

Geometric and differentiable rigidity of submanifolds in spheres

Hongwei Xu, Fei Huang, Entao Zhao*

Center of Mathematical Sciences, Zhejiang University, Hangzhou, 310027, China

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Abstract

In this paper, we investigate rigidity of geometric and differentiable structures of complete submanifolds via an extrinsic geometrical quantity $\tau(x)$ defined by the second fundamental form. We verify a geometric rigidity theorem for complete submanifolds with parallel mean curvature in a unit sphere \mathbb{S}^{n+p} . Inspired by the rigidity theorem, we prove a differentiable sphere theorem for complete submanifolds in \mathbb{S}^{n+p} . Moreover, we obtain a differentiable pinching theorem for complete submanifolds in a $\delta(> \frac{1}{4})$ -pinched Riemannian manifold.

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Résumé

Dans cet article, on étudie la rigidité de structures géométriques et différentiable de sous-variétés complètes via une quantité géométrique extrinsèque $\tau(x)$ définie par sa deuxième forme fondamentale. On démonte un théorème de rigidité géométrique pour les sous-variétés complètes à courbure moyenne parallèle dans une sphère unité \mathbb{S}^{n+p} . Inspiré par le théorème de rigidité, on établit un théorème de sphère différentiable pour les sous-variétés complètes dans \mathbb{S}^{n+p} . On obtient aussi un théorème de pincement différentiable pour les sous-variétés complètes dans une variété riemannienne $\delta(> \frac{1}{4})$ -pincée.

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1. Introduction

The study of relations between geometric invariants and the structures of the geometry and topology of a manifold is a longstanding subject in global differential geometry (see [2,5,8,24]). In 1930's, Hopf conjectured that a compact, simply connected Riemannian manifold whose sectional curvatures are close to 1 is homeomorphic to a sphere. This conjecture is known as the curvature pinching problem, which was first taken up by Rauch [23]. In 1960's, making use of the comparison technique, Berger [1] and Klingenberg [16] proved that a compact, simply connected manifold M whose sectional curvatures all lie in the interval $(1/4, 1]$ is homeomorphic to the sphere \mathbb{S}^n . Since the complex projective space $\mathbb{C}\mathbb{P}^m$ ($m \geq 2$) has sectional curvatures in the interval $[1/4, 1]$, the pinching constant $1/4$ is optimal

* Corresponding author.

E-mail addresses: xuhw@cms.zju.edu.cn (H. Xu), huangfei@cms.zju.edu.cn (F. Huang), zhaonet@cms.zju.edu.cn (E. Zhao).

for even dimensional cases. Later, Micallef and Moore [20] obtained a topological sphere theorem for pointwise 1/4-pinched manifolds via the technique of minimal surfaces. Meanwhile, the geometry of compact Kähler manifolds admitting negatively 1/4-pinched Riemannian metric was investigated by Yau and Zheng [34]. In 2008, Böhm and Wilking [3] proved that a compact Riemannian manifold with 2-positive curvature operator is diffeomorphic to a spherical space form. Recently, Brendle and Schoen [6] proved a remarkable differentiable pinching theorem for pointwise 1/4-pinched Riemannian manifolds by developing Hamilton’s Ricci flow theory [15]. Furthermore, they gave a classification of weakly pointwise 1/4-pinched Riemannian manifolds [7]. More recently, Brendle [4] obtained the celebrated convergence result for the Ricci flow.

Theorem 1.1. *Let (M, g_0) be a compact Riemannian manifold of dimension $n \geq 4$. Assume that*

$$R_{1313} + \lambda^2 R_{1414} + R_{2323} + \lambda^2 R_{2424} - 2\lambda R_{1234} > 0, \tag{1}$$

for all orthonormal four frames $\{e_1, e_2, e_3, e_4\}$ and all $\lambda \in [-1, 1]$. Then the normalized Ricci flow with initial metric g_0

$$\frac{\partial}{\partial t} g(t) = -2\text{Ric}_{g(t)} + \frac{2}{n} r_{g(t)} g(t),$$

exists for all time and converges to a metric with positive constant sectional curvature as $t \rightarrow +\infty$. Here $r_{g(t)}$ denotes the mean value of the scalar curvature of $g(t)$.

The purpose of the present article is to investigate the geometry and topology from the viewpoint of submanifolds. After the pioneering rigidity theorem for closed minimal submanifolds in a unit sphere due to Simons [26], Lawson [17] and Chern, do Carmo and Kobayashi [12] obtained a classification of n -dimensional closed minimal submanifolds in \mathbb{S}^{n+p} whose squared norm of the second fundamental form satisfies $S \leq n/(2 - 1/p)$. Further discussions on the rigidity theorems have been carried out by many other authors (see [21,22,33], etc.). In 1990, Xu [29] proved a rigidity theorem for compact submanifolds with parallel mean curvature in a sphere.

Theorem 1.2. *Let M be an n -dimensional oriented compact submanifold with parallel mean curvature in an $(n + p)$ -dimensional unit sphere \mathbb{S}^{n+p} . Denote by H and S the mean curvature and squared norm of the second fundamental form, respectively. If $S \leq C(n, p, H)$, then M is either a totally umbilic sphere $\mathbb{S}^n(\frac{1}{\sqrt{1+H^2}})$, a Clifford hypersurface in an $(n + 1)$ -sphere, or the Veronese surface in $\mathbb{S}^4(\frac{1}{\sqrt{1+H^2}})$. Here the constant $C(n, p, H)$ is defined by*

$$C(n, p, H) = \begin{cases} \alpha(n, H), & \text{for } p = 1, \text{ or } p = 2 \text{ and } H \neq 0, \\ \frac{n}{2-\frac{1}{p}}, & \text{for } p \geq 2 \text{ and } H = 0, \\ \min\{\alpha(n, H), \frac{n+nH^2}{2-\frac{1}{p-1}} + nH^2\}, & \text{for } p \geq 3 \text{ and } H \neq 0, \end{cases}$$

$$\alpha(n, H) = n + \frac{n^3}{2(n-1)}H^2 - \frac{n(n-2)}{2(n-1)}\sqrt{n^2H^4 + 4(n-1)H^2}.$$

Later, the above pinching constant $C(n, p, H)$ was improved, by Li and Li [19] for $H = 0$ and by Xu [30] for $H \neq 0$, to

$$C'(n, p, H) = \begin{cases} \alpha(n, H), & \text{for } p = 1, \text{ or } p = 2 \text{ and } H \neq 0, \\ \min\{\alpha(n, H), \frac{1}{3}(2n + 5nH^2)\}, & \text{otherwise.} \end{cases}$$

The special case of Theorem 1.2 for $p = 1$ was also studied by Cheng and Nakagawa [11] independently.

