal{E}_0$  with

$$(dd^{c}U(\chi_{K_{j}}\chi_{A_{m}}d\mu,0))^{n}=\chi_{K_{j}}\chi_{A_{m}}d\mu.$$

(See §7 for this notation.)

Therefore,  $U(\chi_{K_j}\chi_{A_m}d\mu, 0) \ge u_j \ge v$  for all m, so  $u \ge v$ . In other words, if  $v \in \mathcal{E}_p$ ,  $(dd^c v)^n = d\mu$ , then  $u \ge v$ . It remains to prove the reverse inequality.

We know from Lemma 5.4 that

$$(dd^{c}\max(v,-m(-h)^{1/p}))^{n} = \chi_{A_{m}}(dd^{c}v)^{n} + \chi_{\{v \leq -m(-h)^{1/p}\}}(dd^{c}\max(v,-m(-h)^{1/p}))^{n}.$$

Write

$$\mu_m = \chi_{\{v \leqslant -m(-h)^{1/p}\}} (dd^c \max(v, -m(-h)^{1/p}))^n$$

and  $g_m = U(\mu_m, 0)$ . Then by the comparison principle,

$$\max(v, -m(-h)^{1/p}) \ge u + g_m \quad \text{for all } m \ge 1,$$

and it is enough to prove that

$$\lim_{m\to\infty}g_m=0\quad\text{a.e. }dV.$$

Define  $U_m = (\sup\{g_j : j \ge m\})^*$ . Using Theorem 3.4, we have for any  $j \ge m$ ,

$$\int (-U_m)^p (dd^c U_m)^n \leqslant m^p \int (-h) (dd^c U_m)^n \leqslant m^p \int (-h) d\mu_j$$
$$\leqslant \left(\frac{m}{j}\right)^p \int (-v)^p (dd^c \max(v, -j(-h)^{1/p}))^n \leqslant \operatorname{const} \cdot \left(\frac{m}{j}\right)^p.$$

Therefore,  $(dd^c U_m)^n = 0$ , so since  $U_m$  is a bounded plurisubharmonic function with boundary values equal to zero,  $U_m = 0$ , which completes the proof of the theorem.

We conclude this section with a decomposition theorem for positive and compactly supported measures.

THEOREM 6.3. Suppose that  $\mu$  is a positive and compactly supported measure in a hyperconvex domain  $\Omega$ . Then there exist  $\psi \in \mathcal{E}_0$ ,  $0 \leq f \in L^1((dd^c\psi)^n)$  and a positive measure  $\nu_s$  carried by a pluripolar set, such that  $\mu = f(dd^c\psi)^n + \nu_s$ . In particular, if  $\mu$  vanishes on all pluripolar sets, then there is an increasing sequence of measures  $(dd^cu_j)^n$  tending to  $\mu$  as  $j \to +\infty$ , where  $u_j \in \mathcal{E}_0$ .

*Proof.* It follows from the last part of the proof of Theorem 5.1 that there exist  $\varphi \in \mathcal{F}_p$ ,  $0 \leq f \in L^1((dd^c \varphi)^n)$  and  $\nu_s$ , carried by a pluripolar set, with  $\mu = f(dd^c \varphi)^n + \nu_s$ . Since  $\mu$  has compact support, it is no restriction to assume that  $(dd^c \varphi)^n$  has compact support. Consider

$$g = (-\varphi)^{-1} \in \operatorname{PSH}(\Omega) \cap L^{\infty}_{\operatorname{loc}}(\Omega).$$

Then a calculation shows that  $(-\varphi)^{-2n}(dd^c\varphi)^n \leq \operatorname{const} (dd^c g)^n$ , and since  $(dd^c\varphi)^n$  has compact support, we can modify g outside the support of  $(dd^c\varphi)^n$  so that  $g \in \mathcal{E}_0$ . By Proposition 6.1, there is a  $\psi \in \mathcal{E}_0$  with  $(-\varphi)^{-2n}(dd^c\varphi)^n = (dd^c\psi)^n$ , which gives  $\mu = f(-\varphi)^{2n}(dd^c\psi)^n + \nu_s$ .

