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Journal of the American Mathematical Society, Vol. 8, No. 1 (Jan., 1995), 187-221.

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POSITIVE LINE BUNDLES ON ARITHMETIC VARIETIES

SHOUWU ZHANG

INTRODUCTION

For an arithmetic variety and a positive hermitian line bundle, in this paper, we compute the leading term of the Hilbert function of the line bundle, show the ampleness of the line bundle, and estimate the height of the variety in terms of the density of small points. In more details, our results are explained as follows.

Leading term of the Hilbert function. For an arithmetic variety X which we refer to as a projective and flat integral scheme over $\text{spec } \mathbb{Z}$, and for a relatively positive hermitian line bundle L , the Hilbert function $\chi_{\text{sup}}(\Gamma(L^{\otimes n}))$ of positive integers is defined to count the volume of the lattice $\Gamma(L^{\otimes n})$ of integral sections in the space $\Gamma(L_{\mathbb{R}}^{\otimes n})$ of real sections with supremum norm. We want to prove that the leading term of this Hilbert function as $n \rightarrow \infty$ is given in terms of the height of X in as §1. This is known as a theorem of Gillet and Soulé [GS2] if X has a regular generic fiber. Beside this known result, our proof uses Hironaka's theorem on resolutions of singularities and Minkowski's theorem on successive minima. By Hironaka's theorem, we may construct

(1) two sequences of hermitian line bundles $\{L'_n\}$ and $\{L''_n\}$ on a fixed generic resolution \tilde{X} of X , such that they are numerically close to the sequence $\{L^{\otimes n}\}$;

(2) some sequences of embeddings with small norms

$$\Gamma(L'_n) \subset \Gamma(L^{\otimes n}) \subset \Gamma(L''_n).$$

By Minkowski's theorem, we may obtain a lower (resp. upper) bound for the Hilbert function of L by corresponding functions induced by $\{L'_n\}$ (resp. $\{L''_n\}$). Applying the known results on \tilde{X} we obtain the required estimate for $\{L^{\otimes n}\}$.

Arithmetic ampleness. For an arithmetic variety X and a numerically positive hermitian line bundle L , we prove that the group $\Gamma(L^{\otimes n})$ has a basis consisting of small sections when n is sufficiently large in §4. We use a similar idea as in the context of algebraic geometry [Ha]. By our estimate of the leading term of the Hilbert function and by some lattice arguments, we reduce the proof to proving that, for any subvariety $Y_{\mathbb{C}}$ of $X_{\mathbb{C}}$, the map

$$\Gamma(L_{\mathbb{C}}^{\otimes n}) \rightarrow \Gamma(L_{\mathbb{C}}^{\otimes n}|_{Y_{\mathbb{C}}})$$

Received by the editors February 15, 1992 and, in revised form, December 1, 1993.
1991 *Mathematics Subject Classification*. Primary 14G40; Secondary 11G35.

is “surjective” in the metric sense: given finitely many fixed sections l_1, \dots, l_k , any section $l_1^{\alpha_1} \dots l_k^{\alpha_k}$ ($\alpha_i \geq 0$) of $L^{\otimes(\alpha_1 + \dots)}$ with $\alpha_1 + \dots + \alpha_n$ sufficiently large can be lifted to a section on X with a small supremum norm. We prove this in two steps. The first step (§2) is devoted to proving the assertion for compact complex manifolds using Hörmander’s L^2 -estimate. The second step (§3) is devoted to proving the assertion for singular varieties, where we introduce the ampleness of the metric and work on nonarchimedean metrics at the same time.

Density of small points. We have two results under this title. The first result is an estimate of the height of an arithmetic variety in terms of the density of small points (§5). This gives a more precise version of Kleiman’s theorem on ampleness of a line bundle in terms of intersection numbers with curves, in the context of algebraic geometry. The proof of our result is similar to that of Kleiman’s theorem [Ha]. One typical consequence is as follows: for an arithmetic variety X and a semipositive hermitian line bundle L , the height of X is 0 if and only if, on any nonempty Zariski open subset U , the height function on $U(\mathbb{Q})$ has the infimum 0.

The second result (§6) is as follows: a subvariety X of a multiplicative group \mathbb{G}_m^n is of the form xH , where x is a torsion point and H is a subgroup, if and only if small points of $X(\mathbb{Q})$ are dense with respect to the usual height function h_{\max} . For proof, we embed \mathbb{G}_m^n to $(\mathbb{P}^1)^n$ as usual. Then h_{\max} is equivalent to a height function h_∞ induced by a hermitian line bundle $\bar{O}_\infty(1) = (O(1), \|\cdot\|_\infty)$. Approximating $\|\cdot\|_\infty$ by smooth metrics, we are reduced to proving that the height of the Zariski closure of X with respect $\bar{O}_\infty(1)$ is positive, if X cannot be written in the form xH . We prove this by induction on $\dim X$, by representing $c_1(\bar{O}_\infty(1))$ by certain canonical sections and by the Ihara-Serre-Tate theorem [Lan].

For an arithmetic surface, the arithmetic ampleness of a positive hermitian line bundle was conjectured by L. Szpiro and was proved in [Z1]. For an arithmetic surface without bad reduction, Szpiro [Sz] obtained a relation between the positivity of the relative dualizing sheaf and the discreteness of algebraic points with respect to Néron-Tate height. Such a result has been generalized to the general case, by arithmetic ampleness, and by an admissible pairing on a curve; see [Z2]. We expect to obtain some results in higher dimensional varieties by using the results in this paper.

I learned subjects from L. Szpiro and G. Faltings and I am very grateful to them for encouragement during the preparation of this paper and for the time they spent in teaching me. I would like to thank X. Dai, P. Deligne, G. Tian, and S. Yeung for helpful conversations, and the referee for pointing out several inaccuracies in the original manuscript. The research has been supported by NSF grant DMS-9100383. I would like to thank IAS for its hospitality.

1. HEIGHTS OF ARITHMETIC VARIETIES

(1.1) Let X be a complex variety of dimension d , and let $\bar{L} = (L, \|\cdot\|)$ be a hermitian line bundle on X . We say that the metric on \bar{L} is smooth if, for any (analytic) morphism f from the disc $\mathbb{D}^d = \{z \in \mathbb{C}^d : |z| < 1\}$ to X , the

pull-back metric on $f^*(L)$ is smooth. For example, if X is a subvariety of a complex manifold and L is the restriction of a smoothly metrized hermitian line on the manifold, then the metric on L is smooth. In this section we always assume that all hermitian line bundles we deal with have smooth metrics.

For a hermitian line bundle \bar{L} on X , we say that L is semipositive if for any morphism $f : \mathbb{D}^d \rightarrow X$ the curvature form $c'_1(f^*(L))$ is semipositive, where $c'_1(f^*(L))$ is a (1,1)-form on \mathbb{D}^d defined to be $\frac{\partial \bar{\partial}}{\pi i} \log \|l\|$, where l is an invertible section of $f^*(L)$ on \mathbb{D}^d .

(1.2) By an arithmetic variety X of dimension d , we mean an integral scheme of dimension d such that the structure morphism $\pi : X \rightarrow \text{spec } \mathbb{Z}$ is projective and flat. A hermitian line bundle $L = (L, \|\cdot\|)$ on X is defined to be a line bundle L on X with a hermitian metric $\|\cdot\|$ on $L_{\mathbb{C}} = L \otimes_{\mathbb{Z}} \mathbb{C}$, the pull-back of L on $X_{\mathbb{C}} = X \otimes_{\text{spec } \mathbb{Z}} \text{spec } \mathbb{C}$, such that $\|\cdot\|$ is invariant under the complex conjugation of $X_{\mathbb{C}}$. We say that \bar{L} is relatively semipositive if (1) L is relatively semipositive: for any closed curve C on any fiber of X over $\text{spec } \mathbb{Z}$, the degree $\text{deg}(L|_C)$ of L on C is nonnegative; and (2) $\|\cdot\|$ is semipositive: for any finite morphism $f : \mathbb{D}^d \rightarrow X$, the curvature form $c'_1(f^*\bar{L})$ is semipositive pointwise.

Let X be an arithmetic variety, let L be a hermitian line bundle on X , and let $f : \tilde{X} \rightarrow X$ be a generic resolution of singularities of X . This means that f is a birational morphism from an arithmetic variety \tilde{X} with regular generic fiber over $\text{spec } \mathbb{Z}$. By the Hironaka theorem [Hi], such a resolution always exists. Then $f^*(L)$ is a hermitian line bundle (with smooth metric) on \tilde{X} , and the number $c_1(f^*L)^d = \hat{c}_1(f^*L)^d$ is defined as in [GS1], [F2]. One can prove that this number does not depend on the choice of f . In fact if $f_i : \tilde{X}_i \rightarrow X$ ($i = 1, 2$) are two resolutions, then we can find a third resolution

$$g : \tilde{X} \rightarrow \tilde{X}_1 \times_X \tilde{X}_2.$$

Using the projection formula, one can prove that both $c_1(f_i^*L)^d$ coincide with $c_1(f^*L)^d$, where f is the canonical morphism from \tilde{X} to X . We call this number the height of X with respect to L , and denote it by $c_1(L)^d$.

(1.3) The main aim of this section is to compute the leading terms of ‘‘Hilbert functions’’. We fix the following notation. Let V be a real vector space with a norm $\|\cdot\|$, and let Γ be a lattice of V . Then there is a unique invariant measure on V such that the volume of the unit ball $\{v \in V : \|v\| \leq 1\}$ is 1. We define that

$$\chi_{\|\cdot\|}(\Gamma) = -\log \text{vol}(V/\Gamma).$$

Let X be an arithmetic variety, let \bar{L} be a hermitian line bundle, and let $\|\cdot\|_{\text{sup}}$ denote the supremum norm on $\Gamma(X_{\mathbb{R}}, L_{\mathbb{R}})$:

$$\|l\|_{\text{sup}} = \sup_{x \in X(\mathbb{C})} \|l\|(x).$$

Theorem (1.4). *Let X be an arithmetic variety of dimension d , and let L and N be two hermitian line bundle on X such that $L_{\mathbb{Q}}$ is ample and \bar{L} is relatively*

semipositive. Then as $n \rightarrow \infty$, we have

$$\chi_{\text{sup}}(\Gamma(X, \bar{L}^{\otimes n} \otimes N)) = \frac{n^d}{d!} c_1(\bar{L})^d + o(n^d).$$

We start from the following result of Gillet and Soulé:

Lemma (1.5). *The assertion (1.4) is true if the following conditions are verified:*

- (1) X has a regular generic fiber;
- (2) L is relatively ample;
- (3) $c'_1(\bar{L})$ is positive pointwise.

Proof. Assume conditions are verified. Let g be a Kahler metric on $X_{\mathbb{C}}$ with Kahler form $c'_1(L)$. Let $\Gamma(X_{\mathbb{R}}, L_{\mathbb{R}})_{L^2}$ denote the space $\Gamma(X_{\mathbb{R}}, L_{\mathbb{R}})$ with the L^2 -norm induced by g on $X_{\mathbb{C}}$. By an arithmetic Riemann-Roch theorem proved by Gillet and Soulé and by an estimate of Bismut and Vasserot on analytic torsions, we have that

$$\chi_{L^2}(\Gamma(X, L^{\otimes n} \otimes N)) = \frac{n^d}{d!} c_1(\bar{L})^d + O(n^{d-1} \log n).$$

The assertion of the theorem follows from this estimate and the following inequality of Gromov: there is a constant $c > 0$ such that $c^{-1} \|l\|_{L^2} \leq \|l\|_{\text{sup}} \leq cn^d \|l\|_{L^2}$ for all l in $\Gamma(X_{\mathbb{C}}, L_{\mathbb{C}}^{\otimes n} \otimes N)$. See [GS2], [F2], and [BV] for details.

Lemma (1.6). *Let $f_1 : X_1 \rightarrow X$ and $f_2 : X_2 \rightarrow X$ be two projective morphisms of arithmetic varieties. Let L_1, L_2, M be hermitian line bundles on X_1, X_2, X respectively, with $L_{1\mathbb{Q}}$ and $L_{2\mathbb{Q}}$ ample. There is a constant c such that the following condition is verified. For any $n_1 \geq 0, n_2 \geq 0$ there is a set of linear independent elements of maximal rank of $\Gamma(f_{1*} L_1^{\otimes n_1} \otimes f_{2*} L_2^{\otimes n_2} \otimes M)$ such that each element has norm $\leq c^{\max(n_1, n_2)}$.*

Proof. We consider the special case that $M = O_X$ only; the general case follows from the same approach. Since the algebra

$$\Gamma(L^*)_{\mathbb{Q}} = \bigoplus_{n_1, n_2} \Gamma(f_{1*} L_{1\mathbb{Q}}^{\otimes n_1} \otimes f_{2*} L_{2\mathbb{Q}}^{\otimes n_2})$$

is finitely generated over \mathbb{Q} , there are finitely many elements s_1, \dots, s_k of $\Gamma(L^*)$ of multidegree $(d_1, e_1), \dots, (d_k, e_k)$ which generate $\Gamma(L^*)_{\mathbb{Q}}$. Replacing them by some integral multiple, we may assume that all of them are integral. Now for any $n_1 > 0, n_2 > 0$, the group $\Gamma(f_{1*} L_1^{\otimes n_1} \otimes f_{2*} L_2^{\otimes n_2})$ contains the following set of elements of maximal rank:

$$M_{n_1, n_2} = \left\{ l_{\alpha} = \prod_i s_i^{\alpha_i} : \alpha_i \geq 0, \sum_i \alpha_i d_i = n_1, \sum_i \alpha_i e_i = n_2 \right\}.$$

Let $c = \max_i \|s_i\|_{\text{sup}}$. For each $l_{\alpha} \in M_{n_1, n_2}$, we have

$$\|l_{\alpha}\|_{\text{sup}} \leq c^{\sum \alpha_i} \leq c^{\max(n_1, n_2)}.$$

This proves the lemma.

Lemma (1.7). *Let V be a real vector space of dimension d with a norm $\|\cdot\|$, and let Γ be a lattice in V . For each $1 \leq i \leq d$, let $\lambda_i(\Gamma)$ denote the smallest number λ such that there exist i -independent elements of Γ with norm $\leq \lambda$. Let V' be a subspace of V of dimension d' , and let Γ' be a lattice in V' which is contained in Γ . Then*

$$\chi_{\|\cdot\|}(\Gamma) - \chi_{\|\cdot\|}(\Gamma') \geq -\log(d!) - (d - d') \log\left(\frac{1}{2}\lambda_d(\Gamma)\right).$$

Proof. By Minkowski's theorem we have the following estimate:

$$\frac{2^d}{d!} \text{vol}(\Gamma) \leq \lambda_1(\Gamma) \cdots \lambda_d(\Gamma) \leq 2^d \text{vol}(\Gamma).$$

Since $\lambda_i(\Gamma) \leq \lambda_i(\Gamma')$ for $1 \leq i \leq d'$, it follows that

$$\begin{aligned} \text{vol}(\Gamma) &\leq \frac{d!}{2^d} \lambda_1(\Gamma) \cdots \lambda_d(\Gamma) \\ &\leq \frac{d!}{2^d} \lambda_1(\Gamma') \cdots \lambda_{d'}(\Gamma') \lambda_d(\Gamma)^{d-d'} \\ &\leq d! \text{vol}(\Gamma') \left(\frac{\lambda_d(\Gamma)}{2}\right)^{d-d'}. \end{aligned}$$

The lemma follows by taking $-\log$ on both sides.

(1.8) *Proof of (1.4).* For simplicity of notation, we just consider the case that $N = O_X$; the general case follows from the the same approach. First of all we have the following setting:

(A) Let $f: \tilde{X} \rightarrow X$ be a generic resolution of singularities of X , and let \bar{M} be a hermitian line bundle on \tilde{X} such that M is very ample and the curvature $c'_1(\bar{M})$ is positive pointwise. Let s_1 be a nonzero section of M , and let c_1 denote its norm.

(B) Choose n_1 sufficiently large such that

$$\Gamma(f^* L_{\mathbb{Q}}^{n_1} \otimes M_{\mathbb{Q}}^{-1}) = \Gamma(L_{\mathbb{Q}}^{\otimes n_1} \otimes f_*(M_{\mathbb{Q}}^{-1})) \neq 0.$$

Since for any line bundle B on \tilde{X} one has $\Gamma(B_{\mathbb{Q}}) = \Gamma(B) \otimes_{\mathbb{Z}} \mathbb{Q}$, it follows that there is a nonzero section s_2 of the hermitian line bundle $f^* L^{n_1} \otimes \bar{M}^{-1}$. Let c_2 denote the norm of s_2 .

