Genus-One Surface Registration via Teichmüller Extremal Mapping

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Abstract. This paper presents a novel algorithm to obtain landmark-based genus-1 surface registration via a special class of quasi-conformal maps called the Teichmüller maps. Registering shapes with important features is an important process in medical imaging. However, it is challenging to obtain a unique and bijective genus-1 surface matching that satisfies the prescribed landmark constraints. In addition, as suggested by [11], conformal transformation provides the most natural way to describe the deformation or growth of anatomical structures. This motivates us to look for the unique mapping between genus-1 surfaces that matches the features while minimizing the maximal conformality distortion. Existence and uniqueness of such optimal diffeomorphism is theoretically guaranteed and is called the Teichmüller extremal mapping. In this work, we propose an iterative algorithm, called the Quasi-conformal (QC) iteration, to find the Teichmüller extremal mapping between the covering spaces of genus-1 surfaces. By representing the set of diffeomorphisms using Beltrami coefficients (BCs). we look for an optimal BC which corresponds to our desired diffeomorphism that matches prescribed features and satisfies the periodic boundary condition on the covering space. Numerical experiments show that our proposed algorithm is efficient and stable for registering genus-1 surfaces even with large amount of landmarks. We have also applied the algorithm on registering vertebral bones with prescribed feature curves, which demonstrates the usefulness of the proposed algorithm.

1 Introduction

Surface registration is increasingly used in morphometric analysis. By finding a meaningful one-to-one correspondence between anatomical surfaces, statistical shape analysis, processing of signals on anatomical surfaces (e.g., denoising or filtering) and age-related comparison can be achieved. In landmark-based registrations, landmarks are extracted to guide the registration process to obtain a meaningful transformation. Through labeling landmarks, medical experts and doctors can get involved in the process to assure good correspondences between the surfaces. However, obtaining a unique and bijective registration that matches features consistently is generally challenging, especially when a large number of landmark constraints are enforced. Developing an effective algorithm for registration is therefore very important.

Surface registration between simple surfaces, such as simply-connected open surfaces or genus-0 closed surfaces, has been extensively studied. However, as far as we

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know, very few literatures have been reported on the registration between genus-one surfaces. The high-genus topology of the surfaces poses a great challenge to register the surfaces. For example, the vertebral shape is commonly analyzed through simple geometric measurements of dimensions, which only describe limited features of the complex vertebral shape. In order to provide a more comprehensive description, a more sophisticated landmark-based surface registration is essential for analyzing both local and global geometric information of a vertebral shape.

Motivated by this, we are interested in searching for the unique and bijective landmark-matching diffeomorphism which minimizes the maximal conformality distortion. The conformality distortion measures how far the mapping is deviated from a conformal mapping, and hence it measures the local geometric distortion. The existence and uniqueness of such a mapping is theoretically guaranteed by the Quasi-conformal Teichmüller theory [2], and is named the Teichmüller extremal map. In this paper, we propose a novel algorithm to compute the Teichmüller extremal map between genus-1 surfaces. Experiments on vertebral bones are also reported to show the accuracy and effectiveness of the proposed algorithm.

2 Previous Work

Landmark-based registration has been widely studied in medical imaging, computer graphics and computer visions. Various algorithms have been proposed to match feature landmarks consistently. For example, Bookstein et al.[1] proposed to obtain a registration that matches landmarks as much as possible using a thin-plate spline regularization (or biharmonic regularization). Gu et al. [4,5] proposed to compute the conformal parameterizations of human brain surfaces for registration using harmonic energy minimization and holomorphic 1-forms. Conformal registration is advantageous for the preservation of the local geometry. However, it cannot align landmark features, such as sulci landmarks on brain surfaces, consistently. Sometimes, deformation between objects might not be conformal. Instead, certain amount of angular distortion could be introduced. To tackle with this problem, quasi-conformal mappings have been applied to obtain surface registration with bounded conformality distortion [8,9]. Introduction of time-dependent vector fields for registration is also proposed [7,3]. For example, Glaunés et al. in [3] presented to generate large deformation diffeomorphisms of a sphere, with given displacements of a finite set of template landmarks. The time dependent vector fields facilitate the optimization procedure, but the computational cost of the algorithm is comparatively more expensive.

