

Simple Matrix Scheme for Encryption

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Abstract. There are several attempts to build asymmetric public key encryption schemes based on multivariate polynomials of degree two over a finite field. However, most of them are insecure. The common defect in many of them comes from the fact that certain quadratic forms associated with their central maps have low rank, which makes them vulnerable to the MinRank attack. We propose a new simple and efficient multivariate public key encryption scheme based on matrix multiplication, which does not have such a low rank property. The new scheme will be called Simple Matrix Scheme or ABC in short. We also propose some parameters for practical and secure implementation.

Keywords: Multivariate Public Key Cryptosystem, Simple Matrix Scheme, MinRank Attack.

1 Introduction

Public key cryptography plays an important role in secure communication. The most widely used nowadays are the number theoretical based cryptosystems such as RSA, DSA, and ECC. However, due to Shor's Algorithm, such cryptosystems would become insecure if a large Quantum computer is built. Recent progress made in this area makes this threat realer than ever before. Moreover, the computing capacity of these Number Theoretic based systems is proved to be limited. These are some reasons which motivate researchers to develop a new family of cryptosystems that can resist quantum computers attacks and that are more efficient in terms of computation. Researchers usually use Post Quantum Cryptography (PQC) to denote this new family.

Multivariate public key cryptosystems (MPKC) belong to the PQC family. If well designed, they can be a good candidate for PQC. The public key of an MPKC is a system of multivariate polynomials, usually quadratic, over a finite field. The security of MPKCs is based on the knowledge that solving a set of

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multivariate polynomial equations over a finite field, in general, is proven to be an NP-hard problem [9]. In fact quantum computers do not appear to have an advantage when dealing with NP-hard problems. However, this does not guarantee that these cryptosystems are secure. The first such practical system was proposed in 1988 by Matsumoto and Imai with their scheme called C^* or MI. Nonetheless, Jacques Patarin proved it insecure using linearization equations attack a few years later [18].

In [5], the authors showed that the rank of the quadratic form associated to the central map of C^* is only two and therefore the private key could be also recovered with the help of the MinRank Attack.

In [19] Patarin extended the C^* scheme by using a new central map to construct a new encryption scheme called Hidden Field Equations (HFE). But Kipnis and Shamir found a way to recover the private keys using the MinRank Attack [13]. Furthermore, it is showed in [8] that inverting HFE is quasi-polynomial if the size of the field and the degree of the HFE polynomials are fixed.

In [15], T.T. Moh proposed a multivariate asymmetric encryption scheme called TTM.

But again, it was broken by exploiting the fact that some quadratic form associated to the central map is of low rank [3].

In the last two decades, many other MPKCs have been proposed for encryption but almost all of them are proven to be insecure and many of them share a common defect; that is some quadratic forms associated to their central maps have low rank and therefore are vulnerable to the MinRank Attack. In consequence, for a MPKC to be secure, it is necessary that all quadratic forms associated with the central map have a rank high enough.

This paper will propose a new multivariate public key scheme for encryption having the property that the quadratic forms associated to the central map do not have a low rank but a rank related to a certain parameter n . The scheme is constructed using some simple matrix multiplications and it will be called Simple Matrix encryption scheme or ABC in short.

This paper is organized as follows. In Section 2 we give an illustration of the MinRank attack using HFE. In Section 3, we describe the construction of the ABC scheme. The security analysis is presented in Section 4. Section 5 shows a practical implementation of the ABC scheme while Section 6 discusses the efficiency and Section 7 concludes the paper.

2 MinRank Attack

The MinRank attack is a cryptanalysis tool that can be used to recover the secret key of MPKCs whose quadratic form associated to the central map is of low rank. In this section, we give an illustration by describing the MinRank attack on the HFE scheme. The attack was first performed by Kipnis and Shamir [13] who showed that the security of HFE can be reduced to a MinRank problem.

2.1 The HFE Scheme

The HFE cryptosystem was proposed by Jacques Patarin in [19]. It can be described as follow. Let $q = p^e$, where p is a prime and $e \geq 1$. Let K be an extension of the finite field $k = \mathbb{F}_q$ of degree n . Clearly, $K \cong k^n$.