On the other hand, Lawson and Simons [18] proved a topological sphere theorem for closed submanifolds in a unit sphere by using the non-existence for stable currents on compact submanifolds in a sphere. Shiohama and Xu [25] improved Lawson–Simons’ result and proved a topological sphere theorem for complete submanifolds in a simply connected space form with nonnegative constant curvature. Recently, Xu and Zhao [32] and Xu and Gu [31] obtained some differentiable sphere theorems for complete submanifolds.

Let M^n be an n -dimensional Riemannian submanifold in an $(n + p)$ -dimensional Riemannian manifold N^{n+p} . Set $UM = \bigcup_{x \in M} U_x M$, where $U_x M = \{u \in T_x M: \|u\| = 1\}$ and $T_x M$ is the tangent space at $x \in M$. In 1986, Gauchman [13] proved that if M is an n -dimensional closed minimal submanifold in \mathbb{S}^{n+p} , and if $\sigma(u) \leq \frac{1}{3}$ for any unit vector $u \in UM$, where $\sigma(u) = \|h(u, u)\|^2$ and h is the second fundamental form of M , then either $\sigma(u) \equiv 0$ or $\sigma(u) \equiv \frac{1}{3}$. Xu, Fang and Xiang [28] generalized this rigidity result to the case where M is an n -dimensional closed submanifold with parallel mean curvature in \mathbb{S}^{n+p} . In [32], Xu and Zhao proved the following theorem.

Theorem 1.3. *Let M be an n -dimensional complete submanifold in an $(n + p)$ -dimensional unit sphere \mathbb{S}^{n+p} . If $\sigma(u) < \frac{1}{3}$ for all $u \in UM$, then M is diffeomorphic to the standard unit n -sphere \mathbb{S}^n .*

Putting $\beta(u, v) = \|h(u, u) - h(v, v)\|^2$ for $u, v \in U_x M$, we define

$$\tau(x) = \max_{u, v \in U_x M, u \perp v} \beta(u, v).$$

Note that if $\|h(u, u)\|^2 < c$ for any $u \in U_x M$, where c is some positive constant, then $\beta(u, v) < 4c$ for any $u, v \in U_x M$. This implies $\tau(x) < 4c$.

In this paper, we first obtain the following geometric rigidity theorem.

Theorem A. *Let M be an n -dimensional complete submanifold with parallel mean curvature in an $(n + p)$ -dimensional unit sphere \mathbb{S}^{n+p} .*

- (1) *When $p = 1$, or $p = 2$ and $H \neq 0$, if M is compact and $\tau(x) \leq 4$ for all $x \in M$, then either $\tau(x) \equiv 0$ and M is the totally umbilical sphere $\mathbb{S}^n(\frac{1}{\sqrt{1+H^2}})$, or $\tau(x) \equiv 4$.*
- (2) *When $p \geq 3$, or $p = 2$ and $H = 0$, if $\tau(x) \leq \frac{4}{3}$ for all $x \in M$, then either $\tau(x) \equiv 0$ and M is the totally umbilical sphere $\mathbb{S}^n(\frac{1}{\sqrt{1+H^2}})$, or $\tau(x) \equiv \frac{4}{3}$.*

Corollary 1.4. (See [13,28].) *Let M be an n -dimensional complete submanifold with parallel mean curvature in an $(n + p)$ -dimensional unit sphere \mathbb{S}^{n+p} .*

- (1) *When $p = 1$, or $p = 2$ and $H \neq 0$, if M is compact and $\sigma(u) \leq 1$ for all $u \in UM$, then either $\sigma(u) \equiv 0$ and M is the totally umbilical sphere $\mathbb{S}^n(\frac{1}{\sqrt{1+H^2}})$, or $\max_{u \in UM} \sigma(u) = 1$.*
- (2) *When $p \geq 3$, or $p = 2$ and $H = 0$, if $\sigma(u) \leq \frac{1}{3}$ for all $u \in UM$, then either $\sigma(u) \equiv 0$ and M is the totally umbilical sphere $\mathbb{S}^n(\frac{1}{\sqrt{1+H^2}})$, or $\sigma(u) \equiv \frac{1}{3}$.*

Then we prove the following differentiable rigidity theorem, which is a generalization of Theorem 1.3.

Theorem B. *Let M be an n -dimensional complete submanifold in an $(n + p)$ -dimensional unit sphere \mathbb{S}^{n+p} . If $\tau(x) < \frac{4}{3}$ for all $x \in M$, then M is diffeomorphic to the standard unit n -sphere \mathbb{S}^n .*

Remark 1.5. Examples 3.5 and 3.6 in Section 3 show that our pinching constants in Theorems A and B are sharp.

If the ambient space is a general Riemannian manifold, we obtained the following differentiable pinching theorem.

Theorem C. *Let M be an n -dimensional complete submanifold in an $(n + p)$ -dimensional pointwise $\delta (> 1/4)$ -pinched Riemannian manifold N^{n+p} . Denote by $\bar{K}(x, \pi)$ the sectional curvature of N for 2-plane $\pi \subset T_x N$ and point $x \in N$. Set $\bar{K}_{\max}(x) := \max_{\pi \subset T_x N} \bar{K}(x, \pi)$ for $x \in M$. If $\tau(x) < \frac{16}{9} \bar{K}_{\max}(x) (\delta - \frac{1}{4})$ for all $x \in M$, then M is diffeomorphic to a space form. In particular, if M is simply connected, then M is diffeomorphic to the standard unit n -sphere \mathbb{S}^n or the Euclidean space \mathbb{R}^n .*

Our paper is organized as follows. In Section 2, we introduce some basic equations in the geometry of submanifolds and give a lower bound for the sectional curvature of the submanifold in terms of the extrinsic quantity $\tau(x)$. We prove two rigidity theorems for submanifolds of spheres in Section 3. Then we give the proof of Theorem A. In Section 4, Theorems B and C are proved by using convergence results for the Ricci flow of Hamilton [15] and Brendle [4], and the non-existence theorem for stable currents due to Lawson and Simons [18]. Moreover, we present a differentiable pinching theorem for even dimensional submanifolds in pinched Riemannian manifolds.

