

The Ritt theorem in several variables

C. A. BERENSTEIN and M. A. DOSTAL

University of Maryland and Stevens Institute of Technology*)

§ 1. Formulation of the problem

The motivation for the problem treated in this note arises in the theory of convolution equations. Let U be a locally convex space of functions or distributions. It is assumed that by means of a transformation \mathcal{F} of Fourier type¹⁾, the space U is isomorphic to a subalgebra \hat{U} of the algebra $\mathcal{A} = \mathcal{A}(\mathbf{C}^n)$ of all entire functions in \mathbf{C}^n . Using the inverse transformation \mathcal{F}^{-1} one can transfer the operation of multiplication from \hat{U} to U . The resulting ring multiplication in U is called the *convolution* and is denoted $\phi * \psi$ ($\phi, \psi \in U$). More generally, let $G \in \mathcal{A}$ be such that the multiplication by the function G is a continuous endomorphism \mathcal{M}_G of \hat{U} . If \mathcal{C}_G is the continuous endomorphism of U corresponding to \mathcal{M}_G under the isomorphism \mathcal{F} , then \mathcal{C}_G is called a *convolutor* of the space U . Sometimes — but not always — there exists a distribution S (or another “generalized function”) such that the Fourier transform of S is the function G , and for each $\phi \in U$, $\mathcal{C}_G(\phi)$ can be interpreted as the convolution $S * \phi$ in some generalized sense.

Given $f \in U$, consider the equation

$$S * u = f \tag{1.1}$$

which is equivalent to the equation $\hat{S}(\zeta)\hat{u}(\zeta) = \hat{f}(\zeta)$. It is natural to ask for necessary and sufficient conditions for the solvability of (1.1) in U . An obvious necessary condition is that \hat{f}/\hat{S} be entire. In order to conclude that \hat{f}/\hat{S} is in \hat{U} one usually

*) The authors wish to thank Instituto de Matematica Pura e Aplicada, Rio de Janeiro, for its support and hospitality.

¹⁾ \mathcal{F} can be either the classical Fourier-Laplace transformation or the Fourier-Borel transformation or another similar transformation depending upon the nature of the space U . The inverse transformation will be denoted by \mathcal{F}^{-1} ; instead of $\mathcal{F}(\phi)$ we shall write $\hat{\phi}$. Similarly, \hat{U} stands for $\mathcal{F}(U)$, etc.

has to use additional properties of \mathcal{S} and U , and this is often done by combining a theorem of Paley-Wiener type²⁾ with the following intermediary step: There is another subalgebra \mathcal{B} of \mathcal{A} containing \hat{S} and \hat{f} , and such that

(\mathcal{S}) whenever $F, G \in \mathcal{B}$ are such that $F/G \in \mathcal{A}$, then $F/G \in \mathcal{B}$.

Obviously, if $\mathcal{B} = \hat{U}$ has property (\mathcal{S}), then the above necessary condition for the solvability of (1.1) is also sufficient. However, this is rarely the case, and one usually has to consider a different subalgebra \mathcal{B} . In the sequel, subalgebras \mathcal{B} with the property (\mathcal{S}) will be called *stable*. Denoting by $F_{\mathcal{B}}$ the quotient field of \mathcal{B} , stability of \mathcal{B} means $F_{\mathcal{B}} \cap \mathcal{A} = \mathcal{B}$.

As an illustration of the previous general argument, consider the following two examples:

Example 1. Let $U = \mathcal{A}'$ be the dual of the space \mathcal{A} endowed with the compact-open topology. By means of the Fourier-Borel transform (cf. § 2), U is isomorphic to the algebra $U = \text{Exp} =$ the set of all entire functions of exponential type in \mathbf{C}^n . The stability of Exp is a classical result of Lindelöf [18] (cf. also below). Hence a necessary and sufficient condition for the solvability of equation (1.1) in the space of analytic functionals in \mathbf{C}^n is that $\hat{f}/\hat{S} \in \mathcal{A}$. Non-trivial examples of the use of the stability of Exp in the study of convolution equations can be found in Hörmander [13] and Malgrange [19, 20].