Let $\phi : K \rightarrow k^n$ be the k -linear isomorphism map between the finite field K and the n -dimensional vector space k^n . The central map of HFE is a univariate polynomial $P(x)$ of the following form

$$P(x) = \sum_{i=0}^{r-1} \sum_{j=0}^{r-1} p_{ij} x^{q^i + q^j} \in K[x],$$

where $p_{ij} \in K$ and r is a small constant chosen in a way such that $P(x)$ can efficiently inverted. The public key is given to be

$$\bar{F} = T \circ \phi \circ P \circ \phi^{-1} \circ S,$$

where $T : k^n \rightarrow k^n$ and $S : k^n \rightarrow k^n$ are two invertible linear transformations and the private key consist of T, P and S .

2.2 MinRank Attack on HFE

In [14], Kipnis and Shamir showed that the public key \bar{F} and the transformations S, T, T^{-1} can be viewed as maps G^*, S^*, T^*, T^{*-1} over K . More precisely,

$$S^*(x) = \sum_{i=0}^{n-1} s_i x^{q^i}, \quad T^{*-1}(x) = \sum_{i=0}^{n-1} t_i x^{q^i}.$$

and $G^*(x) = T^*(P(S^*(x)))$. We can express $G^*(x)$ in the form:

$$G^*(x) = \sum_{i=0}^{n-1} \sum_{j=0}^{n-1} g_{ij} x^{q^i + q^j} = \underline{x} G \underline{x}^t,$$

where $\underline{x} = (x, x^q, \dots, x^{q^{n-1}})$ is a vector over K , \underline{x}^t is the transposition of \underline{x} and $G = [g_{ij}]$ is a matrix over K . The identity $T^{*-1}(G^*(x)) = P(S^*(x))$ implies that

$$G' = \sum_{i=0}^{n-1} t_k G^{*k} = W P W^t,$$

where $P = [p_{ij}]$ over K , G^{*k} and W are two matrices over K whose repective (i, j) entries are $g_{i-k, j-k}^{q^k}$ and $s_{i-j}^{q^i}$, with $i-k, j-k$ and $i-j$ computed modulo n . Since the rank of $W P W^t$ is not more than r , recovering t_0, t_1, \dots, t_{n-1} can be reduced to solving a MinRank problem, that is, to find t_0, t_1, \dots, t_{n-1} such that

$$\text{Rank}\left(\sum_{i=0}^{n-1} t_k G^{*k}\right) \leq r.$$

Methods to solve the MinRank problem for small r can be found in [11]. Once the values t_0, t_1, \dots, t_{n-1} are found, T and S will be then easily computed. Therefore, the key point to attack HFE is to solve the MinRank problem.

The Kipnis-Shamir attack was improved by Courtois using a different method to solve the MinRank problem [3]. However, Ding et al. showed that the original Kipnis-Shamir attack and the improvement of Courtois are not valid in [4]. Later, Faugère et al. proposed a more comprehensive improvement of the Kipnis-Shamir attack against HFE [2].

3 Construction of ABC Cryptosystem

Let $n, m, s \in \mathbb{Z}$ be integers satisfying $n = s^2$ and $m = 2n$. For a given integer s , let k^s denote the set of all s -tuples of elements of k . We denote the plaintext by $(x_1, x_2, \dots, x_n) \in k^n$ and the ciphertext by $(y_1, y_2, \dots, y_m) \in k^m$. The polynomial ring with n variables in k will be denoted by $k[x_1, \dots, x_n]$. Let $\mathcal{L}_1 : k^n \rightarrow k^n$ and $\mathcal{L}_2 : k^m \rightarrow k^m$ be two linear transformations, i.e.

$$\mathcal{L}_1(x) = L_1x \quad \text{and} \quad \mathcal{L}_2(y) = L_2y,$$

where L_1 and L_2 are respectively an $n \times n$ matrix and an $m \times m$ matrix with entries in k , $x = (x_1, x_2, \dots, x_n)^t$, $y = (y_1, y_2, \dots, y_m)^t$, and t denote the matrix transposition.