Finally, if  $\mu$  vanishes on all pluripolar sets,  $\nu_s = 0$ . Use Proposition 6.1 to solve

$$u_j \in \mathcal{E}_0, \quad (dd^c u_j)^n = \inf(f(-\varphi)^{2n}, j)(dd^c \psi)^n.$$

### 7. The Dirichlet problem with smooth boundary data

In this section, we use the results from the previous sections to study the Dirichlet problem with smooth boundary data.

Let  $\Omega$  be a bounded pseudoconvex domain and assume that f is a continuous realvalued function on  $\partial\Omega$ . We are going to define classes  $\mathcal{F}_p(f)$  of plurisubharmonic functions and study the problem when there is a  $v \in \mathcal{F}_p(f)$  with

$$\begin{cases} \overline{\lim}_{z \to \xi} v(z) = f(\xi), & \forall \xi \in \partial \Omega, \\ (dd^c v)^n = \mu & \text{on } \Omega. \end{cases}$$
(8)

In particular, we will prove that if  $\Omega$  is strictly pseudoconvex, then there exists a uniquely determined  $v \in \mathcal{F}_p(f)$  satisfying (8) if and only if  $\mu$  satisfies (5).

Suppose first that  $\mu$  is a positive measure on  $\Omega$  such that the class of plurisub-harmonic functions

$$B(\mu,f) = \left\{ v \in \mathrm{PSH} \cap L^\infty_\mathrm{loc}(\Omega) : (dd^c v)^n \geqslant \mu, \, \varlimsup_{z \to \xi} v(z) \leqslant f(\xi), \, \forall \xi \in \partial \Omega \right\}$$

is non-empty. Then

$$U(\mu, f) = \sup\{v : v \in B(\mu, f)\} \in B(\mu, f),$$

cf. [10]. Sometimes we also write  $U(\mu, 0)$  for the solution obtained in Theorem 5.1. Also, if  $\Omega$  is strictly pseudoconvex and if  $\mu = g \, dV$ , where  $0 \leq g \in L^2(\Omega)$ , then  $U(g \, dV, f)$  solves (8) and is continuous on  $\overline{\Omega}$ , cf. [11]. If  $\Omega$  is smoothly bounded and strictly pseudoconvex,  $f \in C^{\infty}(\overline{\Omega})$ , and if  $0 < \varepsilon \leq g \in C^{\infty}(\overline{\Omega})$  for some  $\varepsilon > 0$ , then  $U(g \, dV, f) \in C^{\infty}(\overline{\Omega})$ , cf. [5]. Then, by Lemma 4.2,

$$\int_{\Omega} (dd^c(U(0,f)+U(0,-f)))^n \leqslant \int_{\Omega} (dd^c(U(dV,f)+U(dV,-f)))^n < +\infty,$$

so  $U(0,f)+U(0,-f)\in\mathcal{E}_0$ , and if  $\varphi\in\mathcal{E}_0$ ,  $\mu\leqslant(dd^c\varphi)^n$ , then

$$\int_{\Omega} (dd^c U(\mu, f))^n \leqslant \int_{\Omega} (dd^c (\varphi + U(0, f))^n \leqslant \int_{\Omega} (dd^c (\varphi + U(0, f) + U(0, -f)))^n < +\infty$$

since  $\mathcal{E}_0$  is a convex cone. Thus, if  $\varphi \in \mathcal{E}_0$  and  $(dd^c \varphi)^n \ge \mu$ , we have  $U(0, f) \ge U(\mu, f) \ge \varphi + U(0, f)$  and  $U(\mu, f) + U(0, -f) \in \mathcal{E}_0$ . This leads us to the following definition.

Definition 7.1. Suppose that  $\Omega$  is a hyperconvex domain. We consider functions  $f \in C(\partial \Omega)$  such that  $\lim_{z\to\xi} U(0,f)(z)=f(\xi)$  for all  $\xi \in \partial \Omega$ . For such functions we then denote by  $\mathcal{E}_0(f)$  (or  $\mathcal{F}_p(f)$ ),  $p \ge 1$ , the class of plurisubharmonic functions u such that there exists  $\varphi \in \mathcal{E}_0$  (or  $\mathcal{F}_p$ ) with

$$U(0,f) \ge u \ge \varphi + U(0,f). \tag{9}$$

This can be thought of as a type of analogy with the Riesz decomposition theorem of a subharmonic function as a sum of a potential and a harmonic function.