(C) For any $x \in X(\mathbb{C})$ and any function α on $f^{-1}(x)$, let $\|\alpha\|$ denote $\sup_{y \in f^{-1}(x)} |\alpha(y)|$. Then $f_*(O_{\tilde{X}})$ becomes a metrized sheaf on X . Let F denote the coherent sheaf $\underline{\text{Hom}}(f_*(O_{\tilde{X}}), O_X)$. For a sufficiently large positive number n_2 , there is a nonzero section s_3 of $F_{\mathbb{Q}} \otimes L_{\mathbb{Q}}^{\otimes n_2}$. Replacing s_3 by ms_3 , where m is a positive integer, we may assume that s_3 is an integral section. Let c_3 denote the norm of s_3 .

(D) Let c_4 be the constants defined in (1.6) for (L, \bar{M}) .

Let $n_3 > n_1 + n_2$ and n_4 be any two positive integers, and let i be a nonnegative integer between 0 and $n_3 - 1$. We want to estimate $\chi_{\text{sup}}(\Gamma(L^{\otimes n_3 n_4 + i}))$.

The multiplication by $s_1^{n_4}$ gives a map

$$\alpha : V_1 = \Gamma(\bar{L}^{\otimes n_3 n_4 + i}) \rightarrow V_2 = \Gamma(\bar{f}^* L^{\otimes n_3 n_4 + i} \otimes \bar{M}^{\otimes n_4})$$

with norm bounded by $c_1^{n_4}$, where V_1 is considered a subspace of $\Gamma(f^* L^{\otimes n_3 n_4 + i})$. The multiplication by $s_2^{n_4}$ gives a map

$$\Gamma(f^* L^{\otimes (n_3 - n_1 - n_2) n_4 + i} \otimes \bar{M}^{\otimes n_4}) \rightarrow \Gamma(f^* L^{\otimes (n_3 - n_2) n_4 + i})$$

with norm bounded by $c_2^{n_4}$. The multiplication by $s_3^{n_4}$ gives a map

$$\Gamma(f^* \bar{L}^{\otimes (n_3 - n_2) n_4 + i}) = \Gamma(\bar{L}^{\otimes (n_3 - n_2) n_4 + i} \otimes f_*(O_{\bar{X}})) \rightarrow \Gamma(\bar{L}^{\otimes n_3 n_4 + i})$$

with norm bounded by $c_3^{n_4}$. The composition of these two morphisms gives a map

$$\beta : V_3 = \Gamma(f^* L^{\otimes (n_3 - n_1 - n_2) n_4 + i} \otimes \bar{M}^{\otimes n_4}) \rightarrow V_1 = \Gamma(\bar{L}^{\otimes n_3 n_4 + i})$$

with norm bounded by $c_2^{n_4} c_3^{n_4}$.

Applying (1.7) to $(\Gamma, \Gamma') = (V_2, \alpha(V_1))$ and $(\Gamma, \Gamma') = (V_1, \beta(V_3))$, we obtain that

$$(1.8.1) \quad \begin{aligned} \chi_{\text{sup}}(V_1) &\leq \chi_{\text{sup}}(\alpha(V_1)) + n_4 \dim_{\mathbb{Q}}(V_{1\mathbb{Q}}) \log c_1 \\ &\leq \chi_{\text{sup}}(V_2) + \log \dim_{\mathbb{Q}}(V_{2\mathbb{Q}})! + n_4 \dim_{\mathbb{Q}}(V_{1\mathbb{Q}}) \log c_1 \\ &\quad + n_3(n_4 + 1) \dim_{\mathbb{Q}} \text{coker}(\alpha) \log \frac{c_4}{2}, \end{aligned}$$

and

$$(1.8.2) \quad \begin{aligned} \chi_{\text{sup}}(V_1) &\geq \chi_{\text{sup}}(\beta(V_3)) - \log \dim_{\mathbb{Q}}(V_{1\mathbb{Q}})! - n_3 n_4 \dim_{\mathbb{Q}} \text{coker}(\beta) \log \frac{c_4}{2} \\ &\geq \chi_{\text{sup}}(V_3) - n_4 \dim_{\mathbb{Q}}(V_{1\mathbb{Q}}) \log(c_2 c_3) - \log \dim_{\mathbb{Q}}(V_{1\mathbb{Q}})! \\ &\quad - n_3(n_4 + 1) \dim_{\mathbb{Q}} \text{coker}(\beta) \log \frac{c_4}{2}. \end{aligned}$$

By Lemma (1.5), we have the following estimate:

$$(1.8.3) \quad \begin{aligned} \chi_{\text{sup}}(V_2) &= \frac{n_4^d}{d!} c_1 (\bar{f}^* L^{\otimes n_3} \otimes \bar{M})^d + o_{n_3}(n_4^d) \\ &= \frac{(n_3 n_4 + i)^d}{d!} c_1 (L)^d + O(n_3^{d-1} n_4^d) + o_{n_3}(n_4^d), \end{aligned}$$

where $O(x)$ denotes a quantity such that $O(x)x^{-1}$ is bounded independently on n_3 , n_4 , and $o_{n_3}(x)$ denotes a quantity such that, for any fixed n_3 , the number $o_{n_3}(x)x^{-1}$ tends to 0 as x tends to infinity. Similarly we have

$$(1.8.4) \quad \chi_{\text{sup}}(V_3) = \frac{(n_3 n_4 + i)^d}{d!} c_1 (L)^d + O(n_3^{d-1} n_4^d) + o_{n_3}(n_4^d).$$

Furthermore, by the Riemann-Roch theorem for algebraic varieties, we have for $i = 1, 2, 3$ that

$$(1.8.5) \quad \dim_{\mathbb{Q}}(V_{i\mathbb{Q}}) = \frac{(n_3 n_4 + i)^{d-1}}{(d-1)!} + O(n_4^{d-1} n_3^{d-2}) + o_{n_3}(n_4^{d-1}).$$

Bringing (1.8.3)–(1.8.5) to (1.8.1) and (1.8.2), we obtain that

$$\chi_{\text{sup}}(\Gamma(\bar{L}^{n_3 n_4 + i})) = \frac{(n_3 n_4 + i)^d}{d!} c_1(\bar{L})^d + O(n_4^d n_3^{d-1}) + o_{n_3}(n_4^d).$$

For any $\epsilon > 0$, we may choose n_3 such that $O(n_4^d n_3^{d-1})$ is bounded by $\frac{\epsilon}{2} n_3^d n_4^d$. Then for n_4 sufficiently large, $o_{n_3}(n_4^d)$ is also bounded by $\frac{\epsilon}{2} n_3^d n_4^d$. This proves that, for sufficiently large n ,

$$\left| \chi_{\text{sup}}(\Gamma(\bar{L}^{\otimes n})) - \frac{n^d}{d!} c_1(\bar{L})^d \right| \leq \epsilon n^d.$$

The theorem follows.

Applying the Minkowski theorem we obtain the following result for small sections:

Corollary (1.9). *Let X be an arithmetic variety, and let L be a hermitian line bundle on X . Assume that $L_{\mathbb{Q}}$ is ample and \bar{L} is relatively semipositive. Then for any $\epsilon > 0$ and any n sufficiently large, there is a nonzero section l of $L^{\otimes n}$ such that*

$$\|l\|_{\text{sup}} = \sup_{x \in X(\mathbb{C})} \|l\|(x) < \exp \left(n\epsilon - \frac{nc_1(\bar{L})^d}{dc_1(L_{\mathbb{Q}})^{d-1}} \right).$$

(1.10) As in [GS], we may generalize (1.4) to compute the leading term of $\chi_{\text{sup}}(\Gamma(\bar{F} \otimes S^n \bar{E}))$, where E and F are two hermitian vector bundles on X with $E_{\mathbb{Q}}$ ample and \bar{E} relatively semipositive. We omit details here.

2. LIFTING SECTIONS WITH SMALL NORMS ON COMPLEX MANIFOLDS

(2.1) Let X be a compact complex manifold of dimension d , and let L be a hermitian line bundle with positive curvature form $c'_1(L)$; then Kodaira’s theorem asserts that L is ample. In particular, for any subvariety Y of X and for n sufficiently large, the map

$$\Gamma(X, L^{\otimes n}) \rightarrow \Gamma(Y, L^{\otimes n})$$

is surjective. In this section we want to prove a “metrized version” of this fact.

Theorem (2.2). *Let X, Y, \bar{L} be assumed as in (2.1), let l'_1, \dots, l'_s be sections of $L|_Y$, and let ϵ be a positive number. Then for any s -tuple of nonnegative integers $\alpha = (\alpha_1, \dots, \alpha_s)$ with $|\alpha| = \sum \alpha_i$ sufficiently large, there is a section l of $L^{\otimes |\alpha|}$ such that*

$$l|_Y = \prod l_i'^{\alpha_i},$$

and

$$\|l\|_{X, \text{sup}} \leq e^{|\alpha|\epsilon} \prod \|l_i'\|_{Y, \text{sup}}^{\alpha_i}.$$

(2.3) Our proof is based on a method used by Tian [T] in the proof of the density of Fubini-Study metrics, namely Hormander’s L^2 -estimate. We need some notation. Let X be a compact complex manifold with a Kahler metric g ,

and let L be a hermitian line bundle on X . We denote by $\langle \cdot, \cdot \rangle$ the induced hermitian products on $C^\infty(\Omega_X^*)$ and on $C^\infty(L \otimes \Omega_X^*)$, and by $\|\cdot\|$, $\langle \cdot, \cdot \rangle_{L^2}$, and $\|\cdot\|_{L^2}$ the corresponding norm, L^2 -product, and L^2 -norm (with respect to the volume form dx on X induced by g) respectively. Locally near a point p of X , we may find coordinates z_1, \dots, z_d such that

$$g \left(\frac{\partial}{\partial z_i}, \frac{\partial}{\partial \bar{z}_j} \right) = \delta_{i,j} + O(z^2).$$

If l is a nonzero section of L we define an endomorphism $N(L)$ of $\Omega_X^{0,1}$ by the matrix $(-\frac{\partial^2}{\partial z_i \partial \bar{z}_j} \log \|l\|)$. For a function ψ on X , let $N(\psi)$ denote the endomorphism $N(O(\psi)) = (-\frac{\partial^2 \psi}{\partial z_i \partial \bar{z}_j})$, where $O(\psi)$ is the trivial line bundle O with metric $|\cdot| \exp(-\psi)$.

Lemma (2.4). *Let T_X be the holomorphic tangent bundle on X with the hermitian metric induced by g . Let c be a positive number such that $N(L \otimes \det T_X^{1,0}) \geq c$; this means that for any point x of X and any element α in $\Omega_{X,x}^{0,1}$, the following inequality is verified:*

$$\langle N(L \otimes \det T_X)\alpha, \alpha \rangle \geq c \langle \alpha, \alpha \rangle.$$

Then for any $w \in C^\infty(L \otimes \Omega_X^{1,0})$ such that $\bar{\partial}w = 0$, there is an element u in $C^\infty(L)$ such that $\bar{\partial}u = w$ and $\|u\|_{L^2}^2 \leq \frac{1}{c} \|w\|_{L^2}^2$.

Proof. By the Bochner-Kodaira formula, for any α in $C^\infty(L \otimes \Omega_X^{0,1})$ one has the following estimate:

$$(2.4.1) \quad \langle \Delta_\partial \alpha, \alpha \rangle_{L^2} \geq c \|\alpha\|_{L^2}^2;$$

see [BV] for details.

Let v be any element in $C^\infty(L \otimes \Omega_X^{0,1})$. Write $v = v_1 + v_2$ such that $\bar{\partial}v_1 = 0$ and such that v_2 is orthogonal to $\ker(\bar{\partial})$. It follows that $\bar{\partial}^* v_2 = 0$, where $\bar{\partial}^*$ is the adjoint of $\bar{\partial}$ with respect to $\|\cdot\|_{L^2}$. Applying (2.4.1) we obtain that

$$\begin{aligned} |\langle v, w \rangle_{L^2}|^2 &= |\langle v_1, w \rangle_{L^2}|^2 \\ &\leq \|v_1\|_{L^2}^2 \|w\|_{L^2}^2 \leq \frac{1}{c} \|w\|_{L^2}^2 \langle \Delta_\partial v_1, v_1 \rangle \\ &= \frac{1}{c} \|w\|_{L^2}^2 \|\bar{\partial}^* v_1\|_{L^2}^2 = \frac{1}{c} \|w\|_{L^2}^2 \|\bar{\partial}^* v\|_{L^2}^2. \end{aligned}$$

Applying the Hahn-Banach theorem to the linear functional on the $\text{im}(\bar{\partial}^*)$ in $L^2(L)$:

$$\bar{\partial}^* v \rightarrow \langle v, w \rangle_{L^2},$$

we obtain an element $u \in L^2(L)$ with $\|u\|_{L^2}^2 \leq \frac{1}{c} \|w\|_{L^2}^2$, such that

$$\langle \bar{\partial}^* v, u \rangle_{L^2} = \langle v, w \rangle_{L^2}$$

for any v . This implies that $\bar{\partial}u = w$. Since $\Delta_\partial u = \bar{\partial}^* w$ is smooth, it follows that u is a smooth section of L . This completes the proof of the lemma.

We have the following formal generalization:

Lemma (2.5). *Let (X, g) be a compact Kahler manifold, let L be a hermitian line bundle, let μ be a measure on X , and let c be a constant. Assume that the following conditions are verified: there is a decreasing sequence $\{\psi_i\}$ of smooth functions on X such that $e^{-\psi_i} dx$ converges to μ , and for each i ,*

$$\frac{1}{2}N(\psi_i) + N(L) + N(\det T_X^{1,0}) \geq c.$$

Then for any $\bar{\delta}$ -closed form w in $C^\infty(L \otimes \Omega_X^{0,1})$ with $\|w\|_{L^2(\mu)} < \infty$, there is a u in $C^\infty(L)$ such that $\bar{\delta}u = w$ and $\|u\|_{L^2(\mu)}^2 \leq \frac{1}{c}\|w\|_{L^2(\mu)}^2$, where $\|\cdot\|_{L^2(\mu)}$ is the L^2 norm with respect to the measure μ .

Proof. For any smooth function ψ on X , let $L(\psi)$ denote the line bundle L with hermitian metric $\|\cdot\|_\psi = \|\cdot\|_L e^{-\psi}$. Applying (2.4) to $L(\frac{1}{2}\psi_i)$, we obtain a sequence $\{u_i\}$ of elements in $C^\infty(L)$ such that $\bar{\delta}u_i = w$ and $\|u_i\|_{\psi_i}^2 \leq \frac{1}{c}\|w\|_{\psi_i}^2$. Write $u_i = u_1 + v_i$, where v_i is in $\Gamma(L)$. We claim that $\{v_i\}$ is a bounded subset of $\Gamma(L)$. Actually for any ψ_i , let $\|\cdot\|_{\psi_i}$ denote the L^2 -norm with respect to measure $e^{-\psi_i} dx$; then

$$\frac{1}{c}\|w\|_{L^2(\mu)}^2 \geq \|u_i\|_{\psi_i}^2 \geq \|u_i\|_{\psi_1}^2 \geq \frac{1}{2}\|v_i\|_{\psi_1}^2 - \|u_1\|_{\psi_1}^2.$$

Our claim follows. Since $\Gamma(L)$ is of finite dimension, replacing $\{v_i\}$ by a subsequence we may assume that v_i converges to an element v in $\Gamma(L)$. Let u denote $u_1 + v$; then $\bar{\delta}u = w$. Since for any $j \geq i$ we have

$$\|u_j\|_{\psi_i}^2 \leq \|u_j\|_{\psi_j}^2 \leq \frac{1}{c}\|w\|_{L^2(\mu)}^2,$$

it follows that

$$\|u\|_{\psi_i}^2 \leq \frac{1}{c}\|w\|_{L^2(\mu)}^2.$$

This implies that $\|u\|_{L^2(\mu)}^2 \leq \frac{1}{c}\|w\|_{L^2(\mu)}^2$. The proof of the lemma is complete.

