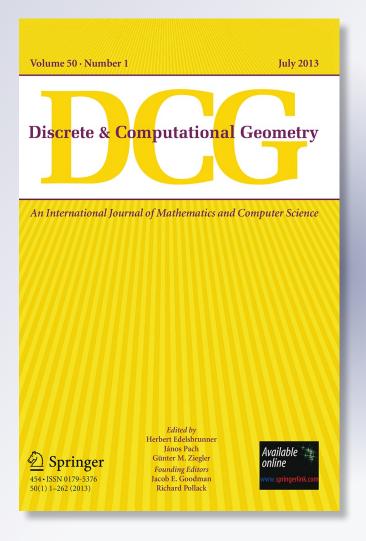
# Boundaries of Disk-Like Self-affine Tiles

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#### **Boundaries of Disk-Like Self-affine Tiles**

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**Abstract** Let  $T:=T(A,\mathcal{D})$  be a disk-like self-affine tile generated by an integral expanding matrix A and a consecutive collinear digit set  $\mathcal{D}$ , and let  $f(x)=x^2+px+q$  be the characteristic polynomial of A. In the paper, we identify the boundary  $\partial T$  with a sofic system by constructing a neighbor graph and derive equivalent conditions for the pair  $(A,\mathcal{D})$  to be a number system. Moreover, by using the graph-directed construction and a device of pseudo-norm  $\omega$ , we find the generalized Hausdorff dimension  $\dim_H^\omega(\partial T)=2\log\rho(M)/\log|q|$  where  $\rho(M)$  is the spectral radius of certain contact matrix M. Especially, when A is a similarity, we obtain the standard Hausdorff dimension  $\dim_H(\partial T)=2\log\rho/\log|q|$  where  $\rho$  is the largest positive zero of the cubic polynomial  $x^3-(|p|-1)x^2-(|q|-|p|)x-|q|$ , which is simpler than the known result.

 $\label{eq:Keywords} \textbf{Keywords} \quad \text{Boundary} \cdot \text{Self-affine tile} \cdot \text{Sofic system} \cdot \text{Number system} \cdot \text{Neighbor graph} \cdot \text{Contact matrix} \cdot \text{Graph-directed set} \cdot \text{Hausdorff dimension}$ 

#### 1 Introduction

Let  $M_n(\mathbb{Z})$  denote the set of  $n \times n$  matrices with entries in  $\mathbb{Z}$  and let  $A \in M_n(\mathbb{Z})$  be expanding (i.e., all eigenvalues of A have moduli >1). Assume  $|\det(A)| = |q|$ , and  $\mathcal{D} = \{0, d_1, \dots, d_{|q|-1}\} \subset \mathbb{Z}^n$  with |q| distinct vectors. We call  $\mathcal{D}$  a digit set

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and  $(A, \mathcal{D})$  a *self-affine pair*. It is well known that there exists a unique self-affine set  $T := T(A, \mathcal{D})$  [20] satisfying

$$T = A^{-1}(T + \mathcal{D}) = \Big\{ \sum_{i=1}^{\infty} A^{-i} d_{j_i} : d_{j_i} \in \mathcal{D} \Big\}.$$

If T has non-void interior, then there exists a subset  $\mathcal{J} \subset \mathbb{Z}^n$  such that

$$T + \mathcal{J} = \mathbb{R}^n$$
 and  $(T + t)^{\circ} \cap (T + t')^{\circ} = \emptyset$ ,  $t \neq t'$ ,  $t, t' \in \mathcal{J}$ ,

thus T is called a *self-affine tile* and  $\mathcal{J}$  a tiling set.  $T + \mathcal{J}$  is called a tiling of  $\mathbb{R}^n$ , and a lattice tiling if  $\mathcal{J}$  is a lattice [22].

The topological properties of self-affine tiles and their boundaries, such as connectedness, local connectedness, or disk-likeness (i.e., homeomorphic to the closed unit disk), have attracted a lot of interest. A systematical study on the connectedness of self-affine tiles was due to Kirat and Lau [19], they mainly concerned a class of tiles  $T(A, \mathcal{D})$  generated by the *consecutive collinear* (CC) digit sets  $\mathcal{D} := \mathcal{D}(v, |q|) = \{0, 1, \ldots, |q|-1\}v, v \in \mathbb{Z}^n \setminus \{0\}$  via the algebraic property of the characteristic polynomial of the matrix A. More general cases on non-consecutive collinear or non-linear digit sets were considered by [6,18,25].

The question of disk-likeness was first investigated by Bandt and Gelbrich [3] for self-affine tiles in  $\mathbb{R}^2$  with  $|\det(A)| = 2$  or 3. They observed that the characteristic polynomial of  $A \in M_2(\mathbb{Z})$  is of the form:

$$f(x) = x^2 + px + q$$
, with  $|p| \le q$ , if  $q \ge 2$ ;  $|p| \le |q + 2|$ , if  $q \le -2$ .

By studying the neighborhood structure of T, Bandt and Wang [5] proved that a tile T with no more than six neighbors is disk-like if and only if T is connected. A translation of the tile  $T+\ell$ ,  $\ell\in\mathcal{J}$  is called a *neighbor* of T if  $T\cap(T+\ell)\neq\emptyset$ . Making use of this criterion, Leung and Lau [24] then gave a complete characterization of the disk-likeness of self-affine tiles with CC digit sets. Gmainer and Thuswaldner [14] considered the disk-likeness of tiles with non-collinear digit sets arising from polyominoes, and Kirat [18] proposed necessary and sufficient conditions for such tiles to be disk-like in general. By using the neighbor map technique, Bandt and Mesing [4] constructed a kind of finite type self-affine tiles and discussed their disk-likeness as well.

**Theorem 1.1** ([24]) Let  $A \in M_2(\mathbb{Z})$  be an expanding matrix with characteristic polynomial  $f(x) = x^2 + px + q$ . Then for any CC digit set  $\mathcal{D}(v, |q|)$  in  $\mathbb{Z}^2$  such that v, Av are linearly independent, T is a disk-like tile if and only if  $2|p| \le |q+2|$ . Moreover, when p = 0, T is a square tile; when  $p \ne 0$ , T is a hexagonal tile.

The boundary of a self-affine tile has more complicated geometric structure than the tile itself, hence it is also of considerable interest. The dimension of the boundary of a self-similar tile (where the expanding matrix *A* is a similarity) has been studied extensively in the literature. Strichartz and Wang [31] described the boundary set as a



graph-directed set and gave an algorithm for finding the dimension of the boundary, various other methods can be founded in [8, 17, 23, 32].

Recently, Akiyama and Loridant [1,2] provided a new method to parameterize the boundary set and reproved Theorem 1.1 by showing that the boundary of T is a simple closed curve. In the present paper, we go further to explore the structure of the boundary of the T defined in Theorem 1.1. For convenience, we call such T a CC tile. If it is also disk-like, we call it a disk-like CC tile.

First we establish a neighbor graph of T such that the boundary  $\partial T$  is identified as the union of all one-sided infinite paths of this graph. Hence  $\partial T$  determines a sofic system [11]. The neighbor graph technique is classical in the study of tiling theory [3,4]. However, it will be shown that we use the technique here from a different aspect. As self-affine tiles can be studied in the context of number systems [29], it is worth studying the conditions for the self-affine pair  $(A, \mathcal{D})$  to be a number system. We give the answer when  $T(A, \mathcal{D})$  is disk-like.

**Theorem 1.2** Let  $T = T(A, \mathcal{D})$  be a disk-like CC tile. Then the following are equivalent:

- (i)  $(A, \mathcal{D})$  is a number system.
- (ii)  $0 \in T^{\circ}$ .
- (iii)  $f(x) = x^2 + px + q$  with  $-1 \le p$  and  $q \ge 2$ . (iv) For all neighbors  $T + \ell$ ,  $\ell = \sum_{i=0}^k a_i A^i v \in \mathcal{D}_{A,k+1}$  for some  $k \in \mathbb{Z}$  with  $a_k = 1$ and  $a_i \in D$  where 0 < i < k.

In [31], Strichartz and Wang applied the graph-directed iterated function system (GIFS) to represent the boundary of a self-affine tile, but they were not sure whether the GIFS satisfies the open set condition or not. Our second aim is to give a positive answer for the disk-like CC tile and estimate the generalized Hausdorff dimension ( $\dim_{\mathbf{u}}^{\omega}$ ) of the boundary by using a pseudo-norm  $\omega$  [16,26] instead of Euclidean norm.

**Theorem 1.3** The generalized Hausdorff dimension of the boundary of disk-like CC tile T is

$$\dim_H^{\omega}(\partial T) = \frac{2\log\rho(M)}{\log|q|},$$

where  $\rho(M)$  denotes the spectral radius of certain contact matrix M, and the corresponding measure is positive and finite.

