

# On higher dimensional complex Plateau problem

Rong Du<sup>1</sup> · Yun Gao<sup>2</sup> · Stephen Yau<sup>3</sup>

Received: 31 March 2015 / Accepted: 29 September 2015 / Published online: 26 October 2015 © Springer-Verlag Berlin Heidelberg 2015

**Abstract** Let X be a compact connected strongly pseudoconvex CR manifold of real dimension 2n-1 in  $\mathbb{C}^N$ . It has been an interesting question to find an intrinsic smoothness criteria for the complex Plateau problem. For  $n \geq 3$  and N = n+1, Yau found a necessary and sufficient condition for the interior regularity of the Harvey–Lawson solution to the complex Plateau problem by means of Kohn–Rossi cohomology groups on X in 1981. For n = 2 and  $N \geq n+1$ , the first and third authors introduced a new CR invariant  $g^{(1,1)}(X)$  of X. The vanishing of this invariant will give the interior regularity of the Harvey–Lawson solution up to normalization. For  $n \geq 3$  and N > n+1, the problem still remains open. In this paper, we generalize the invariant  $g^{(1,1)}(X)$  to higher dimension as  $g^{(\Lambda^{n}1)}(X)$  and show that if  $g^{(\Lambda^{n}1)}(X) = 0$ , then the interior has at most finite number of rational singularities. In particular, if X is Calabi–Yau of real dimension 5, then the vanishing of this invariant is equivalent to give the interior regularity up to normalization.

Rong Du: The Research Sponsored by the National Natural Science Foundation of China (Grant No. 11471116), Science and Technology Commission of Shanghai Municipality (Grant No. 13dz2260400) and Shanghai Pujiang Program (Grant No. 12PJ1402400). Yun Gao: The Research Sponsored by the National Natural Science Foundation of China (Grant Nos. 11271250, 11271251) and SMC program of Shanghai Jiao Tong University. Stephen Yau: The Research supported by Tsingua Start up fund. All the authors are supported by China NSF (Grant No. 11531007).

Stephen Yau yau@uic.edu; syau@math.tsinghua.edu.cn

Rong Du rdu@math.ecnu.edu.cn

Yun Gao gaoyunmath@sjtu.edu.cn

- Department of Mathematics, Shanghai Key Laboratory of PMMP, East China Normal University, Rm. 312, Math. Bldg, No. 500, Dongchuan Road, Shanghai 200241, People's Republic of China
- Department of Mathematics, Shanghai Jiao Tong University, Shanghai 200240, People's Republic of China
- Department of Mathematical Sciences, Tsinghua University, Beijing 100084, People's Republic of China



### 1 Introduction

One of the natural fundamental questions of complex geometry is to study the boundaries of complex varieties. For example, the famous classical complex Plateau problem asks which odd dimensional real sub-manifolds of  $\mathbb{C}^N$  are boundaries of complex sub-manifolds in  $\mathbb{C}^N$ . In their beautiful seminal paper, Harvey and Lawson [14] proved that for any compact connected CR manifold X of real dimension 2n-1,  $n \geq 2$ , in  $\mathbb{C}^N$ , there is a unique complex variety V in  $\mathbb{C}^N$  such that the boundary of V is X. In fact, Harvey and Lawson proved the following theorem.

**Theorem** (Harvey–Lawson [14,15]) Let X be an embeddable strongly pseudoconvex CR manifold. Suppose that X is contained in the boundary of a strongly pseudoconvex bounded domain in  $\mathbb{C}^N$ . Then X can be CR embedded in some  $\mathbb{C}^N$  and X bounds a Stein variety  $V \subseteq \mathbb{C}^N$  with at most isolated singularities.

The above theorem is one of the important theorems in complex geometry. It relates theory of strongly pseudoconvex *CR* manifolds on the one hand and the theory of isolated normal singularities on the other hand.

The next fundamental question is to determine when X is the boundary of a complex sub-manifold in  $\mathbb{C}^N$ , i.e., when V is smooth. In 1981, Yau [26] solved this problem for the case  $n \geq 3$  by calculation of Kohn–Rossi cohomology groups  $H_{KR}^{p,q}(X)$ . More precisely, suppose X is a compact connected strongly pseudoconvex CR manifold of real dimension  $2n-1, n \geq 3$ , in the boundary of a bounded strongly pseudoconvex domain D in  $\mathbb{C}^{n+1}$ . Then X is the boundary of a complex sub-manifold  $V \subset D-X$  if and only if Kohn–Rossi cohomology groups  $H_{KR}^{p,q}(X)$  are zeros for  $1 \leq q \leq n-2$  (see Theorem 5.1).

For n=2, i.e. X is a 3-dimensional CR manifold, the intrinsic smoothness criteria for the complex Plateau problem remains unsolved for over a quarter of a century even for the hypersurface case. The main difficulty is that the Kohn–Rossi cohomology groups are infinite dimensional in this case. Let V be a complex variety with X as its boundary. Then the singularities of V are surface singularities. In [9], the first and the third authors introduced a new CR invariant  $g^{(1,1)}(X)$  to solve the regularity problem of the Harvey–Lawson solution to the complex Plateau problem. More precisely, they showed that if X is a strongly pseudoconvex compact Calabi–Yau CR manifold of dimension 3 and X is contained in the boundary of a strongly pseudoconvex bounded domain D in  $\mathbb{C}^N$  with holomorphic De Rham cohomology  $H_h^2(X) = 0$ , then X is the boundary of a complex sub-manifold up to normalization  $V \subset D - X$  with boundary regularity if and only if  $g^{(1,1)}(X) = 0$ . In particular, if N = 3, then X is the boundary of a complex sub-manifold  $V \subset D - X$  if and only if  $g^{(1,1)}(X) = 0$ .

For  $n \ge 3$  and N > n+1, i.e., non-hypersurface type, the complex Plateau problem still remains open. In this paper, we generalize the invariant  $g^{(1,1)}(X)$  to higher dimension as  $g^{(\Lambda^n 1)}(X)$  and show that if  $g^{(\Lambda^n 1)}(X) = 0$ , then the interior which X bounds has at most finite number of rational singularities. In particular, if X is Calabi–Yau of real dimension 5, i.e., n = 3, then the vanishing of this invariant is equivalent to give the interior regularity.

**Theorem A** Let X be a strongly pseudoconvex compact CR manifold of dimension 2n-1, where n>2. Suppose that X is contained in the boundary of a strongly pseudoconvex bounded domain D in  $\mathbb{C}^N$ . Then X is the boundary of a variety  $V\subset D-X$  with boundary regularity and the number of non-rational singularities (up to normalization) is not great than  $g^{(\Lambda^n 1)}(X)$ . In particular, if  $g^{(\Lambda^n 1)}(X)=0$ , then V has at most finite number of rational singularities.



**Theorem B** Let X be a strongly pseudoconvex compact Calabi–Yau CR manifold of dimension 5. Suppose that X is contained in the boundary of a strongly pseudoconvex bounded domain D in  $\mathbb{C}^N$ . Then X is the boundary of a complex sub-manifold (up to normalization)  $V \subset D - X$  with boundary regularity if and only if  $g^{(\Lambda^3 1)}(X) = 0$ .

In Sect. 2, we shall recall the definition Kohn–Rossi cohomology and holomorphic De Rham cohomology for a CR manifold. In Sect. 3, we survey some known results about the conjecture of minimal discrepancy and properties of terminal and rational Gorenstein threefolds singularities. In Sect. 4, we generalize the invariant of singularities  $g^{(1,1)}$  to higher dimension as  $g^{(\Lambda^n 1)}$  and and study some properties of  $g^{(\Lambda^n 1)}$ . In Sect. 5, we use the results in Sect. 4 to solve our main theorems in this paper.