2. Preliminaries

Let M be an n -dimensional Riemannian manifold isometrically immersed in an $(n + p)$ -dimensional Riemannian manifold N^{n+p} . We shall make use of the following convention on the range of indices:

$$1 \leq A, B, C, \dots \leq n + p, \quad 1 \leq i, j, k, \dots \leq n, \quad n + 1 \leq \alpha, \beta, \gamma, \dots \leq n + p.$$

Choose a local orthonormal frame field $\{e_A\}$ on N^{n+p} such that e_i 's are tangent to M . Let $\{\omega_A\}$ be the dual frame field of $\{e_A\}$ and $\{\omega_{AB}\}$ the connection 1-forms of N^{n+p} . Restricting these forms to M , we have

$$\omega_{\alpha i} = \sum_j h_{ij}^\alpha \omega_j, \quad h_{ij}^\alpha = h_{ji}^\alpha,$$

$$h = \sum_{\alpha, i, j} h_{ij}^\alpha \omega_i \otimes \omega_j \otimes e_\alpha,$$

$$\xi = \frac{1}{n} \sum_{\alpha, i} h_{ii}^\alpha e_\alpha,$$

$$R_{ijkl} = K_{ijkl} + \sum_\alpha (h_{ik}^\alpha h_{jl}^\alpha - h_{il}^\alpha h_{jk}^\alpha), \tag{2}$$

$$R_{\alpha\beta kl} = K_{\alpha\beta kl} + \sum_i (h_{ik}^\alpha h_{il}^\beta - h_{il}^\alpha h_{ik}^\beta), \tag{3}$$

where $h, \xi, R_{ijkl}, R_{\alpha\beta kl}$ are the second fundamental form, the mean curvature vector, the Riemannian curvature tensor and the normal curvature tensor of M , and K_{ABCD} is the Riemannian curvature tensor of N , respectively. We set

$$H = \|\xi\|, \quad H^\alpha = (h_{ij}^\alpha)_{n \times n}.$$

Denote the first and second covariant derivatives of h_{ij}^α by h_{ijk}^α and h_{ijkl}^α , respectively. Then we have

$$\sum_k h_{ijk}^\alpha \omega_k = dh_{ij}^\alpha + \sum_k h_{kj}^\alpha \omega_{ik} + \sum_k h_{ik}^\alpha \omega_{jk} + \sum_\beta h_{ij}^\beta \omega_{\alpha\beta},$$

$$\sum_k h_{ijk}^\alpha \omega_l = dh_{ijk}^\alpha + \sum_l h_{ljk}^\alpha \omega_{il} + \sum_l h_{ilk}^\alpha \omega_{jl} + \sum_l h_{ijl}^\alpha \omega_{kl} + \sum_\beta h_{ijk}^\beta \omega_{\alpha\beta}.$$

The Laplacian of h is defined by $\Delta h_{ij}^\alpha = \sum_k h_{ijk}^\alpha$. If the mean curvature vector of M is parallel in the normal bundle $T^\perp M$, i.e., $\nabla_X^\perp \xi = 0$ for any $X \in \Gamma(TM)$, following [28,30,33], etc. we have

$$\sum_i h_{iik}^\alpha = 0, \quad \sum_i h_{iikl}^\alpha = 0, \quad \text{for all } k, l, \alpha, \tag{4}$$

$$\Delta h_{ij}^\alpha = \sum_{k,m} h_{km}^\alpha R_{mijk} + \sum_{k,m} h_{mi}^\alpha R_{mkjk} + \sum_{k,\beta} h_{ki}^\beta R_{\beta\alpha jk}, \tag{5}$$

$$\sum_\alpha R_{\alpha\beta kl} (\text{tr } H^\alpha) = 0. \tag{6}$$

Let UM denote the unit tangent bundle on M and $U_x M$ its fiber over $x \in M$. Then $UM = \bigcup_{x \in M} U_x M$, where $U_x M = \{u \in T_x M: \|u\| = 1\}$, $T_x M$ is the tangent space of M at x . Set

$$\beta(u, v) = \|h(u, u) - h(v, v)\|^2,$$

for $u, v \in U_x M$. We define a new extrinsic geometrical invariant of M by

$$\tau(x) = \max_{u, v \in U_x M, u \perp v} \beta(u, v), \quad x \in M.$$

Proposition 2.1. *Let M be an n -dimensional submanifold in a Riemannian manifold N^{n+p} , and x a fixed point in M . Then $\tau(x) = 0$ if and only if x is a totally umbilical point.*

Proof. A point $x \in M$ is totally umbilical if and only if for each $\alpha = n + 1, \dots, n + p$, the eigenvalues of the matrix H^α are equal. Suppose $\{e_1, \dots, e_n\}$ is an orthonormal basis of $T_x M$ such that $\langle h(e_i, e_j), e_\alpha \rangle = \kappa_i^\alpha \delta_{ij}$. Then $\kappa_i^\alpha, i = 1, \dots, n$, are n eigenvalues of H^α . If $\tau(x) = 0$, then $h(e_i, e_i) = h(e_j, e_j)$. This implies that $\kappa_i^\alpha = \langle h(e_i, e_i), e_\alpha \rangle = \langle h(e_j, e_j), e_\alpha \rangle = \kappa_j^\alpha$ for $i \neq j$. That is to say, H^α has only one eigenvalue with multiplication n . Note that this holds for arbitrary $\alpha = n + 1, \dots, n + p$. Hence M is totally umbilical at x . The inverse is obvious since that M is totally umbilical at x is equivalent to $h(X, Y) = \langle X, Y \rangle \xi$ for any $X, Y \in T_x M$. \square

The following lemma gives a lower bound for the sectional curvature of a submanifold.

Lemma 2.2. *Let M be an n -dimensional submanifold in a Riemannian manifold N^{n+p} . Denote by $\bar{K}(x, \pi)$ the sectional curvature of N for 2-plane $\pi \subset T_x N$ and point $x \in N$. Set $\bar{K}_{\min}(x) := \min_{\pi \subset T_x N} \bar{K}(x, \pi)$ for $x \in M$. Then the sectional curvature of M at $x \in M$ is no less than $\bar{K}_{\min}(x) - \frac{\tau(x)}{2}$.*

Proof. For $x \in M$, let u, v be two perpendicular unit vectors in $U_x M$. Then by Gauss equation (2) we have

$$R(u, v, u, v) = K(u, v, u, v) + \langle h(u, u), h(v, v) \rangle - \|h(u, v)\|^2. \tag{7}$$

Note that

$$\tau(x) \geq \|h(u, u) - h(v, v)\|^2 = \|h(u, u)\|^2 + \|h(v, v)\|^2 - 2\langle h(u, u), h(v, v) \rangle.$$

Therefore,

$$\begin{aligned} \langle h(u, u), h(v, v) \rangle &\geq \frac{1}{4} (\|h(u, u)\|^2 + \|h(v, v)\|^2 - \tau(x)) + \frac{1}{2} \langle h(u, u), h(v, v) \rangle \\ &\geq -\frac{\tau(x)}{4}. \end{aligned} \tag{8}$$

On the other hand, we have

$$h(u, v) = \frac{1}{2} \left(h\left(\frac{u+v}{\sqrt{2}}, \frac{u+v}{\sqrt{2}}\right) - h\left(\frac{u-v}{\sqrt{2}}, \frac{u-v}{\sqrt{2}}\right) \right).$$

Since $(u + v)/\sqrt{2}$ and $(u - v)/\sqrt{2}$ are two perpendicular unit vectors, we have

$$\|h(u, v)\|^2 = \frac{1}{4} \left\| h\left(\frac{u+v}{\sqrt{2}}, \frac{u+v}{\sqrt{2}}\right) - h\left(\frac{u-v}{\sqrt{2}}, \frac{u-v}{\sqrt{2}}\right) \right\|^2 \leq \frac{\tau(x)}{4}. \tag{9}$$

Combining (7), (8) and (9), we complete the proof of the lemma. \square

3. Rigidity of submanifolds with parallel mean curvature

In this section, we investigate the geometric rigidity of submanifolds with parallel mean curvature. Let M^n be an n -dimensional complete submanifold with parallel mean curvature in an $(n + p)$ -dimensional unit sphere S^{n+p} . Then $K_{ABCD} = \delta_{AC} \delta_{BD} - \delta_{AD} \delta_{BC}$.