3 Mathematical Background

3.1 Quasi-Conformal Map

Quasi-conformal maps are orientation preserving homeomorphisms between Riemann surfaces with bounded conformality distortion. Intuitively, they take infinitesimal

circles to infinitesimal ellipses of bounded eccentricity. Mathematically, $f : \mathbb{C} \to \mathbb{C}$ is quasi-conformal provided that it satisfies the Beltrami equation:

$$\frac{\partial f}{\partial \bar{z}} = \mu(z) \frac{\partial f}{\partial z} \tag{1}$$

for some complex-valued function μ satisfying $\|\mu\|_{\infty} < 1$. The function μ is a measure of non-conformality and is named the *Beltrami coefficient*. In particular, a map f is conformal at p if $\mu(p) = 0$. Denote $i = \sqrt{-1}$ and f = u + iv. From the Beltrami equation (1),

$$\mu(f) = \frac{(u_x - v_y) + i(v_x + u_y)}{(u_x + v_y) + i(v_x - u_y)}$$
(2)

Let $\mu(f) = \rho + i\tau$. We have the following linear combinations between u_x, u_y, v_x and v_y :

$$\begin{cases} -v_y = \alpha_1 u_x + \alpha_2 u_y \\ v_x = \alpha_2 u_x + \alpha_3 u_y \end{cases}; \quad \begin{cases} -u_y = \alpha_1 v_x + \alpha_2 v_y \\ u_x = \alpha_2 v_x + \alpha_3 v_y \end{cases}$$
(3)

where $\alpha_1 = \frac{(\rho-1)^2 + r^2}{1-\rho^2 - r^2}$; $\alpha_2 = \frac{2r}{1-\rho^2 - r^2}$; $\alpha_3 = \frac{1+2\rho+\rho^2+r^2}{1-\rho^2 - r^2}$. By taking divergence on both sides of equations (3), we obtain

$$\nabla \cdot \left(A \begin{pmatrix} u_x \\ u_y \end{pmatrix} \right) = 0; \quad \nabla \cdot \left(A \begin{pmatrix} v_x \\ v_y \end{pmatrix} \right) = 0, \quad \text{where } A = \begin{pmatrix} \alpha_1 & \alpha_2 \\ \alpha_2 & \alpha_3 \end{pmatrix}$$
(4)

According to the Quasi-conformal Teichmüller theory, a quasi-conformal map can be uniquely determined up to Mobiüs transformations. Ambiguity of the Mobius transformation can be eliminated by providing three points correspondence, in which a unique solution can be obtain from equation (4).

3.2 Teichmüller Extremal Map

Let $\mu(f)$ be the Beltrami coefficient of f. Define the maximal dilation of f to be:

$$K(f) = \frac{1 + \|\mu(f)\|_{\infty}}{1 - \|\mu(f)\|_{\infty}}.$$
(5)

Using maximal dilation, we can define extremal map as:

Definition 1 Let $f: S_1 \to S_2$ be a quasi-conformal mapping between S_1 and S_2 . f is said to be an extremal mapping if for any quasi-conformal mapping $h: S_1 \to S_2$ isotopic to f relative to the boundary,

$$K(f) \le K(h) \tag{6}$$

It is uniquely extremal if the inequality (6) is strict when $h \neq f$.

Another kind of mapping, called the *Teichmüller mapping*, is closely related to the extremal mapping. Teichmüller mapping is defined as follows:

Definition 2 Let $f : S_1 \to S_2$ be a quasi-conformal mapping. f is said to be a Teichmüller mapping associated to the quadratic differential $q = \varphi dz^2$ where $\varphi : S_1 \to \mathbb{C}$ is a holomorphic function if its associated Beltrami differential is of the form:

$$\mu(f) = k \frac{\overline{\varphi}}{|\varphi|} \tag{7}$$

for some constant k < 1 and quadratic differential $q \neq 0$ with $||q||_1 = \int_{S_1} |\varphi| < \infty$.

Let S_1 and S_2 be Riemann surfaces with the same topology. Let $\{p_i\}_{i=1}^n \in S_1$ and $\{q_i\}_{i=1}^n \in S_2$ be the corresponding interior landmark constraints. A Teichmüller mapping f between S_1 and S_2 , which satisfies the landmark constraints, is actually the unique extremal map. With this, both uniqueness and existence of landmark matching Teichmüller extremal map can be guaranteed. We can therefore obtain a unique landmark matching registration by searching for an optimal Beltrami coefficient whose maximal dilatation is the minimum. For details, please refer to [2].

4 Proposed Algorithms

In this section, we explain our algorithm for obtaining a feature aligned Teichmüller extremal mapping between genus-1 surfaces. The basic idea is to first embed the surfaces into their universal covering spaces and find the Teichmüller extremal mapping between their conformal parameterizations.