When A is a similarity, we can improve the well-known Hausdorff dimension formula of the boundary in the following simpler way.

**Theorem 1.4** Let  $A \in M_2(\mathbb{Z})$  be an expanding similarity with characteristic polynomial  $f(x) = x^2 + px + q$  and T = T(A, D) be a disk-like CC tile. Then

$$\dim_H(\partial T) = \frac{2\log\rho}{\log|q|},$$

where  $\rho$  is the largest positive zero of the cubic polynomial  $x^3 - (|p| - 1)x^2 -$ (|q|-|p|)x-|q|.



The rest of the paper is organized as follows: In Sect. 2, we identify  $\partial T$  with a sofic system by constructing a neighbor graph and prove Theorem 1.2. In Sect. 3, we consider  $\partial T$  as a graph-directed set and prove Theorems 1.3 and 1.4. Finally all neighbor graphs, graph-directed sets and contact matrices corresponding to different characteristic polynomials f(x) are listed in Appendices 1–3 for easy reference.

#### 2 Sofic System and Number System

We first introduce some terminology of symbolic dynamics from [27]. Let  $\mathcal{G} = \mathcal{G}(\mathcal{V}, \mathcal{E})$  be a directed graph where  $\mathcal{V}$  is the set of vertices and  $\mathcal{E}$  the set of edges. Let  $\mathcal{A}$  be a finite set (called *alphabet*). If there exists a mapping (called *labeling*)  $\mathcal{L}: \mathcal{E} \to \mathcal{A}$ , then the ordered pair  $\mathbf{G} = (\mathcal{G}, \mathcal{L})$  is called a *labeled directed graph*. All the infinite paths  $\xi = e_1e_2e_3\ldots$  on  $\mathcal{G}$  constitute the so-called *edge shift*  $\mathbf{X}_{\mathcal{G}}$ . Define the *label of the path*  $\xi$  by

$$\mathcal{L}_{\infty}(\xi) := \mathcal{L}(e_1)\mathcal{L}(e_2)\mathcal{L}(e_3) \dots \in \mathcal{A}^{\mathbb{N}}.$$

Here  $\mathcal{A}^{\mathbb{N}}$  is called the *full shift* of  $\mathcal{A}$ . The set of all such labels is denoted by

$$\mathbf{X}_{\mathbf{G}} = \{ x \in \mathcal{A}^{\mathbb{N}} : x = \mathcal{L}_{\infty}(\xi) \text{ for some } \xi \in \mathbf{X}_{\mathcal{G}} \}.$$

Any subset of  $\mathcal{A}^{\mathbb{N}}$  which can be defined by a labeled directed graph as above, is called a *sofic shift* or *sofic system* [11,27]. Weiss [33] coined the term *sofic* which is derived from the Hebrew word for *finite* [27].

Let  $D=\{0,1,\ldots,|q|-1\}$  and the difference set  $\Delta D:=D-D$ , then the CC digit set  $\mathcal{D}=Dv$  and  $\Delta\mathcal{D}:=\mathcal{D}-\mathcal{D}=\Delta Dv$ . Without loss of generality, we assume the digit set  $\mathcal{D}$  is primitive, i.e., the lattice  $\mathcal{J}$  generated by  $\mathcal{D}$  and  $A\mathcal{D}$  in  $\mathbb{Z}^2$  is equal to  $\mathbb{Z}^2$ . For otherwise, there exists an invertible  $B\in M_2(\mathbb{Z})$  such that  $\tilde{\mathcal{D}}=B^{-1}\mathcal{D}\subset\mathbb{Z}^2$  is primitive and  $T(A,\mathcal{D})=BT(\tilde{A},\tilde{\mathcal{D}})$  where  $\tilde{A}=B^{-1}AB\in M_2(\mathbb{Z})$  [21] and we can consider  $\tilde{A},\tilde{\mathcal{D}}$  instead. Hence we set  $\mathbb{Z}^2=\{\gamma v+\delta Av:\gamma,\delta\in\mathbb{Z}\}$ . It is easy to see that  $T+\ell$  where  $\ell\in\mathbb{Z}^2$  is a neighbor of T if and only if  $\ell\in T-T$ . More precisely,  $\ell$  can be expressed as

$$\ell = \sum_{i=1}^{\infty} b_i A^{-i} v \in T - T, \quad b_i \in \Delta D.$$

The following is a neighbor-generating formula which plays a key role in constructing the labeled directed graph for the boundary.

**Lemma 2.1** ([24]) Suppose  $T + \ell$  is a neighbor of T with  $\ell = \gamma v + \delta A v = \sum_{i=1}^{\infty} b_i A^{-i} v$ , then we get another neighbor  $T + \ell'$  satisfying  $\ell' = A\ell - b_1 v = \gamma' v + \delta' A v$  with  $\gamma' = -(q\delta + b_1)$  and  $\delta' = \gamma - p\delta$ .

Inductively, we can construct a sequence of neighbors:  $\{T + \ell_n\}_{n=0}^{\infty}$  where  $\ell_0 = \ell$  and  $\ell_{n+1} = A\ell_n - b_{n+1}v$ .



Let T be a disk-like CC tile and  $T_{\ell} = T \cap (T + \ell)$  for any  $\ell \in \mathbb{Z}^2$ . Let  $\mathcal{V} = \{\ell \in \mathbb{Z}^2 : \ell \neq 0 \text{ and } T \cap T_{\ell} \neq \emptyset\}$ . Then the boundary of T can be written as

$$\partial T = \bigcup_{\ell \in \mathcal{V}} T_{\ell}. \tag{2.1}$$

Define an edge set  $\mathcal{E} := \{e = (\ell, \ell') : \ell, \ell' \in \mathcal{V} \text{ and } \ell' = A\ell - b_1 v \text{ for some } b_1 \in \Delta D\}$  and a labeling  $\mathcal{L} : \mathcal{E} \to \mathcal{A}$  by  $\mathcal{L}(e) = b_1$  where  $\mathcal{A} = \Delta D$ . Then by the definition above,  $\mathbf{G} = (\mathcal{G}, \mathcal{L})$  is a labeled directed graph and it determines a sofic shift. We call  $\mathbf{G}$  the *neighbor graph* of T.

**Proposition 2.2** Let **G** be the neighbor graph of a CC disk-like tile T. If  $x = \sum_{i=1}^{\infty} a_i A^{-i} v = \ell + \sum_{i=1}^{\infty} a_i' A^{-i} v \in T_{\ell}$  where  $a_i, a_i' \in D$ , then  $\{b_i := a_i - a_i'\}_{i=1}^{\infty}$  is the sequence of labeling of the edges of an infinite path starting at  $\ell$  (or simply called a label sequence starting at  $\ell$ ). Conversely, any label sequence  $\{b_i\}_{i=1}^{\infty}$  (with  $b_i \in \Delta D$ ) starting at  $\ell$  defines a set

$$\left\{x: x = \sum_{i=1}^{\infty} a_i A^{-i} v = \ell + \sum_{i=1}^{\infty} a'_i A^{-i} v, \ a_i - a'_i = b_i, \ a_i, a'_i \in D \ for \ i = 1, 2, \dots \right\}$$

of boundary points of T.

*Proof* Since  $\ell = \sum_{i=1}^{\infty} b_i A^{-i} v$  with  $b_i = a_i - a_i'$ , by Lemma 2.1, we have a sequence of neighbors  $\{T + \ell_n\}_{n=0}^{\infty}$  where  $\ell_0 = \ell$  and  $\ell_{n+1} = A\ell_n - b_{n+1}v$ , hence  $\{b_i\}_{i=1}^{\infty}$  is a label sequence starting at  $\ell$  by the definition.

Conversely, if  $\ell = \sum_{i=1}^{\infty} b_i A^{-i} v$  where  $b_i \in \Delta D$ , then  $b_i = a_i - a_i'$  for  $a_i, a_i' \in D$  and  $\ell = \sum_{i=1}^{\infty} (a_i - a_i') A^{-i} v$ . It follows that

$$x = \sum_{i=1}^{\infty} a_i A^{-i} v = \ell + \sum_{i=1}^{\infty} a_i' A^{-i} v \in T \cap (T + \ell) = T_{\ell}.$$
 (2.2)

We can verify whether the origin 0 is a boundary point of T in the following way.

**Corollary 2.3**  $0 \in \partial T$  if and only if there exists an infinite path in **G** with all edge labels either non-positive or non-negative.