*Remark.* Note that since  $\mathcal{E}_0$  (or  $\mathcal{F}_p$ ) is convex, so is  $\mathcal{E}_0(f)$  (or  $\mathcal{F}_p(f)$ ).

THEOREM 7.2. The Monge-Ampère operator  $(dd^c)^n$  is well-defined on  $\mathcal{F}_p(f)$  for all  $p \ge 1$ .

*Proof.* It is no restriction to assume that  $f \leq 0$ . Let  $u \in \mathcal{F}_p(f)$  be given. Then there exists  $\varphi \in \mathcal{F}_p$  such that

$$U(0,f) \ge u \ge \varphi + U(0,f).$$

The sequence of functions  $\max(u, \varphi_j + U(0, f))$  in  $\mathcal{E}_0(f)$  decreases to u, where  $\varphi_j$  decreases to  $\varphi$  as in the definition of  $\mathcal{F}_p$ . Let now  $\{u_j\} \subset \mathcal{E}_0(f)$  be any given sequence decreasing to u as  $j \to +\infty$ . Let K be any given compact subset of  $\Omega$  and choose c so large that  $U(0, f) > c\varphi$  on K. Then  $u \ge (c+1)\varphi$  near K, so  $v_j = \max(u_j, (c+1)\varphi_j) \in \mathcal{E}_0$  and  $v_j$  decreases to  $\max(u, (c+1)\varphi) \in \mathcal{F}_p, j \to +\infty$ . It follows now from Theorem 3.5 that  $(dd^c v_j)^n$  converges weakly,  $j \to +\infty$ , and since K is an arbitrarily chosen compact set,  $(dd^c u_j)^n$  converges weakly,  $j \to +\infty$ , which proves the theorem.

To make sure that  $\lim_{z\to\xi} U(0,f)(z) = f(\xi)$ ,  $U(\mu, f) + U(0, -f) \in \mathcal{E}_0$  and to avoid regularity problems, we assume in the rest of this section that  $\Omega$  is a smoothly bounded strictly pseudoconvex set and that  $f \in C^{\infty}(\overline{\Omega})$ .

LEMMA 7.3. Let  $p \ge 1$  and assume that  $u, v \in \mathcal{F}_p(f)$  satisfy u = v near  $\partial \Omega$ . Then

$$\int_{\Omega} (dd^c u)^n = \int_{\Omega} (dd^c v)^n.$$

Proof. The proof of Lemma 4.1 applies.

LEMMA 7.4. Let  $p \ge 1$  and assume that  $u, v \in \mathcal{F}_p(f)$  satisfy  $u \le v$  on  $\Omega$ . Then

$$\int_{\Omega} (dd^c u)^n \ge \int_{\Omega} (dd^c v)^n.$$

*Proof.* Suppose that  $u_j \leq v_j$ ,  $u_j \searrow u$ ,  $v_j \searrow v$ ,  $j \rightarrow +\infty$ , as in the definition of  $\mathcal{F}_p(f)$ , and assume that  $h \in \mathcal{E}_0$ .

If  $1 \leq p \leq n$ , then

$$\int h(dd^c u_j)^p \wedge (dd^c v_j)^{n-p} \leqslant \int h(dd^c u_j)^{p-1} \wedge (dd^c v_j)^{n-p+1}$$

so in particular,  $\int h(dd^c u_j)^n \leq \int h(dd^c v_j)^n$ .

For, by Stokes' theorem,

$$\begin{split} 0 &= \int_{\partial\Omega} h d^c u_j \wedge (dd^c u_j)^{p-1} \wedge (dd^c v_j)^{n-p} \\ &= \int dh \wedge d^c u_j \wedge (dd^c u_j)^{p-1} \wedge (dd^c v_j)^{n-p} + \int h (dd^c u_j)^p \wedge (dd^c v_j)^{n-p} \end{split}$$