Lemma (2.6). *Let L be a hermitian line bundle on a compact complex manifold such that $c'_1(L)$ is positive. Let Y be a reduced subvariety of X , let U be a neighborhood of Y in X , and let ϵ be a positive number. Then for any n sufficiently large, and any section l_U of $L^{\otimes n}$ on U , there is a section l of $L^{\otimes n}$ such that $l|_Y = l_U|_Y$ and $\|l\|_{\sup} \leq e^{n\epsilon}\|l_U\|_{\sup}$.*

Proof. Let g be the metric on X induced by the Kahler form $c'_1(L)$. Let $f: \tilde{X} \rightarrow X$ be the blow-up of X at Y , and let E denote the exceptional divisor. For sufficiently large m , the bundle $I_Y \otimes L^{\otimes m}$ is generated by global sections $V = \Gamma(I_Y \otimes L^{\otimes m})$, where I_Y is the ideal sheaf of Y . Let i denote the canonical morphism from \tilde{X} to $X \times \mathbb{P}(V)$. Then $i^*(O(1)) = f^*L^{\otimes m}(-E)$. Choose a basis for V . This gives a Fubini-Study metric on $O(1)$ with positive curvature form. Choose a metric $\|\cdot\|_E$ on $O(E)$ such that $\|\cdot\|_L^m \|\cdot\|_E$ on $f^*L^{\otimes m}(-E)$ agrees with the pull-back of the Fubini-Study metric. This shows that $(O(-E), \|\cdot\|_E)$ has curvature no less than $-mc'_1(L)$. Let ρ denote the

function $\|1\|_E$, where 1 is the canonical section of $O(E)$. Then ρ is a distance function of Y . On $X - Y$ we have $N(-\log \rho) = N(O(E), \|\cdot\|_E)$. So $N(\log \rho)$ is bounded below by $-mN(\bar{L})$ on X .

One can find a decreasing sequence of smooth functions $\{\psi_i\}$ which converges to $\log \rho$, such that the set $\{N(\psi_i)\}$ is uniformly bounded below in i and on X . Actually, let f be any smooth function such that (i) $f''(x) \geq 0$ for all x ; (ii) $f(x) = x$ for $x > 0$ and $f(x) = -0.5$ for $x < -1$. Then the sequence $\{\psi_i = f(\log \rho + i) - i\}$ will satisfy our requirements. In fact (1) since f is constant for $x < -1$ it follows that ψ_i is defined over whole X ; (2) since $f' \leq 1$, it follows that the sequence $\{\psi_i\}$ is decreasing; (3) since $f(x) = x$ for all $x > 0$, it follows that ψ_i is convergent to $\log \rho$; (4) since $0 \leq f' \leq 1$ and $f'' \geq 0$, it follows that

$$N(\psi_k) = \left(\frac{\partial^2 \psi_k}{\partial z_i \partial \bar{z}_j} \right) = f''(\log \rho + k) \left(\frac{\partial \log \rho}{\partial z_i} \frac{\partial \log \rho}{\partial \bar{z}_j} \right) + f'(\log \rho + i)N(\log \rho) \geq -mN(\bar{L}).$$

Let c_1 be a constant such that $N(\bar{L}) > c_1$ pointwise, and let d denote the dimension of X . It follows that for sufficiently large n , the following inequality holds uniformly in i and on X :

$$(2.6.1) \quad (d + \frac{1}{2})N(\psi_i) + nN(\bar{L}) + N(\det T_X^{1,0}) \geq c_1.$$

Let n be any positive number such that (2.6.1) holds, and let l_U be a section of $L^{\otimes n}$ on U . Let θ be a smooth function on X which is 0 out of U and which is 1 on a neighborhood U' of Y . Let w denote $\bar{\partial}(\theta l_U)$. Applying (2.5) we obtain a smooth section l' (which may not be holomorphic) such that $\bar{\partial}l' = w$ and

$$(2.6.2) \quad \int_X \|l'\|^2 \rho^{-(2d+1)} dx \leq \frac{1}{c_1} \int_X \|w\|^2 \rho^{-(2d+1)} dx = \frac{1}{c_1} \int_X \|\bar{\partial}\theta\|^2 \|l_U\|^2 \rho^{-(2d+1)} dx \leq \frac{\|l_U\|_{\sup}^2}{c_1} \int_{U-U'} \|\bar{\partial}\theta\|^2 \rho^{-(2d+1)} dx = c_2 \|l_U\|_{\sup}^2,$$

where c_2 is a positive constant.

Let $l = \theta l_U - l'$. Since $\bar{\partial}l = 0$, it follows that l is holomorphic. Since $\int \|l'\|^2 \rho^{-(2d+1)} dx$ is finite, it follows that $l'|_Y = 0$, i.e., $l|_Y = l_U|_Y$. To complete the proof of the lemma, we need to estimate $\|l\|_{\sup}$. We estimate $\|l\|_{L^2}$ first. By (2.6.2), one has

$$(2.6.3) \quad \|l\|_{L^2}^2 \leq 2\|\theta l_U\|_{L^2}^2 + 2\|l'\|_{L^2}^2 \leq 2\|\theta\|_{\sup}^2 \|l_U\|_{\sup}^2 + 2\|\rho\|_{\sup}^{2d+1} \int \|l'\|^2 \rho^{-(2d+1)} dx \leq c_3 \|l_U\|_{\sup}^2,$$

where c_3 is a positive constant.

The lemma follows from (2.6.3) and the following inequality of Gromov:

$$\|l\|_{\text{sup}}^2 \leq c_4 n^{2d} \|l\|_{L^2}^2,$$

where c_4 is a positive constant. See [GS2] for the proof of this inequality.

(2.7) *Proof of (2.2).* Let n_0 be a positive integer such that all sections of $L^{\otimes n}$ on Y can be extended to sections on X . Without loss of generality we may assume that all l_i are nonzero. For each s -tuple $\beta = (\beta_1, \dots, \beta_s)$ of nonnegative integers with $n_0 \leq |\beta| < 2n_0$, let l_β be a fixed section of $L^{\otimes n}$ such that $l_\beta|_Y = \prod_i l_i^{\beta_i}$. Let U be a neighborhood of Y in X such that

$$\|l_\beta|_U\|_{\text{sup}} \leq e^{\frac{\epsilon}{2}} \prod \|l_i^{\beta_i}\|_{\text{sup}}.$$

Now any section $\prod l_i^{\alpha_i}$ with $|\alpha| \geq 2n_0$ can be written as a product $\prod_j (\prod_k l_k^{\gamma_{jk}})$ with $n_0 \leq |\gamma_j| < 2n_0$, where $\gamma_j = (\gamma_{j1}, \dots)$ are s -tuples of nonnegative integers. Applying (2.6) to the section $\prod_j l_{\gamma_j}|_U$ when $|\alpha|$ is sufficiently large, we obtain a section l of $L^{\otimes |\alpha|}$ on X such that $l|_Y = \prod l_i^{\alpha_i}$ and

$$\|l\|_{\text{sup}} \leq e^{\frac{|\alpha|\epsilon}{2}} \left\| \prod_j l_{\gamma_j}|_U \right\|_{\text{sup}} \leq e^{|\alpha|\epsilon} \prod_i \|l_i^{\alpha_i}\|_{\text{sup}}.$$

This completes the proof of the theorem.

3. AMPLE LINE BUNDLES WITH SEMIAMPLE METRICS

(3.1) Let K be an algebraically closed normed field as in the appendix. Let X be a projective variety on $\text{spec} K$, and let L be a line bundle on X with a continuous and bounded metric as defined in (a.2). Assume that L is ample; then for sufficiently large n the morphism

$$\phi_n : \Gamma(L^{\otimes n}) \rightarrow L^{\otimes n}$$

is surjective, where $\Gamma(L^{\otimes n})$ is considered as a free vector bundle on X . The supremum norm on $\Gamma(L^{\otimes n})$ induces a quotient norm on $L^{\otimes n}$, whose n -th root gives a norm $\|\cdot\|_{n,\Gamma}$ on L .

The metrized line bundle $(L, \|\cdot\|)$ is said to be a semiample metrized line bundle, if $\limsup \frac{\|\cdot\|_n}{\|\cdot\|}$ converges to 1 uniformly on X . Equivalently, for any $\epsilon > 0$, there is a positive integer n , such that for any point $x \in X(K)$, there is a nonzero section l of $L^{\otimes n}$ with $\|l\|_{\text{sup}} \leq e^{n\epsilon} \|l\|(x)$.

(3.2) We fix the following assumptions and notation:

— Let K_0 be \mathbb{R} , \mathbb{C} , or a complete discrete valuation field, and let K denote an algebraic closure of K_0 , and let K_s denote the separable closure of K_0 in K .

— Let X_0 be a projective variety defined over K_0 , and let Y_0 be a subvariety of X_0 . Denote by X the variety $X_0 \times_{\text{spec} K_0} \text{spec} K$, and denote by Y the subvariety $Y_0 \times_{\text{spec} K_0} \text{spec} K$ of X .

— Let L be an ample line bundle on X_0 with a $\text{Gal}(K_s/K_0)$ invariant and semiample metric over $X(K)$.

— Let M be a coherent sheaf on X_0 such that $M|_{Y_0}$ is also torsion free. Fix bounded $\text{Gal}(K_s/K_0)$ invariant metrics on M and M_{Y_0} as in (a.3).

Theorem (3.3). *Let ϵ be a positive number. Let l'_1, \dots, l'_s be s sections of $L|_{Y_0}$ and m a section of $M|_{Y_0}$. Then for any s -tuple $\alpha = (\alpha_1, \dots, \alpha_s)$ of nonnegative integers with $|\alpha| = \sum \alpha_i$ sufficiently large, there is a section l of $L^{\otimes |\alpha|} \otimes M$ on X_0 such that*

$$l|_Y = m \prod_i l_i'^{\alpha_i}$$

and

$$\|l\|_{\text{sup}, X} \leq e^{|\alpha|\epsilon} \|m\|_{\text{sup}, Y} \prod_i \|l_i'\|_{\text{sup}, Y}^{\alpha_i}.$$

Proof. We denote by $P(\bar{L}, \bar{M})$ the assertion of the theorem, and denote by $P(\bar{L})$ the following assertion: Under the assumption of the theorem for any $\epsilon > 0$ there is a positive integer n and sections l_1, \dots, l_s of $L^{\otimes n}$ such that $l_i|_Y = l_i'^n$ and $\|l_i\|_{\text{sup}} \leq e^{n\epsilon} \|l_i'\|_{\text{sup}}^n$. We have the following principles:

(A) Let $\bar{L}_n = (L, \|\cdot\|_n)$ be a sequence of metrized line bundles such that metrics are invariant under $\text{Gal}(K/K_0)$. If $\frac{\|\cdot\|_n}{\|\cdot\|}$ converges uniformly to 1 on $X(K)$ and $P(\bar{L}_n, M)$ holds for all n , then $P(L, M)$ holds. This is easy to check by definition.

(B) Let N be any positive number. If $P(\bar{L}^{\otimes N}, \bar{M})$ holds for all \bar{M} , then $P(\bar{L}, \bar{M})$ holds for all \bar{M} . In fact, for a fixed \bar{M} , $P(\bar{L}^{\otimes N}, \bar{L}^{\otimes i} \otimes \bar{M})$ ($i = 0, \dots, N-1$) together imply $P(\bar{L}, \bar{M})$.

(C) $P(\bar{L})$ implies $P(\bar{L}, \bar{M})$. For any $\epsilon > 0$, by $P(\bar{L})$, we can find a positive integer n and sections l_1, \dots, l_s of $L^{\otimes n}$ such that $l_i|_Y = l_i'^n$ and $\|l_i\|_{\text{sup}} \leq e^{\frac{n\epsilon}{2s}} \|l_i'\|_{\text{sup}}^n$. For sufficiently large n_0 , and for any $(s+1)$ -tuple of integers $(\beta, j) = (\beta_1, \dots, \beta_s, j)$ with $0 \leq \beta_i < n$ and $1 \leq j \leq s$, there are sections $m_{\beta, j}$ of $L^{\otimes (n_0 n + |\beta|)} \otimes M$ on X such that $m_{\beta, j}|_Y = m l_j'^{n n_0} \prod l_i'^{\beta_i}$. Let c denote the constant

$$\max_{\beta, j} \log \frac{\|m_{\beta, j}\|_{\text{sup}}}{\|m\|_{\text{sup}} \|l_j'\|_{\text{sup}}^{n n_0} \prod \|l_i'\|_{\text{sup}}^{\beta_i}}.$$

Then any section $m \prod_i l_i'^{\alpha_i}$ with $|\alpha|$ sufficiently large can be written as $(m l_j'^{n n_0} \prod_i l_i'^{\beta_i}) \prod_i l_i'^{\gamma_i n}$ with $\beta_i < n$ for all i . Let $l = m_{\beta, j} \prod l_i'^{\gamma_i}$. Then $l|_Y = m \prod l_i'^{\alpha_i}$ and

$$\|l\|_{\text{sup}} \leq e^{c + \frac{\epsilon |\alpha|}{2}} \|m\|_{\text{sup}} \prod \|l_i'\|_{\text{sup}}^{\alpha_i}.$$

The assertion follows for $|\alpha| > 2c/\epsilon$.

(D) Let $i : X \rightarrow X'$ be an embedding from X to another projective variety X' over $\text{spec } K$, and let \bar{L}' be a semiample metrized line bundle on X' . If $i^* \bar{L}' = \bar{L}$, then $P(\bar{L}')$ implies $P(\bar{L})$. This is clear by definition.

Fix \bar{L} and \bar{M} as in the theorem. Since \bar{L} is semiample metrized, applying (A) to $\{\bar{L}_{n,\Gamma} = (L, \|\cdot\|_{n,\Gamma})\}$, it suffices to prove $P(\bar{L}_{n,\Gamma}, \bar{M})$ for all n . Applying (B) for $N = n$ and metrized line bundles $\bar{L}_{n,\Gamma}$, we need only prove $P(\bar{L}_{n,\Gamma}^{\otimes n}, M)$ for all n . Applying (C) it suffices to prove all $P(\bar{L}_n^{\otimes n})$. Each $\bar{L}_{n,\Gamma}^{\otimes n}$ has the property that the quotient metric by the map

$$\Gamma(\bar{L}_{n,\Gamma}^{\otimes n}) \rightarrow \bar{L}_{n,\Gamma}^{\otimes n}$$

coincides with the metric $\|\cdot\|_{n,\Gamma}^n$. So we are reduced to proving $P(L)$ provided that L is very ample and that the metric on L is induced by the quotient metric via map $\alpha_X : V = \Gamma(L) \rightarrow L$. Let $i : X \rightarrow \mathbb{P}(V)$ denote the embedding of X to the projective space associated to V induced by the morphism $V \rightarrow L$. The canonical bundle $O(1)$ has a quotient metric induced by the surjective morphism $V \rightarrow O(1)$. It is easy to see that $i^*(O(1))$ is isometric to \bar{L} . Applying (D) we are reduced to proving the following lemma.

Lemma (3.4). *Let V_0 be a finite-dimensional vector space over K_0 with a K norm as in (a.1). On the projective space $\mathbb{P}(V_0)$, let $\bar{O}(1)$ denote the line bundle $O(1)$ with the quotient metric $\|\cdot\|_{O(1)}$ via the map $V \rightarrow O(1)$, where V is considered as a free vector bundle on $\mathbb{P}(V)$. Then the assertion $P(\bar{O}(1))$ is true.*

Proof. We consider archimedean K first. By principle (A), and by approximating $\|\cdot\|$ by norms $\|\cdot\|_n$ on V such that $\|\cdot\|_n$ is smooth on $V - \{0\}$ and invariant under complex conjugation if $K_0 = \mathbb{R}$, we may assume that $\|\cdot\|$ is smooth on $V - \{0\}$. It follows that the induced metric on $\bar{O}(1)$ is smooth. We claim that $c'_1(\bar{O}(1))$ is semipositive pointwise. If it is not true, then there is a point p and a holomorphic vector v at p such that $c'_1(\bar{O}(1))(iv \wedge v) < 0$. In other words, there is an analytic morphism $f : \mathbb{D} \rightarrow \mathbb{P}(V)$ and an invertible section l_0 of $\bar{L} = f^*(\bar{O}(1))$ such that $\log \|l_0\| = a|z|^2 + O(z^3)$, where a is a positive number. Now any section of L on \mathbb{D} with norm 1 at 0 can be written as $l = fl_0$ with a holomorphic function f which has norm 1 at 0. We have the estimate

$$\int_0^1 \log \|l\|(\rho e^{2\pi i\theta}) d\theta = \int_0^1 \log \|l_0\|(\rho e^{2\pi i\theta}) d\theta \geq \frac{1}{2} a \rho^2,$$

for $\rho > 0$ sufficiently small. It follows that $\|l\|_{\sup} > \|l\|(0)$. This contradicts the fact from the construction of $\|\cdot\|_{O(1)}$ that there is a nonzero section s in $\Gamma(O(1)) = V$ such that $\|s\|$ attains its maximal value at p . This proves that $c'_1(\bar{O}(1))$ is semipositive. Let $\|\cdot\|'$ be any metric on $O(1)$ with positive curvature form. For example, fixing any basis of V_0 over K_0 , the induced Fubini-Study on $O(1)$ has positive curvature. Now $\|\cdot\|_{O(1)}$ is approximated by $\|\cdot\|_n = \|\cdot\|_{O(1)}^{1-\frac{1}{n}} \|\cdot\|'^{\frac{1}{n}}$. By principle (A), assertions $P(O(1), \|\cdot\|_n)$ all together imply $P(\bar{O}(1))$. But $(O(1), \|\cdot\|_n)$ has positive curvature, so the assertion $P(O(1), \|\cdot\|_n)$ follows from Theorem (2.2) if $K_0 = \mathbb{C}$. If $K_0 = \mathbb{R}$ and l is the section of a power of L chosen as in Theorem (2.2) on $X_{\mathbb{C}}$, then $\frac{1}{2}(l + \sigma l)$ is a section defined on \mathbb{R} , which has the same image in Y as l , and whose norm

is not bigger than that of l , where σ denotes the complex conjugation on $X_{\mathbb{C}}$. This proves $P(\bar{O}(1))$ in the archimedean case.