*Proof* Suppose  $0 \in T \cap (T + \ell)$  for some neighbor  $T + \ell$ . Putting  $a_i = 0$  for all i into (2.2), we have

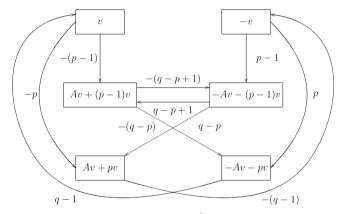
$$\ell = \sum_{i=1}^{\infty} (-a_i') A^{-i} v.$$

Since  $a_i' \in D$ , the label sequence  $\{b_i = -a_i'\}_{i=1}^{\infty}$  starting at  $\ell$  has all labels non-positive. Similarly  $\{b_i' = a_i\}_{i=1}^{\infty}$  is a sequence starting at  $-\ell$  with all labels non-negative. By reversing the argument, we can prove the converse.



**Table 1** Relation among all neighbors of T associated with  $f(x) = x^2 + px + q$ ,  $p, q \ge 2$ ,  $2p \le q + 2$  (excluding p = q = 2)

$\ell$	<i>b</i> <sub>1</sub>	$\ell'$
v	-(p-1)	Av + (p-1)v
	-p	Av + pv
Av + (p-1)v	-(q-p)	-Av - pv
	-(q-p+1)	-Av - (p-1)v
Av + pv	-(q-1)	-v
-v	p - 1	-Av - (p+1)v
	p	-Av - pv
-Av - (p-1)v	q - p	Av + pv
	q - p + 1	Av + (p-1)v
-Av - pv	q-1	v



**Fig. 1** The neighbor graph of T associated with  $f(x) = x^2 + px + q$ ,  $p, q \ge 2$ ,  $2p \le q + 2$  (excluding q = p = 2)

In fact, we can determine the neighbor graph **G** for any disk-like CC tile *T*. Let us take the case of  $f(x) = x^2 + px + q$ ,  $p, q \ge 2$  (excluding p = q = 2) as an example. By Theorem 1.1, *T* is a hexagonal tile with six neighbors [24] and

$$\mathcal{V} = \{ \pm v, \ \pm (Av + (p-1)v), \ \pm (Av + pv) \}. \tag{2.3}$$

In view of the definition of  $\mathcal{E}$ , if  $\ell=v$  we take  $b_1=-p$  and  $\ell'=Av+pv$  or  $b_1=-(p-1)$  and  $\ell'=Av+(p-1)v$ ; if  $\ell=Av+pv$ , using f(A)v=0, we have  $b_1=-(q-1)$  and  $\ell'=-v$ . Proceeding similarly with all  $\ell$ , we obtain Table 1. Then we establish the neighbor graph (Fig. 1). The neighbor graphs corresponding to other f(x) are given in Appendix 1.

Following [20], we let  $\mathcal{D}_{A,k} = \{ \sum_{i=0}^{k-1} a_i A^i v : a_i \in D \}$ ,  $\Delta \mathcal{D}_{A,k} = \mathcal{D}_{A,k} - \mathcal{D}_{A,k} = \{ \sum_{i=0}^{k-1} b_i A^i v : b_i \in \Delta D \}$  and  $\mathcal{D}_{A,\infty} = \bigcup_{k=1}^{\infty} \mathcal{D}_{A,k}$ .



**Proposition 2.4** Let T be a disk-like CC tile and  $T + \ell$  a neighbor. Then  $\ell = \ell$  $\sum_{i=0}^{k^-} b_i A^i v \in \Delta \mathcal{D}_{A,k+1} \text{ for some } k \in \mathbb{Z} \text{ with } b_k \in \{-1,1\}, \ b_i \in \Delta D \text{ for } 0 \le i < k.$  When  $f(x) = x^2 \pm 2x + 2, \ k = 3;$  and k = 1 otherwise.

*Proof* It follows from (2.3) that  $\ell \in \Delta \mathcal{D}_{A,2}$  excluding the case of  $f(x) = x^2 \pm 2x + 2$ . For  $f(x) = x^2 \pm 2x + 2$ , we have  $Av \pm 2v = A^3v \pm A^2v + Av \in \Delta \mathcal{D}_{A,4}$  by using  $(A \mp I) f(A) = 0.$ 

A more desirable property is that any  $\ell \in \mathbb{Z}^2$  can be expressed as  $\ell = \sum_{i=0}^k a_i A^i v \in$  $\mathcal{D}_{A,k+1}$  (instead of  $\Delta \mathcal{D}_{A,k+1}$ ) for some  $k \in \mathbb{Z}$  with  $a_k = 1$  and  $a_i \in D$  where  $0 \le i \le k$ . But this is not always the case. This property is closely related to a *number* system defined below (see also [29]).

**Definition 2.5** Let  $A \in M_2(\mathbb{Z})$  be expanding and  $\mathcal{D}$  be a CC digit set. The selfaffine pair  $(A, \mathcal{D})$  is said to be a number system if for any  $\ell \in \mathbb{Z}^2$ , it has a unique representation  $\ell = \sum_{i=0}^{k} A^{i} v'_{i}$  with  $v'_{i} \in \mathcal{D}$ .

For convenience, we sometimes write a point of the form  $x = \sum_{i=1}^{\infty} a_i A^{-i} v \in \mathbb{R}^2$ as radix expansion:  $0.a_1a_2a_3...$  An overbar denotes repeating digits as in  $0.12\overline{301} =$ 0.12301301301... Likewise,  $a_{-2}a_{-1}a_0.a_1a_2a_3...$  represents a point  $a_{-2}A^2v +$  $a_{-1}Av + a_0v + \sum_{i=1}^{\infty} a_i A^{-i}v$ . Note that shifting a radix place to the left means multiplying A to x. When x is on the boundary of T, the radix expansion of x is not unique. Now we give some equivalent conditions for the self-affine pair  $(A, \mathcal{D})$  to be a number system.

**Theorem 2.6** Let  $T = T(A, \mathcal{D})$  be a disk-like CC tile. Then the following are equivalent:

- $(A, \mathcal{D})$  is a number system.
- (ii)  $0 \in T^{\circ}$ .
- (iii)  $f(x) = x^2 + px + q$  with  $-1 \le p$  and  $q \ge 2$ . (iv) For all neighbors  $T + \ell$ ,  $\ell = \sum_{i=0}^{k} a_i A^i v \in \mathcal{D}_{A,k+1}$  for some  $k \in \mathbb{Z}$  with  $a_k = 1$ and  $a_i \in D$  where  $0 \le i \le k$ .
- *Proof* (i)  $\Rightarrow$  (ii) Suppose  $0 \notin T^{\circ}$ . Then  $0 \in T \cap (T + \ell)$  for some  $\ell \in \mathbb{Z}^2 \setminus \{0\}$ . Since  $(A,\mathcal{D})$  is a number system,  $\ell = \sum_{i=-k}^{0} a_i A^{-i} v$  with  $a_i \in D$  and  $a_{-k} > 0$ . Hence  $0 = a_{-k}a_{-(k-1)} \dots a_{-1}a_0.a_1a_2a_3 \dots$  Shifting the radix point k places to the left, we get  $0 = a_{-k} \cdot a_{-(k-1)} \cdot a_{-1} a_0 a_1 a_2 a_3 \cdot a_{-k}$ . That means  $T + a_{-k} v$  is a neighbor of T. By Proposition 2.4,  $a_{-k} = 1$ . Hence 0 corresponds to an infinite path starting at v with non-positive labels  $b_i = -a_i$ . But by checking all the neighbor graphs in Appendix 1, we find no such path.
- (ii)  $\Rightarrow$  (i) It suffices to show that  $\mathbb{Z}^2 \subset \mathcal{D}_{A,\infty}$ . By the lattice tiling property, 0 is the only lattice point in T, i.e.,  $\mathbb{Z}^2 \cap T = \{0\}$ . It follows that  $\mathbb{Z}^2 \cap A^n T = \sum_{i=0}^{n-1} A^i \mathcal{D} = \mathcal{D}_{A,n}$  for  $n \geq 1$ . If  $\ell \in \mathbb{Z}^2$ , there exists a large integer n such that  $\ell \in A^n T$  as  $0 \in T^\circ$ , then  $\ell \in \mathcal{D}_{A,n} \subset \mathcal{D}_{A,\infty}$ .
- (ii) ⇔ (iii) By inspecting all neighbor graphs in Appendix 1, we find that in each graph corresponding to  $f(x) = x^2 + px + q$  with  $-1 \le p$  and  $q \ge 2$ , there exists no infinite path with edge labels either all non-positive or all non-negative, hence  $0 \in T^{\circ}$



Table 2	Infinite paths
represent	ing a boundary point 0

f(x)	Neighbor	Path
$x^2 - 2x + 2$	Av - v	(-1)
	-Av + v	ī
$x^2 - px - q$	v	$\overline{p(q-1)}$
	-v	$\overline{(-p)[-(q-1)]}$
$x^2 + px - q$	Av + (p+1)v	$\overline{(q-p-1)}$
	-Av - (p+1)v	$\overline{[-(q-p-1)]}$
$x^2 - q$	v	$\overline{0(q-1)}$
	-v	$\overline{0[-(q-1)]}$
	Av + v	$\overline{(q-1)}$
	-Av-v	$\overline{[-(q-1)]}$
$x^2 - px + q$	Av - (p-1)v	$\overline{[-(q-p+1)]}$
	-Av + (p-1)v	$\overline{(q-p+1)}$

by Corollary 2.3. In every other case, there always exists such a path. All these paths are listed in Table 2.

