Could SFLASH be Repaired?*

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Abstract. The SFLASH signature scheme stood for a decade as the most successful cryptosystem based on multivariate polynomials, before an efficient attack was finally found in 2007. In this paper, we review its recent cryptanalysis and we notice that its weaknesses can all be linked to the fact that the cryptosystem is built on the structure of a large field. As the attack demonstrates, this richer structure can be accessed by an attacker by using the specific symmetry of the core function being used. Then, we investigate the effect of restricting this large field to a purely linear subset and we find that the symmetries exploited by the attack are no longer present. At a purely defensive level, this defines a countermeasure which can be used at a moderate overhead. On the theoretical side, this informs us of limitations of the recent attack and raises interesting remarks about the design itself of multivariate schemes.

Keywords: multivariate cryptography, signature, SFLASH, differential.

1 Introduction

Multivariate schemes are asymmetric primitives based on hard computational problems involving multivariate polynomials. Reference problems are for instance solving a system of multivariate polynomial equations, or deciding whether two sequences of multivariate polynomials are isomorphic. The research for such schemes originates from Matsumoto and Imai's work in the early 80s, but has really been active for a decade. The practical interest for considering such schemes, besides the obvious diversification effort, comes from their usual high performances which make them well-suited for implementation on small devices. On the other side, the area is young and much cryptanalytic effort is still to be done to understand well what their security might rely on.

Multivariate schemes are all based on a construction method inspired from McEliece [12]: an easy-to-invert multivariate vectorial function is transformed into a random-looking one by applying secret linear bijections on both variables and coordinates. Of course, such a linear hiding has the nice feature to be very

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easy to undo by the legitimate user, but it also has the drawback of leaking the invariant properties of the internal function. Whenever such invariant properties can be used in order to devise a cryptanalytic attack (e.g. elimination properties enhancing Gröbner basis computation), one uses additional transformations to destroy them.

SFLASH is a signature scheme proposed by Patarin, Goubin and Courtois [17], following a design they had introduced at Asiacrypt'98 [15]. The easy-to-invert internal function of SFLASH is defined from a single variable polynomial over some field extension \mathbb{F}_{q^n} and turned into a function from $(\mathbb{F}_q)^n$ to itself by using the linear structure of \mathbb{F}_{q^n} over \mathbb{F}_q . To allow efficient inversion, this function has a specific shape as a polynomial over \mathbb{F}_{q^n} , namely this is a monomial which is inverted by raising to the inverse exponent, like in RSA. The basic McEliecetype hiding, i.e. using two linear bijections, of such a function was the initial proposal – known as the C* cryptosystem – of Matsumoto and Imai [11], but it was later seen by Patarin [14] that the hidden monomial structure implies some algebraic properties of the public function which can be exploited for an attack. However, Patarin, Goubin and Courtois later showed [15] that algebraic attacks can be very easily avoided by using an additional transformation initially used by Shamir [16] which consists in simply deleting a few coordinates of the public function. Schemes obtained from the application of minus to C* are termed C* schemes; they are suitable for signature. SFLASH is a C*- scheme chosen as a candidate for the selection organized by the NESSIE European consortium [1], and accepted in 2003 [13].

Recently, Dubois, Fouque, Shamir and Stern discovered a new property of C* monomials which is almost not affected by the *minus* transformation, and which can be used to recover missing coordinates of the public function [4,3]. As a consequence, all practical parameters choices for C*- schemes, including those of SFLASH, were shown insecure. The attack found by Dubois *et al.* is the most effective development of a new kind of cryptanalysis which targets geometrical properties of multivariate functions. Consequences of this attack are of course a reevaluation of related cryptosystems and a more careful study of the properties of the internal functions being used. However it seems that the mere design principle of multivariate schemes is here in question: can we effectively hide a particular function such as a C* monomial using linear maps?

Our results. In this paper, we review the recent cryptanalysis of SFLASH and we notice that its weaknesses can all be linked to the fact that the cryptosystem is built on the structure of a large field. As the attack demonstrates, this richer structure can be accessed by an attacker by using the specific symmetry of the internal C* function that can be perceived from even a small number of public polynomials. Then, we study the effect of restricting this large field to a purely linear subset, and we find that the symmetries exploited by the attack are no longer present. We provide mathematical proofs for the target cases explaining this phenomenon in detail. As we will see, this result conveys additional perspective on the general design of multivariate schemes.