Thus,

$$\begin{split} \int_{\Omega} h(dd^{c}u_{j})^{p} \wedge (dd^{c}v_{j})^{n-p} &= -\int_{\Omega} du_{j} \wedge d^{c}h \wedge (dd^{c}u_{j})^{p-1} \wedge (dd^{c}v_{j})^{n-p} \\ &= \int_{\Omega} u_{j} dd^{c}h \wedge (dd^{c}u_{j})^{p-1} \wedge (dd^{c}v_{j})^{n-p} \\ &- \int_{\partial \Omega} u_{j} d^{c}h \wedge (dd^{c}u_{j})^{p-1} \wedge (dd^{c}v_{j})^{n-p} \\ &= \int_{\Omega} u_{j} dd^{c}h \wedge (dd^{c}u_{j})^{p-1} \wedge (dd^{c}v_{j})^{n-p} \\ &= \int_{\Omega} u_{j} dd^{c}h \wedge (dd^{c}u_{j})^{p-1} \wedge (dd^{c}v_{j})^{n-p} \\ &= \int_{\Omega} u_{j} dd^{c}h \wedge (dd^{c}u_{j})^{p-1} \wedge (dd^{c}v_{j})^{n-p} \\ &- \int_{\Omega} dv_{j} \wedge d^{c}h \wedge (dd^{c}u_{j})^{p-1} \wedge (dd^{c}v_{j})^{n-p} \\ &= \int_{\Omega} v_{j} dd^{c}h \wedge (dd^{c}u_{j})^{p-1} \wedge (dd^{c}v_{j})^{n-p} \\ &= \int dv_{j} \wedge d^{c}h \wedge (dd^{c}u_{j})^{p-1} \wedge (dd^{c}v_{j})^{n-p} \\ &= \int h(dd^{c}u_{j})^{p-1} \wedge (dd^{c}v_{j})^{n-p+1}, \end{split}$$

where we have used that  $u_j = v_j = f$  on  $\partial \Omega$ . Hence,

$$\int -h(dd^{c}v)^{n} \leq \underline{\lim} \int -h(dd^{c}v_{j})^{n} \leq \overline{\lim} \int -h(dd^{c}u_{j})^{n}$$
$$\leq \int -h(dd^{c}u)^{n} + \varepsilon \overline{\lim} \int_{-\varepsilon < h} (dd^{c}u_{j})^{n}$$
$$\leq \int_{\Omega} -h(dd^{c}u)^{n} + \varepsilon \sup_{j} \int (dd^{c}u_{j})^{n}.$$

But since we are assuming that  $\sup_j \int (dd^c u_j)^n < +\infty$ , it follows that  $\int -h(dd^c v)^n \leq \int -h(dd^c u)^n$ , so letting  $h \searrow -1$ , we get the desired conclusion.

LEMMA 7.5. Let  $p \ge 1$ . If  $u, v \in \mathcal{F}_p(f)$ , then

$$\int_{\{u < v\}} (dd^c v)^n \leq \int_{\{u < v\}} (dd^c u)^n.$$

*Proof.* The proofs of Lemmas 4.3 and 4.4 go through without changes. We only need to observe that  $u+U(0,-f), v+U(0,-f) \in \mathcal{F}_p$ , so Theorem 3.2 gives

$$\int (-\varphi)[(dd^{c}u)^{n} + (dd^{c}v)^{n}] \leq \operatorname{const} \cdot \left(\int -\varphi(dd^{c}\varphi)^{n}\right)^{\frac{1}{n+1}}, \quad \forall \varphi \in \mathcal{E}_{0}.$$

THEOREM 7.6. Let  $p \ge 1$  and suppose that  $u, v \in \mathcal{F}_p(f)$  satisfy  $(dd^c u)^n \le (dd^c v)$ . Then  $v \le u$  on  $\Omega$ .

*Proof.* The proof of Theorem 4.5 goes through if we use Lemma 7.5 instead of Lemma 4.4.  $\hfill \Box$ 

THEOREM 7.7. Let  $\Omega$  be a smoothly bounded, strictly pseudoconvex domain in  $\mathbb{C}^n$ ,  $n \ge 2, p \ge 1, \mu$  a positive measure on  $\Omega$  with finite mass and  $f \in \mathbb{C}^{\infty}(\partial \Omega)$ . Then there is a uniquely determined  $u \in \mathcal{F}_p(f)$  with  $(dd^c u)^n = \mu$  if and only if there is a constant A such that

$$\int (-\varphi)^p d\mu \leqslant A \left( \int (-\varphi)^p (dd^c \varphi)^n \right)^{\frac{1}{n+p}}, \quad \forall \varphi \in \mathcal{E}_0.$$
(5)