The Central map Let

$$A = \begin{pmatrix} x_1 & x_2 & \dots & x_s \\ x_{s+1} & x_{s+2} & \dots & x_{2s} \\ \vdots & \vdots & \ddots & \vdots \\ x_{(s-1)s+1} & x_{(s-1)s+2} & \dots & x_{s^2} \end{pmatrix}; \quad B = \begin{pmatrix} b_1 & b_2 & \dots & b_s \\ b_{s+1} & b_{s+2} & \dots & b_{2s} \\ \vdots & \vdots & \ddots & \vdots \\ b_{(s-1)s+1} & b_{(s-1)s+2} & \dots & b_{s^2} \end{pmatrix};$$

and $C = \begin{pmatrix} c_1 & c_2 & \dots & c_s \\ c_{s+1} & c_{s+2} & \dots & c_{2s} \\ \vdots & \vdots & \ddots & \vdots \\ c_{(s-1)s+1} & c_{(s-1)s+2} & \dots & c_{s^2} \end{pmatrix}$ be three $s \times s$ matrices, where $x_i \in$

k , b_i and c_i are randomly chosen as linear combination of elements from the set $\{x_1, \dots, x_n\}$, where $i = 1, 2, \dots, n$. Define $E_1 = AB$, $E_2 = AC$ and let $f_{(i-1)s+j}$ and $f_{s^2+(i-1)s+j} \in k[x_1, \dots, x_n]$ be respectively the (i, j) element of E_1 and E_2 ($i, j = 1, 2, \dots, s$). Then we obtain with this notation m polynomials f_1, f_2, \dots, f_m , and we define the central map to be

$$\mathcal{F}(x_1, \dots, x_n) = (f_1(x_1, x_2, \dots, x_n), \dots, f_m(x_1, x_2, \dots, x_n)).$$

We note that for any $1 \leq i \leq m$, the rank of the quadratic form f_i which is associated with the central map \mathcal{F} is close to or equal to $2s$. Define

$$\bar{\mathcal{F}} = \mathcal{L}_2 \circ \mathcal{F} \circ \mathcal{L}_1 = (\bar{f}_1, \bar{f}_2, \dots, \bar{f}_m),$$

where $\mathcal{L}_1 : k^n \rightarrow k^n$ and $\mathcal{L}_2 : k^m \rightarrow k^m$ are as above, $\bar{f}_i \in k[x_1, \dots, x_n]$ are m multivariate polynomials of degree two. The secret key and the public key are given by:

Secret Key The secret key is made of the following two parts:

- 1) The invertible linear transformations $\mathcal{L}_1, \mathcal{L}_2$.
- 2) The coefficients of x_i of the elements in matrices B, C .

Public Key The public key is made of the following two parts:

- 1) The field k , including the additive and multiplicative structure;
- 2) The maps $\bar{\mathcal{F}}$ or equivalently, its m total degree two components

$$\bar{f}_1(x_1, x_2, \dots, x_n), \dots, \bar{f}_m(x_1, x_2, \dots, x_n) \in k[x_1, \dots, x_n].$$

Encryption

Given a message x_1, x_2, \dots, x_n , the corresponding ciphertext is

$$(y_1, y_2, \dots, y_m) = \bar{\mathcal{F}}(x_1, x_2, \dots, x_n).$$

Decryption

To decrypt the ciphertext (y_1, y_2, \dots, y_m) , one need to perform the following steps:

- 1 Compute $(\bar{y}_1, \bar{y}_2, \dots, \bar{y}_m) = \mathcal{L}_2^{-1}(y_1, y_2, \dots, y_m)$.
- 2 Put

$$E_1 = \begin{pmatrix} \bar{y}_1 & \bar{y}_2 & \dots & \bar{y}_s \\ \bar{y}_{s+1} & \bar{y}_{s+2} & \dots & \bar{y}_{2s} \\ \vdots & \vdots & \ddots & \vdots \\ \bar{y}_{(s-1)s+1} & \bar{y}_{(s-1)s+2} & \dots & \bar{y}_{s^2} \end{pmatrix};$$

$$E_2 = \begin{pmatrix} \bar{y}_{s^2+1} & \bar{y}_{s^2+2} & \dots & \bar{y}_{s^2+s} \\ \bar{y}_{s^2+s+1} & \bar{y}_{s^2+s+2} & \dots & \bar{y}_{s^2+2s} \\ \vdots & \vdots & \ddots & \vdots \\ \bar{y}_{s^2+(s-1)s+1} & \bar{y}_{s^2+(s-1)s+2} & \dots & \bar{y}_{2s^2} \end{pmatrix}.$$

Since $E_1 = AB, E_2 = AC$, we consider the following cases:

- (i) If E_1 is invertible, then $BE_1^{-1}E_2 = C$. We have n linear equations with n unknowns x_1, \dots, x_n .
- (ii) If E_2 is invertible, but E_1 is not invertible, then $CE_2^{-1}E_1 = B$. We also have n linear equations with n unknowns x_1, \dots, x_n .
- (iii) If both E_1 and E_2 are not invertible but A is invertible, then $A^{-1}E_1 = B, A^{-1}E_2 = C$. We interpret the elements of A^{-1} as the new variables W_i and we end up with $m = 2n$ linear equations in m unknowns. Then we eliminate the new variables to derive n linear equations in the x_i .
- (iv) If A is a singular matrix and the rank of A is $n - r$, then there exists

a nonsingular matrix W such that $WA = \begin{pmatrix} I & 0 \\ 0 & 0 \end{pmatrix}$, where I is a $(n - r) \times (n - r)$ identity matrix, 0 is a zero matrix. Let $W = \begin{pmatrix} W_1 & W_2 \\ W_3 & W_4 \end{pmatrix}$, $B = \begin{pmatrix} B_1 & B_2 \\ B_3 & B_4 \end{pmatrix}$, $C = \begin{pmatrix} C_1 & C_2 \\ C_3 & C_4 \end{pmatrix}$, $E_1 = \begin{pmatrix} E_{11} & E_{12} \\ E_{13} & E_{14} \end{pmatrix}$, $E_2 = \begin{pmatrix} E_{21} & E_{22} \\ E_{23} & E_{24} \end{pmatrix}$, where $W_1, B_1, C_1, E_{11}, E_{21}$ are a $(n - r) \times (n - r)$ matrices. Since $WE_1 =$

$WAB, WE_2 = WAC$, that is $W_1E_{11} + W_2E_{13} = B_1, W_1E_{12} + W_2E_{14} = B_2, W_1E_{21} + W_2E_{23} = C_1, W_1E_{22} + W_2E_{24} = C_2$.

We interpret the elements of W_1, W_2 as the new variables and we end up with $2s(s - r)$ linear equations in $s(s - r) + n$ unknowns. Then we eliminate the $s(s - r)$ elements of W_1, W_2 in these equations. If these $2s(s - r)$ linear equations are independent, we gain $n - sr$ linear equations with the variables x_1, x_2, \dots, x_n .

The dimension of the solution space of the linear equations with the variables x_1, x_2, \dots, x_n is in general very small. Solving this system by Gaussian elimination enables us to eliminate most of the unknowns, say Z of them. Then we write these Z variables as linear combinations of the remaining unknown variables and then substitute them into the central equations. We then obtain a new system of equations of degree two in the remaining $n - Z$ unknowns which can be easily solved since the number of variables of this new system of equations is very small. Sometimes we may have more than one solution, but the probability is very small.