Example 2. Let $U = \mathcal{E}'$ be the space of distributions with compact support in \mathbf{R}^n . Consider equation (1.1) with $S, f \in \mathcal{E}'$. Then, although the function \hat{f}/\hat{S} is of exponential type (provided it is entire), in general $\hat{f}/\hat{S} \notin \mathcal{E}'$, unless \hat{S} is invertible (cf. [12]). Hence \mathcal{E}' is not stable, and it is the non-stability of \mathcal{E}' which causes serious difficulties in the study of such convolution equations. In order to overcome these difficulties, one often has to resort to intricate methods (cf. [13, 20]).

It therefore seems interesting to examine the stability of those subalgebras of \mathcal{A} which arise in the study of convolution equations. Below we list some of them:

\mathcal{P} : polynomials in \mathbf{C}^n ;

\mathcal{E} : finite *exponential sums*, i.e. entire functions of the form

²⁾ For example, the Paley-Wiener-Schwartz theorem for the case of distributions, or the Pólya-Ehrenpreis-Martineau theorem (cf. § 2) when analytic functionals are involved, etc.

$$H(\zeta) = \sum_{j=1}^s h_j e^{\langle \theta_j, \zeta \rangle}, \quad (\zeta \in \mathbf{C}^n) \tag{1.2}$$

where h_j are complex numbers, and $\theta_j \in \mathbf{C}^n$, also called *frequencies* of H , are given points in \mathbf{C}^{n^3} ;

$E_{\mathcal{P}}$: exponential polynomials in \mathbf{C}^n , i.e. functions of the form (1.2) with $h_j \in \mathcal{P}$;

$\tilde{E}_{\mathcal{P}}$: entire functions of the form H/P for some $H \in E_{\mathcal{P}}$, $P \in \mathcal{P}$;

$\hat{\mathcal{K}}_{\omega}$: Fourier transforms of distributions $\Phi \in \mathcal{E}'$ such that, for some constants $t \geq 0$, $r > 0$, $c > 0$ and A real (all depending on Φ),

$$\max_{\zeta' \in \Delta(\zeta; r)} |\hat{\phi}(\zeta')| \geq c \exp [A\omega(\xi) + h_{[\Phi]}(\eta)], \quad (\forall \zeta \in \mathbf{C}^n), \tag{1.3}$$

where $\Delta(\zeta; r)$ denotes the polydisk of center ζ and radius r ; $\zeta = \xi + i\eta$; $h_{[\Phi]}$ is the supporting function of the support of Φ ; and $\omega(\xi) = \ln(2 + |\xi|)$.⁴⁾ (For the properties of such classes, see [3, 4, 5]);

$\hat{\mathcal{E}}'$: Fourier transforms of Schwarz distributions with compact support; or, more generally,

$\hat{\mathcal{E}}'_{\omega}$: the same for Beurling distributions (cf.⁴⁾);

Exp: entire functions in \mathbf{C}^n of exponential type.

The stability of \mathcal{P} is simple (cf. e.g. [9]). That E is stable for $n = 1$ constitutes the so-called Ritt theorem [23]. For $n > 1$ this was proved by Avanissian and Martineau [1]. $E_{\mathcal{P}}$ is clearly non-stable. Indeed, for $n = 1$,

$$\frac{\sin z}{z} \in \tilde{E}_{\mathcal{P}} \setminus E_{\mathcal{P}} \quad (z \in \mathbf{C}). \tag{1.4}$$

It can be easily established that $\hat{\mathcal{K}}_{\omega}$ is stable [5]. $\hat{\mathcal{E}}'$, and more generally $\hat{\mathcal{E}}'_{\omega}$, are not stable (cf. Example 2 above). The stability of Exp was proved by E. Lindelöf [18] for $n = 1$. The extension to arbitrary $n \geq 1$ is due to Ehrenpreis [10] and Malgrange [19].

It remains to be found, first how “unstable” $E_{\mathcal{P}}$ really is ⁵⁾, and secondly,

³⁾ In what follows it will always be assumed that the frequencies θ_j are pairwise distinct and the coefficients h_j are all non-zero. Besides, \langle, \rangle denotes the bilinear product in \mathbf{C}^n : $\langle \theta, \zeta \rangle = \theta_1 \zeta_1 + \dots + \theta_n \zeta_n$.

⁴⁾ Actually, for ω one may take any continuous subadditive function in \mathbf{R}^n satisfying certain growth conditions. Then, instead of \mathcal{E}' , one has to take the space \mathcal{E}'_{ω} of Beurling distributions (cf. [2]).