4.1 Embed Genus-One Surface into the Euclidean Plane

The embedding of the genus-1 surface is computed using the Ricci flow method introduced by Gu et al. [6]. The basic idea of Ricci flow is to conformally deform the metric $g = (g_{ij}(t))$ according to its induced Gaussian curvature K(t). Mathematically, we have

$$\frac{dg_{ij}(t)}{dt} = -2(K(t) - \bar{K})g_{ij}(t)$$
(8)

where we set $\bar{K} = 0$ for genus-one to be the target curvature. Convergence of this process is guaranteed by Hamilton's theorem. $g(\infty)$ is the desired uniformization metric.

Let S be a genus-1 surface and p be a base point for S. Two closed loops based at p are introduced to slice the genus-1 surface into the fundamental domain. With the uniformization metric, the fundamental domain can be conformally embedded onto a 2D domain $\Omega \in \mathbb{R}^2$, called the fundamental polygon (See Figure 1). Denote the boundaries and vertices of the polygon as $\{a_1, a_2, a_1^{-1}, a_2^{-1}\}$ and $\{p_i\}$ respectively. The boundary pairs $\{a_1, a_1^{-1}\}, \{a_2, a_2^{-1}\}$ and vertices $\{p_i\}$ correspond to the closed loop and the single based point introduced. Note that a_i and a_i^{-1} , i = 1, 2 are related by $\varphi_i(a_i) = a_i^{-1}$, where φ_i are translations in \mathbb{R}^2 . Therefore, periodic constraints are enforced in the boundaries of the fundamental polygon. With this conformal parameterization, registration can be done on the fundamental domains instead of the complex genus-1 surfaces. For details, please refer to [6,10].

4.2 Computing the Teichmüller Extremal Mapping between Parameter Domains

Let Ω_1 and Ω_2 be the fundamental polygons of two genus-1 surfaces S_1 and S_2 respectively. Denote the boundaries and vertices of Ω_1 and Ω_2 by $\{a_1, a_2, a_1^{-1}, a_2^{-1}\}$, $\{p_i^{S_1}\}$ and $\{b_1, b_2, b_1^{-1}, b_2^{-1}\}$, $\{p_i^{S_2}\}$ respectively. As the boundary cuts of S_1 and S_2 may not be consistent, only periodic constraints are considered during the registration. Let $\{r_k\}_{k=1}^n$ and $\{q_k\}_{k=1}^n$ be the landmark correspondences on Ω_1 and Ω_2 respectively. Mathematically, the problem of finding Teichmüller extremal mapping between the fundamental domains can be formulated as follows:

$$f = \operatorname{argmin}_{f:\Omega_1 \to \Omega_2} \|\mu(f)\|_{\infty} \tag{9}$$

subject to:

$$- \mu(f) = k \frac{\bar{\varphi}}{|\varphi|} \text{ where } 0 \leq k < 1 \text{ and } \varphi : \Omega_1 \to \mathbb{C} \text{ is integrable holomorphic;} - \varphi_i(f(a_i)) = f(a_i^{-1}) \text{ for } i = 1, 2; \text{ (Periodic constraints)}$$
(10)
 - $f(p_i^{S_1}) = p_i^{S_2} \text{ for } i = 1, ..., 4; \text{ (Base points consistency)}$ (11)
 - $f(r_k) = q_k \text{ for } k = 1, 2, ..., n. \text{ (Landmark constraints)}$ (12)

To solve the above minimization problem, we propose an iterative scheme called the Quasi-conformal (QC) iteration. The basic idea is to find a path in the space of all Beltrami coefficients, which approaches from $\mu = 0$ to the unique admissible Beltrami coefficient ν^* of Teichmüller type. The process is summarized in Algorithm 1. For the convergence of Algorithm 1, please refer to [12].

5 Experimental Results

To evaluate the proposed algorithm, we apply it on the vertebral bones to compute the Teichmüller extremal map between 5 pairs of vertebral bones with prescribed feature points and landmark curves as landmarks (See Figure 2 & 3). There are two landmark curves labeled on the top and bottom side of the cortical rim and ten features marked on other parts of each vertebral bone. To register between a pair of vertebral bones, we first parameterize them into the fundamental domains Ω_1 and Ω_2 by the Ricci flow

Algorithm 1: QC-iteration

Input: Ω_1 and Ω_2 ; landmark constraints $\{r_k\}$ and $\{q_k\}$. **Output**: Optimal Beltrami coefficient ν and the Teichmüller extremal map f

- 1 Initial: $\nu_0 = 0;$
- 2 repeat
- 3 Update f = (u, v) by solving (4) with ν_{n+1} and constraints (10),(11) and (12);
- 4 Compute $\mu_{n+1} := \mathcal{A}(\mathcal{L}(\nu_n))$, where \mathcal{L} is the laplace smoothing operator and

$$\mathcal{A}(\mu) = \int_{\Omega_1} |\mu| d\Omega_1 \bigg/ \int_{\Omega_1} d\Omega_1;$$