3 Compute the plaintext $(x_1, x_2, \dots, x_n) = \mathcal{L}_1^{-1}(\tilde{x}_1, \tilde{x}_2, \dots, \tilde{x}_n)$.

Our experiments show that even if A is a singular matrix, decryption remains successful as long as the rank of A is no less than $s - 2$. When the rank of A is less than $s - 2$, decryption may fail. Let $r > 0$ be the rank of A , then the number

of $s \times s$ matrix of rank r over k is $\frac{q^{r(r-1)/2} \prod_{i=s-r+1}^s (q^i - 1)^2}{\prod_{i=1}^r (q^i - 1)}$, thus for any $s \times s$

matrix A , the probability of A of rank r is $\frac{q^{r(r-1)/2} \prod_{i=s-r+1}^s (q^i - 1)^2}{q^{s^2} \prod_{i=1}^r (q^i - 1)}$. Therefore,

the probability of A of rank less than r is $1 - \sum_{j=r}^s \frac{q^{j(j-1)/2} \prod_{i=s-j+1}^s (q^i - 1)^2}{q^{s^2} \prod_{i=1}^j (q^i - 1)}$. For

example, let $q = 2^8, s = 8$, then the probability of A of rank less than 6 is about 2.125919×10^{-22} , thus, in this case, the probability of decryption failure is about 2.125919×10^{-22} . This means that we can adjust the parameters to make sure that decryption will not be a problem.

4 Security Analysis

In this section, we will study the security of the ABC scheme in order to able us to choose the appropriate parameters for a secure encryption.

4.1 High Order Linearization Equation Attack

Linearization equation attack was first discussed in [18] to attack MI [16]. Later, high order linerlization equation attack was proposed to attack MFE cryptosystem [6]. We use this method to attack our scheme. Since $BE_1^{-1}E_2 = C$ (the case

where $CE_2^{-1}E_1 = B$ is similar), there exists polynomial g_1 , with $\deg(g_1) \leq s$, such that $Bg_1(E_1)E_2 = C\det(E_1)$. Therefore, the plaintext and the ciphertext satisfy the equation:

$$\begin{aligned} & \sum_{i_0=1}^n \sum_{i_1, \dots, i_s=1}^m \mu_{i_0, i_1, \dots, i_s} x_{i_0} y_{i_1} \cdots y_{i_s} + \\ & + \sum_{i_0=1}^n \sum_{i_1, \dots, i_{s-1}=1}^m \nu_{i_0, i_1, \dots, i_{s-1}} x_{i_0} y_{i_1} \cdots y_{i_{s-1}} + \cdots + \\ & + \sum_{i_0=1}^n \gamma_{i_0} x_{i_0} + \sum_{i_1=1}^m \xi_{i_1} y_{i_1} + \theta = 0, \end{aligned}$$

which means that we derive linearization equations with order $n + 1$. The coefficients $\mu_{i_0, i_1, \dots, i_s}, \nu_{i_0, i_1, \dots, i_{s-1}}, \dots, \gamma_{i_0}, \xi_{i_1}, \theta$ are variables taking value in k . The number of variables is

$$n \sum_{j=0}^s \binom{m}{j} + m + 1 = n \binom{m+s}{s} + m + 1.$$

Using the public key we can generate many plaintext-ciphertext pairs. By substituting these plaintext-ciphertext pairs into the equations, we have $n \binom{m+s}{s} + m + 1$ linear equations with $n \binom{m+s}{s} + m + 1$ variables. However, the computation complexity of solving this linearization equation is $\left(n \binom{m+s}{s} + m + 1\right)^\omega$, where $\omega = 3$ in the usual Gaussian elimination algorithm and $\omega = 2.3766$ in improved algorithm which is impractical for a bit size greater than or equal to 64. Note here that the computation complexity is even high in the case where E_1 and E_2 are not invertible.

4.2 Rank Attack

There are two different methods of using the rank attack. The first one is called MinRank attack or Low Rank attack and an illustration was discussed in section 2. The other one is called the High Rank Attack. We will look at these two attacks against the ABC scheme. For the MinRank attack, let us assume without loss of generality that the public key polynomials and the secret polynomials are homogeneous quadratic polynomials. Let $\mathcal{L}_1, \mathcal{L}_2$ be two invertible linear transformations. Let $\bar{Q}_1, \bar{Q}_2, \dots, \bar{Q}_m$ be the symmetric matrices associated with the public key quadratic polynomials and Q_1, Q_2, \dots, Q_m be the symmetric matrices associated with the secret key quadratic polynomials. Clearly, the rank of Q_i is bounded by $2s$. With the MinRank attack, one tries to find $(t_1, t_2, \dots, t_m) \in k^m$ such that the rank of the linear combinations $\sum_{i=1}^m t_i \bar{Q}_i$ is no more than $2s$. In order to find such a linear combination, one can choose any vector $v \in k^n$ and try to solve the equations $\left(\sum_{i=1}^m t_i \bar{Q}_i\right)v = 0$ with the unknowns t_1, \dots, t_m . After