(iii)  $\Rightarrow$  (iv) Let f(x) be one of the cases:  $x^2+q$ ,  $x^2+x+q$ ,  $x^2+px+q$  ( $p \ge 2$ , excluding p=q=2),  $x^2+2x+2$ ,  $x^2-x+q$ , where  $p\ge 0$  and  $q\ge 2$ . In each case, we can rewrite their neighbors as the desired form in (iv). By using 0=f(A)v, 0=(A-I)f(A)v, 0=(A+I)f(A)v, we have

Case (1)  $f(x) = x^2 + q$ .  $Av - v = A^2v + Av + (q-1)v$ ,  $-v = A^2v + (q-1)v$ ,  $-Av = A^3v + (q-1)Av$ ,  $-Av + v = A^3v + (q-1)Av + v$ ,  $-Av - v = A^3v + A^2v + (q-1)Av + (q-1)v$ .

Case (2)  $f(x) = x^2 + x + q$ .  $-v = A^2v + Av + (q-1)v$ ,  $-Av = A^3v + A^2v + (q-1)Av$ ,  $-Av - v = A^2v + (q-1)v$ .

Case (3)  $f(x) = x^2 + px + q$  ( $p \ge 2$ ).  $-v = A^2v + pAv + (q-1)v$ ,  $-Av - (p-1)v = A^2v + (p-1)Av + (q-p+1)v$ ,  $-Av - pv = A^2v + (p-1)Av + (q-p)v$ . Case (4)  $f(x) = x^2 + 2x + 2$ .  $Av + 2v = A^3v + A^2v + Av$ ,  $-v = A^4v + A^3v + A^2v + v$ ,  $-Av - v = A^2v + Av + v$ ,  $-Av - 2v = A^2v + Av$ .

Case (5)  $f(x) = x^2 - x + q$ .  $Av - v = A^2v + (q - 1)v$ ,  $-v = A^3v + (q - 1)Av + (q - 1)v$ ,  $-Av = A^4v + (q - 1)A^2v + (q - 1)Av$ ,  $-Av + v = A^4v + (q - 1)A^2v + (q - 1)Av + v$ .

(iv)  $\Rightarrow$  (ii) Suppose  $0 \notin T^{\circ}$ . By the same argument as in the proof of (i) $\Rightarrow$ (ii) above, there should be an infinite path in the neighbor graph starting at v with edge labels all non-positive. But we find no such path by inspecting all the neighbor graphs in Appendix 1.

*Remark* 2.7 Gilbert [13] obtained some related results in the context of quadratic number fields. We conjecture that Theorem 2.6 can be extended to non-disk-like tiles.



#### 3 Dimension of the Boundary of T

For a directed graph  $\mathcal{G} = \mathcal{G}(\mathcal{V}, \mathcal{E})$  where  $\mathcal{V} = \{v_1, v_2, \dots, v_m\}$ , we write  $\mathcal{E}_{i,j}$  for the set of edges from vertex  $v_i$  to vertex  $v_j$ , and we add a contraction mapping  $F_e : \mathbb{R}^2 \to \mathbb{R}^2$  for each edge  $e \in \mathcal{E}$ . Then the family of contractions  $\{F_e : e \in \mathcal{E}\}$  is called a *graph-directed iterated function system* (GIFS) and there exists a unique family of non-empty compact subsets  $E_1, \dots, E_m$  of  $\mathbb{R}^2$  [9,28] such that

$$E_i = \bigcup_{j=1}^m \bigcup_{e \in \mathcal{E}_{i,j}} F_e(E_j). \tag{3.1}$$

We call  $E := \bigcup_{i=1}^{m} E_i$  a graph-directed set. Define  $M = (M_{ij})_{1 \le i,j \le m}$  as the contact matrix [15] of  $\mathcal{G}$  with  $M_{ij} = \#\mathcal{E}_{i,j}$  counting the number of edges from  $v_i$  to  $v_j$ .

The GIFS  $\{F_e : e \in \mathcal{E}\}$  is said to satisfy the *open set condition* (OSC) if there exists a family of open sets  $\{O_1, \ldots, O_m\}$  such that

$$O_i \supset \bigcup_{j=1}^m \bigcup_{e \in \mathcal{E}_{i,j}} F_e(O_j) \quad \text{for } i = 1, 2, \dots, m$$
 (3.2)

with disjoint unions, i.e.,  $F_e(O_j) \cap F_{e'}(O_{j'}) = \emptyset$  whenever  $(e, j) \neq (e', j')$ . With this OSC, we then can compute the dimension of the graph-directed set.

In this section, we first identify the boundary of T with a graph-directed set by making use of the well-known method [17,31], then calculate its dimension in the self-affine case and the self-similar case, respectively.

**Proposition 3.1** Let  $\ell = \gamma v + \delta A v$ ,  $\ell' = \gamma' v + \delta' A v \in \mathcal{V}$  such that  $\ell' = A \ell - b_1 v$  for some  $b_1 \in \Delta D$ , then

$$A^{-1}(T_{\ell'}+jv) \subset T_{\ell} \text{ for all } j \in I_{b_1} := \begin{cases} \{b_1,b_1+1,\ldots,q-1\} & \text{if } b_1 \geq 0; \\ \{0,1,\ldots,q-1+b_1\} & \text{if } b_1 < 0. \end{cases}$$

Moreover,

$$T_{\ell} = \bigcup_{\ell' \in B_{\ell}} \bigcup_{j \in I_{b_1}} A^{-1} (T_{\ell'} + jv)$$

where  $B_{\ell} := \{\ell'' \in \mathcal{V} : \ell'' = A\ell - b_1'v \text{ for some } b_1' \in \Delta D\}$ . Hence the boundary  $\partial T = \bigcup_{\ell \in \mathcal{V}} T_{\ell}$  is a graph-directed set.

*Proof* When  $b_1 \ge 0$ , if  $x \in T_{\ell'}$  then the radix expansion is

$$x = 0.c_1c_2c_3... = \delta'\gamma'.c_1'c_2'c_3'...$$

It follows from Lemma 2.1 and  $0 = A^{-1} f(A)v$  that

$$A^{-1}x + (b_1 + k)A^{-1}v = 0.(b_1 + k)c_1c_2c_3... = \delta \gamma.kc_1'c_2'c_3'... \in T_{\ell}$$

for  $k = 0, 1, ..., q - 1 - b_1$ . The case when  $b_1 < 0$  can be proved similarly.



For the second part, we only need to show

$$T_{\ell} \subset \bigcup_{\ell' \in B_{\ell}} \bigcup_{j \in I_{b_1}} A^{-1}(T_{\ell'} + jv).$$

Let  $y = 0.a_1a_2a_3... = \delta \gamma.a_1'a_2'a_3'... \in T_{\ell}$ . It follows that  $Ay - a_1v = 0.a_2a_3a_4... = \delta \gamma(a_1' - a_1).a_2'a_3'... \in T_{\ell'}$ , where  $\ell' = A\ell - (a_1 - a_1')v$ . This implies  $y \in A^{-1}(T_{\ell'} + a_1v)$ . By definition, we see that  $a_1 \in I_{b_1}$  for  $b_1 = a_1 - a_1'$ .  $\square$ 

It should be mentioned that the graph for the GIFS comes from the neighbor graph by adding more edges, or equivalently the neighbor graph is a reduced graph for the GIFS. The following example about Fig. 1 can illustrate their relationship. All the other cases are given in Appendix 2.