### 2 Strongly pseudoconvex CR manifolds

Kohn-Rossi cohomology was first introduced by Kohn-Rossi. Following Tanaka [25], we reformulate the definition in a way independent of the interior manifold.

**Definition 2.1** Let X be a connected orientable manifold of real dimension 2n-1. A CR structure on X is an (n-1)-dimensional subbundle S of  $\mathbb{C}T(X)$  (complexified tangent bundle) such that

- (1)  $S \cap \bar{S} = \{0\},\$
- (2) If L, L' are local sections of S, then so is [L, L'].

Such a manifold with a CR structure is called a CR manifold. There is a unique subbundle  $\mathcal{H}$  of T(X) such that  $\mathbb{C}\mathcal{H} = S \bigoplus \bar{S}$ . Furthermore, there is a unique homomorphism  $J: \mathcal{H} \longrightarrow \mathcal{H}$  such that  $J^2 = -1$  and  $S = \{v - iJv : v \in \mathcal{H}\}$ . The pair  $(\mathcal{H}, J)$  is called the real expression of the CR structure.

Let X be a CR manifold with structure S. For a complex valued  $C^{\infty}$  function u defined on X, the section  $\bar{\partial}_b u \in \Gamma(\bar{S}^*)$  is defined by

$$\bar{\partial}_h u(\bar{L}) = \bar{L}(u), L \in S.$$

The differential operator  $\bar{\partial}_b$  is called the (tangential) Cauchy–Riemann operator, and a solution u of the equation  $\bar{\partial}_b u = 0$  is called a holomorphic function.

**Definition 2.2** A complex vector bundle E over X is said to be holomorphic if there is a differential operator

$$\bar{\partial}_E:\Gamma(E)\longrightarrow\Gamma\left(E\otimes\bar{S}^*\right)$$

satisfying the following conditions:

1. 
$$\bar{\partial}_{E}(fu)(\bar{L}_{1}) = (\bar{\partial}_{b}f)(\bar{L}_{1})u + f(\bar{\partial}_{E}u)(\bar{L}_{1}) = (\bar{L}_{1}f)u + f(\bar{\partial}_{E}u)(\bar{L}_{1}),$$
  
2.  $(\bar{\partial}_{E}u)[\bar{L}_{1},\bar{L}_{2}] = \bar{\partial}_{E}(\bar{\partial}_{Eu}(\bar{L}_{2}))(\bar{L}_{1}) - \bar{\partial}_{E}(\bar{\partial}_{E}u(\bar{L}_{1}))(\bar{L}_{2}),$ 

where  $u \in \Gamma(E)$ ,  $f \in C^{\infty}(X)$  and  $L_1, L_2 \in \Gamma(S)$ .

The operator  $\bar{\partial}_E$  is called the Cauchy–Riemann operator and a solution u of the equation  $\bar{\partial}_E u = 0$  is called a holomorphic cross section.

A basic holomorphic vector bundle over a CR manifold X is the vector bundle  $\widehat{T}(X) = \mathbb{C}T(X)/\overline{S}$ . The corresponding operator  $\bar{\partial} = \bar{\partial}_{\widehat{T}(X)}$  is defined as follows. Let p be the



projection from  $\mathbb{C}T(X)$  to  $\widehat{T}(X)$ . Take any  $u \in \Gamma(\widehat{T}(X))$  and express it as u = p(Z),  $Z \in \Gamma(\mathbb{C}T(X))$ . For any  $L \in \Gamma(S)$ , define a cross section  $(\bar{\partial}u)(\bar{L})$  of  $\widehat{T}(X)$  by  $(\bar{\partial}u)(\bar{L}) = p([\bar{L}, Z])$ . One can show that  $(\bar{\partial}u)(\bar{L})$  does not depend on the choice of Z and that  $\bar{\partial}u$  gives a cross section of  $\widehat{T}(X) \otimes \bar{S}^*$ . Furthermore one can show that the operator  $u \longmapsto \bar{\partial}u$  satisfies (1) and (2) of Definition 2.2, using the Jacobi identity in the Lie algebra  $\Gamma(\mathbb{C}T(X))$ . The resulting holomorphic vector bundle  $\widehat{T}(X)$  is called the holomorphic tangent bundle of X.

If X is a real hypersurface in a complex manifold M, we may identify  $\widehat{T}(X)$  with the holomorphic vector bundle of all (1,0) tangent vectors to M and  $\widehat{T}(X)$  with the restriction of  $\widehat{T}(M)$  to X. In fact, since the structure S of X is the bundle of all (1,0) tangent vectors to X, the inclusion map  $\mathbb{C}T(X) \longrightarrow \mathbb{C}T(M)$  induces a natural map  $\widehat{T}(X) \stackrel{\phi}{\longrightarrow} \widehat{T}(M)|_X$  which is a bundle isomorphism satisfying  $\bar{\partial}(\phi(u))(\bar{L}) = \phi(\bar{\partial}u(\bar{L})), u \in \Gamma(\widehat{T}(X)), L \in S$ .

For a holomorphic vector bundle E over X, set

$$C^q(X, E) = E \otimes \wedge^q \bar{S}^*, \mathscr{C}^q(X, E) = \Gamma(C^q(X, E))$$

and define a differential operator

$$\bar{\partial}_E^q: \mathcal{C}^q(X, E) \longrightarrow \mathcal{C}^{q+1}(X, E)$$

by

$$(\bar{\partial}_{E}^{q}\phi)(\bar{L}_{1},\ldots,\bar{L}_{q+1}) = \sum_{i} (-1)^{i+1}\bar{\partial}_{E}\left(\phi(\bar{L}_{1},\ldots,\widehat{L}_{i},\ldots,\bar{L}_{q+1})\right)(\bar{L}_{i})$$

$$+ \sum_{i < i} (-1)^{i+j}\phi\left([\bar{L}_{i},\bar{L}_{j}],\bar{L}_{1},\ldots,\widehat{\bar{L}}_{i},\ldots,\bar{L}_{q+1}\right)$$

for all  $\phi \in \mathscr{C}^q(X,E)$  and  $L_1,\ldots,L_{q+1} \in \Gamma(S)$ . One shows by standard arguments that  $\bar{\partial}_E^q \phi$  gives an element of  $\mathscr{C}^{q+1}(X,E)$  and that  $\bar{\partial}_E^{q+1}\bar{\partial}_E^q = 0$ . The cohomology groups of the resulting complex  $\{\mathscr{C}^q(X,E),\bar{\partial}_E^q\}$  is denoted by  $H^q(X,E)$ .

Let  $\{\mathscr{A}^k(X), d\}$  be the De Rham complex of X with complex coefficients, and let  $H^k(X)$  be the De Rham cohomology groups. There is a natural filtration of the De Rham complex, as follows. For any integer p and k, put  $A^k(X) = \wedge^k(\mathbb{C}T(X)^*)$  and denote by  $F^p(A^k(X))$  the subbundle of  $A^k(X)$  consisting of all  $\phi \in A^k(X)$  which satisfy the equality

$$\phi(Y_1,\ldots,Y_{p-1},\bar{Z}_1,\ldots,\bar{Z}_{k-p+1})=0$$

for all  $Y_1, \ldots, Y_{p-1} \in \mathbb{C}T(X)_x$  and  $Z_1, \ldots, Z_{k-p+1} \in S_x, x \in X$ . Then

$$A^{k}(X) = F^{0}\left(A^{k}(X)\right) \supset F^{1}\left(A^{k}(X)\right) \supset \cdots$$
$$\supset F^{k}\left(A^{k}(X)\right) \supset F^{k+1}\left(A^{k}(X)\right) = 0.$$

Setting  $F^p(\mathscr{A}^k(X)) = \Gamma(F^p(A^k(X)))$ , we have

$$\mathscr{A}^{k}(X) = F^{0}\left(\mathscr{A}^{k}(X)\right) \supset F^{1}\left(\mathscr{A}^{k}(X)\right) \supset \cdots$$
$$\supset F^{k}\left(\mathscr{A}^{k}(X)\right) \supset F^{k+1}\left(\mathscr{A}^{k}(X)\right) = 0.$$

Since clearly  $dF^p(\mathscr{A}^k(X)) \subseteq F^p(\mathscr{A}^{k+1}(X))$ , the collection  $\{F^p(\mathscr{A}^k(X))\}$  gives a filtration of the De Rham complex.