⁵⁾ i.e., to describe the structure of entire functions of the form F/G where $F, G \in E_{\mathcal{P}}$ (or, equivalently, $F, G \in \tilde{E}_{\mathcal{P}}$).

whether $\tilde{E}_{\mathcal{P}}$ is stable or not. Our main objective in this note is to show that both questions have a common answer:

MAIN THEOREM. *The subalgebra $\tilde{E}_{\mathcal{P}}$ is stable. Hence the most general form of an entire function of the form $F|G$, $F, G \in E_{\mathcal{P}}$, (cf.⁵) is*

$$\frac{F}{G} = \frac{H}{P} \quad (H \in E_{\mathcal{P}}, P \in \mathcal{P}). \tag{1.5}$$

Given an exponential polynomial H , the greatest common divisor of its coefficients,

$$d_H = (h_1, \dots, h_s), \tag{1.6}$$

will be called *the content of H* . Furthermore, let $f = H/P$ be any element of $\tilde{E}_{\mathcal{P}}$. Then we shall say that H/P is a *reduced form* of f , provided $(P, d_H) = 1$. If this is so and $f = H^*/P^*$, for some $H^* \in E_{\mathcal{P}}$ and $P^* \in \mathcal{P}$, then it is easy to see that $P|P^*$ and $d_H|d_{H^*}$. Hence the following lemma holds:

LEMMA. *Every function in $\tilde{E}_{\mathcal{P}}$ has a unique reduced form⁶). Furthermore, let $H, F \in E_{\mathcal{P}}$ be such that $Q = H|F \in \mathcal{P}$. Then $Q|d_H$.*

Then the main theorem can be reformulated as follows:

THEOREM 1. *Let $F, G \in E_{\mathcal{P}}$ be such that $F|G \in \mathcal{A}$. Then there exist unique⁶) $H \in E_{\mathcal{P}}$ and $P \in \mathcal{P}$ such that $F|G = H|P$ and $(P, d_H) = 1$.*

As an application of Theorem 1 one obtains:

THEOREM 2. *Let $F, G \in E_{\mathcal{P}}$ and $F|G \in \mathcal{A}$. Let $H|P$ be the reduced form of $F|G$. Then $P|d_G$.*

COROLLARY 1. *Let F, G be exponential polynomials in \mathbf{C}^n such that $F|G$ is entire and $d_G = 1$. Then $F|G$ is also an exponential polynomial.*

COROLLARY 2. *E is stable ($n \geq 1$).*

As was mentioned above, Corollary 2 for $n = 1$ is the Ritt theorem [23]. Other proofs of Ritt's theorem were given by H. Selberg [24], P. D. Lax [16] and A. Shields [25]. Shields proves that, for $n = 1$, the hypotheses $F \in E_{\mathcal{P}}, G \in E$ and $F|G \in \mathcal{A}$ imply $F|G \in E_{\mathcal{P}}$. He also mentions that, according to an unpublished result of

⁶) Unique up to a constant multiple of H and P .

W. D. Bouwsma, Corollary 1 holds when $n = 1$. Finally, Corollary 2 is due to V. Avaniissian and A. Martineau (unpublished [1]).⁷⁾

The main theorem is established in Section 2. Theorem 2, as well as another application of Theorem 1 are discussed in the concluding Section 3.

Theorems 1 and 2 were announced in our note [7].

For applications of exponential polynomials in one variable see [15].

§ 2. Proof of the main theorem

For $\zeta \in \mathbf{C}^n$, $\bar{\zeta}$ denotes the complex conjugate of ζ , i.e. $\bar{\zeta} = (\bar{\zeta}_1, \dots, \bar{\zeta}_n)$. When ζ is considered as a point in \mathbf{R}^{2n} , the coordinates of ζ are $(\text{Re } \zeta_1, \text{Im } \zeta_1, \dots, \text{Re } \zeta_n, \text{Im } \zeta_n)$. The Euclidean inner product in \mathbf{R}^m will be denoted $\langle \cdot, \cdot \rangle_m$. We recall from § 1 that the bilinear product in \mathbf{C}^n , denoted simply by $\langle \cdot, \cdot \rangle$, is

$$\langle z, \zeta \rangle = z_1 \zeta_1 + \dots + z_n \zeta_n, \quad z, \zeta \in \mathbf{C}^n.$$