Example 3.2 Consider the case  $f(x) = x^2 + px + q$   $(p, q \ge 2)$ , excluding p = q = 2). When  $\ell = v$ , from Table 1 we have  $B_{\ell} = B_v = \{Av + pv, Av + (p-1)v\}$ . When  $\ell' = Av + pv$ ,  $b_1 = -p$  and  $I_{-p} = \{0, 1, 2, \dots, q-1-p\}$ ; when  $\ell' = Av + (p-1)v$ ,  $b_1 = -(p-1)$  and  $I_{-(p-1)} = \{0, 1, 2, \dots, q-p\}$ . Thus by Proposition 3.1, the first set equation comes out. Similarly the other five can be deduced. For simplicity we let  $u_1 = v$ ,  $u_2 = Av + (p-1)v$ ,  $u_3 = Av + pv$ . Then the sets  $T_{\pm u_1}$ ,  $T_{\pm u_2}$ ,  $T_{\pm u_3}$ , representing  $\partial T$  satisfy

$$AT_{u_1} = \bigcup_{j=0}^{q-p} (T_{u_2} + jv) \cup \bigcup_{j=0}^{q-p-1} (T_{u_3} + jv),$$

$$AT_{u_2} = \bigcup_{j=0}^{p-2} (T_{-u_2} + jv) \cup \bigcup_{j=0}^{p-1} (T_{-u_3} + jv),$$

$$AT_{u_3} = T_{-u_1},$$

$$AT_{-u_1} = \bigcup_{j=p-1}^{q-1} (T_{-u_2} + jv) \cup \bigcup_{j=p}^{q-1} (T_{-u_3} + jv),$$

$$AT_{-u_2} = \bigcup_{j=q-p+1}^{q-1} (T_{u_2} + jv) \cup \bigcup_{j=q-p}^{q-1} (T_{u_3} + jv),$$

$$AT_{-u_3} = T_{u_1} + (q-1)v.$$

The Hausdorff dimension  $(\dim_H)$  (see e.g., [9,10]) is the most common and important dimension in fractal geometry. The case of self-similar sets has been studied extensively with or without separation conditions. However the case of self-affine sets is still hard to handle. Recently, He and Lau [16] defined the generalized Hausdorff dimension  $(\dim_H^\omega)$  and Hausdorff measure  $(\mathcal{H}_\omega^s)$  for self-affine fractals by replacing the Euclidean norm with a pseudo-norm  $\omega$  for which the expanding matrix A becomes a similarity:

$$\omega(Ax) = |\det A|^{1/2}\omega(x).$$



Under this setting, most of the basic properties for the self-similar sets can be carried to the self-affine sets. Moreover, Luo and Yang [26] extended this technique to the self-affine GIFS and obtained a dimension formula of the graph-directed set we need.

**Proposition 3.3** ([26]) For the GIFS as in (3.1) with the affine mappings  $F_e(x) = A^{-1}(x + d_e)$  where A is an expanding matrix and  $|\det A| = |q|$ , let  $\rho(M)$  be the spectral radius of the contact matrix M. If the OSC holds, then  $s = \dim_H^\omega E = 2\log \rho(M)/\log |q|$  and  $0 < \mathcal{H}_{\omega}^s(E) < \infty$ .

By using this, we can establish our first-dimensional result about the boundary of T as follows.

**Theorem 3.4** The generalized Hausdorff dimension of the boundary of disk-like CC tile T is

$$\dim_H^{\omega}(\partial T) = 2\log \rho(M)/\log|q|$$

and the corresponding measure is positive and finite.

*Proof* From Propositions 3.1 and 3.3, it suffices to show the GIFS representing the boundary of T satisfies the OSC. Replacing  $T_{\ell}$  by  $(T + \ell)^{\circ}$ , we can check the OSC holds case by case. We illustrate the idea by proving the case  $f(x) = x^2 + px + q$  ( $p \ge 2$ ,  $q \ge 2$ , excluding p = q = 2). In view of Example 3.2, we need to show

$$A(T + u_{1})^{\circ} \supset \bigcup_{j=0}^{q-p} ((T + u_{2})^{\circ} + jv) \cup \bigcup_{j=0}^{q-p-1} ((T + u_{3})^{\circ} + jv),$$

$$A(T + u_{2})^{\circ} \supset \bigcup_{j=0}^{p-2} ((T - u_{2})^{\circ} + jv) \cup \bigcup_{j=0}^{p-1} ((T - u_{3})^{\circ} + jv),$$

$$A(T + u_{3})^{\circ} \supset (T - u_{1})^{\circ},$$

$$A(T - u_{1})^{\circ} \supset \bigcup_{j=p-1}^{q-1} ((T - u_{2})^{\circ} + jv) \cup \bigcup_{j=p}^{q-1} ((T - u_{3})^{\circ} + jv),$$

$$A(T - u_{2})^{\circ} \supset \bigcup_{j=q-p+1}^{q-1} ((T + u_{2})^{\circ} + jv) \cup \bigcup_{j=q-p}^{q-1} ((T + u_{3})^{\circ} + jv),$$

$$A(T - u_{2})^{\circ} \supset (T + u_{3})^{\circ} + (T - u_{3})^{\circ} + ($$

with disjoint unions. Since T is a CC tile, it follows that

$$AT^{\circ} \supset \bigcup_{j=0}^{q-1} (T+jv)^{\circ} = \bigcup_{j=0}^{q-1} (T^{\circ}+jv)$$
 (3.3)

with disjoint union. By using (3.3) and  $0 = f(A)v = A^2v + pAv + qv$  extensively, we prove the first two set inequalities in the following. The remaining four can be verified similarly.



For 
$$j = 0, 1, ..., q - p$$
,  

$$(T + u_2)^{\circ} + jv = T^{\circ} + (p - 1 + j)v + Av \subset A(T + u_1)^{\circ}.$$
For  $j = 0, 1, ..., q - p - 1$ ,  

$$(T + u_3)^{\circ} + jv = T^{\circ} + (p + j)v + Av \subset A(T + u_1)^{\circ}.$$
For  $j = 0, 1, ..., p - 2$ ,  

$$(T - u_2)^{\circ} + jv = T^{\circ} + (j - p + 1)v - Av$$

$$= T^{\circ} + (q + j - p + 1)v + A^2v + (p - 1)Av$$

$$\subset A(T + u_2)^{\circ}.$$
For  $j = 0, 1, ..., p - 1$ ,  

$$(T - u_3)^{\circ} + jv = T^{\circ} + (j - p)v - Av$$

$$= T^{\circ} + (q + j - p)v + A^2v + (p - 1)Av$$

 $\subset A(T+u_2)^{\circ}$ .

By the same way, all the other cases follow and hence the theorem is proved.  $\Box$ 

In the rest of this section, we will find the exact value of Hausdorff dimension  $\dim_H(\partial T)$  for certain particular cases that A is a similarity. We state the simplest one first.

**Proposition 3.5** Let  $A \in M_2(\mathbb{Z})$  be expanding with characteristic polynomial  $f(x) = x^2 + q$  ( $|q| \ge 2$ ) and  $T(A, \mathcal{D})$  a disk-like CC tile. Then  $\dim_H(\partial T) = 1$ .

*Proof* By Theorem 1.1, T is a square tile (parallelogram). Hence  $\dim_H(\partial T) = 1$ .  $\square$ 

Geometrically, a similarity is a multiple of either a reflection or a rotation. We call the former a *scaled reflection* and the latter a *scaled rotation*; algebraically, a similarity is a multiple of an orthogonal matrix. The case that A is a scaled reflection is solved already as its characteristic polynomial is of the form  $f(x) = x^2 - q$  (q > 0). So we focus our attention on those A that are scaled rotations.

**Lemma 3.6** Let A be a scaled rotation. Then its characteristic polynomial has positive constant term and A has either two distinct non-real eigenvalues or two equal real eigenvalues.

*Proof* Let  $A = {r \cos \theta - r \sin \theta \choose r \sin \theta - r \cos \theta}$ . The characteristic polynomial is given by  $x^2 - 2r \cos \theta x + r^2$ . It has two equal real zeros when  $\theta = 0$  or  $\pi$  and two distinct non-real zeros otherwise.



	v	Av + (p-1)v	Av + pv	-v	-Av - (p-1)v	-Av - pv
v	0	q - p + 1	q - p	0	0	0
Av + (p-1)v	0	0	0	0	p - 1	p
Av + pv	0	0	0	1	0	0
-v	0	0	0	0	q - p + 1	q - p
-Av - (p-1)v	0	p - 1	p	0	0	0
-Av - pv	1	0	0	0	0	0

**Table 3** The contact matrix of T associated with  $f(x) = x^2 + px + q$ ,  $p, q \ge 2, 2p \le q + 2$  (excluding p = q = 2)

The following dimension formula on the boundaries of self-similar tiles has been investigated in the literature by various methods (see [8,31,32,17,23]). We shall apply this formula to obtain our second dimensional result which is simpler than the known one.

**Proposition 3.7** If A is a similarity with  $|\det(A)| = |q| \ge 2$ , then the Hausdorff dimension of  $\partial T$  is given by

$$\dim_{H}(\partial T) = \log \rho(M) / \log r = 2 \log \rho(M) / \log |q|, \tag{3.4}$$

where  $\rho(M)$  denotes the spectral radius of the contact matrix M and  $r = |q|^{1/2}$  is the expansion ratio of A.