We denote by  $H_{KR}^{p,q}(X)$  the groups  $E_1^{p,q}(X)$  of the spectral sequence  $\{E_r^{p,q}(X)\}$  associated with the filtration  $\{F^p(\mathscr{A}^k(X))\}$ . We call  $H_{KR}^{p,q}(X)$  the Kohn–Rossi cohomology group of type (p,q). More explicitly, let

$$A^{p,q}(X) = F^p(A^{p+q}(X)), \, \mathcal{A}^{p,q}(X) = \Gamma(A^{p,q}(X)),$$

$$C^{p,q}(X) = A^{p,q}(X)/A^{p+1,q-1}(X), \, \mathcal{C}^{p,q}(X) = \Gamma(C^{p,q}(X)).$$

Since  $d: \mathscr{A}^{p,q}(X) \longrightarrow \mathscr{A}^{p,q+1}(X)$  maps  $\mathscr{A}^{p+1,q-1}(X)$  into  $\mathscr{A}^{p+1,q}(X)$ , it induces an operator  $d'': \mathscr{C}^{p,q}(X) \longrightarrow \mathscr{C}^{p,q+1}(X)$ .  $H^{p,q}_{KR}(X)$  are then the cohomology groups of the complex  $\{\mathscr{C}^{p,q}(X), d''\}$ .

Alternatively  $H_{KR}^{p,q}(X)$  may be described in terms of the vector bundle  $E^p = \wedge^p(\widehat{T}(X)^*)$ . If for  $\phi \in \Gamma(E^p)$ ,  $u_1, \ldots, u_p \in \Gamma(\widehat{T}(X))$ ,  $Y \in S$ , we define  $(\bar{\partial}_{E^p}\phi)(\bar{Y}) = \bar{Y}\phi$  by

$$\bar{Y}\phi(u_1,\ldots,u_p) = \bar{Y}(\phi(u_1,\ldots,u_p)) + \sum_i (-1)^i \phi\left(\bar{Y}u_i,u_1,\ldots,\widehat{u_i},\ldots,u_p\right)$$

where  $\bar{Y}u_i = (\bar{\partial}_{\widehat{T}(X)}u_i)(\bar{Y})$ , then we easily verify that  $E^p$  with  $\bar{\partial}_{E^p}$  is a holomorphic vector bundle. Tanaka [25] proves that  $C^{p,q}(X)$  may be identified with  $C^q(X, E^p)$  in a natural manner such that

$$d''\phi = (-1)^p \bar{\partial}_{E^p}\phi, \phi \in \mathscr{C}^{p,q}(X).$$

Thus,  $H_{KR}^{p,q}(X)$  may be identified with  $H^q(X, E^p)$ .

We denote by  $H_h^k(X)$  the groups  $E_2^{k,0}(X)$  of the spectral sequence  $\{E_r^{p,q}(X)\}$  associated with the filtration  $\{F^p(\mathscr{A}^k(X))\}$ . We call  $H_h^k(X)$  the holomorphic De Rham cohomology groups. The groups  $H_h^k(X)$  are the cohomology groups of the complex  $\{\mathscr{S}^k(X), d\}$ , where we put  $\mathscr{S}^k(X) = E_1^{k,0}(X)$  and  $d = d_1 : E_1^{k,0} \longrightarrow E_1^{k+1,0}$ . Recall that  $\mathscr{S}^k(X)$  is the kernel of the following mapping:

$$d_0: E_0^{k,0} = F^k \mathscr{A}^k = \mathscr{A}^{k,0}(X)$$
  
  $\to E_0^{k,1} = F^k \mathscr{A}^{k+1} / F^{k+1} \mathscr{A}^{k+1} = \mathscr{A}^{k,1}(X) / \mathscr{A}^{k+1,0}.$ 

Note that  $\mathscr{S}$  may be characterized as the space of holomorphic k-forms, namely holomorphic cross sections of  $E^k$ . Thus the complex  $\{\mathscr{S}^k(X), d\}$  (respectively, the groups  $H_h^k(X)$  will be called the holomorphic De Rham complex (respectively, the holomorphic De Rham cohomology groups).

**Definition 2.3** Let  $L_1, \ldots, L_{n-1}$  be a local frame of the CR structure S on X so that  $\bar{L}_1, \ldots, \bar{L}_{n-1}$  is a local frame of  $\bar{S}$ . Since  $S \oplus \bar{S}$  has complex codimension one in  $\mathbb{C}T(X)$ , we may choose a local section N of  $\mathbb{C}T(X)$  such that  $L_1, \ldots, L_{n-1}, \bar{L}_1, \ldots, \bar{L}_{n-1}, N$  span  $\mathbb{C}T(X)$ . We may assume that N is purely imaginary. Then the matrix  $(c_{ij})$  defined by

$$[L_i, \bar{L}_j] = \sum_k a_{i,j}^k L_k + \sum_k b_{i,j}^k \bar{L}_k + c_{i,j} N$$

is Hermitian, and is called the Levi form of X.

**Proposition 2.4** The number of non-zero eigenvalues and the absolute value of the signature of  $(c_{ij})$  at each point are independent of the choice of  $L_1, \ldots, L_{n-1}, N$ .

**Definition 2.5** X is said to be strongly pseudoconvex if the Levi form is positive definite at each point of X.



**Definition 2.6** Let X be a CR manifold of real dimension 2n-1. X is said to be Calabi–Yau if there exists a nowhere vanishing holomorphic section in  $\Gamma(\wedge^n \widehat{T}(X)^*)$ , where  $\widehat{T}(X)$  is the holomorphic tangent bundle of X.

#### Remark

- 1. Let *X* be a *CR* manifold of real dimension 2n-1 in  $\mathbb{C}^n$ . Then *X* is a Calabi–Yau *CR* manifold.
- 2. Let *X* be a strongly pseudoconvex *CR* manifold of real dimension 2n-1 contained in the boundary of bounded strongly pseudoconvex domain in  $\mathbb{C}^{n+1}$ . Then *X* is a Calabi–Yau *CR* manifold.

The proof of these two statements is essentially the fact that any hypersurface singularities are Gorenstein and with the same arguments as Lemma 4.6 to get a nowhere vanishing holomorphic section of the holomorphic tangent bundle of X.

# 3 Minimal discrepancy and 3-dimensional canonical Gorenstein singularities

Canonical singularities appear as singularities of the canonical model of a projective variety, and terminal singularities are special cases that appear as singularities of minimal models. They were introduced by Reid in 1980 ([20]). Terminal singularities are important in the minimal model program because smooth minimal models do not always exist, and thus one must allow certain singularities, namely the terminal singularities.