Proof. Suppose  $u \in \mathcal{F}_p(f)$ ,  $(dd^c u)^n = \mu$ . Then  $U(0, f) \ge u \ge \varphi + U(0, f)$  for some  $\varphi \in \mathcal{F}_p$ , so  $0 \ge u + U(0, -f) \ge \varphi + U(0, f) + U(0, -f)$ . By Lemma 3.4,  $u + U(0, -f) \in \mathcal{F}_p$ , since we have  $\varphi + U(0, f) + U(0, -f) \in \mathcal{F}_p$ . Therefore,  $(dd^c(u + U(0, -f)))^n$  satisfies (5), and since  $\mu = (dd^c u)^n \le (dd^c(u + U(0, -f)))^n$  so does  $\mu$ . Thus (5) is a necessary condition for the Dirichlet problem (8) to have a solution. To be able to complete the proof of Theorem 7.7 we need two lemmas.

LEMMA 7.8. Suppose that  $\mu$  is a positive measure with compact support in  $\Omega$  such that  $\mu$  satisfies (5) for some p > n/(n-1). Assume that  $u_j \in \mathcal{E}_0(f) \cap C(\overline{\Omega}), u_j \to u \in \text{PSH}(\Omega)$ a.e.  $dV, j \to +\infty$ , and that  $\sup_j \int_{\Omega} (dd^c u_j)^n < +\infty$ .

Then  $\lim_{j\to+\infty} \int u_j d\mu = \int u d\mu$ .

Proof. Since  $u_j \in \mathcal{E}_0(f)$ , we have already found that  $(dd^c(u_j + U(0, -f)))^n$  satisfies (5) and so does  $(dd^c u_j)^n$ . It follows then from Theorem 5.1 that  $(dd^c U((dd^c u_j)^n, 0))^n = (dd^c u_j)^n$ . Again,

$$\begin{split} \int (dd^c (u_j + U(0, -f)))^n &\leq \int (dd^c (u_j + U(0, -f) + U(0, f)))^n \\ &\leq 3^n \bigg[ \int (dd^c u_j)^n + \int (dd^c (U(0, f) + U(0, -f)))^n \bigg], \end{split}$$

 $\mathbf{SO}$ 

$$\sup_j \int (dd^c(u_j+U(0,-f)))^n = \alpha < +\infty.$$

It follows from Lemma 5.2 that

$$\lim_{j \to \infty} \int (u_j + U(0, -f)) \, d\mu = \int (u + U(0, -f)) \, d\mu,$$

which proves the lemma.

Note also that it follows from (7) in Lemma 5.2 that

$$\int -(u_j+U(0,-f))\,d\mu \leq 2\int d\mu + 2(2^n\alpha)^{n/(n+p)}\sum_{k=1}^{\infty}\frac{2^{k+1}}{2^{k\gamma}},$$

where  $\gamma = np/(n+p)$ .

Then  $(dd^{c}u_{s})^{n}$  tends weakly to  $(dd^{c}u)^{n}$ ,  $s \rightarrow +\infty$ .

Proof. The proof of Lemma 5.3 applies.

End of the proof of Theorem 7.7. Assume that p>n/(n-1) and that  $\mu$  has compact support. We can then copy the proof of Theorem 5.1 to find  $u_s \in \text{PSH}(\Omega), u_s \to u \in \text{PSH}(\Omega)$ , a.e.  $dV, s \to +\infty, (dd^c u_s)^n$  converges weakly to  $\mu$ ,

$$\sup_s \int -u_s (dd^c u_s)^n \leqslant {\rm const}$$

and

$$U(0, f) \ge u_s \ge U((dd^c u_s)^n, 0) + U(0, f),$$

where  $\overline{\lim}_{s \to +\infty} U((dd^c u_s)^n, 0) = w \in \mathcal{F}_p$ . Therefore,  $u = \overline{\lim}_{s \to +\infty} u_s \in \mathcal{F}_p(f)$  and  $U(0, f) \ge u \ge w + U(0, f)$ . If we form

$$V_s(x) = rac{1}{B(nd_s)} \int_{|\xi| < nd_s} |u(x+\xi) - u_s(x+\xi)| \, dV,$$

as in the proof of Theorem 5.1, then it follows from monotone convergence and Lemma 7.8 that  $\int_{\Omega} V_s(x) d\mu(x) \to 0$ ,  $s \to +\infty$ , and then from Lemma 7.9 that  $(dd^c u_s)^n$  tends weakly to  $(dd^c u)^n$ ,  $s \to +\infty$ .