If F is an exponential polynomial with frequencies a_1, \dots, a_p , i.e.

$$F(\zeta) = \sum_{j=1}^p P_j(\zeta) e^{\langle a_j, \zeta \rangle}, \tag{2.1}$$

where P_j are polynomials, we will denote by $[F]$ the convex hull of the points $\bar{a}_1, \dots, \bar{a}_p$ in \mathbf{R}^{2n} . Let $h_{[F]}$ be the supporting function of the set $[F]$, i.e. for each $\zeta \in \mathbf{C}^n = \mathbf{R}^{2n}$

$$h_{[F]}(\zeta) = \max_{x \in [F]} \langle x, \zeta \rangle_{2n} = \max_{1 \leq j \leq p} \langle \bar{a}_j, \zeta \rangle_{2n} = \max_{1 \leq j \leq p} \text{Re } \langle a_j, \zeta \rangle. \tag{2.2}$$

We shall need a few simple facts about analytic functionals, i.e. elements of \mathcal{A}' (cf. [14, 17, 21, 26]). The Fourier-Borel transform $\hat{\mu}(\zeta) = \mu(e^{\langle \cdot, \zeta \rangle})$ establishes an isomorphism of the spaces \mathcal{A}' and Exp . Given $\mu \in \mathcal{A}'$, the indicator p_μ of μ is defined by

$$p_\mu(\zeta) = \lim_{t \rightarrow \infty} \frac{\log |\hat{\mu}(t\zeta)|}{t}$$

and its upper-semicontinuous regularization \bar{p}_μ ,

$$\bar{p}_\mu(\zeta) = \overline{\lim_{\zeta' \rightarrow \zeta} p_\mu(\zeta')},$$

is plurisubharmonic.

⁷⁾ Professor H. S. Shapiro has kindly informed us that several years ago he proved Corollary 2 by means of an inductive argument. His proof has not been published. *Added in proof:* In the meantime K. Kitagawa [27] published a proof of Corollary 1. His proof is rather sketchy. A complete proof of Corollary 1 was recently announced by V. Avaniissian and R. Gay in [28, 29].

A carrier of an analytic functional μ is a compact subset K of \mathbf{C}^n such that for every neighborhood U of K there is a constant C such that

$$|\mu(\phi)| \leq C \sup_{z \in U} |\phi(z)|,$$

for all $\phi \in \mathcal{A}$. A compact convex set K is called a *convex support* of μ if K is a minimal compact convex carrier of μ , i.e. K is a carrier of μ such that if L is another carrier of μ and $L \subseteq K$, then $\text{ch. } L = K$, where $\text{ch. } L$ denotes the convex hull of L .

In the sequel the Pólya-Ehrenpreis-Martineau theorem will be used in the following formulation (cf. [14], Th. 5.2, Cor. 5.3):

THEOREM I. *Let $\mu \in \mathcal{A}'$, then*

$$\bar{p}_\mu(\zeta) \equiv \inf \{h_K(\zeta) : K \text{ carries } \mu\}. \tag{2.3}$$

Hence μ has a unique convex support if and only if \bar{p}_μ is a convex function.

In [4, 6] we established the following lower estimate for exponential polynomials:

THEOREM II. *Let $P \in E_{\mathcal{P}}$, i.e. P is an exponential polynomial. Then, for each $\varepsilon > 0$, there exists a constant $C = C(\varepsilon, P)$ such that if f is an analytic function in the polydisk $\Delta(\zeta, \varepsilon) = \Delta$,*

$$\Delta = \{\zeta' \in \mathbf{C}^n : \max_j |\zeta'_j - \zeta_j| \leq \varepsilon\}, \tag{2.4}$$

then

$$|f(\zeta)| e^{h_{[P]}(\zeta)} \leq C \max_{z \in \Delta} |f(z)P(z)|. \tag{2.5}$$

To every exponential polynomial there corresponds, via the Fourier-Borel transform, a unique $\mu_P \in \mathcal{A}'$ such that $\hat{\mu}_P(\zeta) = P(\zeta)$. Hence we have

COROLLARY 1. *For every $P \in E_{\mathcal{P}}$, $[P]$ is the unique convex support of μ_P and*

$$\bar{p}_{\mu_P}(\zeta) \equiv p_{\mu_P}(\zeta) \equiv h_{[P]}(\zeta). \tag{2.6}$$

(Indeed, it suffices to set $f \equiv 1$ in Theorem II and apply Theorem I).