Suppose $\tau(x_0) \neq 0$ at $x_0 \in M$. Then there exist two perpendicular unit vectors $u_0, v_0 \in U_{x_0} M$ such that

$$\max_{u, v \in U_{x_0} M, u \perp v} \|h(u, u) - h(v, v)\|^2 = \|h(u_0, u_0) - h(v_0, v_0)\|^2.$$

Choose an orthonormal frame $\{e_A\}$ at x_0 such that

$$e_{n+1} = \frac{h(u_0, u_0) - h(v_0, v_0)}{\|h(u_0, u_0) - h(v_0, v_0)\|}, \tag{10}$$

and the matrix (h_{ij}^{n+1}) satisfies

$$h_{11}^{n+1} \geq \dots \geq h_{nn}^{n+1}, \quad h_{ij}^{n+1} = 0 \quad (i \neq j). \tag{11}$$

Let $u_0 = \sum_i x^i e_i, v_0 = \sum_i y^i e_i$. Since

$$\begin{aligned} \tau(x_0) &= \langle h(u_0, u_0) - h(v_0, v_0), e_{n+1} \rangle^2 \\ &= \left[\sum_i (x_i^2 - y_i^2) h_{ii}^{n+1} \right]^2 \\ &\leq \left[\sum_i x_i^2 h_{11}^{n+1} - \sum_i y_i^2 h_{nn}^{n+1} \right]^2 \\ &= (h_{11}^{n+1} - h_{nn}^{n+1})^2, \end{aligned}$$

by the definition of τ , we have $\tau(x_0) = (h_{11}^{n+1} - h_{nn}^{n+1})^2$. Thus we can take

$$e_1 = u_0, \quad e_n = v_0. \tag{12}$$

From (10), we know that $h(e_1, e_1) - h(e_n, e_n)$ is parallel to e_{n+1} . Hence

$$h_{11}^\alpha = h_{nn}^\alpha, \quad \alpha \neq n + 1. \tag{13}$$

On the other hand, for any $x \in M, e_i, e_j \in T_x M, i \neq j$, let

$$u = \frac{e_i + e_j}{\sqrt{2}}, \quad v = \frac{e_i - e_j}{\sqrt{2}}.$$

Since u, v are perpendicular, we have

$$\sum_\alpha (h_{ij}^\alpha)^2(x) = \frac{1}{4} \|h(u, u) - h(v, v)\|^2 \tag{14}$$

$$\leq \frac{1}{4} \tau(x). \tag{15}$$

Define a tensor field $A = (A_{ijkl})$ on M by

$$A_{ijkl} = \sum_\alpha h_{ij}^\alpha h_{kl}^\alpha.$$

Under the frame satisfying (10)–(13), we have

$$\tau(x_0) = A_{1111} + A_{nnnn} - 2A_{11nn}. \tag{16}$$

By the definition of Laplacian, we obtain $\Delta\tau(x_0) = \Delta(1, n) = (\Delta A)_{1111} + (\Delta A)_{nnnn} - 2(\Delta A)_{11nn}$, and

$$\begin{aligned} \frac{1}{2}(\Delta A)_{1111} &= \sum_{\alpha,i} [h_{11}^\alpha h_{11ii}^\alpha + (h_{11i}^\alpha)^2], \\ \frac{1}{2}(\Delta A)_{nnnn} &= \sum_{\alpha,i} [h_{nn}^\alpha h_{nnii}^\alpha + (h_{nni}^\alpha)^2], \\ (\Delta A)_{11nn} &= \sum_{\alpha,i} [h_{11}^\alpha h_{nnii}^\alpha + h_{nn}^\alpha h_{11ii}^\alpha + 2h_{11i}^\alpha h_{nni}^\alpha]. \end{aligned}$$

Using (13), at x_0 we have

$$\begin{aligned} \frac{1}{2}\Delta(1, n) &= \sum_i (h_{11}^{n+1} - h_{nn}^{n+1})(h_{11ii}^{n+1} - h_{nnii}^{n+1}) + \sum_{\alpha,i} (h_{11i}^\alpha - h_{nni}^\alpha)^2 \\ &\geq \sum_i (h_{11}^{n+1} - h_{nn}^{n+1})(h_{11ii}^{n+1} - h_{nnii}^{n+1}). \end{aligned} \tag{17}$$

Substituting (2), (3) and (5) into (17), we have

$$\begin{aligned} \frac{1}{2}\Delta(1, n) &\geq n(h_{11}^{n+1} - h_{nn}^{n+1})^2 \\ &\quad - 2(h_{11}^{n+1} - h_{nn}^{n+1}) \sum_{i,\alpha} \{ (h_{11}^{n+1} - h_{ii}^{n+1})(h_{1i}^\alpha)^2 + (h_{ii}^{n+1} - h_{nn}^{n+1})(h_{ni}^\alpha)^2 \} \\ &\quad + (h_{11}^{n+1} - h_{nn}^{n+1}) \sum_{i,\alpha} \{ (h_{11}^{n+1} - h_{ii}^{n+1})h_{1i}^\alpha h_{ii}^\alpha + (h_{ii}^{n+1} - h_{nn}^{n+1})h_{nn}^\alpha h_{ii}^\alpha \}. \end{aligned} \tag{18}$$

Lemma 3.1. *Let M be an n -dimensional submanifold with parallel mean curvature in a unit sphere \mathbb{S}^{n+p} . Suppose $\tau(x) \neq 0$ at $x \in M$. Let $\{e_A\}$ be an adapted frame satisfying (10)–(13).*

(1) *If $p = 1$, or $p = 2$ and $H \neq 0$, then*

$$\frac{1}{2}\Delta(1, n) \geq n(h_{11}^{n+1} - h_{nn}^{n+1})^2 \left(1 - \frac{1}{4}(h_{11}^{n+1} - h_{nn}^{n+1})^2 \right);$$