Suppose that X is a normal variety such that its canonical class  $K_X$  is  $\mathbb{Q}$ -Cartier, and let  $f: Y \to X$  be a resolution of the singularities of X. Then

$$K_Y = f^* K_X + \sum_i a_i E_i,$$

where the sum is over the irreducible exceptional divisors, and the  $a_i$  are rational numbers, called the discrepancies.

Then the singularities of X are called:

$$\begin{cases} \text{terminal} & a_i > 0 \quad \text{for all i} \\ \text{canonical} & a_i \ge 0 \quad \text{for all i} \\ \text{log-terminal} & a_i > -1 \quad \text{for all i} \\ \text{log-canonical} & a_i \ge -1 \quad \text{for all i}. \end{cases}$$

$$(3.1)$$

**Definition 3.1** The minimal discrepancy of a variety X at 0, denoted by  $Md_0(X)$  (or Md(X) for short), is the minimum of all discrepancies of discrete valuations of  $\mathbb{C}(X)$ , whose center on X is 0.

Remark 3.2 The minimal discrepancy only exists when X has log-canonical singularities (see, e.g. [4]). Whenever Md(X) exists it is at least -1.

Shokurov conjecture that the minimal discrepancy is bounded above in term of the dimension of a variety.

**Conjecture 3.3** (*Shokurov* [22]) The minimal discrepancy  $Md_0(X)$  of a variety X at 0 of dimension n is at most n-1. Moreover, if  $Md_0(X)=n-1$ , then (X,0) is nonsingular.



The conjecture was confirmed for surfaces ([1]) and 3-dimensional singularities after the explicit classification ([21]) of Gorenstein terminal threefold singularities with [11] or [18]. If X is a local complete intersection, then the conjecture also holds (see [10] and [11]).

In this paper, we are going to consider the 3-dimensional singularities. Mori ([19]), Cutkosky ([5]) and Brenton ([3]) had some classification theorems about special 3-folds singularities. The following two theorems will be used to prove our main theorems.

**Theorem 3.4** ([20] Corollary 2.14, Corollary 2.12) Let  $0 \in V$  be a 3-dimensional rational Gorenstein singularity, then there exists a partial resolution  $\pi : \tilde{V} \to V$  such that  $\pi^{-1}\{0\}$  is a union of nonsingular rational or elliptic ruled surfaces,  $\tilde{V}$  only has terminal singularities and  $K_{\tilde{V}} = \pi^* K_V$ .

Remark 3.5 The information of the irreducible and reduced components of the exceptional set can also be found in [3].

**Definition 3.6** A singular point 0 is called compound Du Val (cDV) if for a general section H through  $0, 0 \in H$  is a Du Val singularities.

Remark 3.7 A cDV singularity is formally equivalent to the germ of a hypersurface singularity ( $\{f = 0\}, 0\}$  in  $\mathbb{C}^4$ , where

$$f(x_0, x_1, x_2, x_3) = f_{X_n}(x_0, x_1, x_2) + x_3 g(x_0, x_1, x_2, x_3), \tag{3.2}$$

where  $X_n$  stands for  $A_n$ ,  $D_n$  or  $E_n$ , g(0, 0, 0, 0) = 0 and  $f_{X_n}$  is one of the following polynomials:

$$f_{A_n} = x_0^2 + x_1^2 + x_2^{n+1} \quad (n \ge 1)$$
(3.3)

$$f_{D_n} = x_0^2 + x_1^2 x_2 + x_2^{n-1} \quad (n \ge 4)$$
 (3.4)

$$f_{E_6} = x_0^2 + x_1^3 + x_2^4 (3.5)$$

$$f_{E_7} = x_0^2 + x_1^3 + x_1 x_2^3 (3.6)$$

$$f_{E_8} = x_0^2 + x_1^3 + x_2^5. (3.7)$$

**Theorem 3.8** ([21] Theorem 1.1) Let  $0 \in V$  be a 3-dimensional singularity. Then 0 is an isolated cDV singularity if and only if 0 is Gorenstein terminal.

# 4 New invariants of singularities and new CR-invariants

Let V be an *n*-dimensional complex analytic subvariety in  $\mathbb{C}^N$  with only isolated singularities. In [27], Yau considered four kinds of sheaves of germs of holomorphic *p*-forms

- 1.  $\bar{\Omega}_V^p := \pi_* \Omega_M^p$ , where  $\pi : M \longrightarrow V$  is a resolution of singularities of V.
- 2.  $\bar{\Omega}_V^p := \theta_* \Omega_{V \setminus V_{sing}}^p$  where  $\theta : V \setminus V_{sing} \longrightarrow V$  is the inclusion map and  $V_{sing}$  is the singular set of V.
- 3.  $\Omega_V^p := \Omega_{\mathbb{C}^N}^p/\mathscr{K}^p$ , where  $\mathscr{K}^p = \{f\alpha + dg \wedge \beta : \alpha \in \Omega_{\mathbb{C}^N}^p; \beta \in \Omega_{\mathbb{C}^N}^{p-1}; f, g \in \mathscr{I}\}$  and  $\mathscr{I}$  is the ideal sheaf of V in  $\mathbb{C}^N$ .
- 4.  $\widetilde{\Omega}_V^p := \Omega_{\mathbb{C}^N}^p / \mathcal{H}^p$ , where  $\mathcal{H}^p = \{ \omega \in \Omega_{\mathbb{C}^N}^p : \omega |_{V \setminus V_{sing}} = 0 \}$ .

Clearly  $\Omega_V^p$ ,  $\widetilde{\Omega}_V^p$  are coherent.  $\bar{\Omega}_V^p$  is a coherent sheaf because  $\pi$  is a proper map.  $\bar{\bar{\Omega}}_V^p$  is also a coherent sheaf by a theorem of Siu (cf Theorem A of [23]). In case V is a normal variety, the dualizing sheaf  $\omega_V$  of Grothendieck is actually the sheaf  $\bar{\bar{\Omega}}_V^n$ .



**Definition 4.1** Let V be an n-dimensional Stein space with 0 as its only singular point. Let  $\pi:(M,A)\to(V,0)$  be a resolution of the singularity with A as exceptional set. The geometric genus  $p_g$  and the irregularity q of the singularity are defined as follows (cf. [27], [24]):

$$p_g := \dim \Gamma(M \setminus A, \Omega^n) / \Gamma(M, \Omega^n), \tag{4.1}$$

$$q := \dim \Gamma \left( M \backslash A, \Omega^{n-1} \right) / \Gamma \left( M, \Omega^{n-1} \right), \tag{4.2}$$

$$g^{(p)} := \dim \Gamma \left( M, \Omega_M^p \right) / \pi^* \Gamma \left( V, \Omega_V^p \right). \tag{4.3}$$

Let X be a compact connected strongly pseudoconvex CR manifold of real dimension 2n-1, in the boundary of a bounded strongly pseudoconvex domain D in  $\mathbb{C}^N$ . By a result of Harvey and Lawson, there is a unique complex variety V in  $\mathbb{C}^N$  such that the boundary of V is X. Let  $\pi:(M,A_1,\ldots,A_k)\to(V,0_1,\ldots,0_k)$  be a resolution of the singularities with  $A_i=\pi^{-1}(0_i), 1\leq i\leq k$ , as exceptional sets.

In order to solve the classical complex Plateau problem, we need to find some CR-invariant which can be calculated directly from the boundary X and the vanishing of this invariant will give regularity of Harvey-Lawson solution to complex Plateau problem.

For this purpose, we define a new sheaf  $\bar{\Omega}_V^{1,1}$ , new invariant of surface singularities  $g^{(1,1)}$  and new CR invariant  $g^{(1,1)}(X)$  in [9]. Now, we are going to generalize them to higher dimension for dealing with general complex Plateau problem.