Organization of the Paper. In Section 2, we give a brief introduction to SFLASH. In Section 3, we review its recent cryptanalysis [4,3]. In Section 4, we show that the geometrical properties which are exploited by the attack do not hold when restricting the internal function to a proper subspace of the large field. In Section 5, we define a modified family of schemes which resists the attack. We discuss our results in Section 6.

2 The SFLASH Scheme

2.1 The C* Scheme

The C* scheme was proposed by Matsumoto and Imai in 1988. It uses a monomial over $\mathbb{F}_{q^n}: F(x) = x^{1+q^{\theta}}, x \in \mathbb{F}_{q^n}$, where x can be identified with an n coordinates vector over \mathbb{F}_q by fixing some basis of \mathbb{F}_{q^n} . The exponent $1+q^{\theta}$ is chosen invertible modulo q^n-1 and raising to its inverse is inverting F. Since $1+q^{\theta}$ has q-weight 2, F corresponds to a multivariate function from $(\mathbb{F}_q)^n$ into itself of degree 2. On the other hand, the inverse of $1+q^{\theta}$ has very high q-weight $\mathcal{O}(n)$ for prescribed values of θ [11], and the inverse of F then corresponds to a multivariate function from $(\mathbb{F}_q)^n$ into itself with very high degree $\mathcal{O}(n)$. A C* scheme is built by transforming F with randomly chosen linear bijections F and F is a built by transforming function F has the same multivariate properties as F, but the twisting provided by F and F hides the single variable representation which allows fast inversion. Unfortunately, Patarin showed in 1995 [14] that although the plaintext F is a high degree function in term of the ciphertext F independent of the security parameter F and F implies vulnerability to algebraic attacks.

2.2 SFLASH

To avoid an attacker to possibly reconstruct existing algebraic relations on the pairs (x,y), a simple idea is not to provide the entire description of how these variables are related. The most easy way to realize this was used by Shamir in 1993 [16] and consists in simply removing a few coordinate-polynomials of the public key, say the last r ones where r is an additional parameter. Furthermore, Patarin, Goubin and Courtois showed in 1998 [15] that for a C* scheme, the degree of algebraic relations between x and the partial y is quickly growing with the parameter r. Of course, the resulting scheme is no longer bijective but it can still be used for signature at no performance loss. These schemes were introduced as C^{*-} by Patarin, Goubin and Courtois [15]. A public key consists of the n-rfirst coordinates of an initial C* public key $P = T \circ F \circ S$ with T and S as the secret key. A rationale for the parameter r is provided in [15]; choosing r with $q^r \geq 2^{80}$ is then required for a 2^{80} security level. Besides, no algebraic attack is expected to succeed when r is not too small in regards to n, the initial number of polynomials. SFLASH is a C*- scheme chosen by Patarin et al. for the NESSIE selection. For the recommended parameters $q=2^7$, n=37, $\theta=11$ and r=11, the signature length is 239 bits and the public key size is 15 Kbytes.

3 The Symmetry in SFLASH

The design of SFLASH was aimed at resisting algebraic attacks and stood challenging for almost ten years. However, in the last four years, a new kind of cryptanalysis for multivariate schemes has been developed based on geometrical properties of the so-called differential [8,5,6]. As defined in the initial paper by Fouque, Granboulan and Stern [8], the differential transforms a quadratic function P(x) into its bilinear symmetric associate, denoted DP(a,b). The differential of P can be obtained by substituting monomials x_ix_j by $a_ib_j + a_jb_i$ in the expression of P (if P is not homogeneous, terms of degree 1 and 0 are discarded). The interest of doing so is that DP is linear separately in a and b and its properties relatively to these variables can then be described in terms of linear algebra. Furthermore, when considering a multivariate scheme $P = T \circ F \circ S$, these properties are isomorphic to those of F since S and T are linear bijections.

Recently, Dubois, Fouque, Shamir and Stern showed a very efficient cryptanalysis of C*- schemes based on a class of geometrical invariants of the differential of C* [4,3]. We summarize it below.