Let  $\ell$ ,  $\ell'$ ,  $b_1$  and  $B_\ell$  be defined as in Proposition 3.1. We first find the contact matrix M. Since  $\mathcal{D}$  is a CC digit set, we have the entry  $M_{\ell\ell'} = \#I_{b_1} = q - |b_1|$  where  $I_{b_1}$  is as in Proposition 3.1. Recall that  $b_1$  is the label of the edge from  $\ell$  to  $\ell'$ . Hence we obtain the contact matrix M of T from its neighbor graph with different edge labels (i.e., replace  $b_1$  by  $q - |b_1|$ ).

Moreover, it is easy to see that there is a one-to-one correspondence between the contact matrix and the neighbor graph. For example, the contact matrix for the case  $f(x) = x^2 + px + q$   $(p, q \ge 2, 2p \le q + 2$  excluding p = q = 2) can be found in Table 3, and the related neighbor graph is shown by Fig. 1. The contact matrices for the other cases are given in Appendix 3.

If M is irreducible (i.e., for each entry  $M_{ij}$ , there exists an integer  $n \ge 0$  such that  $(M^n)_{ij} > 0$ ), then the spectral radius  $\rho(M) = \lambda_M$  where  $\lambda_M$  is the *Perron–Frobenius eigenvalue* of M as stated in the following simplified version of the Perron–Frobenius Theorem.

**Theorem 3.8** ([12,30]) Let M be an irreducible non-negative matrix. Then there exists a positive eigenvalue  $\lambda_M$  such that  $\lambda_M \ge |\mu|$  for all eigenvalues  $\mu$  of M. Moreover,  $\lambda_M$  is a simple zero of the characteristic polynomial of M.

It is known that a contact matrix is irreducible if and only if the neighbor graph it represents is strongly connected. A directed graph is called *strongly connected* if for any two vertices  $v_i$ ,  $v_j$  there exists a path starting at  $v_i$  and ending at  $v_j$ .



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**Theorem 3.9** Let  $A \in M_2(\mathbb{Z})$  be an expanding similarity with characteristic polynomial  $f(x) = x^2 + px + q$  and  $T(A, \mathcal{D})$  be a disk-like CC tile. Then  $\rho(M)$  is the largest positive zero of the cubic polynomial

$$x^{3} - (|p| - 1)x^{2} - (|q| - |p|)x - |q|.$$

Hence  $\dim_H(\partial T) = 2 \log \rho(M) / \log |q|$ .

*Proof* Since  $|\det(A)| = |q|$ , it is more convenient to work with  $f(x) = x^2 \pm px \pm q$   $(p \ge 0, q \ge 2)$ . Also we ignore those f(x) of the form  $f(x) = x^2 \pm px - q$   $(p > 0, q \ge 2)$  as they cannot be characteristic polynomials of similarities (Lemma 3.6). We can see from Appendix 1 or 3 that the contact matrix is irreducible if and only if  $f(x) = x^2 \pm px + q$  where p > 0.

Case (1)  $f(x) = x^2 + px + q$ . The characteristic polynomial of the corresponding M is  $(x-1)(x^2+px+q)[x^3-(p-1)x^2-(q-p)x-q]$ . Notice that  $\rho(M) \neq 1$ . Indeed, if  $\rho(M) = 1$ , then  $\dim_H(\partial T) = 0$ , which implies  $\partial T$  is totally disconnected (Proposition 2.5, [10]). This is not possible for the boundary of a topological disk. The zeros of  $x^2 + px + q$  are either both negative or both non-real. Hence  $\rho(M)$  is the largest positive real zero of  $x^3 - (p-1)x^2 - (q-p)x - q$ .

Case (2)  $f(x) = x^2 - px + q$ . The characteristic polynomial of the corresponding M is  $(x+1)(x^2-px+q)[x^3-(p-1)x^2-(q-p)x-q]$ . Since f(x) cannot have unequal real zeros (Lemma 3.6), we have  $p^2-4q \le 0$ . When  $p^2-4q < 0$ , the zeros of  $x^2-px+q$  are non-real. Then  $\rho(M)$  is the largest positive real zero of  $x^3-(p-1)x^2-(q-p)x-q$ . When  $p^2-4q=0$ , the two zeros of  $x^2-px+q$  are equal. But the Perron–Frobenius eigenvalue should be a simple zero of the characteristic polynomial of M (Theorem 3.8), so  $\rho(M)$  is also the largest positive real zero of  $x^3-(p-1)x^2-(q-p)x-q$ .

Case (3)  $f(x) = x^2 + q$ . The contact matrix M is reducible. Its characteristic polynomial is  $(x^2 - q)(x^2 + q)(x - 1)(x + 1)(x^2 + 1)$ . We see that  $\rho(M) = q^{1/2}$ , which is the largest positive zero of  $x^3 + x^2 - qx - q = (x^2 - q)(x + 1)$ .

Case (4)  $f(x) = x^2 - q$ . The contact matrix M is also reducible and its characteristic polynomial is found to be  $(x^2 - q)^2(x + 1)(x - 1)^3$ . As in the previous case,  $\rho(M) = q^{1/2}$ , which is also the largest positive zero of  $x^3 + x^2 - qx - q$ .

Remark 3.10 It is interesting to see that the signs of p and q do not matter in the calculation of  $\dim_H(\partial T)$  when A is a similarity. Notice also for the last two cases,  $f(x) = x^2 + q$  ( $|q| \ge 2$ ), we have  $\rho(M) = |q|^{1/2}$ . It follows that  $\dim_H(\partial T) = 1$ , as expected for the boundary of a parallelogram (Proposition 3.5).

We observe that  $\dim_H(\partial T)$  is independent of the choice of the vector v in the following sense.

**Corollary 3.11** Let  $A \in M_2(\mathbb{Z})$  be an expanding similarity with characteristic polynomial  $f(x) = x^2 + px + q$  ( $|q| \ge 2$ ). Let  $\mathcal{D} = \mathcal{D}(v, |q|)$  and  $\mathcal{D}' = \mathcal{D}(v', |q|)$  be two CC digit sets such that each of  $\{v, Av\}$  and  $\{v', Av'\}$  is an independent set. If  $2|p| \le |q+2|$ , then

$$\dim_{H}(\partial T(A, \mathcal{D})) = \dim_{H}(\partial T(A, \mathcal{D}')).$$



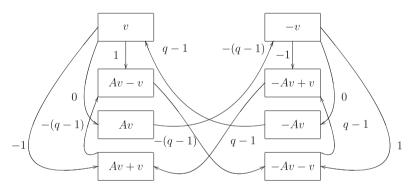
*Proof* As  $2|p| \le |q+2|$ , both  $T(A, \mathcal{D})$  and  $T(A, \mathcal{D}')$  are disk-like CC tiles (Theorem 1.1). Hence the corollary follows from Theorem 3.9.

Remark 3.12 We conjecture that Theorem 3.9 and Corollary 3.11 are also valid when 2|p| > |q+2|, i.e., T is non-disk-like. The major difficulty in justifying these conjectures is that, in general, there is no upper bound on the number of neighbors of a non-disk-like CC tile [7].

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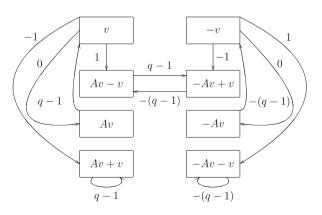
#### **Appendix 1: Neighbor Graphs**

Let  $f(x) = x^2 \pm px \pm q$  ( $p \ge 0$ ,  $q \ge 2$ ). The neighbor graphs of disk-like tiles are classified by f(x) and listed in Figs. 2, 3, 4, 5, 6, 7, 8, 9, 10 and 11.

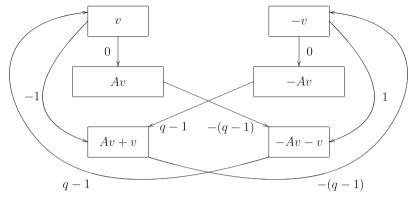


**Fig. 2** The neighbor graph of T associated with  $f(x) = x^2 + q$ 

**Fig. 3** The neighbor graph of *T* associated with  $f(x) = x^2 - q$ 







**Fig. 4** The neighbor graph of T associated with  $f(x) = x^2 + x + q$ 

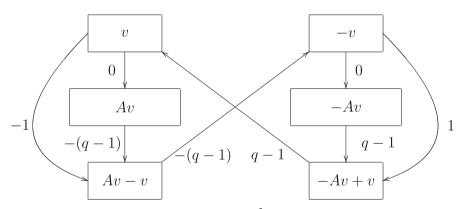
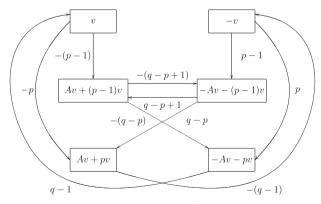
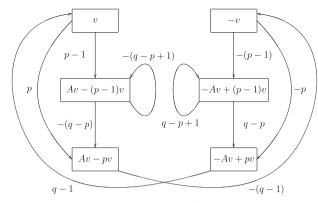