**Definition 4.2** Let (V, 0) be a Stein germ of an n-dimensional analytic space with an isolated singularity at 0. Define a sheaf of germs  $\bar{\Omega}_V^{\Lambda p_1}$  by the sheaf associated with the presheaf

$$U\mapsto \left\langle \Lambda^p\Gamma\left(U,\bar{\bar{\Omega}}_V^1\right)\right\rangle,$$

where *U* is an open set of *V* and  $2 \le p \le n$ .

**Lemma 4.3** Let V be an n-dimensional Stein space with 0 as its only singular point in  $\mathbb{C}^N$ . Let  $\pi:(M,A)\to(V,0)$  be a resolution of the singularity with A as exceptional set. Then  $\bar{\bar{\Omega}}_V^{\Lambda p_1}$  is coherent and there is a short exact sequence

$$0 \longrightarrow \bar{\bar{\Omega}}_{V}^{\Lambda^{p} 1} \longrightarrow \bar{\bar{\Omega}}_{V}^{p} \longrightarrow \mathscr{G}^{(\Lambda^{p} 1)} \longrightarrow 0 \tag{4.4}$$

where  $\mathcal{G}^{(\Lambda^p 1)}$  is a sheaf supported on the singular point of V. Let

$$G^{(\Lambda^{p}1)}(M\backslash A) := \Gamma\left(M\backslash A, \Omega_{M}^{p}\right) / \left(\Lambda^{p}\Gamma\left(M\backslash A, \Omega_{M}^{1}\right)\right), \tag{4.5}$$

then dim  $\mathcal{G}_0^{(\Lambda^p 1)} = \dim G^{(\Lambda^p 1)}(M \setminus A)$ .

Proof Since the sheaf of germ  $\bar{\Omega}_V^p$  is coherent by a theorem of Siu (cf Theorem A of [23]), for any point  $w \in V$  there exists an open neighborhood U of w in V such that  $\Gamma(U, \bar{\Omega}_V^1)$  is finitely generated over  $\Gamma(U, \mathscr{O}_V)$ . So  $\Gamma(U, \Lambda^p \bar{\Omega}_V^1)$  is finitely generated over  $\Gamma(U, \mathscr{O}_V)$ , which means  $\Gamma(U, \bar{\Omega}_V^{\Lambda^p 1})$  is finitely generated over  $\Gamma(U, \mathscr{O}_V)$ , i.e.  $\bar{\Omega}_V^{\Lambda^p 1}$  is a sheaf of finite type. It is obvious that  $\bar{\Omega}_V^{\Lambda^p 1}$  is a subsheaf of  $\bar{\Omega}_V^p$  which is also coherent. So  $\bar{\Omega}_V^{\Lambda^p 1}$  is coherent.

Notice that the stalk of  $\bar{\bar{\Omega}}_V^{\Lambda^p 1}$  and  $\bar{\bar{\Omega}}_V^p$  coincide at each point different from the singular point  $0, \mathscr{G}^{(\Lambda^p 1)}$  is supported at 0. And from Cartan Theorem B

$$\dim \mathcal{G}_0^{(\Lambda^p 1)} = \dim \Gamma\left(V, \bar{\bar{\Omega}}_V^p\right) / \Gamma\left(V, \bar{\bar{\Omega}}_V^{\Lambda^p 1}\right) = \dim G^{(\Lambda^p 1)}(M \backslash A).$$



Thus, from Lemma 4.3, we can define a local invariant of a singularity which is independent of resolution.

**Definition 4.4** Let V be an n-dimensional Stein space with 0 as its only singular point. Let  $\pi:(M,A)\to (V,0)$  be a resolution of the singularity with A as exceptional set. Let

$$g^{(\Lambda^p 1)}(0) := \dim \mathcal{G}_0^{(\Lambda^p 1)} = \dim G^{(\Lambda^p 1)}(M \backslash A). \tag{4.6}$$

We will omit 0 in  $g^{(\Lambda^p 1)}(0)$  if there is no confusion from the context.

Let  $\pi:(M,A_1,\ldots,A_k)\to (V,0_1,\ldots,0_k)$  be a resolution of the singularities with  $A_i=\pi^{-1}(0_i), 1\leq i\leq k$ , as exceptional sets. In this case we still let

$$G^{(\Lambda^{p}1)}(M\backslash A) := \Gamma\left(M\backslash A, \Omega_{M}^{p}\right) / \langle \Lambda^{p}\Gamma\left(M\backslash A, \Omega_{M}^{1}\right) \rangle,$$

where  $A = \bigcup_i A_i$ .

**Definition 4.5** If X is a compact connected strongly pseudoconvex CR manifold of real dimension 2n-1, in the boundary of a bounded strongly pseudoconvex domain D in  $\mathbb{C}^N$ . Suppose V in  $\mathbb{C}^N$  such that the boundary of V is X. Let  $\pi: (M, A = \bigcup_i A_i) \to (V, 0_1, \ldots, 0_k)$  be a resolution of the singularities with  $A_i = \pi^{-1}(0_i)$ ,  $1 \le i \le k$ , as exceptional sets. Let

$$G^{(\Lambda^{p_1})}(M\backslash A) := \Gamma\left(M\backslash A, \Omega_M^p\right) / \left\langle \Lambda^p \Gamma\left(M\backslash A, \Omega_M^1\right) \right\rangle \tag{4.7}$$

and

$$G^{(\Lambda^p 1)}(X) := \mathcal{S}^p(X) / \langle \Lambda^p \mathcal{S}^1(X) \rangle, \tag{4.8}$$

where  $\mathscr{S}^q$  are holomorphic cross sections of  $\wedge^q (\widehat{T}(X)^*)$ . Then we set

$$g^{(\Lambda^{p}1)}(M\backslash A) := \dim G^{(\Lambda^{p}1)}(M\backslash A), \tag{4.9}$$

$$g^{(\Lambda^{p_1})}(X) := \dim G^{(\Lambda^{p_1})}(X).$$
 (4.10)

**Lemma 4.6** Let X be a compact connected strongly pseudoconvex CR manifold of real dimension 2n-1 which bounds a bounded strongly pseudoconvex variety V with only isolated singularities  $\{0_1,\ldots,0_k\}$  in  $\mathbb{C}^N$ . Let  $\pi:(M,A_1,\ldots,A_k)\to(V,0_1,\ldots,0_k)$  be a resolution of the singularities with  $A_i=\pi^{-1}(0_i), 1\leq i\leq k$ , as exceptional sets. Then  $g^{(\Lambda^p1)}(X)=g^{(\Lambda^p1)}(M\backslash A)$ , where  $A=\cup A_i, 1\leq i\leq k$ .

*Proof* Take a one-convex exhausting function  $\phi$  on M such that  $\phi \geq 0$  on M and  $\phi(y) = 0$  if and only if  $y \in A$ . Set  $M_r = \{y \in M, \phi(y) \geq r\}$ . Since  $X = \partial M$  is strictly pseudoconvex, any holomorphic q-form  $\theta \in \mathscr{S}^q(X)$  can be extended to a one side neighborhood of X in M. Hence  $\theta$  can be thought of as holomorphic q-form on  $M_r$ , i.e. an element in  $\Gamma(M_r, \Omega^q_{M_r})$ . By Andreotti and Grauert ([2]),  $\Gamma(M_r, \Omega^q_{M_r})$  is isomorphic to  $\Gamma(M \setminus A, \Omega^q_M)$ . So  $g^{(\Lambda^P 1)}(X) = g^{(\Lambda^P 1)}(M \setminus A)$ .