Let A be a compact convex subset of \mathbf{R}^m . For an arbitrary $\theta \in \mathbf{R}^m$, set $A^\theta = \{x \in A : \langle x, \theta \rangle_m = h_A(\theta)\}$. If A^θ consists of one point only, θ is called a *regular direction* of A . The set of all regular directions will be denoted $\text{reg } A$. The set of all extremal points will be denoted $\text{ext } A$.

LEMMA 1 (cf. [8]). *Let A and B be compact convex sets in \mathbf{R}^m . Then for each θ ,*

$$A^\circ \cap \text{ext } A = \text{ext } (A^\circ), \tag{2.7}$$

$$(A + B)^\circ = A^\circ + B^\circ, \tag{2.8}$$

$$\text{ext } (A + B) \subseteq \text{ext } A + \text{ext } B. \tag{2.9}$$

Moreover, every z in $\text{ext } (A + B)$ has a unique decomposition $z = z_1 + z_2$, $z_1 \in \text{ext } A$, $z_2 \in \text{ext } B$. Although the inclusion in (2.9) cannot be replaced by equality, one has for every $\theta \in \text{reg } A \cup \text{reg } B$,

$$(\text{ext } (A + B))^\circ = (\text{ext } A)^\circ + (\text{ext } B)^\circ. \tag{2.10}$$

(Relations (2.7)–(2.9) are obvious. The uniqueness of the decomposition $z = z_1 + z_2$ was proved in [11]. Equation (2.10) follows from (2.7) and (2.8)).

Finally, a simple lemma on piecewise linear functions in \mathbf{R}^m will be necessary. Given $x_0 \in \mathbf{R}^m$ and $\varrho > 0$, set

$$B_m(x_0; \varrho) = \{x \in \mathbf{R}^m: \|x - x_0\| = \max_{1 \leq i \leq m} |x_i - x_{0,i}| < \varrho\}.$$

We shall write $B_m(\varrho)$ for $B_m(0; \varrho)$. Let \mathcal{L} be the class of all continuous functions on $B_m(1)$ with the following property: for each $\phi \in \mathcal{L}$ there exist N distinct vectors $\theta_j \in \mathbf{R}^m$ ($j = 1, \dots, N$) such that for each $x \in B_m(1)$, $\phi(x) - \phi(0) = \langle x, \theta_j \rangle_m$ for some j . Given a function f on an open convex set $G \subseteq \mathbf{R}^m$, f will be called *piecewise linear on G* if for each $x_0 \in G$ there exist a $\varrho > 0$ and an affine mapping χ of \mathbf{R}^m (i.e. $\chi(z) = Az + x_0$ for some non-singular $m \times m$ matrix A) such that $\chi(0) = x_0$, $\chi(B_m(1)) = B_m(x_0; \varrho) \subseteq G$, and if ϕ denotes the restriction of $f \circ \chi$ to $B_m(1)$, then ϕ is in \mathcal{L} .

LEMMA 2. *Let f be a piecewise linear function defined on an open convex set $G \subseteq \mathbf{R}^m$. Then f is convex if (and only if) f is subharmonic.*

Proof. In view of the local character of convexity the lemma will follow if we show that any subharmonic function ϕ , $\phi \in \mathcal{L}$, is convex in $B_m(\varrho)$ for some $\varrho \leq 1$. We can assume $\phi(0) = 0$. Let $N(\phi)$ be the number N corresponding to ϕ by definition. Our claim is trivial when either $N(\phi) = 1$ or $m = 1$. Assume that it has been proved for all integers $1, 2, \dots, N - 1$ and arbitrary m ($N \geq 2$). Fix ϕ to be any function in \mathcal{L} for which $N(\phi) = N$. Let $\theta_1, \dots, \theta_N$ be the corresponding vectors. Set $V = \{x \in \mathbf{R}^m: \langle x, \theta_i - \theta_j \rangle = 0, \forall i, j, i \neq j\}$ and $d = \dim V$. Since $N \geq 2$ and θ_i are distinct vectors, $d < m$, i.e. $0 \leq d \leq m - 1$. Consider first the case $d = 0$, i.e. $V = \{0\}$. If $x_0 \in B_m(1)$ is arbitrary, $x_0 \neq 0$, let N_0 be the total number of θ_j 's for which $\phi(x_0) = \langle x_0, \theta_j \rangle_m$. Then $N_0 < N$, because $d = 0$. By continuity, in some $B_m(x_0; \delta) \subseteq B_m(1)$, one needs only N_0 linear functions to define ϕ . By the induction hypothesis ϕ is convex in some $B_m(x_0; \delta_0)$, $0 < \delta_0 \leq \delta$. Hence, it remains to be shown that ϕ is convex at the