Assume now that  $p \ge 1$ . Let  $K_j$  be an increasing sequence of compact subsets of  $\Omega$  with  $\bigcup_{j=1}^{\infty} K_j = \Omega$ . By Theorem 6.3 there exist  $\psi_j \in \mathcal{E}_0$  such that  $\chi_{K_j} d\mu = g_j (dd^c \psi_j)^n$  for some  $0 \le g_j \in L^1((dd^c \psi_j)^n)$ . We have already proved that there exist  $u_j^s \in \mathcal{E}_0(f)$  with  $(dd^c u_j^s)^n = \inf(g_j, s) (dd^c \psi_j)^n$ . Then

$$U(0,f) \ge u_j^s \ge U(\mu,0) + U(0,f),$$

so  $\lim_{s\to+\infty} u_j^s = u_j \in \mathcal{F}_p(f)$ , and finally  $u = \lim_{j\to+\infty} u_j \in \mathcal{F}_p(f)$  since we know from Theorem 5.1 that  $U(\mu, 0) \in \mathcal{F}_p$ . Since  $(dd^c u_j)^n = \chi_{K_j} d\mu$ , it follows that  $(dd^c u)^n = d\mu$ , which completes the proof of Theorem 7.7.

*Remark.* It follows from Lemma 3.12 that  $\overline{\lim}_{z\to\xi} U(\mu,0)(z)=0, \forall \xi \in \partial\Omega$ , so we have solved the Dirichlet problem (8).

#### 8. The Dirichlet problem with continuous boundary data

In this last section, we consider the Dirichlet problem (8) for continuous boundary data on hyperconvex sets.

First, we prove that Theorem A in [15] can be deduced from Theorem 7.7.

THEOREM 8.1. Suppose that  $\Omega$  is a bounded pseudoconvex domain,  $f \in C(\partial\Omega)$ , and that  $\mu$  is a positive measure on  $\Omega$ , such that  $U(\mu, f) \in PSH \cap L^{\infty}(\Omega)$  and such that  $\lim_{z\to\xi} U(\mu, f)(z) = \lim_{z\to\xi} U(0, f)(z) = f(\xi), \forall \xi \in \partial\Omega.$ 

Then for every positive measure  $\nu$  dominated by  $\mu$ ,  $(dd^cU(\nu, f))^n = \nu$  and  $U(\nu, f)$  satisfies the inequality  $U(0, f) \ge U(\nu, f) \ge U(\mu, f)$ .

Proof. Suppose  $0 \le \nu \le \mu$ . It is no restriction to assume that  $\nu$  has compact support, since  $\nu$  can be approximated by an increasing sequence of compactly supported measures. Assume first that  $\Omega$  is smoothly bounded and strictly pseudoconvex, and that  $f \in C^{\infty}(\partial \Omega)$ . Then, by considering  $U(\nu, f) + U(0, -f)$  we see that  $B(\nu, 0) \ne \emptyset$ , so  $U(\nu, 0) \in \mathcal{E}_0$  and  $\nu \le (dd^c U(\nu, 0))^n$ , and so  $\nu$  satisfies (5) for any  $1 \le p < +\infty$ , by Theorem 3.2. By Corollary 7.10, there is a uniquely determined  $\nu$ , namely  $\nu = U(\nu, f)$ , with  $(dd^c v)^n = \nu$  and  $\lim_{z \to \xi} v(z) = f(\xi), \forall \xi \in \partial \Omega$ .