By Lemma 4.6 and the proof of Lemma 4.3, we can get the following lemma easily.

**Lemma 4.7** Let X be a compact connected strongly pseudoconvex CR manifold of real dimension 2n-1, which bounds a bounded strongly pseudoconvex variety V with only isolated singularities  $\{0_1, \ldots, 0_k\}$  in  $\mathbb{C}^N$ . Then  $g^{(\Lambda^P 1)}(X) = \sum_i g^{(\Lambda^P 1)}(0_i) = \sum_i \dim \mathcal{G}_0^{(\Lambda^P 1)}$ .

The following proposition is to show that  $g^{(\Lambda^p 1)}$  is bounded above.



**Proposition 4.8** Let V be an n-dimensional Stein space with 0 as its only singular point. Then

$$g^{(\Lambda^{p}1)} \leq \begin{cases} g^{(p)}, & p \leq n-2; \\ g^{(n-1)} + q, & p = n-1; \\ g^{(n)} + p_g, & p = n. \end{cases}$$

Proof Since

$$\begin{split} &g^{(\Lambda^{p}1)} = \dim \Gamma\left(M \backslash A, \Omega_{M}^{p}\right) / \left\langle \Lambda^{p} \Gamma\left(M \backslash A, \Omega_{M}^{1}\right)\right\rangle, \\ &g^{(p)} = \dim \Gamma(M, \Omega^{p}) / \pi^{*} \Gamma(V, \Omega_{V}^{p}), \\ &\dim \Gamma\left(M \backslash A, \Omega_{M}^{p}\right) / \Gamma\left(M, \Omega_{M}^{p}\right) = \begin{cases} 0, & p \leq n-2; \\ q, & p = n-1; \\ p_{g}, & p = n. \end{cases} \end{split}$$

and

$$\pi^*\Gamma\left(V,\Omega_V^p\right) = \left\langle \pi^*\left(\Lambda^p\Gamma(V,\Omega_V^1)\right)\right\rangle$$

$$\subseteq \Lambda^p\Gamma(M,\Omega_M^1)$$

$$\subseteq \Lambda^p\Gamma(M\backslash A,\Omega_M^1), \tag{4.11}$$

the result follows easily.

The following theorem is the crucial part for solving the classical complex Plateau problem of real dimension 3.

**Theorem 4.9** ([9]) Let V be a 2-dimensional Stein space with 0 as its only normal singular point with  $\mathbb{C}^*$ -action. Let  $\pi:(M,A)\to(V,0)$  be a minimal good resolution of the singularity with A as exceptional set, then  $g^{(\Lambda^21)}>1$ .

Remark 4.10 We also show that  $g^{(\Lambda^2 1)}$  is strictly positive for rational singularities ([6]) and minimal elliptic singularities ([7]) and exact 1 for rational double points, triple points and quotient singularities ([8]).

Similarly, the following theorem is the crucial part for solving the classical complex Plateau problem of real dimension 5.

**Theorem 4.11** Let V be an n-dimensional Stein space with 0 as its only non-rational singular point, where n > 2, then  $g^{(\Lambda^n 1)} \ge 1$ .

*Proof* Suppose  $\pi: M \to V$  be any resolution of the singularity 0 with E as its exceptional set. By a result of Greuel ([13], Proposition 2.3), for every holomorphic 1 form  $\eta$  on V-0,  $\pi^*(\eta)$  can extends holomorphically to M. Since 0 is not rational, there exists a holomorphic n form  $\omega$  on V-0 such that  $\pi^*(\omega)$  can not extends holomorphically to M. So

$$g^{(\Lambda^n 1)} \ge \dim \Gamma \left( M - E, \Omega_M^n \right) / \Lambda^n \Gamma \left( M, \Omega_M^1 \right) > 0.$$

**Theorem 4.12** Let V be a 3-dimensional Stein space with 0 as its only normal Gorenstein singular point, then  $g^{(\Lambda^3 1)} \ge 1$ .



*Proof* If 0 is non-rational, then  $g^{(\Lambda^3 1)} \ge 1$  by Theorem 4.11. So we only need to show that the result is true for rational Gorenstein singularities. It is well known that rational Gorenstein singularities are canonical (see [16] Corollary 5.24).

We are going to separate our argument into two cases to finish the proof.

**Case i** If 0 is terminal, by Theorem 3.8, 0 is a cDV singularity defined by  $f(x_0, x_1, x_2, x_3) = 0$ . Take a typical blowing-up  $\sigma : V' \to V$  at 0, the exceptional set, i.e., the projectivised tangent cone is a subscheme of degree 2 in  $\mathbb{P}^3$  whose irreducible and reduced component denoted by F is a nonsingular rational surface after desingularization. Consider

$$s = \frac{dx_1 \wedge dx_2 \wedge dx_3}{\partial f/\partial x_0}.$$

A typical piece of the blowing-up of  $\mathbb{C}^4$  at 0 has coordinates  $y_0$ ,  $y_1$ ,  $y_2$  and  $y_3$  with  $x_0 = y_0$ ,  $x_1 = y_0y_1$ ,  $x_2 = y_0y_2$ ,  $x_3 = y_0y_3$ , and in this piece the nonsingular proper transform is given by  $f(y_0, y_0y_1, y_0y_2, y_0y_3)/y_0^2$ . Then

$$dx_i = y_i dy_0 + y_0 dy_i, \quad i = 1, 2, 3$$

and the vanishing order of  $\partial f(y_0, y_0y_1, y_0y_2, y_0y_3)/\partial y_0$  along  $y_0$  is 1. Then

$$\sigma^* s = \frac{\bigwedge_{i=1}^3 (y_i dy_0 + y_0 dy_i)}{\partial f(y_0, y_0 y_1, y_0 y_2, y_0 y_3) / \partial y_0}$$

$$= \frac{y_0^2 \Theta(y_0, y_1, y_2, y_3)}{\partial f(y_0, y_0 y_1, y_0 y_2, y_0 y_3) / \partial y_0},$$
(4.12)

where

$$\Theta(y_0, y_1, y_2, y_3) = y_1 dy_0 \wedge dy_2 \wedge dy_3 + y_2 dy_1 \wedge dy_0 \wedge dy_3 + y_3 dy_1 \wedge dy_2 \wedge dy_0 + y_0 dy_1 \wedge dy_2 \wedge dy_3,$$

$$(4.13)$$

So the vanishing order of  $\sigma^*s$  along F is 1.

Let  $\pi: M \to V'$  be a resolution consists of a series of blowing-ups with  $E = \cup E_i$  as the exceptional set of  $\pi \circ \sigma$ , where each  $E_i$  is the non-singular irreducible component. We can assume without loss of generality that the exceptional set E is a divisor with normal crossings. So  $(\pi \circ \sigma)^* s \in \Gamma(M, \Omega_M^3)$  vanishes along some  $E_j = \pi^* F \subseteq E$  of order 1, which is a nonsingular rational surface, i.e.  $\operatorname{Ord}_{E_j}(\pi \circ \sigma)^* s = 1$ . Take a tubular neighborhood  $M_j$  of  $E_j$  such that  $M_j \subset M$ . Consider the exact sequence ([12])

$$0 \to \Omega^1_{M_j}(\log E_j)(-E_j) \to \Omega^1_{M_j} \to \Omega^1_{E_j} \to 0. \tag{4.14}$$

By taking global sections we have

$$0 \to \Gamma\left(M_j, \Omega^1_{M_j}(\log E_j)(-E_j)\right) \to \Gamma\left(M_j, \Omega^1_{M_j}\right) \to \Gamma\left(E_j, \Omega^1_{E_j}\right). \tag{4.15}$$

Since  $E_j$  is a nonsingular rational surface,  $h^1(E_j, \mathcal{O}_{E_j})$ , the irregularity of  $E_j$ , is 0. Then  $\Gamma(E_j, \Omega^1_{E_j}) = 0$  by Hodge symmetry. Therefore

$$\Gamma\left(M_j, \Omega^1_{M_j}(\log E_j)(-E_j)\right) = \Gamma\left(M_j, \Omega^1_{M_j}\right) \tag{4.16}$$

from (4.15).