(2) *If $p \geq 3$, or $p = 2$ and $H = 0$, then*

$$\frac{1}{2}\Delta(1, n) \geq n(h_{11}^{n+1} - h_{nn}^{n+1})^2 \left(1 - \frac{3}{4}(h_{11}^{n+1} - h_{nn}^{n+1})^2 \right).$$

Proof. (1) If $p = 1$, by (10) we see that the second term of the right hand side of (18) vanishes. If $p = 2$ and $H \neq 0$, by (6) we have

$$\sum_\alpha R_{\alpha\beta kl}(\text{tr} H^\alpha) = 0.$$

Let α, β be $n + 1$ and $n + 2$, respectively. Then we have

$$\begin{cases} R_{(n+1)(n+2)kl}(\text{tr} H^{n+1}) = 0, \\ R_{(n+2)(n+1)kl}(\text{tr} H^{n+2}) = 0. \end{cases}$$

Since $H \neq 0$, $\text{tr} H^{n+1}$ and $\text{tr} H^{n+2}$ do not equal to 0 at the same time. Hence

$$R_{(n+1)(n+2)kl} = 0$$

for all $k, l = 1, \dots, n$. By (3) and (11) we have

$$\begin{aligned} R_{(n+1)(n+2)kl} &= \sum_{i=1}^n (h_{ik}^{n+1} h_{il}^{n+2} - h_{il}^{n+1} h_{ik}^{n+2}) \\ &= h_{kk}^{n+1} h_{kl}^{n+2} - h_{ll}^{n+1} h_{lk}^{n+2} \\ &= h_{kl}^{n+2} (h_{kk}^{n+1} - h_{ll}^{n+1}) \\ &= 0. \end{aligned}$$

Particularly,

$$h_{ii}^{n+2} (h_{11}^{n+1} - h_{ii}^{n+1}) = 0, \quad h_{ni}^{n+2} (h_{nn}^{n+1} - h_{ii}^{n+1}) = 0.$$

So the second term of the right hand side of (18) vanishes either. Hence we have the following estimate from (18):

$$\begin{aligned}
 \frac{1}{2}\Delta(1, n) &\geq n(h_{11}^{n+1} - h_{nn}^{n+1})^2 \\
 &\quad + (h_{11}^{n+1} - h_{nn}^{n+1}) \sum_i \left\{ (h_{11}^{n+1} - h_{ii}^{n+1}) \sum_\alpha h_{11}^\alpha h_{ii}^\alpha + (h_{ii}^{n+1} - h_{nn}^{n+1}) \sum_\alpha h_{nn}^\alpha h_{ii}^\alpha \right\} \\
 &\geq n(h_{11}^{n+1} - h_{nn}^{n+1})^2 + (h_{11}^{n+1} - h_{ii}^{n+1}) \\
 &\quad \times \sum_i \left\{ -\frac{1}{4}(h_{11}^{n+1} - h_{ii}^{n+1})(h_{11}^{n+1} - h_{nn}^{n+1})^2 - \frac{1}{4}(h_{ii}^{n+1} - h_{nn}^{n+1})(h_{11}^{n+1} - h_{nn}^{n+1})^2 \right\} \\
 &= n(h_{11}^{n+1} - h_{nn}^{n+1})^2 \left[1 - \frac{1}{4}(h_{11}^{n+1} - h_{nn}^{n+1})^2 \right].
 \end{aligned} \tag{19}$$

In the second inequality we have used the following estimate:

$$\begin{aligned}
 -\sum_\alpha h_{11}^\alpha h_{ii}^\alpha &\leq \sum_\alpha \frac{1}{4}(h_{11}^\alpha - h_{ii}^\alpha)^2 \\
 &= \frac{1}{4} \|h(e_1, e_1) - h(e_i, e_i)\|^2 \\
 &\leq \frac{1}{4} \|h(e_1, e_1) - h(e_n, e_n)\|^2 \\
 &= \frac{1}{4}(h_{11}^{n+1} - h_{nn}^{n+1})^2.
 \end{aligned} \tag{20}$$

(2) If $p \geq 3$, or $p = 2$ and $H = 0$, (18) can be rewritten as

$$\begin{aligned}
 \frac{1}{2}\Delta(1, n) &= n(h_{11}^{n+1} - h_{nn}^{n+1})^2 - (h_{11}^{n+1} \\
 &\quad - h_{nn}^{n+1}) \left\{ \sum_{i=2}^n (h_{11}^{n+1} - h_{ii}^{n+1}) \left[2 \sum_\alpha (h_{ii}^\alpha)^2 - \sum_\alpha h_{11}^\alpha h_{ii}^\alpha \right] \right. \\
 &\quad \left. + \sum_{i=1}^{n-1} (h_{ii}^{n+1} - h_{nn}^{n+1}) \left[2 \sum_\alpha (h_{ni}^\alpha)^2 - \sum_\alpha h_{nn}^\alpha h_{ii}^\alpha \right] \right\}.
 \end{aligned} \tag{21}$$

For $i \neq 1$, by (15) we have

$$2 \sum_\alpha (h_{ii}^\alpha)^2 \leq \frac{1}{2}(h_{11}^{n+1} - h_{nn}^{n+1})^2.$$

From this and (20) we obtain

$$\begin{aligned}
 &\sum_{i=2}^n (h_{11}^{n+1} - h_{ii}^{n+1}) \left(2 \sum_\alpha (h_{ii}^\alpha)^2 - \sum_\alpha h_{11}^\alpha h_{ii}^\alpha \right) \\
 &\leq \sum_{i=2}^n (h_{11}^{n+1} - h_{ii}^{n+1}) \times \frac{3}{4}(h_{11}^{n+1} - h_{nn}^{n+1})^2.
 \end{aligned} \tag{22}$$

Similarly,

$$\begin{aligned}
 &\sum_{i=1}^{n-1} (h_{ii}^{n+1} - h_{nn}^{n+1}) \left(2 \sum_\alpha (h_{ni}^\alpha)^2 - \sum_\alpha h_{nn}^\alpha h_{ii}^\alpha \right) \\
 &\leq \sum_{i=1}^{n-1} (h_{ii}^{n+1} - h_{nn}^{n+1}) \times \frac{3}{4}(h_{11}^{n+1} - h_{nn}^{n+1})^2.
 \end{aligned} \tag{23}$$