It remains to consider the case that K is nonarchimedean. Let R_K denote the valuation ring of K . Let \tilde{V} denote the set $\{v \in V, \|v\| \leq 1\}$. Then \tilde{V} is a module over R_K of rank $d = \dim V$, and $\|\cdot\|$ is induced by this module. Notice that \tilde{V} may not be finitely generated. Let Φ denote the set of all finitely generated submodules of \tilde{V} of rank d which are stable under $\text{Gal}(K_s/K_0)$. For each W in Φ , let $\|\cdot\|_W$ denote the norm on V induced by W :

$$\|v\|_W = \inf_{a \in K^*} \{|a|^{-1} : av \in W\}.$$

Since $\cup_{W \in \Phi} W = \tilde{V}$ and V is finite dimensional, one may find a sequence W_n in Φ such that $\|\cdot\|_{W_n}$ converges uniformly to $\|\cdot\|$; the induced metric on $O(1)$ by $\|\cdot\|_{W_n}$ therefore converges uniformly to the induced metric by $\|\cdot\|$. Applying principle (A), we may assume that \tilde{V} is finitely generated. It follows that there is a finite extension E of K_0 which is stable under $\text{Gal}(K_s/K_0)$ and a finitely generated (so free) R_E -module W_E such that $W_E \otimes_{R_E} R_K$ is isomorphic to \tilde{V} . Let $\tilde{\mathbb{P}}$ denote $\mathbb{P}(W_E)$. Then one can show that the metric $\|\cdot\|_{O(1)}$ is induced by model $(\tilde{\mathbb{P}}, \tilde{O}(1))$, where $\tilde{O}(1)$ denotes the line bundle $O_{\tilde{\mathbb{P}}}(1)$. Now $P(\bar{O}(1))$ follows easily: Let Y be any subvariety of $\mathbb{P} = \mathbb{P}(V)$ defined over K_0 . Let \tilde{Y} denote the Zariski closure of Y in $\tilde{\mathbb{P}}$. Then for sufficiently large n , the map

$$\Gamma(\tilde{\mathbb{P}}, \tilde{O}(n)) \rightarrow \Gamma(\tilde{Y}, \tilde{O}(n))$$

is surjective. This just means that, in the map

$$\Gamma(\mathbb{P}, \bar{O}(n)) \rightarrow \Gamma(Y, \bar{O}(n)),$$

the induced quotient norm agrees with the original norm on the target. Now for any section l' of $O(1)$ in Y defined over K_0 , we may find a section l_1 in $O(n)$ defined over E such that l_1 has the image l'^n on Y and $\|l_1\|_{\text{sup } X} = \|l'\|_{\text{sup } Y}^n$. Let l denote the following section of $O(nde)$:

$$\prod_{\sigma \in \text{Gal}(E/K_0)} \sigma l_1^e,$$

where $d = [E : K_0]$ and e is the inseparable index of K_0 in E . Then l is defined on K_0 which has image l'^{dne} in Y , and $\|l\|_{\text{sup } X} = \|l'\|_{\text{sup } Y}^{dne}$. The assertion $P(O(1))$ holds. This completes the proof of the lemma.

Theorem (3.5). *Let X be a projective variety defined over K , and let \bar{L} be a metrized line bundle on X . Assume that the following conditions are verified.*

(1) *If K is archimedean, there is an embedding i from X to a compact complex manifold, and a hermitian line bundle \bar{M} on Y with M ample and $c'_1(\bar{M})$ semipositive, such that $i^* \bar{M}$ is isometric to \bar{L} .*

(2) *If K is nonarchimedean, some positive power of \bar{L} is induced by a model (\tilde{X}, \tilde{L}) such that L is ample and \tilde{L} is semipositive on the special fiber of \tilde{X} . Then \bar{L} is semiample metrized.*

Proof. We consider the case that K is archimedean first. Since the ampleness for \bar{M} already implies the ampleness for \bar{L} . We may assume that $X = Y$ and $\bar{M} = \bar{L}$; i.e., X is smooth and $c'_1(\bar{L})$ is semipositive. Since L is ample, there is a hermitian metric $\|\cdot\|'$ on L with positive curvature form, for example the pullback of some Fubini-Study metric of $O(1)$ bundle from some \mathbb{P}^n by an embedding by some power of L . Let \bar{L}_n denote $(L, \|\cdot\|_n)$, where $\|\cdot\|_n = \|\cdot\|^{1-\frac{1}{n}}\|\cdot\|'^{\frac{1}{n}}$ and $\|\cdot\|$ is the metric on \bar{L} . Then \bar{L}_n has positive curvature and $\|\cdot\|_n$ converges uniformly to $\|\cdot\|$. Since ampleness for all \bar{L}_n will imply the ampleness for \bar{L} , we may assume that \bar{L} has positive curvature. Let ϵ be any positive number and p be any point of X . Applying Theorem (2.2), there is a positive integer n_p and a nonzero section l_p of the hermitian line bundle $L^{\otimes n_p}$ such that $\|l_p\|_{\text{sup}} \leq e^{\frac{\epsilon n_p}{2}} \|l_p\|(p)$. Let U_p be a neighborhood of p in X such that for any q in U_p we have $\|l_p\|_{\text{sup}} \leq e^{n_p \epsilon} \|l_p\|(q)$. Since X is compact, we can find p_1, \dots, p_s such that U_{p_1}, \dots, U_{p_s} cover X . Let $n = n_{p_1} \cdots n_{p_s}$. Then for any point p in X , we can find a nonzero section l of $L^{\otimes n}$ whose supremum norm is bounded by $e^{\epsilon n}$ times the norm of l at p . It follows that the quotient norm induced by the map $\Gamma(\bar{L}^{\otimes n}) \rightarrow \bar{L}$ is bounded by $e^{\epsilon n}$ times the norm on $\bar{L}^{\otimes n}$. This shows that L is semiample metrized.

It remains to consider the case that K is nonarchimedean. Replacing L by some positive power we may assume that it is induced by a model (\tilde{X}, \tilde{L}) . Since \tilde{X} is projective, there is an ample line bundle \tilde{M} on \tilde{X} . For any fixed positive integer n_1 , the bundle $\tilde{L}^{\otimes n_1} \otimes \tilde{M}$ is positive on the special fiber. By the Nakai-Moishezon theorem $\tilde{L}^{\otimes n_1} \otimes \tilde{M}$ is ample on the special fiber, so on \tilde{X} . Let n_0 be a positive integer such that $\tilde{L}^{\otimes n_1 n_0} \otimes \tilde{M}^{\otimes n_0}$ is very ample. Let \bar{M} be the metrized line bundle on X induced by the model (\tilde{X}, \tilde{M}) . Since over \tilde{X} the morphism

$$\Gamma(\tilde{L}^{\otimes n_0 n_1} \otimes \tilde{M}^{\otimes n_0}) \rightarrow \tilde{L}^{\otimes n_0 n_1} \otimes \tilde{M}^{\otimes n_0}$$

is surjective, the quotient metric of the map

$$\Gamma(L^{\otimes n_0 n_1} \otimes \bar{M}^{\otimes n_0}) \rightarrow \bar{L}^{\otimes n_0 n_1} \otimes \bar{M}^{\otimes n_0}$$

coincides with the original metric on the target bundle. In particular, for any point p of X , there is a section l'_p such that $\|l'_p\|_{\text{sup}} = \|l'_p\|(p)$.

Since L is ample, there is a positive integer n_2 such that $L^{n_2} \otimes M^{\otimes -1}$ is very ample. Let s_1, \dots, s_m be elements of $\Gamma(\tilde{L}^{\otimes n_2} \otimes \tilde{M}^{\otimes -1})$ such that they form a basis for $\Gamma(L^{\otimes n_2} \otimes M^{\otimes -1})$. Write $\text{div}(s_i) = H_i + V_i$, where H_i are horizontal and V_i are vertical. Then $\cap_i |H_i| = \emptyset$. Let c_1 be a number such that $c_1 \tilde{X}_k - V_i$ ($1 \leq i \leq s$) are all effective, where \tilde{X}_k denotes the special fiber of \tilde{X} . Then we have

$$\inf_p \max_i \|s_i\|(p) \geq \exp(-c_1).$$

Let c_2 denote the number $\log \max_i \|s_i\|_{\text{sup}}$. Now for any point p on X , there is an i_0 such that the nonzero section $l_p = l'_{p i_0} s_{i_0}^{n_0}$ of $L^{\otimes (n_1+n_2)n_0}$ has the property

that

$$\|l_p\|_{\text{sup}} \leq e^{n_0(c_2+c_1)} \|l_p\|(p).$$

For any $\epsilon > 0$, fix n_2, c_1, c_2 as above. Let $N = (n_1 + n_2)n_0$. Then for n_1, n_0 sufficiently large, the quotient metric of the map

$$\Gamma(\bar{L}^{\otimes N}) \rightarrow L^{\otimes N}$$

is bounded by $e^{\epsilon N}$ times the original metric on $L^{\otimes N}$. This proves that L is semiample metrized.

We conclude the section by asking the following question:

(3.6) **Question.** Let X be a projective complex variety, and let L be a hermitian line bundle on X with smooth metric. Assume that L is ample and $c'_1(\bar{L})$ is semipositive. Is L semiample metrized?

4. AN ARITHMETIC NAKAI-MOISHEZON THEOREM

(4.1) Let $S = \{\infty, 2, \dots\}$ be the set of all places of \mathbb{Q} . For each $p \in S$, let $|\cdot|_p$ denote the valuation on \mathbb{Q} such that $|p|_p = p^{-1}$ if $p \neq \infty$ and $|\cdot|_\infty$ is the ordinary absolute valuation. Let \mathbb{Q}_p denote the completion of \mathbb{Q} under $|\cdot|_p$, and let $\bar{\mathbb{Q}}_p$ be a fixed algebraic closure of \mathbb{Q}_p .

Let X be an irreducible variety defined over \mathbb{Q} . By an adelic metrized line bundle \bar{L} we mean a line bundle L on X and a collection of metrics $\|\cdot\| = \{\|\cdot\|_p, p \in S\}$, where each $\|\cdot\|_p$ is a (Weil) metric on $L_p = L \otimes_{\mathbb{Q}} \bar{\mathbb{Q}}_p$ which is invariant under the Galois group $\text{Gal}(\bar{\mathbb{Q}}_p/\mathbb{Q}_p)$, and such that the following conditions are verified. There is a Zariski open subset $U = \text{spec } \mathbb{Z}[\frac{1}{n}]$ of $\text{spec } \mathbb{Z}$, a projective model \tilde{X} on U , and a line bundle \tilde{L} on \tilde{X} extending L , such that, on each $p \in U$, the metric $\|\cdot\|_p$ is induced by the model

$$(\tilde{X}_p, \tilde{L}_p) = (\tilde{X} \times_U \text{spec } \mathbb{Z}_p, \tilde{L} \otimes_{\mathbb{Z}[\frac{1}{n}]} \mathbb{Z}_p).$$

We say a metrized line bundle $\bar{L} = (L, \|\cdot\|)$ is semiample metrized, if, for each $p \in S$, the metrized line bundle $(L_p, \|\cdot\|_p)$ on X_p is semiample metrized. The main result of this section is the following theorem:

Theorem (4.2). *Let X be an irreducible variety defined over \mathbb{Q} , and let \bar{L}, \bar{M} be two adelic metrized line bundles on X , such that \bar{L} is semiample metrized. Assume that, for each irreducible subvariety Y of X , there exist a positive integer n and a nontrivial strictly effective section l of $L^{\otimes n}$ on Y . This means that $\|l\|_p \leq 1$ for $p \neq \infty$ and $\|l\|_\infty < 1$. Let $\tilde{\Gamma}(X, L^{\otimes n} \otimes M)$ denote the subgroup of $\Gamma(X, L^{\otimes n} \otimes M)$ consisting of sections l with $\|l\|_p < 1$ for all $p \neq \infty$. Then for n sufficiently large, there is a basis of $\tilde{\Gamma}(X, L^{\otimes n} \otimes M)$ consisting of strictly effective sections.*

(4.3) Let V be a \mathbb{Q} vector space. By a global norm $\|\cdot\|$ we mean a collection $\{\|\cdot\|_p\}$ of norms on the collection $\{V_p = V \otimes_{\mathbb{Q}} \bar{\mathbb{Q}}_p : p \in S\}$ respectively. We always assume that

(1) $\|\cdot\|_p$ is nonarchimedean if $p \neq \infty$ (i.e., $\|x + y\|_p \leq \max(\|x\|_p, \|y\|_p)$) for all x, y in V_p ;

(2) there is a nonzero integer n and a free module \tilde{V}_n over $\mathbb{Z}[\frac{1}{n}]$ extending V such that $\|\cdot\|_p$ is induced by \tilde{V}_n for all p coprime with n .

In this way

$$\tilde{V} = \{x \in V, \|x\|_p \leq 1 \text{ for all } p \neq \infty\}$$

is a lattice of V_∞ . Usually for $p|n$, $\|\cdot\|_p$ does not coincide with metric $\|\cdot\|'_p$ induced by module $\tilde{V} \otimes_{\mathbb{Z}} \mathbb{Z}_p$, but we have the following estimate:

$$\|\cdot\|_p \leq \|\cdot\|'_p \leq p \|\cdot\|_p.$$

Let $\mu(V)$ (resp. $\lambda(V)$) denote the smallest number r such that the ball $B(r)$ of radius r contains a basis (resp. of a subset of full rank) of \tilde{V} . Then one has the following estimate:

$$(4.3.1) \quad \lambda(V) \leq \mu(V) \leq \dim_{\mathbb{Q}} V \lambda(V).$$

See 1.7 of [Z1] for a proof.

With notation as in (4.2), let $\Gamma(\bar{L})$ denote the \mathbb{Q} -vector space $\Gamma(L)$ with global norm $\|\cdot\| = \{\|\cdot\|_p\}$, where $\|\cdot\|_p$ is the supremum norm of $L \otimes_{\mathbb{Q}} \mathbb{Q}_p$ on $X(\mathbb{Q}_p)$. Theorem (4.2) just claims that $\mu(\Gamma(L^{\otimes n} \otimes \bar{M})) < 1$ for $n \gg 0$. Since L is ample, this is equivalent to $\mu(\Gamma(\bar{L}^{\otimes n} \otimes \bar{M})) < e^{-n\epsilon}$ for some $\epsilon > 0$, to $\lambda(\Gamma(\bar{L}^{\otimes n} \otimes \bar{M})) < e^{-n\epsilon}$, and finally to $\lambda(\Gamma(\bar{L}^{\otimes n} \otimes \bar{M})) < 1$.

Lemma (4.4). *Let V be a \mathbb{Q} vector space with a global norm $\|\cdot\|$, and let*

$$0 = V_0 \subset V_1 \subset \dots \subset V_{n+1} = V$$

be a filtration for V . Assume that, for each i , V_i has a global norm. It induces a quotient norm on V_i/V_{i-1} . Notice that the norm on V_{n+1} and the norm on V may not be same. Let f be the smallest positive integer such that

(1) *for all p coprime with f the modules*

$$\tilde{V}_{i,p} = \{x \in V_i \otimes \mathbb{Q}_p : \|x\|_p \leq 1\}$$

induce the \mathbb{Q}_p norms on V_i for all i ;

(2) $\tilde{V}_{i,p} \subset \tilde{V}_{i+1,p}$ *for all i .*

Let $\rho_{i,p}$ denote the norm of the map $\tilde{V}_{i,p} \rightarrow V_p$, and ρ_i denote $\rho_\infty \prod_{p|f} p^2 \rho_{i,p}$. Then

$$\lambda(V) \leq \rho_{n+1} \lambda(V_{n+1}/V_n) + \sum_{i < n} \rho_{i+1} \lambda(V_{i+1}/V_i) \dim_{\mathbb{Q}}(V_{i+1}/V_i).$$

Proof. We prove the following two special cases first.