origin. Let x_1, x_2 be any two distinct points in $B_m(1)$ such that $x_1 = \alpha x_2$ for some $\alpha \leq 0$. One has to show

$$\phi(\lambda x_1 + (1 - \lambda)x_2) \leq \lambda\phi(x_1) + (1 - \lambda)\phi(x_2), \quad 0 \leq \lambda \leq 1. \tag{2.11}$$

For $m = 1$, this is trivial because subharmonicity coincides with convexity. If $m > 1$, there will be a vector $y \in B_m(1)$ linearly independent of x_1 such that $x_i + y \in B_m(1)$ ($i = 1, 2$). Then for any $k = 1, 2, \dots$ the segment with endpoints $X_{i,k} = x_i + k^{-1}y$ does not contain the origin and by the local convexity of ϕ in $B_m(1) \setminus \{0\}$, the inequality (2.11) is satisfied for $X_{1,k}, X_{2,k}$ instead of x_1, x_2 . Letting $k \rightarrow \infty$, (2.11) follows by continuity. Finally, for the case $d \geq 1$, one can assume that

$$V = \{x \in \mathbf{R}^m: x_i = 0 \text{ for } i > d\}.$$

For $x \in \mathbf{R}^m$, set $\tilde{x} = (x_{d+1}, \dots, x_m)$ and $\tilde{\phi}(\tilde{x}) = \phi(x)$. It suffices to prove the convexity of $\tilde{\phi}$ in $B_{m-d}(0; \varrho)$ for some $\varrho \leq 1$. However, since

$$\dim \{\tilde{x} \in \mathbf{R}^{m-d}: \langle \tilde{x}, \tilde{\theta}_i - \tilde{\theta}_j \rangle_{m-d} = 0 \quad \forall j, i \ i \neq j\} = 0,$$

we are in the preceding case.

COROLLARY 2. *Let f be a plurisubharmonic function in \mathbf{C}^n which is piecewise linear in $\mathbf{R}^{2n} = \mathbf{C}^n$. Then f is convex in \mathbf{R}^{2n} .*

Proof of Theorem 1. Let

$$F(\zeta) = \sum_{j=1}^p P_j(\zeta)e^{\langle a_j, \zeta \rangle}, \quad (P_j \in \mathcal{P}) \tag{2.12}$$

$$G(\zeta) = \sum_{j=1}^q Q_j(\zeta)e^{\langle b_j, \zeta \rangle}, \quad (Q_j \in \mathcal{P}) \tag{2.13}$$

be such that

$$K = \frac{F}{G} \in \mathcal{A}. \tag{2.14}$$

Then $K \in \text{Exp}$. Let $\nu_0, \mu, \mu_0 \in \mathcal{A}'$ be such that $K = \hat{\nu}_0, G = \hat{\mu}, F = \hat{\mu}_0$. Obviously, $p_{\mu_0} \leq p_\mu + p_{\nu_0}$. Since $p_{\mu_0} = \tilde{p}_{\mu_0} = h_{[F]}$, $p_\mu = \tilde{p}_\mu = h_{[G]}$ (cf. (2.6)), we obtain from Theorems I, II that for every $\varepsilon > 0$, there are constants C_1, C_2 depending only on ε, F and G such that for every $\zeta \in \mathbf{C}^n$,

$$e^{p_\mu(\zeta)} |K(\zeta)| \leq C_1 \max_{z \in \mathcal{A}(\zeta; \varepsilon)} |F(z)| \leq C_2 e^{p_{\mu_0}(\zeta) + \varepsilon |\zeta|}. \tag{2.15}$$

This shows that $p_{\nu_0} \leq p_{\mu_0} - p_\mu$, hence by (2.6)

$$p_{\nu_0} = \tilde{p}_{\nu_0} = h_{[F]} - h_{[G]}. \tag{2.16}$$

By Theorem 2, p_{v_0} is a convex function. Since p_{v_0} is also positively homogeneous of order 1, there exists a compact convex set $[K] \subseteq \mathbf{R}^{2n}$ such that $p_{v_0} = h_{[K]}$, hence by (2.16),

$$[F] = [G] + [K]. \tag{2.17}$$

(By Theorem I of this section, the set $[K]$ is obviously the unique convex support of the functional v_0 .)