Suppose  $\eta \in \Gamma(M_j, \Omega^1_{M_j})$ , then  $\eta \in \Gamma(M_j, \Omega^1_{M_j}(\log E_j)(-E_j))$  by (4.16). Chose a point P in  $E_j$  which is a smooth point in E. Let  $(x_1, x_2, x_3)$  be a coordinate system center at P such that  $E_j$  is given locally by  $x_1 = 0$ . Write  $\eta$  locally around P:  $\eta \stackrel{\circ}{=} f_1 dx_1 + f_2 x_1 dx_2 + f_3 x_1 dx_3$ , where  $f_1$ ,  $f_2$  and  $f_3$  are holomorphic functions and " $\stackrel{\circ}{=}$ " means local equality around P. So the vanishing order of any elements in  $\Lambda^3 \Gamma(M, \Omega^1_M)$  along the irreducible exceptional set  $E_j$  is at least 2 by noticing  $\Gamma(M, \Omega^1_M) \subseteq \Gamma(M_j, \Omega^1_{M_j})$  under natural restriction. So

$$g^{(\Lambda^3 1)} = \dim \Gamma(M, \Omega_M^3) / \Lambda^3 \Gamma(M, \Omega_M^1) \ge 1.$$

Case ii If 0 is canonical but not terminal, then by Theorem 3.4, there exists a partial resolution  $\rho: \tilde{V} \to V$  such that  $\rho^{-1}\{0\} = \bigcup_i F_i$  is a union of nonsingular rational or elliptic ruled surfaces,  $\tilde{V}$  only has terminal singularities and  $K_{\tilde{V}} = \rho^* K_V$ . So if we let  $\pi: M \to \tilde{V}$  be a resolution consists a series of blowing-ups, then the discrepancy of some  $\pi^* F_j$  for V is 0. Therefore there is a section  $s \in \Gamma(M, \Omega_M^3)$  such that s does not vanish along some irreducible exceptional set  $E_j := \pi^* F_j$ , i.e.  $\operatorname{Ord}_{E_j} s = 0$ . Take a tubular neighborhood  $M_j$  of  $E_j$  such that  $M_j \subset M$ . Consider the same exact sequence as in Case i:

$$0 \to \Omega^1_{M_j}(\log E_j)(-E_j) \to \Omega^1_{M_j} \to \Omega^1_{E_j} \to 0.$$
 (4.17)

By taking global sections we have

$$0 \to \Gamma\left(M_j, \Omega^1_{M_j}(\log E_j)(-E_j)\right) \to \Gamma\left(M_j, \Omega^1_{M_j}\right) \to \Gamma\left(E_j, \Omega^1_{E_j}\right). \tag{4.18}$$

We know that  $E_j$  must be a rational surface or elliptic ruled surface. If  $E_j$  is rational, we have  $h^1(E_j, \mathcal{O}_{E_j})$ , the irregularity of  $E_j$ , is 0. Then  $\Gamma(E_j, \Omega_{E_j}^1) = 0$  by Hodge symmetry. Therefore

$$\Gamma\left(M_j, \Omega^1_{M_j}(\log E_j)(-E_j)\right) = \Gamma\left(M_j, \Omega^1_{M_j}\right) \tag{4.19}$$

from (4.18). Then by the same local argument as in Case i and  $\Gamma(M, \Omega_M^1) \subseteq \Gamma(M_j, \Omega_{M_j}^1)$ , we have

$$g^{(\Lambda^3 1)} = \dim \Gamma (M, \Omega_M^3) / \Lambda^3 \Gamma (M, \Omega_M^1) > 1.$$

If  $E_j$  is elliptic ruled surface, the only difference is that  $h^1(E_j, \mathcal{O}_{E_j})$ , the irregularity of  $E_j$ , is 1. Then  $\Gamma(E_j, \Omega^1_{E_j}) = 1$  by Hodge symmetry. Therefore

$$\dim \Gamma(M_j, \Omega^1_{M_j}) / \Gamma(M_j, \Omega^1_{M_j}(\log E_j)(-E_j)) \le 1$$
(4.20)

from (4.15).

If we take three  $\mathbb{C}$ -linear independent holomorphic 1-forms in  $\Gamma(M,\Omega_M^1)$ , then there must exist two elements  $\eta_1,\eta_2\in\Gamma(M,\Omega_M^1)$  such that  $\eta_1|_{M_j},\eta_2|_{M_j}\in\Gamma(M_j,\Omega_{M_j}^1(\log E_j)(-E_j))$  from (4.20). Similarly, chose a point P in  $E_j$  which is a smooth point in E. Let  $(x_1,x_2,x_3)$  be a coordinate system center at P such that  $E_j$  is given locally by  $x_1=0$ . Write  $\eta_1$  and  $\eta_2$  locally around P:

$$\eta_1 \stackrel{\circ}{=} f_1 dx_1 + f_2 x_1 dx_2 + f_3 x_1 dx_3$$

and

$$\eta_2 = g_1 dx_1 + g_2 x_1 dx_2 + g_3 x_1 dx_3$$



where  $f_i$  and  $g_i$   $(1 \le i \le 3)$  are holomorphic functions and " $\stackrel{\circ}{=}$ " means local equality around P. So the vanishing order of any elements in  $\Lambda^3\Gamma(M_j,\Omega^1_{M_j})$  along the irreducible exceptional set  $E_j$  is at least 1. So by noticing  $\Gamma(M,\Omega^1_M)\subseteq\Gamma(M_j,\Omega^1_{M_j})$ , we have

$$g^{(\Lambda^3 1)} = \dim \Gamma(M, \Omega_M^3) / \Lambda^3 \Gamma(M, \Omega_M^1) \ge 1.$$

## 5 The classical complex Plateau problem

In 1981, Yau [26] solved the classical complex Plateau problem for the case  $n \ge 3$ .

**Theorem 5.1** ([26]) Let X be a compact connected strongly pseudoconvex CR manifold of real dimension 2n-1,  $n \geq 3$ , in the boundary of a bounded strongly pseudoconvex domain D in  $\mathbb{C}^{n+1}$ . Then X is the boundary of a complex sub-manifold  $V \subset D-X$  if and only if Kohn-Rossi cohomology groups  $H_{KR}^{p,q}(X)$  are zeros for  $1 \leq q \leq n-2$ 

When n=2, the Plateau problem remains unsolved for many years even there are no any criterion to judge whether X is the boundary of a complex manifold. In [9], the first and the third authors used CR invariant  $g^{(1,1)}(X)$  to give the sufficient and necessary condition for the variety bounded by a Calabi–Yau CR manifold X being smooth if  $H_h^2(X)=0$ .

**Theorem 5.2** ([9]) Let X be a strongly pseudoconvex compact Calabi–Yau CR manifold of dimension 3. Suppose that X is contained in the boundary of a strongly pseudoconvex bounded domain D in  $\mathbb{C}^N$  with  $H_h^2(X) = 0$ . Then X is the boundary of a complex sub-manifold (up to normalization)  $V \subset D - X$  with boundary regularity if and only if  $g^{(1,1)}(X) = 0$ .