Case 1. Assume that $f = 1$ and for each i the norm $\|\cdot\|_\infty$ on V_i is induced from V . In this case we have that $\rho(V_i) = 1$. By induction on n , we need only prove the following inequality: $\lambda(V) \leq \lambda(V/V_1) + \dim_{\mathbb{Q}} V_1 \lambda(V_1)$. Let $\dim_{\mathbb{Q}} V_1 = d_1$ and $\dim_{\mathbb{Q}} V = d_1 + d_2$. Choose l'_1, l'_2, \dots, l'_d in \tilde{V} such that their images m_1, m_2, \dots, m_d form a set of maximal rank for \tilde{V}/\tilde{V}_1 , and

$\max_i \|m_i\|_\infty = \lambda(V/V_1)$. Choose l_1, l_2, \dots, l_{d_1} in \tilde{V}_1 such that they form a subset of maximal rank for \tilde{V}_1 and $\max_i \|l_i\|_\infty = \lambda(\tilde{V}_1)$. Choose $l''_1, l''_2, \dots, l''_{d_2}$ in $V_{\mathbb{R}}$ such that, for each i , l''_i has image m_i and $\|l''_i\|_\infty = \|m_i\|_\infty$. Since $l''_i - l'_i$ are in $V_{\mathbb{R}}$, we have real numbers α_{ij} , $1 \leq i \leq d_2$ and $1 \leq j \leq d_1$, such that

$$l''_i - l'_i = \sum_j \alpha_{ij} l_j.$$

Set $l_{d_1+i} = l'_i + \sum_j [\alpha_{ij}] l_j \in \tilde{V}$ for $1 \leq i \leq d_2$. Then $\{l_1, l_2, \dots, l_{d_1+d_2}\}$ is a subset of full rank in \tilde{V} and

$$\|l_{d_1+i}\|_\infty = \left\| l''_i - \sum_j (\alpha_{ij} - [\alpha_{ij}]) l_j \right\|_\infty \leq \|l''_i\|_\infty + \sum_j \|l_j\|_\infty.$$

This implies that $\lambda(V) \leq \lambda(V/V_1) + \dim_{\mathbb{Q}} V_1 \lambda(V_1)$.

Case 2. Assume that $n = 0$. Let $\{l_i\}$ be a set of maximal rank in \tilde{V}_1 with ∞ -norm bounded by $\lambda(V_1)$, and let $\{m_i\}$ be its image in V . Then, for each i , $\|m_i\|_p \leq 1$ if $(p, f) = 1$, and $\|m_i\|_p \leq \rho_{ip}$ otherwise. Let n_{ip} denote $\lceil \frac{\log \rho_{ip}}{\log p} \rceil + 1$, and let m'_i denote $m_i \prod_{p|f} p^{n_{ip}}$. The subset $\{m'_i\}$ in V is contained in \tilde{V} with maximal rank, and the ∞ -norms of its elements are bounded by $\rho_\infty \prod_{p|f} p \rho_{ip} \lambda(V_1)$.

Now we want to prove the lemma for the general case. Let V' denote the \mathbb{Q} -vector space V whose nonarchimedean norms are induced by \tilde{V} . Let V'_i denote V_i with the norm induced by the subspace norm of V' . Then by Case 1 we have that

$$\lambda(V) = \lambda(V') \leq \lambda(V'_{n+1}/V'_n) + \sum_{i < n} \lambda(V'_{i+1}/V'_i) \dim_{\mathbb{Q}}(V_{i+1}/V_i).$$

We need only prove that

$$\lambda(V'_{i+1}/V'_i) \leq \lambda(V_{i+1}/V_i) \rho_{i+1}.$$

By Case 2 we need only prove that for each i the norm of the map $\alpha_i : V_i \rightarrow V'_i$ is bounded by $\prod_{p|f} p \rho_{ip}$. Let V''_i be the space with subspace global norm induced by V . Then α_i is the composition of the canonical maps $\beta_i : V_i \rightarrow V''_i$ and $\gamma_i : V''_i \rightarrow V'_i$. The assertion follows, since for each p , the \mathbb{Q}_p -norm of γ_i is bounded by p .

(4.5) *Proof of (4.2).* By (4.3.1) we need only prove that $\lambda(\Gamma(\tilde{L}^{\otimes n} \otimes \bar{M})) < 1$ for any sufficiently large n . We use induction on $d = \dim X$. If $d = 0$, then $X = \text{spec } K$ is the spectrum of a number field and L, M are vector spaces of K of dimension 1. By the assumption there is a nontrivial strictly effective section l of some positive power $\tilde{L}^{\otimes n_0}$. Let l_1, \dots, l_s be a basis of \tilde{L} , where L is considered as a vector space of dimension $s = [K : \mathbb{Q}]$ over \mathbb{Q} with a global norm. Let m be a nonzero element in M . For n sufficiently large, the

set $\{l^m : m = 1, \dots, s\}$ generates $\Gamma(L^{\otimes(n_0 n+1)} \otimes M)$ and consists of strictly effective sections.

Now we may assume that $d > 0$ and the theorem is true for all subvarieties of lower dimensions. Let $P(L, \bar{M})$ denote the assertion of the theorem. It is easy to see that, for any $N > 0$, the assertions $P(L^{\otimes N}, \bar{L}^{\otimes i} \otimes \bar{M})$, $i = 0, 1, \dots, N - 1$, together imply $P(L, \bar{M})$. So in the proof of $P(L, \bar{M})$, we may replace L by any fixed positive power.

Replacing L by a positive power, we may assume that L has a nontrivial strictly effective section l . Let I denote the ideal sheaf $I = L^{-1}l = O(-\text{div } l)$. We claim there is sequence of ideals

$$I_0 = I \subset I_1 \subset \dots \subset I_m = O_X,$$

and integral subvarieties D_1, \dots, D_m such that I_i/I_{i-1} are pushforwards of torsion free sheaves G_i on D_i . If I_{i-1} is constructed and $O_X/I_{i-1} \neq 0$, we construct I_i and D_i as follows. Since X is Noetherian, there is a nonzero subsheaf F of O_X/I_{i-1} such that all subsheaves of F have same support. Let D_i denote the support of F . Let n be the maximal positive integer such that $G_i = I_{D_i}^n F$ is nonzero. Then G_i is a torsion free sheaf on D_i . The preimage I_i in O_X of G_i is constructed as required. Since O_X is Noetherian, the chain $I_0 \subset I_1 \subset \dots$ will stop in finitely many steps. The claim is proved.

Let U be a Zariski open subset of $\text{spec } \mathbb{Z}$ such that all metrics of \bar{L}, \bar{M} on U are induced by a model $(\tilde{X}, \tilde{L}, \tilde{M})$, the section l is regular on \tilde{X} , and the sequence of I_i 's is extended to a sequence

$$\tilde{I}_0 = \tilde{L}^{-1} \subset \tilde{I}_1 \subset \dots \subset \tilde{I}_m = O_{\tilde{X}}$$

with $\tilde{G}_i = \tilde{I}_i/\tilde{I}_{i-1}$ supported on integral subvarieties \tilde{D}_i . Replacing U by a smaller open subset, we may assume that \tilde{L} is ample on \tilde{X} . For each p not in U , put Galois invariant bounded metrics on I_i and on G_i .

For each $N = nm + r$ with $1 \leq r \leq m$, define $D_N = D_r$. Let \bar{L}_N denote the metrized sheaf $L^{\otimes n} \otimes M \otimes I_r$, let \tilde{L}_N denote the corresponding sheaf on \tilde{X} , and let V_N denote the \mathbb{Q} -vector space $\Gamma(L_N)$ with global norms. Consider the filtration of $V_{nm} = \Gamma(X, L^{\otimes n} \otimes M)$:

$$0 = V_0 \subset V_1 \subset \dots \subset V_{nm}.$$

By Lemma (4.4), and Lemmas (4.6) and (4.7) which we will prove later,

$$\begin{aligned} \lambda(V_{nm}) &\leq \sum_{N \leq nm} e^{(N-nm)c_1+c_2-Nc_3+c_4} \dim_{\mathbb{Q}}(V_N/V_{N-1}) \\ &\leq e^{-c_5 n+c_6} \dim_{\mathbb{Q}}(V_N), \end{aligned}$$

where c_1-c_4 are positive numbers defined in the following lemmas, and c_5, c_6 are some positive numbers independent of n . By the Riemann-Roch theorem, $\dim_{\mathbb{Q}}(V_N)$ is bounded by a power of n , it follows that

$$\lambda(\Gamma(\bar{L}^{\otimes n} \otimes \bar{M})) < 1$$

for sufficiently large n . This will complete the proof of the theorem.

Lemma (4.6). *Let ρ_N denote the norm of the map $V_N \rightarrow V_{nm}$ for $N \leq nm$ defined in Lemma (4.4). There are two positive numbers c_1, c_2 independent of N such that*

$$\rho_N \leq e^{c_1(N-nm)+c_2}.$$

Proof. Write $N = mk + r$ with $0 \leq k < n$ and $1 \leq r \leq m$. Then the map $V_N \rightarrow V_{nm}$ is given by the multiplication of

$$l'_N = l^{n-k} l_r,$$

where l_r is the injection $I_i \rightarrow O_X$, which has finite norm by (a.4). Let U' denote $S - U - \{\infty\}$; then

$$\|l'_N\|_p = \begin{cases} 1, & \text{for } p \in U; \\ \|l_r\|_p, & \text{for } p \in U'; \\ \|l\|_\infty^{n-k} \|l_r\|_\infty, & \text{for } p = \infty. \end{cases}$$

It follows that $\rho_N \leq \|l\|_\infty^{n-k} \prod_{p \in U'} \|l_r\|_p \leq e^{(N-nm)c_1+c_2}$ for some positive constants c_1, c_2 independent of N, n .

Lemma (4.7). *There are two positive numbers c_3, c_4 such that, for any N , the following estimate holds:*

$$\lambda(V_N/V_{N-1}) \leq e^{-c_3N+c_4}.$$

Proof. Since L is ample and (4.2) holds for subvarieties D_1, \dots, D_m , by induction, we can find a positive integer n_0 such that the following conditions are verified.

(1) The algebra

$$A = \bigoplus_{1 \leq i \leq m} \bigoplus_{n \geq 0} \Gamma(D_i, L^{\otimes n_0 n})$$

is generated by the group

$$A_1 = \bigoplus_{1 \leq i \leq m} \Gamma(D_i, L^{\otimes n_0}).$$

(2) $\lambda(A_1) < 1$. Choose a finite subset $\{l_i\}$ of A_1 of maximal rank such that each element belongs to a single component and is strictly effective.

Since $M = \bigoplus_{1 \leq i \leq m} \bigoplus_{N \geq 1} \Gamma(D_i, L_N)$ is a finitely generated A module, there is positive constant n_1 such that this module is generated by

$$M_0 = \bigoplus_{1 \leq i \leq m} \bigoplus_{1 \leq N \leq n_1 m} \Gamma(D_i, L^{\otimes N})$$

over A . Choose a finite subset $\{m_i\}$ of maximal rank in M_0 such that each element belongs to a single component and $\|m_i\|_p \leq 1$ for all $p \neq \infty$.

For N sufficiently large, from the exact sequence

$$0 \rightarrow \Gamma(L_{N-1}) \rightarrow \Gamma(L_N) \rightarrow \Gamma(D_N, L_N) \rightarrow 0,$$

we obtain an isomorphism

$$\alpha : V_N/V_{N-1} \rightarrow \Gamma(D_N, L_N).$$

We identify these two spaces via α and let $\|\cdot\| = \{\|\cdot\|_p\}$ (resp. $\|\cdot\|_\Gamma = \{\|\cdot\|_{\Gamma_p}\}$) denote the global norm induced from the image (resp. the domain) of α . Notice that the subset

$$S_N = \{l_{j\alpha} = m_j \prod_i l_i^{\alpha_i} \in \Gamma(D_N, L_N) : \alpha_i = 0, 1, \dots\}$$

generates $\Gamma(D_N, L_N)$. To estimate $\lambda(V_N/V_{N-1})$ we need to estimate $\|\cdot\|_\Gamma$ of elements in S_N .

Case 1: $p \in U$. For any N sufficiently large one has the exact sequence

$$0 \rightarrow \Gamma(\tilde{X}, \tilde{L}_{N-1}) \rightarrow \Gamma(\tilde{X}, \tilde{L}_N) \rightarrow \Gamma(\tilde{D}_N, \tilde{L}_N) \rightarrow 0.$$

It follows that, for any $p \in U$, any $l_{j\alpha}$ in S_N has $\|\cdot\|_{\Gamma_p}$ bounded by 1.

Case 2: $p \in U' = S - U - \{\infty\}$. Let ϵ be any positive number. Write $N = (kn_0 + s)m + r$ with $0 \leq s \leq n_1$. Applying Theorem (3.3) to ample bundle $L^{\otimes n_0}$, one obtains elements $l'_{j\alpha}$'s in $\Gamma(L_N \otimes_{\mathbb{Q}} \mathbb{Q}_p) = V_N \otimes_{\mathbb{Q}} \mathbb{Q}_p$ such that their images in $\Gamma(D_N \times \text{spec } \mathbb{Q}_p, L_N \otimes \mathbb{Q}_p)$ are $l_{j\alpha}$'s and

$$\|l'_{j\alpha}\| \leq p^{k\epsilon} \|m_j\| \prod_i \|l_i\|^{\alpha_i} \leq p^{k\epsilon}.$$

It follows that $\|l_{j\alpha}\|_{\Gamma_p} \leq p^{k\epsilon}$.

Case 3: $p = \infty$. Let c be a positive number such that all l_i have $\|\cdot\|_\infty$ less than e^{-c} . Then by the same argument we obtain that $\|l_{j\alpha}\|_{\Gamma_\infty} \leq e^{k\epsilon - c}$.

Let $t_{j\alpha}$ denote $(\prod_{p \in U'} p^{[k\epsilon]+1})l_{j\alpha}$. Then $\{t_{j\alpha}\}$ also generates $\Gamma(D_N, L_N)$ and

$$\|t_{j\alpha}\|_{\Gamma_p} = \begin{cases} 1, & p \neq \infty; \\ e^{k(\epsilon - c)} \prod_{p \in U'} p^{[k\epsilon]+1}, & p = \infty. \end{cases}$$

It follows that, for sufficiently large N ,

$$\lambda(V_N/V_{N-1}) \leq e^{-kc + kc'\epsilon},$$

where c' is a positive number independent of ϵ , k . Choosing a sufficiently small ϵ we may find positive constants c_3 and c_4 such that, for all $N \geq 0$,

$$\lambda(V_N/V_{N-1}) \leq e^{-Nc_3 + c_4}.$$

The proof of the lemma is complete.

Corollary (4.8). *Let X be an arithmetic variety with regular generic fiber and let \bar{L}, \bar{M} be two hermitian line bundles on X . Assume that the following conditions are verified:*

- (1) $L_{\mathbb{Q}}$ is ample and \bar{L} is relatively semipositive.
- (2) For any irreducible horizontal subvariety Y (i.e., Y is flat over $\text{spec } \mathbb{Z}$), the height $c_1(L|_Y)^{\dim Y}$ of Y is positive.

Then for n sufficiently large, there exists a basis of $\Gamma(X, L^{\otimes n} \otimes M)$ consisting of strictly effective sections.

Proof. By (3.5), the globally metrized line bundle $(L_{\mathbb{Q}}, \{\|\cdot\|_p\})$ on $X_{\mathbb{Q}}$ induced by \bar{L} is semiample metrized. By (1.9), for any Y , some positive power of $L|_Y$

will have a nontrivial strictly effective section. The assertion of lemma follows from (4.2).

5. ALGEBRAIC POINTS WITH SMALL HEIGHTS

(5.1) Let X be an arithmetic variety of dimension d . Let \bar{L} be a hermitian line bundle on X . We say \bar{L} is relatively semiample if L is relatively semi-positive and the metric of \bar{L} is semiample. By (3.5), \bar{L} is relatively semiample if and only if the induced adelic metric of L is semiample metrized. Modulo a positive answer to question (3.6), \bar{L} is relatively semiample if and only if it is relatively semi-positive.