Update f = (u, v) by solving (4) with μ_{n+1} and constraints (10),(11) and (12);

- 5 Set $\nu_{n+1} := \mu(f)$, where $\mu(f)$ is the Beltrami coefficients of f
- 6 until $\|\nu_{n+1} \nu_n\|_{\infty} \leq \epsilon$;



Fig. 6. Vertebral bone S_1 **Fig. 7.** Resultant registration **Fig. 8.** $|\mu|$ on surface

method. Using the QC iteration, the Teichmüller extremal mapping $f : \Omega_1 \to \Omega_2$ which satisfies the landmark constraints is obtained. Since no hard constraints is enforced on the cutting boundaries in the algorithm, the cutting boundaries of Ω_1 can move freely on the universal covering space, which satisfy the periodic conditions. Figure 5 shows the obtained Teichmüller extremal map between the covering spaces. Once the Teichmüller extremal map is computed, we can obtain the registration between the vertebral bones S_1 and S_2 by a composition of functions $\phi_2^{-1} \circ f \circ \phi_1 = T : S_1 \to S_2$. The resultant registration is shown in Figure 7. The mesh is obtained by deforming the source vertebral bone (Figure 6) to the target surface (Figure 3). Landmark curves and

Method	e_{\max}	e_{mean}	$\ \mu\ _{\infty}$	$SD(\mu)$	d_H	Time (s)
Proposed	0	0	0.4193	0.0147	0.82	10.91s
rigid ICP	0.1467	0.0389	$1.08e^{-13}$	$5.33e^{-15}$	12.44	4.46s
non-rigid ICP	0.0798	0.0402	0.9841	0.1710	4.55	223.07s

Table 1. Summary of the comparison experiment

feature points are exactly matched after the registration process. Figure 8 also shows $|\mu(f)|$ of the Teichmüller Extremal mapping, which is represented by the color on the vertebral bone surface. An even color distribution on the surface and a small standard deviation of the BC norm of 0.001823 indicate that the resultant mapping is actually of Teichmüller type. By the properties of Teichmüller map, the registration obtained is guaranteed to be bijective. This demonstrates that our proposed algorithm can effectively provide the unique registration result which minimizes the maximal conformality distortion. We have also computed the Teichmüller extremal mappings between a set of vertebral bones to construct the mean surface (Figure 9). Both feature points and the landmark curves are well-preserved, illustrating that the landmarks are consistently matched under the proposed registration algorithm.

To validate the invariance of the choice of cutting boundaries during the embedding process, we manually labeled two arbitrary simple closed loops (blue-red loops in Figure 10) with the same base point and run the proposed algorithm. Figure 11 shows the histogram of the optimal Teichmüller type BCs $|\mu_q|$ and $|\mu_{br}|$ from the cases of green loops and blue-red loops respectively. Experiment shows that both registration results are coincident, with $\||\mu_{g}| - |\mu_{br}|\|_{\infty} = 0.0021$, indicating that our proposed algorithm is invariant to the initial choice of the cutting boundaries. We have also compared our implementation with rigid ICP and non-rigid ICP. The result is summarized in Table 1. For ease of comparison, we first normalize every vertebral bone to fit into a unit cube. In terms of the mean and maximum landmark matching errors (emean, emax), our proposed method outperforms the two point-based registration methods. The Hausdorff distance d_H between the registration result and the target also shows that our proposed method has a better overlay percentage to the target object. With the sacrifice of the registration accuracy, almost no conformality distortion is introduced by the rigid ICP, while the non-rigid ICP produces a large distortion of 0.9841. Our proposed algorithm thus provides a balance between the computation requirement and the registration accuracy.



Fig. 9. Vertebral bone mean surface

Fig. 10. Different loops **Fig. 11.** $|\mu_g| \& |\mu_{br}|$

6 Conclusion and Future Works

This paper presents a novel method to compute the Teichmüller extremal mapping with prescribed landmark correspondences between genus-1 surfaces, which minimizes the maximal conformality distortion. By the Teichmüller theory, existence and uniqueness of such mapping is guaranteed. We applied the proposed algorithm for the vertebral bone registration and the construction of mean surface of vertebral bones. Experimental results show that our method is effective in computing bijective feature aligned registration with smallest maximal conformality distortion. In the future, we plan to extend the proposed method to higher-genus surfaces and apply the method to more real applications in medical imaging for disease analysis.

Acknowledgements. This research is supported by the HKRGC GRF (CUHK Project ID: 404612).

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