Fig. 5 The neighbor graph of T associated with  $f(x) = x^2 - x + q$ 



**Fig. 6** The neighbor graph of T associated with  $f(x) = x^2 + px + q$ ,  $p \ge 2$ ,  $2p \le q + 2$  (excluding q = p = 2)





**Fig. 7** The neighbor graph of T associated with  $f(x) = x^2 - px + q$ ,  $p \ge 2$ ,  $2p \le q + 2$  (excluding q = p = 2)

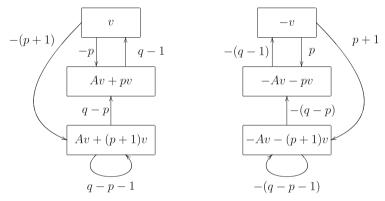


Fig. 8 The neighbor graph of T associated with  $f(x) = x^2 + px - q$ ,  $p \ge 1, 2p \le q - 2$ 

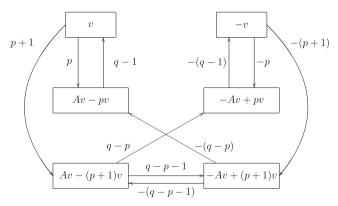
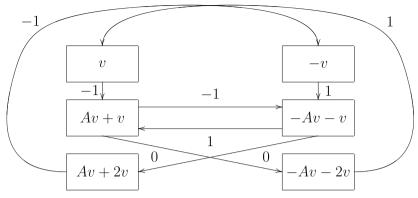


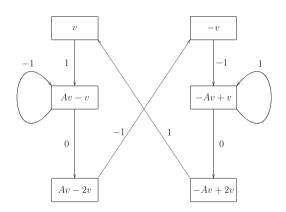
Fig. 9 The neighbor graph of T associated with  $f(x) = x^2 - px - q$ ,  $p \ge 1, 2p \le q - 2$ 





**Fig. 10** The neighbor graph of *T* associated with  $f(x) = x^2 + 2x + 2$ 

**Fig. 11** The neighbor graph of *T* associated with  $f(x) = x^2 - 2x + 2$ 



#### **Appendix 2: Graph-Directed Sets**

Let  $f(x) = x^2 \pm px \pm q$  ( $p \ge 0$ ,  $q \ge 2$ ). The graph-directed sets representing the boundary  $\partial T$  are classified by f(x) and listed below.

(1) 
$$f(x) = x^2 + q$$
. Convention:  $u_1 = v, u_2 = Av - v, u_3 = Av, u_4 = Av + v$ .

$$\begin{split} AT_{u_1} &= \bigcup_{j=1}^{q-1} (T_{u_2} + jv) \cup \bigcup_{j=0}^{q-1} (T_{u_3} + jv) \cup \bigcup_{j=0}^{q-2} (T_{u_4} + jv), \\ AT_{u_2} &= T_{-u_4}, \\ AT_{u_3} &= T_{-u_1}, \\ AT_{u_4} &= T_{u_2}, \\ AT_{-u_1} &= \bigcup_{j=0}^{q-2} (T_{-u_2} + jv) \cup \bigcup_{j=0}^{q-1} (T_{-u_3} + jv) \cup \bigcup_{j=1}^{q-1} (T_{-u_4} + jv), \\ AT_{-u_2} &= T_{u_4} + (q-1)v, \end{split}$$



$$AT_{-u_3} = T_{u_1} + (q-1)v,$$
  

$$AT_{-u_4} = T_{-u_2} + (q-1)v.$$

(2) 
$$f(x) = x^2 - q$$
. Convention:  $u_1 = v, u_2 = Av - v, u_3 = Av, u_4 = Av + v$ .

$$AT_{u_1} = \bigcup_{j=1}^{q-1} (T_{u_2} + jv) \cup \bigcup_{j=0}^{q-1} (T_{u_3} + jv) \cup \bigcup_{j=0}^{q-2} (T_{u_4} + jv),$$

$$AT_{u_2} = T_{-u_2} + (q-1)v,$$

$$AT_{u_3} = T_{u_1} + (q-1)v,$$

$$AT_{u_4} = T_{u_4} + (q-1)v,$$

$$AT_{-u_1} = \bigcup_{j=0}^{q-2} (T_{-u_2} + jv) \cup \bigcup_{j=0}^{q-1} (T_{-u_3} + jv) \cup \bigcup_{j=1}^{q-1} (T_{-u_4} + jv),$$

$$AT_{-u_2} = T_{u_2},$$

$$AT_{-u_3} = T_{-u_1},$$

$$AT_{-u_4} = T_{-u_4}.$$

(3) 
$$f(x) = x^2 + x + q$$
. Convention:  $u_1 = v, u_2 = Av, u_3 = Av + v$ .

$$AT_{u_1} = \bigcup_{j=0}^{q-1} (T_{u_2} + jv) \cup \bigcup_{j=0}^{q-2} (T_{u_3} + jv),$$

$$AT_{u_2} = T_{-u_3},$$

$$AT_{u_3} = T_{-u_1},$$

$$AT_{-u_1} = \bigcup_{j=0}^{q-1} (T_{-u_2} + jv) \cup \bigcup_{j=1}^{q-1} (T_{-u_3} + jv),$$

$$AT_{-u_2} = T_{u_3} + (q-1)v,$$

$$AT_{-u_3} = T_{u_1} + (q-1)v.$$

(4) 
$$f(x) = x^2 - x + q$$
. Convention:  $u_1 = v, u_2 = Av, u_3 = Av - v$ .

$$\begin{split} AT_{u_1} &= \bigcup_{j=0}^{q-1} (T_{u_2} + jv) \cup \bigcup_{j=0}^{q-2} (T_{u_3} + jv), \\ AT_{u_2} &= T_{u_3}, \\ AT_{u_3} &= T_{-u_1}, \\ AT_{-u_1} &= \bigcup_{j=0}^{q-1} (T_{-u_2} + jv) \cup \bigcup_{j=1}^{q-1} (T_{-u_3} + jv), \\ AT_{-u_2} &= T_{-u_3} + (q-1)v, \\ AT_{-u_3} &= T_{u_1} + (q-1)v. \end{split}$$



(5)  $f(x) = x^2 + px + q$ ,  $p \ge 2, 2p \le q + 2$  (excluding p = q = 2). Convention:  $u_1 = v$ ,  $u_2 = Av + (p-1)v$ ,  $u_3 = Av + pv$ .

$$AT_{u_{1}} = \bigcup_{j=0}^{q-p} (T_{u_{2}} + jv) \cup \bigcup_{j=0}^{q-p-1} (T_{u_{3}} + jv),$$

$$AT_{u_{2}} = \bigcup_{j=0}^{p-2} (T_{-u_{2}} + jv) \cup \bigcup_{j=0}^{p-1} (T_{-u_{3}} + jv),$$

$$AT_{u_{3}} = T_{-u_{1}},$$

$$AT_{-u_{1}} = \bigcup_{j=p-1}^{q-1} (T_{-u_{2}} + jv) \cup \bigcup_{j=p}^{q-1} (T_{-u_{3}} + jv),$$

$$AT_{-u_{2}} = \bigcup_{j=q-p+1}^{q-1} (T_{u_{2}} + jv) \cup \bigcup_{j=q-p}^{q-1} (T_{u_{3}} + jv),$$

$$AT_{-u_{3}} = T_{u_{1}} + (q-1)v.$$

(6)  $f(x) = x^2 - px + q$ ,  $p \ge 2, 2p \le q + 2$  (excluding p = q = 2). Convention:  $u_1 = v, u_2 = Av - (p - 1)v, u_3 = Av - pv$ .

$$AT_{u_{1}} = \bigcup_{j=p-1}^{q-1} (T_{u_{2}} + jv) \cup \bigcup_{j=p}^{q-1} (T_{u_{3}} + jv),$$

$$AT_{u_{2}} = \bigcup_{j=0}^{p} (T_{u_{2}} + jv) \cup \bigcup_{j=0}^{p-1} (T_{u_{3}} + jv),$$

$$AT_{u_{3}} = T_{-u_{1}},$$

$$AT_{-u_{1}} = \bigcup_{j=0}^{q-p} (T_{-u_{2}} + jv) \cup \bigcup_{j=0}^{q-p-1} (T_{-u_{3}} + jv),$$

$$AT_{-u_{2}} = \bigcup_{j=q-p+1}^{q-1} (T_{-u_{2}} + jv) \cup \bigcup_{j=q-p}^{q-1} (T_{-u_{3}} + jv),$$

$$AT_{-u_{3}} = T_{u_{1}} + (q-1)v.$$

(7)  $f(x) = x^2 + px - q$ ,  $p \ge 1, 2p \le q - 2$ . Convention:  $u_1 = v, u_2 = Av + pv, u_3 = Av + (p+1)v$ .