Substituting (22) and (23) into (21), we get

$$\begin{aligned}
\frac{1}{2}\Delta(1, n) &\geq n(h_{11}^{n+1} - h_{nn}^{n+1})^2 - (h_{11}^{n+1} - h_{nn}^{n+1}) \\
&\quad \times \frac{3}{4}(h_{11}^{n+1} - h_{nn}^{n+1})^2 \left(\sum_{i=2}^n (h_{11}^{n+1} - h_{ii}^{n+1}) + \sum_{i=1}^{n-1} (h_{ii}^{n+1} - h_{nn}^{n+1}) \right) \\
&= n(h_{11}^{n+1} - h_{nn}^{n+1})^2 - \frac{3}{4}(h_{11}^{n+1} - h_{nn}^{n+1})^3 \\
&\quad \times \left(\sum_{i=1}^n (h_{11}^{n+1} - h_{ii}^{n+1}) + \sum_{i=1}^n (h_{ii}^{n+1} - h_{nn}^{n+1}) \right) \\
&= n(h_{11}^{n+1} - h_{nn}^{n+1})^2 - \frac{3}{4}n(h_{11}^{n+1} - h_{nn}^{n+1})^4 \\
&= n(h_{11}^{n+1} - h_{nn}^{n+1})^2 \left(1 - \frac{3}{4}(h_{11}^{n+1} - h_{nn}^{n+1})^2 \right).
\end{aligned}$$

This completes the proof of the lemma. \square

Lemma 3.2. *Let M be an n -dimensional compact submanifold with parallel mean curvature in a unit sphere \mathbb{S}^{n+p} . We have*

- (1) *If $p = 1$, or $p = 2$ and $H \neq 0$, and $\tau(x) \leq 4$ for any $x \in M$, then $\tau(x)$ is a constant function on M .*
- (2) *If $p \geq 3$, or $p = 2$ and $H = 0$, and $\tau(x) \leq \frac{4}{3}$ for any $x \in M$, then $\tau(x)$ is a constant function on M .*

Proof. It suffices to show that $\tau(x)$ is a subharmonic function. Fix a point $x \in M$. For the Laplacian of continuous functions, we have the generalized definition

$$(\Delta\tau)(x) = C \lim_{r \rightarrow 0} \frac{1}{r^2} \left(\int_{B(x,r)} \tau / \int_{B(x,r)} 1 - \tau(x) \right),$$

where C is a positive constant and $B(x, r)$ is a geodesic ball centered at x with radius r .

If $\tau(x) = 0$, then $\Delta\tau(x) \geq 0$ since τ is nonnegative on M .

If $\tau(x) \neq 0$, in a small neighborhood O_x of x within the cut-locus of x , we denote by $u(y), v(y)$ two vectors tangent to M obtained by parallel transport of $u(x) = e_1, v(x) = e_n$ along the unique geodesic joining x to y . Define $f_x(y) = \|h(u(y), u(y)) - h(v(y), v(y))\|^2$. Then

$$\Delta f_x(y)|_{y=x} = \Delta \{A(u, u, u, u) + A(v, v, v, v) - 2A(u, u, v, v)\}|_{y=x} = \Delta(1, n).$$

Since $\tau(x) = f_x(x)$ and $\tau(y) \geq f_x(y)$, we have $\Delta\tau(x) \geq \Delta f_x(x)$. From Lemma 3.1 we know that $\Delta(1, n) \geq 0$. Hence $\Delta\tau(x) \geq 0$.

Since x is arbitrary, we see that $\tau(x)$ is subharmonic, which implies that τ is a constant function. This completes the proof of Lemma 3.2. \square

Theorem 3.3. *Let M be an n -dimensional compact submanifold with parallel mean curvature in a unit sphere \mathbb{S}^{n+p} . If $p = 1$, or $p = 2$ and $H \neq 0$, and if $\tau(x) \leq 4$ for all $x \in M$, then either $\tau(x) \equiv 0$ and M is the totally umbilical sphere $\mathbb{S}^n(\frac{1}{\sqrt{1+H^2}})$, or $\tau(x) \equiv 4$.*

Proof. By Lemma 3.2, τ is a constant function. When $\tau = 0$, from Lemma 2.1 we know that M is the totally umbilical sphere $\mathbb{S}^n(\frac{1}{\sqrt{1+H^2}})$. When $\tau \neq 0$, from the definition of $f_x(y)$ in the proof of Lemma 3.2 we see that $f_x(x)$ is the maximal value of f_x . Hence, $\Delta(1, n) = \Delta f_x(x) \leq 0$. By Lemma 3.1 we have

$$\frac{1}{2}\Delta(1, n) \geq n(h_{11}^{n+1} - h_{nn}^{n+1})^2 \left(1 - \frac{1}{4}(h_{11}^{n+1} - h_{nn}^{n+1})^2 \right).$$

Since $\tau(x) = (h_{11}^{n+1} - h_{nn}^{n+1})^2 \leq 4$, we obtain from the above inequality that $\tau(x) = 4$. This completes the proof of Theorem 3.3. \square

Theorem 3.4. Let M be an n -dimensional complete submanifold with parallel mean curvature in a unit sphere \mathbb{S}^{n+p} . If $p \geq 3$, or $p = 2$ and $H = 0$, and if $\tau(x) \leq \frac{4}{3}$ for all $x \in M$, then either $\tau(x) \equiv 0$ and M is the totally umbilical sphere $\mathbb{S}^n(\frac{1}{\sqrt{1+H^2}})$, or $\tau(x) \equiv \frac{4}{3}$.

Proof. From Lemma 2.2, we have $K_M \geq \frac{1}{3} > 0$. Hence M is a compact submanifold by Myers' theorem. When $\tau = 0$, M is the totally umbilical sphere $\mathbb{S}^n(\frac{1}{\sqrt{1+H^2}})$. When τ is a nonzero constant, we have $\Delta(1, n) \leq 0$. From Lemma 3.1 we have $\frac{1}{2}\Delta(1, n) \geq n(h_{11}^{n+1} - h_{nn}^{n+1})^2(1 - \frac{3}{4}(h_{11}^{n+1} - h_{nn}^{n+1})^2)$. Hence if $\tau(x) = (h_{11}^{n+1} - h_{nn}^{n+1})^2 \leq \frac{4}{3}$, we obtain that $\tau = \frac{4}{3}$. This completes the proof of Theorem 3.4. \square

Now we are in a position to give the proof of Theorem A.