For any $x \in X(\mathbb{Q})$, let D_x denote the Zariski closure of x in X ; then the height $h_L(x)$ is defined to be $\deg(\bar{L}|_{D_x})/\deg(D_x)$. Assume that $L_{\mathbb{Q}}$ is ample. For each subset U of $X_{\mathbb{Q}}$, let $e_L(U)$ denote the number $\inf_{x \in U} h_L(x)$. For each $1 \leq i \leq d$, let

$$e_i(\bar{L}) = \liminf \{e_L(X - Y) : Y \subset X_{\mathbb{Q}} \text{ closed of codimension } i\}.$$

It is clear that $e_1(\bar{L}) \geq e_2(\bar{L}) \geq \dots \geq e_d(\bar{L})$. The main result of this section is the following theorem:

Theorem (5.2). *Let X be an arithmetic variety of dimension d , and let \bar{L} be a hermitian line bundle such that $L_{\mathbb{Q}}$ is ample and \bar{L} is relatively semiample with smooth metric. Then*

$$de_1(\bar{L}) \geq \frac{c_1(\bar{L})^d}{c_1(L_{\mathbb{Q}})^{d-1}} \geq e_1(\bar{L}) + \dots + e_d(\bar{L}).$$

Lemma (5.3). *Let X be an arithmetic variety of dimension d , and let \bar{M} be a hermitian line bundle on X such that $M_{\mathbb{Q}}$ is ample and \bar{M} is relative semi-positive. Assume that $\Gamma(\bar{M})$ has nontrivial elements s_1, \dots, s_d such that $\cap_i |\text{div}(s_i)| = \emptyset$ and $\|s_i\| < 1$. Then we have the following assertions.*

- (1) $c_1(\bar{M})^d > 0$.
- (2) For each $1 \leq i \leq d$, there is an effective cycle Z_i of codimension i such that for any relatively semi-positive hermitian line bundle L , the following inequality holds:

$$c_1(\bar{L})^{d-i} c_1(\bar{M})^i \geq c_1(\bar{L}|_{Z_i})^{d-i}.$$

- (3) For any finitely many irreducible subvarieties V_1, \dots, V_m of $X_{\mathbb{Q}}$, there is a section l of a positive power $M^{\otimes p}$, such that $\|l\| < 1$ and $l|_{V_i} \neq 0$ for all i .

Proof. For each $1 \leq i \leq d$, we claim that $c_1(\bar{M})^i$ can be represented by a “strictly effective” arithmetic cycle (Z_i, g_i) ; this means that Z_i is an effective algebraic cycle on X , and

$$g_i = \sum_{k=0}^{i-1} f_{ik} \delta_{Z_{ik}} c_1(\bar{M})^{i-1-k},$$

where $f_{ik} > 0$ are functions on X and Z_{ik} are effective cycles on $X_{\mathbb{C}}$ of codimension k . We prove this claim by induction on i . If $i = 1$ then $c_1(\bar{M})$ is represented by $(\text{div } s_1, -\log \|s_1\|)$. Now assume that $i > 1$ and $c_1(\bar{M})^{i-1}$ is represented by (Z_{i-1}, g_{i-1}) as required. Assume $Z_{i-1} = \sum C_j$ with C_j irreducible. Since sections s_1, \dots, s_n do not have base point, for each C_j there is a section s'_j in $\{s_i\}$ such that $s'_j|_{C_j} \neq 0$; then $c_1(\bar{M})^i$ is represented by

$$\left(\sum \text{div}(s'_j|_{C_j}), g_{i-1}c'_1(\bar{M}) - \sum_j \log \|s'_j\| \delta_{C_j} \right).$$

This proves our claim. It follows that

$$c_1(\bar{M})^d = \text{deg}(Z_d, g_d) \geq \int f_{d0} c'_1(\bar{M})^{d-1} > 0$$

and

$$c_1(\bar{L})^{d-i} c_1(\bar{M})^i = c_1(\bar{L}|_{Z_i})^{d-i} + \int g_i c'_1(\bar{L})^{d-i} \geq c_1(\bar{L}|_{Z_i})^{d-i}.$$

It remains to prove (3). Replacing $\{V_1, V_2, \dots, V_m\}$ by the subset of maximal elements, we may assume that $V_i \not\subset V_j$ for $i \neq j$. For each i there is a section s'_i in $\{s_1, \dots, s_n\}$ such that $s'_i|_{V_i} \neq 0$. Since $M_{\mathbb{Q}}$ is ample, there are m sections t_1, \dots, t_m of some positive power $M^{\otimes p_0}$ such that $t_i|_{V_j} = 0$ for $i \neq j$ and $t_i|_{V_i} \neq 0$. It follows that, for sufficiently large p , the section

$$l = \sum s_i'^{p-p_0} t_i$$

will satisfy our requirement.

Lemma (5.4). *Let (X, \bar{L}) be assumed as in (5.2). Assume in addition that $e_d(\bar{L}) \geq 0$; i.e., $h_L(x) \geq 0$ for any $x \in X(\mathbb{Q})$. Then $c_1(\bar{L})^d \geq 0$.*

Proof. Our argument is adapted from [Ha], Chapter I, §6. By induction on $d = \dim X$, we may assume that $c_1(\bar{L}|_Y)^{\dim Y} \geq 0$ for any subvariety Y of X with $\dim Y < d$. Let M be a very ample line bundle, and let s_1, s_2, \dots, s_k be a basis for $\Gamma(M)$. We define the $\frac{1}{2}$ -scaled Fubini-Study metric $\|\cdot\|$ as follows. For any point x of $X_{\mathbb{C}}$ and any local section s of M with $s(x) \neq 0$, then

$$\|s\|(x) = \frac{1}{2} \left(\sum_i \left| \frac{s_i}{s} \right|^2 \right)^{-\frac{1}{2}}.$$

It is easy to see that $\bar{M} = (M, \|\cdot\|)$ is semiample metrized and $\|s_i\|_{\text{sup}} \leq \frac{1}{2}$.

For any subvariety Y of X which is flat over $\text{spec } \mathbb{Z}$ and is of dimension s , let $m_{Y_i} = c_1(\bar{L}|_Y)^{s-i} c_1(\bar{M}|_Y)^i$ for $0 \leq i \leq s$. By (5.3) and assumptions, $m_{Y_s} > 0$, and $m_{Y_i} \geq 0$ if $s < d$ or $i > 0$. We need to show that $m_{X_0} \geq 0$. Let $p_Y(t)$ denote the polynomial

$$(c_1(\bar{L}|_Y) + t c_1(\bar{M}|_Y))^s = m_{Y_0} + s m_{Y_1} t + \dots + m_{Y_s} t^s.$$

We claim that if $t > 0$ and $p_X(t) > 0$, then $p_X(t) \geq t^d m_{X,d}$. By this claim $p_X(t)$ does not have positive root. It follows that $m_{X,0} = p_X(0) \geq 0$. By continuity we need only prove our claim for $t = \frac{b}{a}$, a rational number with positive integers a, b , such that $p_X(t) > 0$.

Let L' denote the line bundle $L^{\otimes a} \otimes \bar{M}^{\otimes b}$. Then L' has positive height for any irreducible subvariety Y which is flat over $\text{spec } \mathbb{Z}$. In fact, if $Y = X$, then $c_1(L')^d = a^d p_X(\frac{b}{a}) > 0$, and if $s = \dim Y < d$, then $c_1(L'|_Y)^s \geq b^s c_1(\bar{M}|_Y)^s > 0$. By (1.9) and (4.2) for $n \gg 0$, $\tilde{\Gamma}(X, L_Q'^{\otimes n}) = \Gamma(X, L'^{\otimes n})$ will have a basis s_1, \dots, s_k such that $\|s_i\| < 1$ for all i . Since L' is ample, for $n \gg 0$, $\cap_i |\text{div } s_i| = \emptyset$. By (5.3) and the assumptions on L , we have that $c_1(L)c_1(L')^{d-1} \geq 0$. It follows that

$$\begin{aligned} p_X\left(\frac{b}{a}\right) &= a^{-d}(ac_1(L) + bc_1(\bar{M}))c_1(L')^{d-1} \\ &\geq ba^{-d}c_1(\bar{M})c_1(L')^{d-1} \geq \left(\frac{b}{a}\right)^d c_1(\bar{M})^d. \end{aligned}$$

This proves our claim, and therefore the lemma.

(5.6) *Proof of (5.2).* Fix a hermitian line bundle $\bar{N} = (N, \|\cdot\|)$ such that N is ample and $\|\cdot\|$ is semiample. Since numerically $\frac{1}{n}c_1(\bar{L}^{\otimes n} \otimes \bar{N}) \rightarrow c_1(L)$ as $n \rightarrow \infty$, and every term in (5.2) depends continuously on $c_1(L)$, it suffices to prove (5.2) for bundle $L^{\otimes n} \otimes \bar{N}$ for all $n > 0$. In other words we may assume that L is ample in the following proof.

The first inequality of (5.2) follows from (1.9) as following. Let ϵ be any positive number, and let \bar{L}' denote the hermitian line bundle

$$\bar{L}'\left(-\frac{c_1(L)^d}{dc_1(L_Q)^{d-1}} + \epsilon\right),$$

where $\bar{L}(a) = (L, \|\cdot\|_L e^{-a})$ for a constant a . It is easy to see that $c_1(\bar{L}')^d > 0$. By (1.9), there is a nontrivial section s of a positive power $\bar{L}'^{\otimes n}$ with $\|s\| < 1$. It follows that $\bar{L}'^{\otimes n}$ has nonnegative height at each point out of $Y = \text{div}(s)$, and so does \bar{L}' . Therefore

$$e_1(\bar{L}) \geq \inf_{x \notin Y(\mathbb{Q})} h_L(x) = \inf_{x \notin Y(\mathbb{Q})} h_{\bar{L}'}(x) + \frac{c_1(L)^d}{dc_1(L_Q)^{d-1}} - \epsilon \geq \frac{c_1(\bar{L})^d}{dc_1(L_Q)^{d-1}} - \epsilon.$$

Since ϵ is arbitrary, this shows the required inequality.

We use induction on $d = \dim X$ to prove the second inequality of (5.2). If $d = 0$ it is trivial. We assume that $d > 0$, and the inequality is true for any subvariety Y of dimension $< d$. Let ϵ be any positive number. Consider the line bundle $\bar{M} = \bar{L}(-e_d(\bar{L}) + \epsilon)$. Since $\bar{L}(-e_d(\bar{L}))$ has nonnegative height at any point of X , it has nonnegative height at each irreducible subvariety of X by (5.4). It follows that \bar{M} has positive height at each subvariety of X . By (4.2) some positive power $\bar{M}^{\otimes n}$ will have a basis s_1, \dots, s_k such that $\|s_i\| < 1$ for all i . Since L is ample, it follows that $\cap_i |\text{div } s_i| = \emptyset$ for $n \gg 0$.

For each i , by definition, there is a subvariety Z_i (may not be irreducible) of $X_{\mathbb{Q}}$ of codimension i such that

$$e_i(\bar{L}) \leq \inf_{x \notin Z_i(\mathbb{Q})} h_L(x) + \epsilon.$$

By (5.3) we may find a section l of a power $\bar{M}^{\otimes m}$ such that l is not zero at each generic point of each Z_i . Let $Y = \text{div}(l)$. It follows that

$$c_1(\bar{L})^{d-1} c_1(\bar{M}) = \frac{1}{m} c_1(\bar{L})^{d-1} (Y, -\log |l|) \geq \frac{1}{m} c_1(L|_Y)^{d-1}.$$

Since $mc_1(L_{\mathbb{Q}})^{d-1} = c_1(L_{\mathbb{Q}}|_{Y_{\mathbb{Q}}})^{d-2}$, it follows that

$$\frac{c_1(\bar{L})^d}{c_1(L_{\mathbb{Q}})^{d-1}} \geq \frac{c_1(\bar{L}|_Y)^{d-1}}{c_1(L_{\mathbb{Q}}|_{Y_{\mathbb{Q}}})^{d-2}} - \epsilon + e_d(\bar{L}).$$

By induction,

$$\frac{c_1(\bar{L}|_Y)^{d-1}}{c_1(L_{\mathbb{Q}}|_{Y_{\mathbb{Q}}})^{d-2}} \geq e_1(L|_Y) + e_2(L|_Y) + \dots + e_{d-1}(L|_Y),$$

and definitions,

$$e_i(\bar{L}|_Y) \geq \inf_{x \notin Z_i \cap Y} h_L(x) \geq e_i(\bar{L}) - \epsilon,$$

we have that

$$\frac{c_1(\bar{L})^d}{c_1(L_{\mathbb{Q}})^{d-1}} \geq e_1(\bar{L}) + \dots + e_d(\bar{L}) - d\epsilon.$$

Since ϵ is arbitrary, we obtain the required inequality.

Corollary (5.7). *Let X be an arithmetic variety of dimension d , and let L be a hermitian line bundle such that $L_{\mathbb{Q}}$ is ample and \bar{L} is relatively semiample with smooth metric. Then we have the following assertions:*

(1) *Assume that \bar{L} has nonnegative height at each point of $X(\mathbb{Q})$. The following conditions are equivalent:*

- (i) *Some positive power of \bar{L} has a nontrivial strictly effective section.*
 - (ii) *There is a nonempty Zariski open subset U of X such the height function h_L has a positive lower bound on U .*
 - (iii) *The height of X with respect to \bar{L} is positive.*
- (2) *The following conditions are equivalent:*
- (iv) *For sufficiently large n , the group $\Gamma(L^{\otimes n})$ has a basis consisting of strictly effective sections.*
 - (v) *Some positive power of L has a set of sections which has no base point and whose elements are strictly effective.*
 - (vi) *The height function h_L has positive lower bound on X .*

Proof. (i) \rightarrow (ii): If a positive power $\bar{L}^{\otimes n}$ has a nontrivial strictly effective section l , then $h_L(x) \geq -\frac{1}{n} \log \|l\|$ when $l(x) \neq 0$.

(ii) \rightarrow (iii): (ii) implies that $e_1(\bar{L}) > 0$. By (5.2), we have $c_1(\bar{L})^d > 0$, since by assumption $e_d(\bar{L}) \geq 0$.

(iii) \rightarrow (i): By (1.9).

(iv) \rightarrow (v): Trivial.

(v) \rightarrow (vi): If a positive $\bar{L}^{\otimes n}$ has sections $\{l_1, \dots, l_k\}$ such that $\cap \text{div } l_i = \emptyset$ and $\|l_i\| < 1$, then $e_d(\bar{L}) \geq -\max_i \log \|l_i\| > 0$.

(vi) \rightarrow (iv): Assume (vi). For any subvariety Y of X which is flat over $\text{spec } \mathbb{Z}$, applying (5.2) to Y we obtain that $c_1(\bar{L}|_Y)^{\dim Y} > 0$. The assertion follows from (4.2).

(5.8) *Remark.* It is an interesting question to understand the relations between numbers $e_1(\bar{L}), e_2(\bar{L}), \dots, e_d(\bar{L})$. In the next section we will characterize torsion subvarieties of a multiplicative group using these numbers with respect to some canonical hermitian line bundles.

6. POSITIVITY OF NAIVE HEIGHTS

(6.1) Let us recall the definition of a canonical height function on $\mathbb{P}^n(\mathbb{Q})$. For each place p of \mathbb{Q} , let $|\cdot|_p$ denote the valuation on \mathbb{Q} such that $|p|_p = p^{-1}$ if p is finite, and let $|\cdot|_\infty$ denote the usual absolute value on \mathbb{Q} . Let \mathbb{Q}_p denote the completion of \mathbb{Q} with respect to $|\cdot|_p$, and let $\bar{\mathbb{Q}}_p$ denote an algebraic closure of \mathbb{Q}_p . The height function h_{\max} is defined as follows. For a point $x = (x_0, x_1, \dots, x_n)$ in $\mathbb{P}^n(\mathbb{Q})$, let K denote the Galois closure of $\mathbb{Q}(x_0, \dots, x_n)$; then we define

$$h_{\max}(x) = \frac{1}{[K : \mathbb{Q}]} \sum_p \sum_{\sigma : K \rightarrow \bar{\mathbb{Q}}_p} \log \max_i |\sigma x_i|_p.$$

Consider \mathbb{G}_m^n as the open subset $\{x_0 x_1 \cdots x_n \neq 0\}$ of \mathbb{P}^n . It is easy to see that $h_{\max}(x) \geq 0$ for any $x \in \mathbb{G}_m^n(\mathbb{Q})$, and $h_{\max}(x) = 0$ if and only if x is a torsion point of $\mathbb{G}_m^n(\mathbb{Q})$. The main result of this section is the following theorem:

Theorem (6.2). *Let X be an irreducible subvariety of \mathbb{G}_m^n over \mathbb{Q} . The following two statements are equivalent:*

(1) *For any nonempty open subset U , we have*

$$e_U = \inf_{x \in U} h_{\max}(x) = 0.$$

(2) *X is a torsion subvariety of \mathbb{G}_m^n . This means that X can be written as $x \cdot H$ in \mathbb{G}_m^n , where x is a torsion point, and H is a subgroup.*

(6.3) *Remarks.* (1) The assertion of the above theorem does not change if we replace h_{\max} by a height function h on $\mathbb{G}_m^n(\mathbb{Q}) = \mathbb{Q}^{*n}$ with property that there are two positive constants c_1 and c_2 such that for any x in $\mathbb{G}_m(\mathbb{Q})$, $c_1 h_{\max}(x) \leq h(x) \leq c_2 h_{\max}(x)$.