Assume now that  $\Omega$  is pseudoconvex and let  $(\Omega_j)_{j=1}^{\infty}$  be an increasing sequence of smoothly bounded strictly pseudoconvex domains with  $\bigcup_{j=1}^{\infty} \Omega_j = \Omega$ , where  $\operatorname{supp} \nu \subset \subset \Omega_1$ . Since each  $f_j = U(0, f)|_{\partial \Omega_j}$  is upper semicontinuous, there exist  $f_{jk} \in C^{\infty}(\partial \Omega_j)$  with  $f_{jk} \setminus f_j, k \to +\infty$ . By the first part of the proof, there exist uniquely determined functions  $u_{jk} \in \operatorname{PSH} \cap L^{\infty}(\Omega_j)$  with  $(dd^c u_{jk})^n = \nu$  and  $\lim_{z \to \xi} u_{jk}(z) = f_{jk}(\xi), \forall \xi \in \partial \Omega_j$ .

Also,  $U(\nu, f)|_{\Omega_j} \leq u_{jk}$  since

$$\overline{\lim_{z\to\xi}} U(\nu,f)(z) \leqslant \overline{\lim_{z\to\xi}} U(0,f_{jk})(z) = f_{jk}(\xi), \quad \forall \xi \in \partial \Omega_j.$$

Since  $u_{jk} \searrow u_j, k \rightarrow +\infty$ , we have  $(dd^c u_j)^n = \nu$  and  $U(\mu, f) \leq U(\nu, f) \leq u_j \leq U(0, f_j)$  on  $\Omega_j$ .

Finally,  $u_{j+1}|_{\partial\Omega_j} \leq U(0, f_j)|_{\partial\Omega_j} = U(0, f)|_{\partial\Omega_j} = f_j$ , so  $(u_j)_{j=1}^{\infty}$  is a decreasing sequence; since  $(dd^c u_j)^n = \nu$  and  $U(0, f) \geq u_j \geq U(\mu, f)$ , the proof of the theorem is complete.  $\Box$ 

THEOREM 8.2. Let  $\Omega$  be a bounded hyperconvex domain in  $\mathbb{C}^n$ ,  $n \ge 2$ ,  $p \ge 1$ ,  $\mu$  a positive measure with finite mass on  $\Omega$ . Then, to every  $f \in C(\partial \Omega)$  such that  $\lim_{z\to\xi} U(0,f)(z)=f(\xi)$  for all  $\xi \in \partial \Omega$ , there is a function  $u \in \mathcal{F}_p(f)$  with  $(dd^c u)^n = \mu$ if and only if there is a constant A such that

$$\int (-\varphi)^p d\mu \leqslant A \left( \int (-\varphi)^p (dd^c \varphi)^n \right)^{\frac{p}{n+p}}, \quad \forall \varphi \in \mathcal{E}_0.$$
(5)

*Proof.* By choosing f=0, it follows from Theorem 3.2 that (5) is a necessary condition. To prove that (5) is sufficient, we first note that it follows from Theorem 5.1 that there is a  $\varphi \in \mathcal{F}_p$  with  $(dd^c \varphi)^n = \mu$ . Define, as in Lemma 5.4,

$$A_N = \{z \in \Omega : \varphi > -N\}$$

and

$$k_N = \{ z \in A_N : \operatorname{dist}(z, \mathbf{C}\Omega) \ge 1/N \}.$$

Then there is a uniquely determined  $\varphi_N \in \mathcal{E}_0$  with

$$(dd^c\varphi_N)^n = \chi_{A_N} \, d\mu.$$

Thus

$$(dd^c(\varphi_N + U(0, f)))^n \ge \chi_{A_N} d\mu$$

and  $\lim_{z\to\xi}(\varphi_N+U(0,f)(z)=f(\xi))$ , for all  $\xi\in\partial\Omega$ . It follows from Theorem 8.1 that  $(dd^c U(\chi_{A_N} d\mu, f))^n = \chi_{A_N} d\mu$ . Thus

$$U(0,f) \ge U(\chi_{A_N} d\mu, f) \ge \varphi + U(0,f),$$

and since  $U(\chi_{A_N} d\mu, f)$  is a decreasing sequence of functions in  $\mathcal{E}_0$ , it follows that

$$\lim_{N\to\infty} U(\chi_{A_N}\,d\mu,f) = u \in \mathcal{F}_p(f)$$

and  $(dd^c u)^n = \mu$  by Theorem 7.2.

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