3.1 Skew-Symmetric Maps with Respect to the Differential

The differential of the internal C* function is $DF(a,b) = ab^{q^{\theta}} + a^{q^{\theta}}b$ for $a,b \in \mathbb{F}_{q^n}$. When a and b are identified with n coordinates vectors over \mathbb{F}_q , DF is a bilinear symmetric function from $(\mathbb{F}_q)^n \times (\mathbb{F}_q)^n$ to $(\mathbb{F}_q)^n$. Each of the n coordinates of DF is a multivariate polynomial in the coordinates a_1, \ldots, a_n and b_1, \ldots, b_n of a and b respectively, which is linear separately in a and b, and where a and b play symmetric roles. Each such polynomial is written on the basis of terms $a_ib_j + a_jb_i$ so it has n(n-1)/2 coefficients. Now, it is observed in [4] that linear maps consisting of multiplications by some element ξ of \mathbb{F}_{q^n} have a specific action on DF. Indeed, we have

$$DF(\xi.a, b) + DF(a, \xi.b) = (\xi + \xi^{q^{\theta}}).DF(a, b)$$
 (1)

For the particular elements ξ such that $\xi + \xi^{q^{\theta}} = 0$ (at least 1 is solution), the associated multiplication maps M_{ξ} satisfy

$$DF(M_{\xi}(a), b) + DF(a, M_{\xi}(b)) = 0$$

that is, they are the *skew-symmetric* maps with respect to DF. The existence of non-trivial (*i.e.* not colinear to the identity) such maps is of course very unusual and even for a C^* monomial it does not happen for all parameters. However, even when it does not happen, the initial identity can also be interpreted as a skew-symmetry property. Let us indeed define for any linear map M, the skew-symmetric action of M over DF as the bilinear and symmetric function

$$\Sigma[M](a,b) = DF(M(a),b) + DF(a,M(b))$$

Our basic identity infers that in the special case of multiplication maps,

$$\Sigma[M_{\xi}](a,b) = M_{\zeta} \circ DF(a,b)$$

where M_{ζ} is the multiplication by $\xi + \xi^{q^{\theta}}$. As a consequence, for any element ξ of \mathbb{F}_{q^n} , the coordinates of the bilinear and symmetric function $\Sigma[M_{\xi}](a,b)$ are linear combinations of the coordinates of DF. Therefore, expressed in geometrical terms, multiplication maps have the specific property to leave unchanged under skew-symmetric action the subspace spanned by the coordinates of DF. Note that this property is very strong because the subspace spanned by the n coordinates of DF has dimension at most n while for a random linear map M, the coordinates of $\Sigma[M]$ might be any polynomials in the whole space of bilinear symmetric polynomials of dimension n(n-1)/2 and are very unlikely to all be confined in the tiny subspace spanned by the coordinates of DF.

The public key P of a C^* scheme inherits of the above properties; the only difference is that the linear maps that play with regards to P the role of multiplications with regards to F are the conjugates $S^{-1} \circ M_{\xi} \circ S$. Now, a crucial point is: although the latter maps depend on the secret bijection S, they can be computed from their characteristic property with regards to the public key P. For instance, considering the simple skew-symmetry condition, DP(M(a), b) + DP(a, M(b)) = 0, we see that this equation is linear in M. It can be seen [4] that each coordinate of DP provides us with n(n-1)/2 linear conditions on the n^2 coefficients of M. Then, even a marginal number of coordinates of the public key allows to solve the space of skew-symmetric maps. Solving the more general skew-symmetry condition follows similar principles although more theory is involved; we refer the reader to the original paper [3] for the details.

3.2 Consequences

The properties described above allow an attacker to compute from a C^{*-} public key conjugates $S^{-1} \circ M_{\xi} \circ S$ of multiplications maps M_{ξ} . This of course is very annoying because these maps depend on the secret bijection S and were initially considered as secret information. Furthermore, it is shown in [4] that the nature of these maps is an additional problem. We do not consider these aspects here and focus on the initial breach *i.e.* the existence of linear maps which can be computed from the public key although they contain secret information. In the sequel, we investigate the possibility to destroy the skew-symmetry property of C^{*-} schemes.