Proof of Theorem A. Combing Theorems 3.3 and 3.4, we obtain the assertion of Theorem A. \square

Example 3.5. Let $\mathbb{S}^q(r)$ be the q -dimensional sphere of radius r in \mathbb{R}^{q+1} , and let $1 \leq k \leq n - 1$. We embed $\mathbb{S}^k(1/\sqrt{2}) \times \mathbb{S}^{n-k}(1/\sqrt{2})$ in $\mathbb{S}^{n+1}(1)$ as follows. Let $u \in \mathbb{S}^k(1/\sqrt{2})$ and $v \in \mathbb{S}^{n-k}(1/\sqrt{2})$ be vectors of length $1/\sqrt{2}$ in \mathbb{R}^{k+1} and \mathbb{R}^{n-k+1} , respectively. We can consider (u, v) as a unit vector in $\mathbb{R}^{n+2} = \mathbb{R}^{k+1} \times \mathbb{R}^{n-k+1}$. It is easy to see that $\mathbb{S}^k(1/\sqrt{2}) \times \mathbb{S}^{n-k}(1/\sqrt{2})$ is a submanifold in $\mathbb{S}^{n+1}(1)$ with constant mean curvature

$$H = \left| \frac{2k - n}{n} \right|.$$

In particular, $\mathbb{S}^k(1/\sqrt{2}) \times \mathbb{S}^k(1/\sqrt{2}) \subset \mathbb{S}^{n+1}(1)$ is minimal if $n = 2k$. Since $\mathbb{S}^{n+1}(1) \subset \mathbb{S}^{n+2}(1)$ is totally geodesic, as a submanifold in $\mathbb{S}^{n+2}(1)$, $\mathbb{S}^k(1/\sqrt{2}) \times \mathbb{S}^{n-k}(1/\sqrt{2}) \subset \mathbb{S}^{n+2}(1)$ is a submanifold with parallel mean curvature vector of norm $H = |\frac{2k-n}{n}|$.

Example 3.6. Denote by $\mathbb{R}\mathbb{P}^2$, $\mathbb{C}\mathbb{P}^2$, $\mathbb{Q}\mathbb{P}^2$ and $\mathbb{C}\text{oy}\mathbb{P}^2$ the projective planes over the real numbers, complex numbers, quaternions and octonions, and by $\psi_1 : \mathbb{R}\mathbb{P}^2 \rightarrow \mathbb{S}^4(1)$, $\psi_2 : \mathbb{C}\mathbb{P}^2 \rightarrow \mathbb{S}^7(1)$, $\psi_3 : \mathbb{Q}\mathbb{P}^2 \rightarrow \mathbb{S}^{13}(1)$ and $\psi_4 : \mathbb{C}\text{oy}\mathbb{P}^2 \rightarrow \mathbb{S}^{25}(1)$ the corresponding isometric embeddings. Let $\psi'_1 : \mathbb{S}^2(\sqrt{3}) \rightarrow \mathbb{S}^4(1)$ be the isometric immersion defined by $\psi'_1 = \psi_1 \circ \pi$, where $\pi : \mathbb{S}^2(\sqrt{3}) \rightarrow \mathbb{R}\mathbb{P}^2$ is the canonical projection.

For $n \geq 2, m \geq 0$, let $\mathbb{S}^n(1)$ be the great sphere in $\mathbb{S}^{n+m}(1)$ given by

$$\mathbb{S}^n(1) = \{(x_1, \dots, x_{n+m+1}) \in \mathbb{S}^{n+m}(1) : x_{n+2} = \dots = x_{n+m+1} = 0\},$$

and $\eta_{n,m} : \mathbb{S}^n(1) \rightarrow \mathbb{S}^{n+m}(1)$ the inclusion. We set

$$\begin{aligned} \phi_{1,p} &= \eta_{4,p-2} \circ \psi_1 : \mathbb{R}\mathbb{P}^2 \rightarrow \mathbb{S}^{2+p}(1), & p \geq 2, \\ \phi_{2,p} &= \eta_{7,p-3} \circ \psi_2 : \mathbb{C}\mathbb{P}^2 \rightarrow \mathbb{S}^{4+p}(1), & p \geq 3, \\ \phi_{3,p} &= \eta_{13,p-5} \circ \psi_3 : \mathbb{Q}\mathbb{P}^2 \rightarrow \mathbb{S}^{8+p}(1), & p \geq 5, \\ \phi_{4,p} &= \eta_{25,p-2} \circ \psi_4 : \mathbb{C}\text{oy}\mathbb{P}^2 \rightarrow \mathbb{S}^{16+p}(1), & p \geq 9, \\ \phi'_{1,p} &= \eta_{4,p-2} \circ \psi'_1 : \mathbb{S}^2(\sqrt{3}) \rightarrow \mathbb{S}^{2+p}(1), & p \geq 2. \end{aligned}$$

Then $\phi_{i,p}$ is a minimal isometric embedding and $\phi'_{1,p}$ is a minimal isometric immersion.

From the proof of Main Theorem 1.6 in [28] we see that, for submanifolds described in Example 3.5, there exist $u, v \in U_x M$ at every $x \in M$ which are perpendicular such that $\tau(u, v) = 4$. Hence $\tau = 4$ on M . This implies that the condition $\tau \leq 4$ of Theorem A (1) is optimal. Similarly, we have $\tau \equiv \frac{4}{3}$ on $\phi_{i,p}, i = 1, 2, 3, 4$, and $\phi'_{1,p}$ described in Example 3.6. So the condition $\tau(x) \leq \frac{4}{3}$ in Theorem A (2) and Theorem B is optimal in the sense that there exist submanifolds which satisfy $\tau \equiv \frac{4}{3}$ but not isometric or diffeomorphic to a sphere.

Theorem A also improves the rigidity result due to Chen [10].

4. Differentiable sphere theorems for submanifolds

In this section, we first prove a differentiable sphere theorem for compact submanifolds in a $\delta (> 1/4)$ -pinched Riemannian manifold by using the convergence result for the Ricci flow due to Brendle [4].

Theorem 4.1. *Let M be an n -dimensional compact submanifold in an $(n + p)$ -dimensional pointwise $\delta (> 1/4)$ -pinched Riemannian manifold N^{n+p} . Denote by $\bar{K}(x, \pi)$ the sectional curvature of N for 2-plane $\pi \subset T_x N$ and point $x \in N$. For $x \in M$, set $\bar{K}_{\max}(x) := \max_{\pi \subset T_x N} \bar{K}(x, \pi)$. If $\tau(x) < \frac{16}{9} \bar{K}_{\max}(x) (\delta - \frac{1}{4})$ for all $x \in M$, then M is diffeomorphic to a spherical space form. In particular, if M is simply connected, then M is diffeomorphic to the standard unit n -sphere S^n .*