$$AT_{u_1} = \bigcup_{j=0}^{q-p-1} (T_{u_2} + jv) \cup \bigcup_{j=0}^{q-p-2} (T_{u_3} + jv),$$
  

$$AT_{u_2} = T_{u_1} + (q-1)v,$$



$$AT_{u_3} = \bigcup_{j=q-p}^{q-1} (T_{u_2} + jv) \cup \bigcup_{j=q-p-1}^{q-1} (T_{u_3} + jv),$$

$$AT_{-u_1} = \bigcup_{j=p}^{q-1} (T_{-u_2} + jv) \cup \bigcup_{j=p+1}^{q-1} (T_{-u_3} + jv),$$

$$AT_{-u_2} = T_{u_1},$$

$$AT_{-u_3} = \bigcup_{j=0}^{p-1} (T_{-u_2} + jv) \cup \bigcup_{j=0}^{p} (T_{-u_3} + jv).$$

(8)  $f(x) = x^2 - px - q$ ,  $p \ge 1, 2p \le q - 2$ . Convention:  $u_1 = v, u_2 = Av - pv, u_3 = Av - (p+1)v$ .

$$AT_{u_{1}} = \bigcup_{j=p}^{q-1} (T_{u_{2}} + jv) \cup \bigcup_{j=p+1}^{q-1} (T_{u_{3}} + jv),$$

$$AT_{u_{2}} = T_{u_{1}} + (q-1)v,$$

$$AT_{u_{3}} = \bigcup_{j=q-p}^{q-1} (T_{-u_{2}} + jv) \cup \bigcup_{j=q-p-1}^{q-1} (T_{-u_{3}} + jv),$$

$$AT_{-u_{1}} = \bigcup_{j=0}^{q-p-1} (T_{-u_{2}} + jv) \cup \bigcup_{j=0}^{q-p-2} (T_{-u_{3}} + jv),$$

$$AT_{-u_{2}} = T_{-u_{1}},$$

$$AT_{-u_{3}} = \bigcup_{j=0}^{p-1} (T_{u_{2}} + jv) \cup \bigcup_{j=0}^{p-2} (T_{u_{3}} + jv).$$

(9)  $f(x) = x^2 + 2x + 2$ . Convention:  $u_1 = v, u_2 = Av + v, u_3 = Av + 2v$ .

$$AT_{u_1} = T_{u_2},$$

$$AT_{u_2} = T_{-u_2} \cup T_{-u_3} \cup (T_{-u_3} + v),$$

$$AT_{u_3} = T_{-u_1},$$

$$AT_{-u_1} = T_{-u_2} + v,$$

$$AT_{-u_2} = (T_{u_2} + v) \cup T_{u_3} \cup (T_{u_3} + v),$$

$$AT_{-u_3} = T_{u_1} + v.$$

(10)  $f(x) = x^2 - 2x + 2$ . Convention:  $u_1 = v, u_2 = Av - v, u_3 = Av - 2v$ .

$$AT_{u_1} = T_{u_2} + v,$$
  
 $AT_{u_2} = T_{u_2} \cup T_{u_3} \cup (T_{u_3} + v),$   
 $AT_{u_3} = T_{-u_1},$ 



$$AT_{-u_1} = T_{-u_2},$$
  
 $AT_{-u_2} = (T_{-u_2} + v) \cup T_{-u_3} \cup (T_{-u_3} + v),$   
 $AT_{-u_3} = T_{u_1} + v.$ 

### **Appendix 3: Contact Matrices**

Let  $f(x) = x^2 \pm px \pm q$  ( $p \ge 0$ ,  $q \ge 2$ ). The contact matrices (in table form) of disk-like tiles are classified by f(x) and listed in Tables 4, 5, 6, 7, 8, 9, 10, 11, 12, and 13.

**Table 4**  $f(x) = x^2 + q$ 

	v	Av	-v	-Av	Av - v	-Av-v	-Av + v	Av + v
$\overline{v}$	0	q	0	0	q - 1	0	0	q - 1
Av	0	0	1	0	0	0	0	0
-v	0	0	0	q	0	q-1	q-1	0
-Av	1	0	0	0	0	0	0	0
Av-v	0	0	0	0	0	1	0	0
-Av-v	0	0	0	0	0	0	1	0
-Av + v	0	0	0	0	0	0	0	1
Av + v	0	0	0	0	1	0	0	0

**Table 5**  $f(x) = x^2 - q$ 

	v	Av	-v	-Av	Av - v	-Av + v	Av + v	-Av-v
$\overline{v}$	0	q	0	0	q - 1	0	q - 1	0
Av	1	0	0	0	0	0	0	0
-v	0	0	0	q	0	q-1	0	q-1
-Av	0	0	1	0	0	0	0	0
Av - v	0	0	0	0	0	1	0	0
-Av + v	0	0	0	0	1	0	0	0
Av + v	0	0	0	0	0	0	1	0
-Av-v	0	0	0	0	0	0	0	1

**Table 6**  $f(x) = x^2 + x + q$ 

	v	Av	Av + v	-v	-Av	-Av-v
v	0	q	q-1	0	0	0
Av	0	0	0	0	0	1
Av + v	0	0	0	1	0	0
-v	0	0	0	0	q	q-1
-Av	0	0	1	0	0	0
-Av-v	1	0	0	0	0	0



Table 7 f	(x) =	$x^2$ –	х	+	q
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	v	Av	Av - v	-v	-Av	-Av + v
v	0	q	q-1	0	0	0
Av	0	0	1	0	0	0
Av - v	0	0	0	1	0	0
-v	0	0	0	0	q	q-1
-Av	0	0	0	0	0	1
-Av + v	1	0	0	0	0	0

**Table 8**  $f(x) = x^2 + px + q$ ,  $p \ge 2$ ,  $2p \le q + 2$  (excluding p = q = 2)

	υ	Av + (p-1)v	Av + pv	-v	-Av - (p-1)v	-Av-pv
v	0	q - p + 1	q - p	0	0	0
Av + (p-1)v	0	0	0	0	p - 1	p
Av + pv	0	0	0	1	0	0
-v	0	0	0	0	q - p + 1	q - p
-Av - (p-1)v	0	p - 1	p	0	0	0
-Av - pv	1	0	0	0	0	0

**Table 9**  $f(x) = x^2 - px + q$ ,  $p \ge 2$ ,  $2p \le q + 2$  (excluding p = q = 2)

	v	Av - (p-1)v	Av - pv	-v	-Av + (p-1)v	-Av + pv
$\overline{v}$	0	q - p + 1	q - p	0	0	0
Av - (p-1)v	0	p - 1	p	0	0	0
Av - pv	0	0	0	1	0	0
-v	0	0	0	0	q - p + 1	q - p
-Av + (p-1)v	0	0	0	0	p - 1	p
-Av + pv	1	0	0	0	0	0

**Table 10**  $f(x) = x^2 + px - q, p \ge 1, 2p \le q - 2$ 

	v	Av + pv	Av + (p+1)v	-v	-Av-pv	-Av - (p+1)v
$\overline{v}$	0	q - p	q - p - 1	0	0	0
Av + pv	1	0	0	0	0	0
Av + (p+1)v	0	p	p + 1	0	0	0
-v	0	0	0	0	q - p	q - p - 1
-Av - pv	0	0	0	1	0	0
-Av - (p+1)v	0	0	0	0	p	p + 1



	υ	Av - pv	Av - (p+1)v	-v	-Av + pv	-Av + (p+1)v
$\overline{v}$	0	q - p	q - p - 1	0	0	0
Av - pv	1	0	0	0	0	0
Av - (p+1)v	0	0	0	0	p	p + 1
-v	0	0	0	0	q - p	q - p - 1
-Av + pv	0	0	0	1	0	0
-Av + (p+1)v	0	p	p + 1	0	0	0

**Table 11**  $f(x) = x^2 - px - q, p \ge 1, 2p \le q - 2$ 

**Table 12**  $f(x) = x^2 + 2x + 2$ 

	υ	Av + v	Av + 2v	-v	-Av-v	-Av-2v
$\overline{v}$	0	1	0	0	0	0
Av + v	0	0	0	0	1	2
Av + 2v	0	0	0	1	0	0
-v	0	0	0	0	1	0
-Av-v	0	1	2	0	0	0
-Av-2v	1	0	0	0	0	0

**Table 13**  $f(x) = x^2 - 2x + 2$ 

	υ	Av - v	Av - 2v	-v	-Av + v	-Av + 2v
$\overline{v}$	0	1	0	0	0	0
Av - v	0	1	2	0	0	0
Av - 2v	0	0	0	1	0	0
-v	0	0	0	0	1	0
-Av + v	0	0	0	0	1	2
-Av + 2v	1	0	0	0	0	0

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