4 Breaking the Symmetry

As we have seen, for C^{*-} schemes, the linear maps which are associated to the skew-symmetry property are connected to the internal field structure, namely they are multiplications by elements of \mathbb{F}_{q^n} . In principle, this means that the existence of these maps is tied to the internal field structure. A natural question is: would skew-symmetric maps exist if the internal field structure were truncated, *i.e.* restricted to a subspace of it?

4.1 Projection Breaks the Skew-Symmetry Property of C*-Schemes

Suppose we consider the internal function F restricted to some proper subspace H of \mathbb{F}_{q^n} . We denote F_H this restriction. The skew-symmetric maps with respect to the differential DF_H of F_H are by definition the linear maps M_H from H to itself which satisfy:

$$DF_H(M_H(h), k) + DF_H(h, M_H(k)) = 0, \quad h, k \in H$$
 (2)

We expect the solutions M_H to this condition to be the restrictions to H of the skew-symmetric maps w.r.t DF which map H to itself. When H is an arbitrary subspace, we do not expect non-trivial multiplications M_{ξ} to map H into itself. Then, the only solutions to our condition should be the scalar multiples of the Identity: $M_H = \lambda.Id_H$, $\lambda \in \mathbb{F}_q$. Let us now show that our expectation is correct using mathematical arguments. First, we characterize the linear maps M_H which are skew-symmetric with respect to DF_H by transforming the above condition (2) in a condition with respect to DF. That is, we embed the above condition over H in a condition over \mathbb{F}_{q^n} . We can embed M_H into a linear map M_H which is M_H over H and zero elsewhere. The same way, we can embed the Identity over H into the projection map to H, denoted π_H . Then, (2) is equivalent to:

$$DF(\bar{M}_H(a), \pi_H(b)) + DF(\pi_H(a), \bar{M}_H(b)) = 0$$
, $a, b \in \mathbb{F}_{q^n}$

Therefore, the linear maps \bar{M}_H are special solutions to the condition

$$DF(M(a), \pi_H(b)) + DF(\pi_H(a), M(b)) = 0, \quad a, b \in \mathbb{F}_{q^n}$$
 (3)

They are those solutions M left unchanged by composition with π_H :

$$M = M \circ \pi_H = \pi_H \circ M$$

Our method to determine the linear maps \bar{M}_H is then clear: we first find the solutions M to the condition (3), and then find those which are left unchanged by composition with π_H .

The Solutions to Condition 3. As we can see, obvious solutions to Condition 3 are the maps $M_{\xi} \circ \pi_H$ where M_{ξ} is skew-symmetric with respect to DF. Since our condition is greatly overdetermined, we do not expect any other solutions. This is confirmed experimentally. In the most simple case when H is a hyperplane, we can give it a mathematical proof.

Lemma 1. Let H be a hyperplane of \mathbb{F}_{q^n} and DF be the differential of a bijective C^* monomial. The linear maps M which satisfy the condition

$$DF(M(a), \pi_H(b)) + DF(\pi_H(a), M(b)) = 0$$
, $a, b \in \mathbb{F}_{q^n}$

are of the form $M_{\xi} \circ \pi_H$ where M_{ξ} is skew-symmetric with respect to DF.

Proof. The idea of the proof is to replace M and π_H by their expressions as sums of q-powerings, and to express our condition as the vanishing of a polynomial in a, b over \mathbb{F}_{q^n} . We have $M(a) = \sum_{i=0}^{n-1} \mu_i \, a^{q^i}$ and π_H can be expressed as the projection orthogonally to some element u, where the orthogonality is defined relatively to the trace product (see [10] for a definition). Recalling $tr(a) = \sum_{i=0}^{n-1} a^{q^i}$ and that tr(a) is an element of \mathbb{F}_q , we have $\pi_H(a) = a - tr(au)u$. To simplify, we consider in the sequel u = 1. We can rewrite our condition : A(a, b) - B(a, b) = 0, where

$$A(a,b) = DF(M(a),b) + DF(a,M(b)) B(a,b) = tr(a)DF(M(b),1) + tr(b)DF(M(a),1)$$

Both expressions are written on the basis of symmetric terms of the form $a^{q^i}b^{q^j} + a^{q^j}b^{q^i}$ and their respective coefficients are :

$$\begin{split} &A(a,b): \operatorname{coefficient}\{i,0\} = \mu_{i-\theta}^{q^{\theta}} \; \; ; \; \operatorname{coefficient}\{i,\theta\} = \mu_{i} \\ &B(a,b): \operatorname{coefficient}\{i,j\} = \mu_{i} + \mu_{j} + (\mu_{i-\theta} + \mu_{j-\theta})^{q^{\theta}} \end{split}$$