Proof. Since M is compact and $\bar{K}_{\max}(x) > 0$ for all $x \in N$, we see from Lemma 2.2 that the sectional curvature K_M of M satisfies

$$K_M > \frac{\delta + 2}{9} \inf_{x \in M} \bar{K}_{\max}(x) > 0.$$

When $n = 2$, it is easy to see that M is diffeomorphic to S^2 or RP^2 . When $n = 3$, the Hamilton theorem in [15] says that M is diffeomorphic to a spherical space form. When $n \geq 4$, let $\{e_1, e_2, e_3, e_4\}$ be an orthonormal four-frame at $x \in M$ and $\lambda \in \mathbb{R}$. By Lemma 2.2 and Berger’s inequality we have

$$\begin{aligned} & R_{1313} + \lambda^2 R_{1414} + R_{2323} + \lambda^2 R_{2424} - 2\lambda R_{1234} \\ & > 2(1 + \lambda^2) \frac{\delta + 2}{9} \bar{K}_{\max}(x) - 2|\lambda| \left(\frac{2}{3}(1 - \delta) \bar{K}_{\max}(x) + \frac{8}{9} \bar{K}_{\max}(x) \left(\delta - \frac{1}{4} \right) \right) \\ & = \frac{2}{9} (\delta + 2) \bar{K}_{\max}(x) (1 - 2|\lambda| + \lambda^2) \\ & \geq 0. \end{aligned}$$

This together with Theorem 1.1 implies that M admits a metric with positive constant sectional curvature. Hence M is diffeomorphic to a spherical space form. In particular, if M is simply connected, then M must be diffeomorphic to the standard unit n -sphere S^n . This completes the proof. \square

Lemma 4.2. (See [18].) *Let M be an n -dimensional compact submanifold in a unit sphere S^{n+p} . Let k and l be positive integers with $k + l = n$. If the following inequality:*

$$\sum_{j=k+1}^n \sum_{i=1}^k (2\|h(e_i, e_j)\|^2 - \langle h(e_i, e_i), h(e_j, e_j) \rangle) < kl,$$

holds for any orthonormal basis $\{e_1, e_2, \dots, e_n\}$ of the tangent space at any point $x \in M$, then there is no stable k -current in M . Moreover,

$$H_k(M, \mathbb{Z}) = H_l(M, \mathbb{Z}) = 0,$$

where $H_i(M, \mathbb{Z})$ is the i -th homology group on M with integer coefficients.

Now we give the proofs of Theorems B and C.

Proof of Theorem B. By Lemma 2.2, we know that $K_M \geq \frac{1}{3} > 0$. Then M is compact by Myers’ theorem. Let $\{e_1, e_2, \dots, e_n\}$ be any orthonormal basis of the tangent space at any point $x \in M$. By (8) and (9) we know that $\langle h(e_i, e_i), h(e_j, e_j) \rangle > -\frac{1}{3}$ and $\|h(e_i, e_j)\|^2 < \frac{1}{3}$. Hence for any $1 \leq k \leq n - 1$,

$$\sum_{j=k+1}^n \sum_{i=1}^k (2\|h(e_i, e_j)\|^2 - \langle h(e_i, e_i), h(e_j, e_j) \rangle) < \sum_{j=k+1}^n \sum_{i=1}^k \left(2 \times \frac{1}{3} + \frac{1}{3} \right) = k(n - k).$$

By Lemma 4.2, we know that there does not exist any stable integral current in M .

Suppose that $\pi_1(M) \neq 0$. Since M is compact, it follows from a classical theorem due to Cartan and Hadamard that there exists a minimal closed geodesic in any nontrivial homotopy class in $\pi_1(M)$. Then we get a contradiction. Therefore $\pi_1(M) = 0$ and M is simply connected. This together with Theorem 4.1 implies that M is diffeomorphic to \mathbb{S}^n . This completes the proof of Theorem B. \square

Proof of Theorem C. By Lemma 2.2 we see that $K_M > \frac{\delta+2}{9} \inf_{x \in M} \bar{K}_{\max}(x) \geq 0$. When M is compact, we see from Theorem 4.1 that M is diffeomorphic to a spherical space form. When M is complete noncompact, it follows from Cheeger–Gromoll–Myers’s soul theorem [9,14] that M is diffeomorphic to \mathbb{R}^n . In particular, if M is simply connected, then M is diffeomorphic to \mathbb{S}^n or \mathbb{R}^n . This completes the proof of Theorem C. \square

Theorem C improves the sphere theorems due to Xia [27] and Xu and Zhao [32]. Furthermore, we present a differentiable pinching theorem for even dimensional complete submanifolds as follows.

Theorem 4.3. *Let M^n be an even dimensional complete oriented submanifold in an $(n + p)$ -dimensional pointwise $\delta (> 1/4)$ -pinched Riemannian manifold N^{n+p} . If*

$$\tau(x) < \frac{16}{9} \bar{K}_{\max}(x) \left(\delta - \frac{1}{4} \right)$$

for all $x \in M$, then M is diffeomorphic to the standard unit n -sphere \mathbb{S}^n or the Euclidean space \mathbb{R}^n .

Proof. It follows from the assumption and Lemma 2.2 that

$$K_M > \frac{\delta + 2}{9} \inf_{x \in M} \bar{K}_{\max}(x) \geq 0.$$

When M is compact, it is seen from the assumption and Synge’s theorem that M is simply connected. From Theorem 4.1, we see that M is diffeomorphic to \mathbb{S}^n . When M is complete noncompact, it follows from Cheeger–Gromoll–Myers’s soul theorem [9,14] that M is diffeomorphic to \mathbb{R}^n . Therefore, we conclude that M is diffeomorphic to \mathbb{S}^n or \mathbb{R}^n . \square

Finally, motivated by Theorems A and B, we would like to propose the following conjecture on the mean curvature flow in higher codimensions.

Conjecture 4.4. *Let $F_0 : M \rightarrow \mathbb{S}^{n+p}$ be an n -dimensional compact submanifold in an $(n + p)$ -dimensional unit sphere \mathbb{S}^{n+p} . If $\tau(x) < \frac{4}{3}$ for all $x \in M$, then the mean curvature flow*

$$\begin{cases} \frac{\partial}{\partial t} F(x, t) = n\xi(x, t), & x \in M, t \geq 0, \\ F(\cdot, 0) = F_0(\cdot), \end{cases}$$

has a unique solution $F : M \times [0, T) \rightarrow \mathbb{S}^{n+d}$, and either

- (1) $T < \infty$ and M_t converges to a round point as $t \rightarrow T$; or
- (2) $T = \infty$ and M_t converges to a totally geodesic sphere in \mathbb{S}^{n+d} as $t \rightarrow \infty$.

In particular, we give the following:

Conjecture 4.5. *Let $F_0 : M \rightarrow \mathbb{S}^{n+p}$ be an n -dimensional compact submanifold in an $(n + p)$ -dimensional unit sphere \mathbb{S}^{n+p} . If $\sigma(u) < \frac{1}{3}$ for any unit vector $u \in UM$, then the mean curvature flow with F_0 as initial value has a unique solution $F : M \times [0, T) \rightarrow \mathbb{S}^{n+d}$, and either*

- (1) $T < \infty$ and M_t converges to a round point as $t \rightarrow T$; or
- (2) $T = \infty$ and M_t converges to a totally geodesic sphere in \mathbb{S}^{n+d} as $t \rightarrow \infty$.

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