(2) Let X be a subvariety of \mathbb{G}_m^n defined over \mathbb{Q} . We say a torsion subvariety W of X is maximal if it is not contained in any larger torsion subvariety of X . Then (6.2) implies the following two assertions: (i) X has only finitely many maximal torsion subvarieties W_1, \dots, W_k ; (ii) The height function h_{\max} has a

positive lower bound on $X - \cup W_i$. The assertion (i) is a theorem of Ihara, Serre, and Tate (see §8.6 of [Lan]) when $\dim X = 1$, and is a theorem of Laurent [Lau] and Sarnak [Sa] if $\dim X > 1$. The assertion (ii) is an analogue of Lehmer's conjecture which claims that $h_{\max}(x)$ is bounded below by $c/[\mathbb{Q}(x) : \mathbb{Q}]$ for any nontorsion point x in \mathbb{Q}^* , where c is a positive constant.

(6.4) We will prove (6.2) using intersection theory. Fix a free group $V = \mathbb{Z}u + \mathbb{Z}v$ of rank 2. Let \mathbb{P}^1 denote the projective space associated to V ; then u, v can be considered as homogeneous coordinates of \mathbb{P}^1 , and V can be considered as space of sections of $O(1)$. We define a hermitian metric $\|\cdot\|_\infty$ as follows. For any point x in $\mathbb{P}^1(\mathbb{C})$ and any local section s of $O(1)$ near x such that $s(x) \neq 0$,

$$\|s\|_\infty = 1 / \max \left(\left| \frac{u(x)}{s(x)} \right|, \left| \frac{v(x)}{s(x)} \right| \right).$$

Let $\bar{O}_\infty(1)$ denote the hermitian line bundle $(O(1), \|\cdot\|_\infty)$. For a positive integer n , let \mathbb{P}_n denote the scheme $(\mathbb{P}^1)^n$, and also let $\bar{O}_\infty(1)$ denote the hermitian line bundle $\otimes_i \pi_i^* \bar{O}_\infty(1)$, where π_i is the i -th projection from \mathbb{P}_n to \mathbb{P}^1 . Let h_∞ denote the height function induced by $\bar{O}_\infty(1)$ on $\mathbb{P}_n(\mathbb{Q})$. Consider \mathbb{G}_m^n as the open subscheme of the generic fiber of \mathbb{P}_n defined as the complement of $\{u_1 v_1 u_2 v_2 \cdots u_n v_n = 0\}$, where $u_i = u \circ \pi_i$ and $v_i = v \circ \pi_i$. Then over $\mathbb{G}_m^n(\mathbb{Q})$ we have $h_{\max} \leq h_\infty \leq n h_{\max}$.

We want to define heights for arithmetic subvarieties of \mathbb{P}_n with respect to $\bar{O}_\infty(1)$. Notice that, on $\mathbb{P}^1(\mathbb{C})$, the metric $\|\cdot\|_\infty$ is not smooth, but it is the limit of $\{\|\cdot\|_l, l = 1, 2, \dots\}$, where for each $l \geq 1$, $\|\cdot\|_l$ is defined as

$$\|s\|_l(x) = \left(\left| \frac{u}{s} \right|^l(x) + \left| \frac{v}{s} \right|^l(x) \right)^{-1/l}.$$

Let $\bar{O}_l(1)$ denote the corresponding hermitian line bundle. We define heights with respect to $\bar{O}_\infty(1)$ as limits of heights with respect to $\bar{O}_l(1)$ by the following lemma.

The curvature $c'_1(\bar{O}_l(1))$ as a measure is given locally as

$$\frac{\partial \bar{\partial}}{\pi i} \log \|s\|_l = -\frac{1}{l} \frac{\partial \bar{\partial}}{\pi i} \log(1 + |z|^l) = \frac{dtd(\rho^l)}{(1 + \rho^l)^2},$$

where $z = v/u = \rho e^{2\pi i t}$. It follows that for any continuous function f ,

$$\int f c'_1(\bar{O}_l(1)) = \int_0^\infty \left[\int_0^1 f(\rho^{1/l} e^{2\pi i t}) dt \right] \frac{d\rho}{(1 + \rho)^2}.$$

Let T denote the unit circle $\{(u, v) : |u/v| = 1\}$; then $\lim_{l \rightarrow \infty} c'_1(\bar{O}_l(1)) = \delta_T$. Let $\bar{O}_l(1)$ also denote the hermitian line bundle $\sum_i \pi_i^* \bar{O}_l(1)$ on \mathbb{P}_n . Then $\bar{O}_l(1)$ has positive curvature. It follows that $\bar{O}_l(1)$ is semiample metrized. The following lemma gives some justifications for working on line bundles with limit metrics.

Lemma (6.5). *Let X be an arithmetic variety of dimension d . Let L be an ample line bundle and L_1, \dots, L_d be line bundles which have nonnegative degrees on any curves in any fibers.*

(1) *On each L_i , let $\|\cdot\|_i$ and $\|\cdot\|'_i$ be two semipositive smooth metrics, and let $g_i = \log \frac{\|\cdot\|'_i}{\|\cdot\|_i}$, $\bar{L}_i = (L_i, \|\cdot\|_i)$, $\bar{L}'_i = (L_i, \|\cdot\|'_i)$. Then for any nonzero rational section s of L_d , one has*

$$\begin{aligned} & \left| \int_{X(\mathbb{C})} \log \|s\|'_d c'_1(\bar{L}'_1) \cdots c'_1(\bar{L}'_{d-1}) - \int_{X(\mathbb{C})} \log \|s\|_d c'_1(\bar{L}_1) \cdots c'_1(\bar{L}_{d-1}) \right| \\ & \leq \sum_{i=1}^d \|g_i\|_{\text{sup}} c_1(L_1, \mathbb{Q}) \cdots c_1(L_{i-1}, \mathbb{Q}) c_1(L_{i+1}, \mathbb{Q}) \cdots c_1(L_d, \mathbb{Q}) \\ & \quad + \sum_{i=1}^{d-1} \|g_i\|_{\text{sup}} c_1(L_1, \mathbb{Q}) \cdots c_1(L_{i-1}, \mathbb{Q}) c_1(L_{i+1}, \mathbb{Q}) \cdots c_1(L_{d-1}, \mathbb{Q}) |\text{div}(s)|_{\mathbb{Q}}, \end{aligned}$$

where if $\text{div}(s) = \sum n_i Z_i$ with Z_i integral, then $|\text{div}(s)| = \sum |n_i| Z_i$.

(2) *On each L_i , let $\|\cdot\|_i$ be a continuous metric and $\{\|\cdot\|_{i_n}, n = 1, 2, \dots\}$ be a sequence of smooth and semipositive metrics such that $\log \frac{\|\cdot\|_{i_n}}{\|\cdot\|_i}$ converges uniformly to 0. Let $\bar{L}_{i_n} = (L_i, \|\cdot\|_{i_n})$, $\bar{L}_i = (L_i, \|\cdot\|_i)$; then*

$$\lim_{n \rightarrow \infty} c_1(\bar{L}_{1n}) \cdots c_1(\bar{L}_{dn})$$

exists and depends only on $\bar{L}_1, \dots, \bar{L}_d$. We let $c_1(\bar{L}_1) \cdots c_1(\bar{L}_d)$ denote this limit.

(3) *Let $\|\cdot\|$ be a continuous metric on L which is the limit of smooth and semiample metrics. Let $\bar{L} = (L, \|\cdot\|)$; then*

$$de_1(\bar{L}) \geq \frac{c_1(\bar{L})^d}{c_1(\bar{L}_{\mathbb{Q}})^{d-1}} \geq e_1(\bar{L}) + \cdots + e_d(\bar{L}).$$

Proof. For (1), one has

$$\begin{aligned} & \int_{X(\mathbb{C})} \log \|s\|'_d c'_1(\bar{L}'_1) \cdots c'_1(\bar{L}'_{d-1}) - \int_{X(\mathbb{C})} \log \|s\|_d c'_1(\bar{L}_1) \cdots c'_1(\bar{L}_{d-1}) \\ & = \int_{X(\mathbb{C})} (\log \|s\|'_d - \log \|s\|_d) c'_1(\bar{L}'_1) \cdots c'_1(\bar{L}'_{d-1}) \\ & \quad + \sum_{i=1}^{d-1} \int_{X(\mathbb{C})} \log \|s\|_d c'_1(\bar{L}_1) \cdots c'_1(\bar{L}_{i-1}) (c'_1(\bar{L}'_i) - c_1(\bar{L}_i)) c'_1(\bar{L}'_{i+1}) \cdots c'_1(\bar{L}'_{d-1}) \\ & = \int_{X(\mathbb{C})} g_d c'_1(\bar{L}'_1) \cdots c'_1(\bar{L}'_{d-1}) \\ & \quad + \sum_{i=1}^{d-1} \int_{X(\mathbb{C})} \log \|s\|_d c'_1(\bar{L}_1) \cdots c'_1(\bar{L}_{i-1}) \frac{\partial \bar{\delta}}{\pi i} g_i c'_1(\bar{L}'_{i+1}) \cdots c'_1(\bar{L}'_{d-1}), \end{aligned}$$

where $\partial, \bar{\delta}$ are in the distribution sense. Let $Z = \text{div}(s)$; then

$$\frac{\partial \bar{\delta}}{\pi i} \log \|s\|_d = c'_1(\bar{L}_d) - \delta_Z,$$

and

$$\begin{aligned} & \int_{X(\mathbb{C})} \log \|s\|_d c'_1(\bar{L}_1) \cdots c'_1(\bar{L}_{i-1}) \frac{\partial \bar{\delta}}{\pi i} g_i c'_1(\bar{L}'_{i+1}) \cdots c'_1(\bar{L}'_{d-1}) \\ &= \int_{X(\mathbb{C})} g_i \frac{\partial \bar{\delta}}{\pi i} \log \|s\|_d c'_1(\bar{L}_1) \cdots c'_1(\bar{L}_{i-1}) c'_1(\bar{L}'_{i+1}) \cdots c'_1(\bar{L}'_{d-1}) \\ &= \int_{X(\mathbb{C})} g_i (c'_1(\bar{L}_d) - \delta_Z) c'_1(\bar{L}_1) \cdots c'_1(\bar{L}_{i-1}) c'_1(\bar{L}'_{i+1}) \cdots c'_1(\bar{L}'_{d-1}). \end{aligned}$$

Since $c'_1(\bar{L}_i)$ and $c'_1(\bar{L}'_i)$ are all semipositive, the inequality of (1) follows by replacing g_i by $\|g_i\|_{\text{sup}}$ and $-\delta_Z$ by $\delta_{|Z|}$.

For (2), let s be a nonzero rational section of L_d , and let $\text{div } s = \sum n_i Z_i$; then

$$\begin{aligned} c_1(\bar{L}_{1n}) \cdots c_1(\bar{L}_{dn}) &= c_1(\bar{L}_{1n}) \cdots c_1(\bar{L}_{d-1,n}) (\sum n_i Z_i, -\log \|s\|_{dn}) \\ &= \sum n_i c_1(\bar{L}_{1n}|_{Z_i}) \cdots c_1(\bar{L}_{d-1,n}|_{Z_i}) - \int_{X(\mathbb{C})} \log \|s\|_{dn} c'_1(\bar{L}_{1n}) \cdots c'_1(\bar{L}_{d-1,n}). \end{aligned}$$

The assertion follows from (1) and induction on $\dim X$.

For (3), let $\{\|\cdot\|_l, l = 1, 2, \dots\}$ be a sequence of smooth and semiample metrics on L which is convergent uniformly to $\|\cdot\|$. Let $\bar{L}_l = (L, \|\cdot\|_l)$; by (5.2),

$$de_1(\bar{L}_l) \geq \frac{c_1(\bar{L}_l)^d}{c_1(\bar{L}_{l\mathbb{Q}})^{d-1}} \geq e_1(\bar{L}_l) + \dots + e_d(\bar{L}_l).$$

Since $\log \frac{\|\cdot\|_l}{\|\cdot\|} \rightarrow 0$ as $l \rightarrow \infty$ uniformly, it follows $e_i(\bar{L}_l) \rightarrow e_i(\bar{L})$ and $c_1(\bar{L}_l)^d \rightarrow c_1(\bar{L})^d$. Letting $l \rightarrow \infty$ in the above inequalities, we obtain the inequality for \bar{L} .

Lemma (6.6). *Let X be an irreducible arithmetic hypersurface of \mathbb{P}_n ($n \geq 2$) which is defined by a polynomial $F(x_1, \dots, x_n) = \sum a_{i_1, \dots, i_n} x_1^{i_1} \cdots x_n^{i_n}$ on \mathbb{A}^n with property that if $a_{i_1, \dots, i_n} \neq 0$ then $i_2 \geq i_1$. Assume that $c_1(\bar{O}_\infty(1)|_X) = 0$. Then for any torsion point τ in \mathbb{Q}^* we have $c_1(\bar{O}_\infty(1)|_{X_\tau})^{n-1} = 0$, where X_τ is an arithmetic subvariety of X defined by the following polynomial over \mathbb{Z} :*

$$\psi(x_1) = \prod_{\sigma: \mathbb{Q}(\tau) \rightarrow \mathbb{Q}} (u_1 - \sigma(\tau)v_1).$$

Proof. First of all we have that $c_1(\bar{O}_\infty(1))^2 = 0$ on \mathbb{P}^1 . This follows from (6.5), (3). It follows that, for any subvariety Y of \mathbb{P}_n of dimension d ,

$$c_1(\bar{O}_\infty(1)|_Y)^d = \sum_{i_1 < i_2 < \dots < i_d} d! c_1(\pi_{i_1}^* \bar{O}_\infty(1)|_Y) \cdots c_1(\pi_{i_d}^* \bar{O}_\infty(1)|_Y).$$

Let p_i denote the restriction of π_i on X and let $d = [\mathbb{Q}(\tau) : \mathbb{Q}]$. By assumption F is not contained in closed fibers of π_1 , so ψ is not a zero

section of $p_1^*(O(d))$. Representing $dc_1(p_1^*\bar{O}_l(1))$ by $(X_\tau, -\log\|\psi\|_l)$, we have

$$\begin{aligned} 0 &= dc_1(p_1^*\bar{O}_\infty(1)) \cdots c_1(p_n^*\bar{O}_\infty(1)) \\ &= \lim_{l \rightarrow \infty} (X_\tau, -\log\|\psi\|_l) c_1(p_2^*\bar{O}_l(1)) \cdots c_1(p_n^*\bar{O}_l(1)) \\ &= \frac{1}{(n-1)!} c_1(\bar{O}_\infty(1)|_{X_\tau})^{n-1} - \lim_{l \rightarrow \infty} \int_{X(\mathbb{C})} \log\|\psi\|_l \delta_{l_2} \cdots \delta_{l_n}, \end{aligned}$$

where $\delta_{li} = p_i^*c'_1(\bar{O}_l(1))$. To prove that $p_1^{-1}(\tau)$ has height 0, it suffices to prove that

$$(6.6.1) \quad A_{lX} = \int_X \log\|\psi\|_l \delta_{l_2} \cdots \delta_{l_n} \text{ has limit } 0 \text{ as } l \rightarrow \infty.$$

We want to use induction on n to prove (6.6.1). It is trivial for $F = cx_2$ for some constant c . So we assume that $x_2 \nmid F$. Now F can be written as $x_2F_1 + F_2$ with F_1 a polynomial of (x_1, \dots, x_n) and F_2 a nonzero polynomial of (x_3, \dots, x_n) . It follows that v_2 does not vanish on any component of any fiber $p_1^{-1}(a)$ for $a \in \mathbb{C}$. Since

$$\begin{aligned} \delta_{l_2} &= \frac{\partial \bar{\delta}}{\pi i} \log\|v_2\|_l + \delta_{p_2^{-1}(0)}, \\ d\delta_{l_1} &= \frac{\partial \bar{\delta}}{\pi i} \log\|\psi\|_l + \delta_{X_\tau}, \end{aligned}$$

we obtain that

$$\begin{aligned} A_{lX} &= \int_{X(\mathbb{C})} \log\|\psi\|_l \frac{\partial \bar{\delta}}{\pi i} \log\|v_2\|_l \delta_{l_3} \cdots \delta_{l_n} + \int_{p_2^{-1}(0)(\mathbb{C})} \log\|\psi\|_l \delta_{l_3} \cdots \delta_{l_n} \\ &= \int_{X(\mathbb{C})} \log\|v_2\|_l \frac{\partial \bar{\delta}}{\pi i} \log\|\psi\|_l \delta_{l_3} \cdots \delta_{l_n} + A_{l, p_2^{-1}(0)} \\ &= d \int_{X(\mathbb{C})} \log\|v_2\|_l \delta_{l_1} \delta_{l_3} \cdots \delta_{l_n} - \int_{X_\tau(\mathbb{C})} \log\|v_2\|_l \delta_{l_3} \cdots \delta_{l_n} + A_{l, p_2^{-1}(0)}. \end{aligned}$$

We claim that

$$(6.6.2) \quad c_1(\bar{O}_\infty(1)|_{p_2^{-1}(0)})^{n-1} = 0 \text{ and } \lim_{l \rightarrow \infty} \int_{X(\mathbb{C})} \log\|v_2\|_l \delta_{l_1} \delta_{l_3} \cdots \delta_{l_n} = 0.$$

Representing $c_1(p_2^*\bar{O}_l(1))$ by $(p_2^{-1}(0), -\log\|v_2\|_l)$, we obtain that

$$\begin{aligned} 0 &= c_1(p_1^*\bar{O}_\infty(1)) \cdots c_1(p_n^*\bar{O}_\infty(1)) \\ &= \lim_{l \rightarrow \infty} (p_2^{-1}(0), -\log\|v_2\|_l) c_1(p_1^*\bar{O}_l(1)) c_1(p_3^*\bar{O}_l(1)) \cdots c_1(p_n^*\bar{O}_l(1)) \\ &= \frac{1}{(n-1)!} c_1(\bar{O}_\infty(1)|_{p_2^{-1}(0)})^{n-1} - \lim_{l \rightarrow \infty} \int_{X(\mathbb{C})} \log\|v_2\|_l \delta_{l_1} \delta_{l_3} \cdots \delta_{l_n}. \end{aligned}$$

The assertion (6.6.2) follows, since one can show that $c_1(\bar{O}_\infty(1)|_{p_2^{-1}(0)})^{n-1}$ is nonnegative by (6.5), (3), and that $\|v_2\|_l \leq 1$ by definition.