From these expressions, we easily resolve $\mu_0 = 0$ and $\mu_i = \xi$ for all $i \neq 0$ where ξ satisfies $\xi^{q^{\theta}} + \xi = 0$ (see the full version for the details). Therefore, $M(a) = \xi(a - tr(a)) = M_{\xi} \circ \pi_H(a)$ where M_{ξ} is skew-symmetric with respect to DF (which is obtained from $\xi^{q^{\theta}} + \xi = 0$).

Solutions Which are Left Unchanged by Composition with the Pro**jection.** As we have shown, the linear maps M_H which correspond to the skewsymmetric maps with respect to DF_H , are the solutions to Condition 3 which are left unchanged by composition with π_H . As argued in the previous section, the solutions to this condition are $M_{\xi} \circ \pi_H$ where M_{ξ} is multiplication by some element ξ . These maps are unchanged by composition with π_H if and only if M_{ξ} commutes with π_H , i.e. if and only if M_{ξ} maps H to itself. Then, since for any ξ , M_{ξ} is bijective, we have $\xi H = H$. Our goal is to show that, except for specific choices of H which are very sparse, the only ξ satisfying this property are the scalar multiples of 1. As a first step, we notice that these elements ξ form a multiplicative group, independently of the choice of H. Therefore, they actually form a subfield of \mathbb{F}_{q^n} and H is a linear space over this subfield. Finally, the subspaces H for which our property is satisfied by non-trivial elements ξ are subspaces over intermediate subfields of \mathbb{F}_{q^n} . As a second step, we upperbound the probability that a random subspace H of a prescribed dimension s is a subspace over an intermediate subfield of \mathbb{F}_{q^n} . (In this case, we say that H is degenerate). We show that this probability is negligible in terms of q and n.

Lemma 2. Degenerate subspaces of \mathbb{F}_{q^n} only exist at dimensions s not coprime with n. Degenerate hyperplanes never exist. The proportion of degenerate subspaces in \mathbb{F}_{q^n} of a prescribed dimension is always $\mathcal{O}(q^{-n})$.

Proof. When H is a subspace over \mathbb{F}_{q^r} , its dimension over \mathbb{F}_q is a multiple of r. Since r must itself be a divisor of n, degenerate subspaces only exist at dimensions s not coprime with n. For instance, we deduce that degenerate hyperplanes never

exist since n-1 is always coprime with n. Let r be a common divisor of s and n. It can be shown that the number of subspaces of dimension s in a vector space of dimension n is of the order of $q^{s(n-s)}$ [9]. Then, the number of \mathbb{F}_{q^r} -subspaces of dimension s/r in \mathbb{F}_{q^n} is of the order of $q^{s(n-s)/r}$. The number of degenerate subspaces of dimension s in \mathbb{F}_{q^n} is dominated by the latter quantity considered for the smallest common factor r of n and s. Since the smallest possible value of r is 2, the proportion of degenerate subspaces of dimension s in \mathbb{F}_{q^n} is at most of the order of $q^{-s(n-s)/2}$. Since s(n-s) is minimal for s=2 (2 is a common factor of s and s), the searched proportion is dominated by s0 and therefore s1 asymptotically.

Application to the General Skew-Symmetry Property of C*- Schemes. In the preceding paragraphs, we have shown that restricting the internal function F to some proper subspace H of \mathbb{F}_{q^n} destroys the simple skew-symmetry property (2). In this paragraph, we consider the general skew-symmetry property of C*- schemes. This property expresses that there exists non-trivial linear maps which leave the space spanned by the coordinates of DF unchanged under skew-symmetric action. The linear maps satisfying this condition are the whole space of multiplications. Using similar techniques as before, we can show that this property considered for the restricted function F_H admits only trivial solutions.

4.2 Experimental Verifications

We checked experimentally, for various C* parameters n and θ , the effect of restricting the internal function to a randomly chosen subspace H of various dimensions s. For instance, for parameters n=36 and $\theta=4$, we obtain the table below for the solution space of the general skew-symmetry condition as the number of coordinate-wise conditions grows.