**Theorem 5.3** ([9]) Let X be a strongly pseudoconvex compact CR manifold of dimension 3. Suppose that X is contained in the boundary of a strongly pseudoconvex bounded domain D in  $\mathbb{C}^3$  with  $H_h^2(X) = 0$ . Then X is the boundary of a complex sub-manifold  $V \subset D - X$  if and only if  $g^{(1,1)}(X) = 0$ .

We will use the new *CR* invariant  $g^{(\Lambda^n 1)}(X)$  to deal with complex Plateau problem of *X* in general type.

**Theorem 5.4** Let X be a strongly pseudoconvex compact CR manifold of dimension 2n-1, where n>2. Suppose that X is contained in the boundary of a strongly pseudoconvex bounded domain D in  $\mathbb{C}^N$ . Then X is the boundary of a variety  $V\subset D-X$  with boundary regularity and the number of non-rational singularities is not great than  $g^{(\Lambda^n 1)}(X)$ . In particular, if  $g^{(\Lambda^n 1)}(X) = 0$ , then V has at most finite number of rational singularities.

*Proof* It is well known that X is the boundary of a variety V in D with boundary regularity ([15,17]). Suppose  $\{0_1, \ldots, 0_k\}$  be k non-rational singularities in V. The the result follows easily from Theorem 4.11 and Lemma 4.7.

When X is a Calabi–Yau CR manifold of dimension 5, we give the following necessary and sufficient condition for the variety bounded by X being smooth.

**Theorem 5.5** Let X be a strongly pseudoconvex compact Calabi–Yau CR manifold of dimension 5. Suppose that X is contained in the boundary of a strongly pseudoconvex bounded domain D in  $\mathbb{C}^N$ . Then X is the boundary of a complex sub-manifold (up to normalization)  $V \subset D - X$  with boundary regularity if and only if  $g^{(\Lambda^3 1)}(X) = 0$ .



*Proof* ( $\Rightarrow$ ): Since *V* is smooth,  $g^{(\Lambda^3 1)}(X) = 0$  follows from Lemma 4.7.

 $(\Leftarrow)$ : It is well known that X is the boundary of a variety V in D with boundary regularity ([15,17]). The the result follows easily from Theorem 4.12 and Lemma 4.7.

**Corollary 5.6** Let X be a strongly pseudoconvex compact Calabi–Yau CR manifold of dimension S. Suppose that X is contained in the boundary of a strongly pseudoconvex bounded domain D in  $\mathbb{C}^4$ . Then X is the boundary of a complex sub-manifold  $V \subset D - X$  with boundary regularity if and only if  $g^{(\Lambda^3 1)}(X) = 0$ .

*Proof* The result follows easily from the fact that isolated hypersurface singularities are normal and Gorenstein.

**Acknowledgments** The first and the second authors would like to thank N. Mok for supporting their researches when they were in the University of Hong Kong. The third author would like to thank National Center for Theoretical Sciences (NCTS) for providing excellent research environment while part of this research was done.

### References

- 1. Alexeev, V.: Two two-dimensional terminations. Duke Math. J. 69(3), 527–545 (1993)
- Andreotti, A., Grauert, H.: Théorèmes de finitude pour la cohomologie des espaces complexes. Bull. Soc. Math. Fr. 90, 193–259 (1962)
- Brenton, L.: On singular complex surfaces with negative canonical bundle, with applications to singular compactifications of C<sup>2</sup> and to 3-dimensional rational singularities. Math. Ann. 248(2), 117–124 (1980)
- 4. Clemens, H., Kollár, J., Mori, S.: Higher-dimensional complex geometry. Astérisque, vol. 166 (1988)
- 5. Cutkosky, S.: Elementary contractions of Gorenstein threefolds. Math. Ann. 280(3), 521–525 (1988)
- Du, R., Gao, Y.: New invariants for complex manifolds and rational singularities. Pacific J. Math. 269(1), 73–97 (2014)
- Du, R., Gao, Y.: Some remarks on Yau's conjecture and complex Plateau problem. Methods Appl. Anal. 21(3), 357–364 (2014)
- Du, R., Luk, H.S., Yau, S.S.-T.: New invariants for complex manifolds and isolated singularities. Commun. Anal. Geom. 19(5), 991–1021 (2011)
- Du, R., Yau, S.S.-T.: Kohn-Rossi cohomology and its application to the complex Plateau problem, III. J. Differ. Geom. 90(2), 251–266 (2012)
- Ein, L., Mustață, M.: Inversion of adjunction for local complete intersection varieties. Am. J. Math. 126(6), 1355–1365 (2004)
- Ein, L., Mustață, M., Yasuda, T.: Jet schemes, log discrepancies and inversion of adjunction. Inven. Math. 153(3), 519–535 (2003)
- Esnault, H., Viehweg, E.: Lectures on Vanishing Theorems. DMV Seminar, 20. Birkhäuser Verlag, Basel (1992)
- Greuel, G.-M.: Dualität in der lokalen Kohomologie isolierter Singularitäten. Math. Ann. 250, 157–173 (1980)
- 14. Harvey, R., Lawson, B.: On boundaries of complex analytic varieties I. Ann. Math. 102, 233–290 (1975)
- 15. Harvey, R. and Lawson, B.: Addendum to Theorem 10.4 of [HL]. arXiv:math/0002195
- Kollár, J., Mori, S.: Birational geometry of algebraic varieties. In: Cambridge Tracts in Mathematics, vol. 134, Cambridge University Press, Cambridge, 1998, With the collaboration of C. H. Clemens and A. Corti, translated from the 1998 Japanese original. MR 1658959 (2000b:14018)
- 17. Luk, H.S., Yau, S.S.-T.: Counterexample to boundary regularity of a strongly pseudoconvex CR manifold: an addendum to the paper of Harvey–Lawson. Ann. Math. 148, 1153–1154 (1998). MR 1670081
- 18. Markushevich, D.: Minimal discrepancy for a terminal cDV singularity is 1. J. Math. Sci. Univ. Tokyo 3(2), 445–456 (1996)
- Mori, S.: Shigefumi threefolds whose canonical bundles are not numerically effective. Ann. Math. 2 116(1), 133–176 (1982)
- Reid, M.: Canonical 3-folds. Journées de Géometrie Algébrique d'Angers, Juillet 1979/Algebraic Geometry, Angers, 1979, pp. 273-310, Sijthoff & Noordhoff, Alphen aan den Rijn-Germantown, Md., (1980)
- Reid, M.: Minimal models of canonical 3-folds. Algebraic varieties and analytic varieties (Tokyo, 1981), 131C180, Adv. Stud. Pure Math., vol. 1, North-Holland, Amsterdam, (1983)



- Shokurov, V.V.: Problems about Fano varieties. In: Birational Geometry of Algebraic Varieties. Open Problems-Katata, pp. 30-32 (1988)
- 23. Siu, Y.-T.: Analytic sheaves of local cohomology. Trans. AMS 148, 347–366 (1970)
- Straten, D.V., Steenbrink, J.: Extendability of holomorphic differential forms near isolated hypersurface singularities. Abh. Math. Sem. Univ. Hamburg 55, 97–110 (1985)
- Tanaka, N.: A differentail geometry study on strongly pseudoconvex manifolds. In: Lecture in Mathematics. Kyoto University, 9, Kinokuniya Bookstroe Co. Ltd, (1975)
- Yau, S.S.-T.: Kohn-Rossi cohomology and its application to the complex Plateau problem, I. Ann. Math. 113, 67–110 (1981). MR 0604043
- Yau, S.S.-T.: Various numerical invariants for isolated singularities. Am. J. Math. 104(5), 1063–1110 (1982)