Since $p_2^{-1}(0)$ is defined by the equation $F_2 = 0$, one has that $p_2^{-1}(0) \subset \pi_2^{-1}(0) \simeq \mathbb{P}_{n-1}$ satisfies the condition of the lemma. The assertion (6.6.1) for

$n = 2$ follows by applying (6.6.2) and the fact $p^{-1}(0) = \emptyset$ to the displayed formula for A_{lX} . For $n > 2$, by induction one has that $\lim_{l \rightarrow \infty} A_{lp_2^{-1}(0)} = 0$. Combining with (6.6.2), one has

$$(6.6.3) \quad \lim_{l \rightarrow \infty} A_{lX} = - \lim_{l \rightarrow \infty} \int_{X_\tau(\mathbb{C})} \log \|v_2\|_l \delta_{l3} \cdots \delta_{ln}.$$

For any $x \in \mathbb{C}$ let $f_l(x) = \int_{p_1^{-1}(x)} \log \|v_2\|_l \delta_{l3} \cdots \delta_{ln}$. Then $f_l(x)$ is a nonpositive pointwise continuous function on \mathbb{C} . By (6.5), (1), as $l \rightarrow \infty$, this f_l converges uniformly. Let f_∞ denote the limit; then f_∞ must be a nonpositive pointwise continuous function. From (6.6.2) one has

$$0 = \lim_{l \rightarrow \infty} \int_{X(\mathbb{C})} \log \|v_2\|_l \delta_{l1} \delta_{l3} \cdots \delta_{ln} = \lim_{l \rightarrow \infty} \int_{\mathbb{C}} f_l c'_1(\bar{O}_l(1)) = \int_{\mathbb{C}} f_\infty \delta_T.$$

It follows that $f_\infty(x) = 0$ for $x \in T$. By (6.6.3), $\lim_{l \rightarrow \infty} A_{lX} = 0$. This proves (6.6.1) and therefore completes the proof of the lemma.

(6.7) *Proof of (6.2).* (2) \rightarrow (1) is trivial, since the set of torsion points is Zariski dense in a torsion subvariety.

First of all we reduce the proof of (1) \rightarrow (2) to the case that X is a hypersurface of \mathbb{G}_m^n . Assume (6.2) is true for all hypersurfaces of all multiplicative groups. Let X be a subvariety of \mathbb{G}_m^n which satisfies (1) of (6.2) and $d = \dim X < n - 1$. There is a projection π from \mathbb{G}_m^n to a factor \mathbb{G}_m^{d+1} of the product of $d + 1$ components of \mathbb{G}_m^n such that πX is a hypersurface of \mathbb{G}_m^{d+1} . It is easy to verify that πX also satisfies (1) of (6.2). By assumption πX can be written as xH , where x is a torsion point of \mathbb{G}_m^{d+1} and H is a subgroup of \mathbb{G}_m^{d+1} . Replacing X by $x^{-1}X$ (the assertions are invariant), we may assume that $x = 1$, i.e., πX is a subgroup. There is an isomorphism $p : \pi^{-1}(\pi X) \rightarrow \mathbb{G}_m^{n-1}$ of groups induced by a change of coordinates in \mathbb{G}_m^n . One can prove that h_{\max} and $p^* h_{\max}$ are in the same equivalent class, as defined in (6.3). This shows that pX satisfies (2) and therefore is a torsion subvariety by induction on n , so is X .

Now let X be a hypersurface of \mathbb{G}_m^n which satisfies (1) of (6.2). We want to prove the assertion (2) for X using induction on n . If $n = 1$ this is trivial, since X must be a torsion point. We assume that $n \geq 2$. Let X be defined by the equation $F = 0$ where

$$F = \sum_{i_1 \geq 0, \dots, i_n \geq 0} a_{i_1, \dots, i_n} x_1^{i_1} \cdots x_n^{i_n}$$

is an irreducible polynomial. Let x_2^k be the highest power of x_2 dividing $F(0, x_2, \dots, x_n)$. Changing coordinates $x_1 \rightarrow x_1 x_2^{k+1}$, X is defined by the equation

$$x_2^{-k} F(x_1 x_2^{k+1}, x_2, \dots, x_n) = 0.$$

It is not difficult to prove that $x_2^{-k} F(x_1 x_2^{k+1}, x_2, \dots, x_n)$ is an irreducible polynomial. So by changing coordinates we may assume that $a_{i_1, \dots, i_n} \neq 0$

implies that $i_2 \geq i_1$. We claim that for any torsion point τ in $\mathbb{G}_m(\mathbb{Q})$ the preimage $\pi_1^{-1}(\tau) \cap X$ is a torsion subvariety.

Consider \mathbb{G}_m^n to be an open subscheme that is the complement of $\{u_1 v_1 \cdots u_n v_n = 0\}$, and let \tilde{X} denote the Zariski closure of X in \mathbb{P}_n . By (6.5) we have that $c_1(\tilde{\mathcal{O}}_\infty(1)|_{\tilde{X}})^n = 0$. By Lemma (6.6), for any torsion point $\tau \in \mathbb{G}_m(\mathbb{Q})$, the arithmetic subvariety \tilde{X}_τ has height 0. The geometric generic fiber of \tilde{X}_τ over \mathbb{Q} can be written as a union of $p_1^{-1}(\tau) = \{\tau\} \times Y$ and its conjugates in \mathbb{Q} , where Y is a hypersurface Y in \mathbb{G}_m^{n-1} . By (6.5), $p_1^{-1}(\tau)$ satisfies condition (1); so does Y , since τ is a torsion point. By induction, Y is a torsion subvariety in \mathbb{G}_m^{n-1} , so is $p_1^{-1}(\tau)$ in \mathbb{G}_m^n . This proves our claim.

Write

$$F(x_1, y) = \sum_m a_m(x_1) y^m,$$

where $m = (m_2, \dots, m_n)$, $y = (x_2, \dots, x_n)$, $a_m(x_1)$ are polynomials of x_1 , and $y^m = x_2^{m_2} \cdots x_n^{m_n}$. Then by our claim if $x_1 = \tau$ is a root of unity, then every irreducible component of the variety X_τ in \mathbb{G}_m^{n-1} defined by $F_\tau = F(\tau, y)$ is torsion. Since torsion points in \mathbb{G}_m are Zariski dense, there are infinitely many τ such that X_τ is irreducible and nonempty. In this case there are $m_1(\tau)$ and $m_2(\tau)$ such that

$$F_\tau(y) = a_{m_1(\tau)}(\tau) y^{m_1(\tau)} + a_{m_2(\tau)}(\tau) y^{m_2(\tau)},$$

where $a_{m_1(\tau)}(\tau)$ and $a_{m_2(\tau)}(\tau)$ are not zero and their ratio is a root of unity. Since there are only finitely many such pairs $(m_1(\tau), m_2(\tau))$, one can find a pair (m_1, m_2) such that $m_1(\tau) = m_1$ and $m_2(\tau) = m_2$ are true for infinitely many roots τ of unity. Let $\phi(x) = \frac{a_{m_2}(x)}{a_{m_1}(x)}$ as a rational map from \mathbb{G}_m to \mathbb{G}_m , and let Γ denote the graph of ϕ in \mathbb{G}_m^2 . Then Γ has infinitely many torsion points. By a theorem of Ihara, Serre, and Tate, Γ must be a torsion subvariety of \mathbb{G}_m^2 . It follows that $\phi(x) = ax^n$, where a is a root of unity and n is an integer. Without loss of generality, we may assume that $n \geq 0$; otherwise we interchange m_1 and m_2 .

Let $\tilde{F}(x_1, y) = y^{m_1} + ax_1^n y^{m_2}$ and let \tilde{X} denote the torsion subvariety of \mathbb{G}_m^n defined by \tilde{F} . Then $\tilde{X} \cap X$ has dimension $\geq n - 1$. It follows that X is an irreducible component of \tilde{X} . So X is a torsion subvariety of \mathbb{G}_m^n . This completes the proof of the theorem.

APPENDIX: COHERENT SHEAVES WITH BOUNDED METRICS

(a.1) Let K be an algebraically closed valuation field. We assume that either K is the archimedean field \mathbb{C} , or a nonarchimedean field which is an algebraic extension of a complete discrete valuation subfield K_0 , with an algebraically closed residue field k . The valuation on K is chosen such that each uniformizer of K_0 has valuation e^{-1} .

Let V be a finite-dimensional vector space over K . A function $\|\cdot\| : V \rightarrow \mathbb{R}$ is called a K norm if the following conditions are verified:

- (1) $\|kx\| = |k|\|x\|$;
- (2) $\|x\| \geq 0$, and $\|x\| = 0$ iff $x = 0$;
- (3) if K is archimedean, then $\|x + y\| \leq \|x\| + \|y\|$, and if K is nonarchimedean, then $\|x + y\| \leq \max(\|x\|, \|y\|)$.

Let X be a projective scheme on $\text{spec } K$, and let F be a coherent sheaf on X . By a K -metric $\|\cdot\|$ on F we mean a collection of K -norms on each fiber $F(x)$, $x \in X(K)$.

Two metrics $\|\cdot\|$ and $\|\cdot\|'$ are said to be in the same bounded class if the number

$$\sup_{x \in X(K), f \in F(x) - \{0\}} |\log \|f\| - \log \|f\|'|$$

is finite.

(a.2) Let L be a line bundle on X . Sometimes, we need to consider the “good” metrics. If $K = \mathbb{C}$, this means that the metrics are continuous on $X(K)$. If K is nonarchimedean, this means that the metrics are “algebraic” as described as follows.

Let E be a finite extension of K_0 in K , and let R_E denote the valuation ring of E . Let \tilde{X} be a projective variety on $\text{spec } R_E$ with an isomorphism $\alpha : X \rightarrow \tilde{X}_K = \tilde{X} \times_{\text{spec } R_E} \text{spec } K$. Let \tilde{L} be a line bundle \tilde{L} on \tilde{X} with an α -isomorphism $\phi : L \rightarrow \tilde{L}_K = \tilde{L} \otimes_{R_E} K$. Then we can define a metric $\|\cdot\|_L$ on L as follows. Via α and ϕ we may identify X and L with \tilde{X}_K and \tilde{L}_K . Let $x : \text{spec } K \rightarrow X$ be any algebraic point, and let E' denote the field $E(x)$; then x can be factored through a R_E morphism $\tilde{x} : \text{spec } R_{E'} \rightarrow \tilde{X}$. One has that $x^*(L) \simeq \tilde{x}^*(\tilde{L}) \otimes_{R_{E'}} K$. For any $l \in x^*(L)$, we define

$$\|l\|_L = \inf_{a \in K} \{|a| : l \in a\tilde{x}^*(\tilde{L})\}.$$

We say that the metrized line bundle $\tilde{L} = (L, \|\cdot\|_L)$ is algebraic and is induced by the model (\tilde{X}, \tilde{L}) .

Notice that any two “good” metrics on L are in the same bounded class. So this bounded class depends only on L . We call any metric in this class a bounded metric. For any bounded metric $\|\cdot\|$, each section l of L on X has a finite supremum norm $\|l\|_{\text{sup}} = \sup_{x \in X(K)} \|l\|(x)$. If K is nonarchimedean and $\|\cdot\|$ is induced by a model (\tilde{X}, \tilde{L}) , then $\|\cdot\|_{\text{sup}}$ is induced by R_E module $\Gamma(\tilde{L})$ as follows. For $l \in \Gamma(L)$,

$$\|l\|_{\text{sup}} = \inf_{a \in K} \{|a| : l \in a\Gamma(\tilde{L}) \otimes_{R_E} R_K\}.$$

(a.3) For any coherent sheaf F , let $\phi_F : P_F \rightarrow X$ denote projective scheme $\text{proj}_X(\text{sym } F)$ over X associated to F and let L_F denote the $\mathcal{O}(1)$ bundle on P_F . Let $\|\cdot\|$ be a bounded metric on L_F . It induces a metric $\phi_{F*}\|\cdot\|$ on F as follows: for any $x \in X(K)$ and any $f \in F(x)$ which we consider as a section of L_F on $\phi^{-1}(x)$,

$$\phi_{F*}\|f\| = \sup_{p \in \phi^{-1}(x)} \|f(p)\|.$$

Notice that the bounded class of $\phi_{F*}\|\cdot\|$ does not depend on the choice of bounded metric $\|\cdot\|$; we call any metric in this class a bounded metric of F .

Theorem (a.4). Let $\bar{F} = (F, \|\cdot\|)$ and $\bar{G} = (G, \|\cdot\|)$ be two coherent sheaves with bounded metrics and let $h : F \rightarrow G$ be a morphism. The the norm

$$\|h\|_{\text{sup}} = \sup_{x \in X(K), f \in F(x) - \{0\}} \frac{\|h(f)\|}{\|f\|}$$

is finite.

Proof. Since the assertion does not depend on the choice of the bounded metrics, we may assume that the metrics on F, G are induced from bounded metrized line bundles $\bar{L}_F = (L_F, \|\cdot\|)$, $\bar{L}_G = (L_G, \|\cdot\|)$. On P_G we have a composite morphism

$$h' : \phi_G^* F \otimes L_G^{-1} \rightarrow \phi_G^* G \otimes L_G^{-1} \rightarrow \mathcal{O}_{P_G}.$$

It is easy to see that $\|h'\|_{\text{sup}} = \|h\|_{\text{sup}}$. Replacing X, F, G by $P_G, \phi_G^* F, \mathcal{O}_{P_G}$ we may assume that $G = \mathcal{O}_X$. Let I denote the image of h ; then h is decomposed into $h_1 : F \rightarrow I$ and $h_2 : I \rightarrow \mathcal{O}_X$. Put a bounded metric on I . We need only prove that both h_1 and h_2 have finite norms.

Replacing $h : F \rightarrow G$ by $h_1 : F \rightarrow I$ in the above paragraph, we may assume that $I = \mathcal{O}_X$. This defines a morphism $j : X \rightarrow P_F$ and an isomorphism $h_3 : j^* L_F \rightarrow \mathcal{O}_X$ such that h_1 is the composition of h_3 and the canonical morphism $h_4 : F \rightarrow j^* L_F$. Now $\|h_4\| \leq 1$ by definition, and $\|h_3\|$ is bounded since h_3 is an isomorphism of line bundles with bounded metrics. So h_1 has finite norm.

For h_2 , let $\psi : B \rightarrow X$ denote the blow up of X with respect to I ; then IO_B is an invertible ideal sheaf. The morphism $\psi^*(h_2)$ is decomposed into $h_5 : \psi^* I \rightarrow IO_B$ and $h_6 : IO_B \rightarrow \mathcal{O}_B$. Put a bounded metric on IO_B . Now h_5 is surjective, and it has finite norm by the above paragraph. h_6 has finite norm since it is a morphism of two line bundles. This completes the proof of the theorem.

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