5 Projected C*- Schemes

Based on the previous results, we are led to define a new family of schemes that we call $projected\ C^{*-}$ schemes. As we will see, these schemes actually consists in hiding a C^* monomial using non-bijective linear maps. We next define the (adhoc) computational problems on which the security of these schemes is based. Finally, we discuss possible choices of parameters and suggest one concrete choice with performances comparable to SFLASH.

Description. A projected C*- scheme is defined as follows. Start from a C* scheme $F(x) = x^{1+q^{\theta}}$ with secret linear maps S and T. Let r and s be two integers between 0 and n. Let T^- be the projection of T on the last r coordinates and S^- be the restriction of S on the last s coordinates. Compute $\hat{P} = T^- \circ F \circ S^-$. The generated function \hat{P} is used as the public key and the secret linear bijections S and T are used as the secret key. Note that \hat{P} is a quadratic function from $(\mathbb{F}_q)^{n-s}$ to $(\mathbb{F}_q)^{n-r}$. To find a preimage by the public function of

# conditions	s = 0	s = 1	s=2	s = 3	s = 4	s = 9	s = 18
1	1296	1225	1156	1089	1024	769	324
2	708	669	632	598	564	414	207
3	168	145	124	109	104	99	90
4	36	1	1	1	1	1	1
6	36	1	1	1	1	1	1
:	:	:	:	:	:	:	:

a given message m, the legitimate user first pads m with a random vector m' of $(\mathbb{F}_q)^r$ and compute the preimage of (m, m') by $T \circ F \circ S$. If this element has its last s coordinates to 0, then its n-s first coordinates are a valid signature for m. Otherwise, he discards this element and tries with an other random padding m'. When r > s, the process ends with probability 1 and costs on average q^s inversions of F. In practice, r is chosen a significant fraction of n to make the public key resistant to algebraic attacks; s can be chosen as small as 1 to destroy symmetries arising from the internal field structure. As for C^{*-} schemes, the significant value of r makes projected C^{*-} schemes only suitable for signature, since reviewing all possible paddings m' is not efficient. Finally, we mention that projection already appeared in the literature as a possible modifier [18] but was never considered as a useful measure let alone a defensive measure.

Possible Angles of Analysis. As usual for multivariate schemes, the security relies on several ad-hoc computational problems. The first problem is solving the public system of quadratic equations. Since s is chosen small, this is about as hard as solving the initial C^{*-} system. The second problem is recovering the functional decomposition of the public key or at least some information on the secret maps S^-, T^- . There is no efficient strategy to solve this problem in general [7], and the attack by Dubois $et\ al.$ which falls into this category for C^{*-} schemes is here prevented by the projection. Remains the strategy consisting in recovering the public key into a valid C^{*-} public key. Showing this to be possible is actually the new challenge opened by the new family of schemes.

Parameters. n, θ, r are chosen following the rationales for C*- schemes. We choose s=1 as it induces the minimal factor q on the secret operations. The value of q can be chosen small but, at constant blocksize, this requires a larger value of n and therefore a larger public key. As a possible trade-off, we propose pFLASH with $q=2^4$, n=74, $\theta=11$, r=22 and s=1. Our tests have pFLASH signing at $\lesssim 1$ million K8/C2 cycles, in line with expectations of $\sim 16 \times$ time of SFLASH [2]; private key size is $2 \times$ at 5.4kB. These are still attractive features for small device implementation.

6 Conclusion

In this paper, we provide additional insight on the recent cryptanalysis of SFLASH by exhibiting a simple modification which provably avoids the attack.

Our study shows that the attack against SFLASH has deeper roots than the mere fact that it is based on a C* monomial: the attack is made possible because the large field structure is embedded in the public key and is stopped when it is no more the case. Then, we realize that, indeed, one might not hope to hide effectively a particular function defined on a large field using linear bijections; this might at most be achievable in some security range using compressive linear maps. But then, is it still possible to build a practical cryptosystem in this setting? At the present state, we can still define a modified family of C*-based schemes which is of practical interest. Analysis of this most simple case would probably yield additional understanding of the ways to distinguish a specifically-built multivariate function and would provide further insight on the very possibility to obfuscate such a function using linear maps.

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