4.4 Special Attacks

In terms of the design, one may think that maybe we can choose B and C such that their entries are randomly selected sparse linear functions or even monomials, which will allow us to have smaller secret key. However in the case of using only monomials, there is a possible new risk, namely there is a possibility that the central map polynomials are so sparse that they may have hidden UOV structures, that is there are no quadratic terms of a set of variables in the central map polynomials. One may then use UOV Reconciliation attack to find such structure [23][24]. It is not a good ideal to use monomials for B and C , such a distinguished feature is in general not desired. But in the case of general B and C such a feature does not exist. It is an open interesting problem to find out what really happens in the case of sparse B and C .

On the other hand, one may say that how about making A also more general, namely entries are selected as random linear functions. It is clear this is not needed since a linear transformation will easily remove such a feature. Using a matrix A of variables and L_1 is equivalent to using a matrix A of linear functions, without any transformation L_1 . In the case of A also more general, one may consider certain tensor related attack, but we cannot see yet any effective way to do so.

5 A Practical Implementation for Encryption

For a practical implementation, we let k be the finite field of $q = 2^8$ elements and $n = 64$. In this case, the plaintext consist of the message $(x_1, \dots, x_{64}) \in k^{64}$. The public map is $F : k^{64} \rightarrow k^{128}$ and the central map is $F : k^{64} \rightarrow k^{128}$.

The public key consists of 128 quadratic polynomials with 64 variables. The number of coefficients for the public key polynomials is

$$128 \times 66 \times 65/2 \in \{274560, \text{or about } 280KB \text{ of storage}\}.$$

The private key consists of the coefficients of the x_i of the entries of the matrices B and C . and the two linear transformations $\mathcal{L}_1, \mathcal{L}_2$. The total size is about $30KB$.

The size of a document is $8n = 8 \times 64 = 512bits$ and the total size of the ciphertext is $1024bits$.

Based on the preceding discussion in section 4, security level for this implementation is larger than 2^{86} . Using odd characteristic field may be good to resist algebraic attack, but it requires more storage.

6 Efficiency of ABC Scheme

In this section, we will compare the efficiency of decryption in ABC scheme with HFE challenge 1 by Patarin [19]. This HFE was broken using algebraic attack [13]. In this HFE scheme, J.Patarin chose the parameters as follow: $q = 2, n = 80$,

the degree of central map is 96. Let $P(x)$ be the central map of HFE, the main computation of decryption is to solve the equation $P(x) = y$ over the finite field of 2^{80} elements. In [20], J.Patarin estimated that the complexity of solving this equation is about $O(d^2n^3)$ or $O(dn^3 + d^3n^2)$ —depending on the chosen algorithms, where d is the degree of $P(x)$. Thus the decryption process needs about 6.4×10^9 times field multiplication over the finite field of 2^{80} elements.

For the proposed parameters of the ABC scheme above, $q = 2^8$, $n = 64$ and $m = 128$, the steps of decryption were presented in section 3. The computation of step 1) and step3) of decryption are very fast. The main computation of decryption is step 2), solving a set of linear equations. Therefore, we only need about $128^3 = 2^{21} \approx 2.1 \times 10^6$ times field multiplications over the finite field of 2^8 elements for decryption. It is much faster than HFE scheme.

7 Conclusion

In this paper, we propose a new multivariate algorithm for encryption called ABC. A highlight of ABC scheme is that all the quadratic forms associated with the central map are not of low rank but related to some variable integer n . Therefore, it is immune to the MinRank Attack. Another highlight of ABC scheme is that the computation of decryption is very fast, because the main computation is to solve certain linear equations. However we still cannot show that ABC is provably secure.

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