Let \mathcal{V} be the family of all linear varieties in \mathbf{R}^{2n} of dimension $2n - 1$, each of which contains at least two different points of the form

$$z = \sum_{i=1}^p l_i \bar{a}_i + \sum_{j=1}^q m_j \bar{b}_j, \tag{2.18}$$

where all the coefficients l_i, m_j are integers. Then the set $\mathcal{N} = \{\theta \in S^{2n-1}: \theta \perp A, \text{ for some } A \in \mathcal{V}\}$ has measure zero in S^{2n-1} , the unit sphere in \mathbf{R}^{2n} . (Indeed, fix arbitrary z_1, \dots, z_T ($T \geq 2$) of the form (2.18); then the normal vectors to all $A \in \mathcal{V}$ such that $z_i \in A$ ($i = 1, \dots, T$), define an algebraic subvariety of S^{2n-1} of dimension $\leq 2n - 2$.)

Obviously, one can assume that the compact sets $[F], [G], [K]$ lie in \mathbf{R}_+^{2n} , the positive orthant in \mathbf{R}^{2n} . The set \mathcal{N} being of measure zero in S^{2n-1} , one can find $v \in (S^{2n-1} \setminus \mathcal{N}) \cap \mathbf{R}_+^{2n}$. Since $v \notin \mathcal{N}$, v is a regular direction for both $[F]$ and $[G]$. Hence $h_{[F]}(v) = \langle \bar{a}_j, v \rangle_{2n}$ for exactly one \bar{a}_j . Renumbering the a_i 's, if necessary, and using the fact that $v \notin \mathcal{N}$, one can assume that

$$h_{[F]}(v) = \langle \bar{a}_1, v \rangle_{2n} > \langle \bar{a}_2, v \rangle_{2n} > \dots > \langle \bar{a}_p, v \rangle_{2n} > 0 \tag{2.19}$$

Similarly,

$$h_{[G]}(v) = \langle \bar{b}_1, v \rangle_{2n} > \dots > \langle \bar{b}_q, v \rangle_{2n} > 0. \tag{2.20}$$

Set $k_1 = a_1 - b_1$. By Lemma 1, $\bar{k}_1 \in \text{Ext}[K]$, and \bar{k}_1 is the only point of $[K]$ for which $h_{[K]}(v) = \langle \bar{k}_1, v \rangle_{2n}$.

Set

$$d = \langle \bar{b}_1 - \bar{b}_2, v \rangle_{2n}, \quad H^+ = \{x \in \mathbf{R}^{2n}: \langle x, v \rangle_{2n} > \langle \bar{a}_1, v \rangle_{2n} - d\}, \quad H^- = \mathbf{R}^{2n} \setminus H^+.$$

Let r be such that $\bar{a}_i \in H^+$ for $i = 1, \dots, r$ and $\bar{a}_i \in H^-$ for $i = r + 1, \dots, p$. Using the notation (2.12)–(2.14), set

$$\begin{cases} f_1(\zeta) = F(\zeta) - P_1(\zeta)e^{\langle a_1, \zeta \rangle}, \\ g_1(\zeta) = G(\zeta) - Q_1(\zeta)e^{\langle b_1, \zeta \rangle}, \\ K_1(\zeta) = Q_1(\zeta)K(\zeta) - P_1(\zeta)e^{\langle k_1, \zeta \rangle}, \\ F_1(\zeta) = Q_1(\zeta)f_1(\zeta) - P_1(\zeta)g_1(\zeta)e^{\langle k_1, \zeta \rangle}. \end{cases} \tag{2.21}$$

Then f_1, F_1 are exponential polynomials, K_1 is entire, and

$$F_1 = K_1 G. \tag{2.22}$$

Next we claim that

$$\bar{k}_1 + \bar{b}_j \in H^- \quad (j = 2, \dots, q). \tag{2.23}$$

Indeed, by (2.19) and (2.20), $\langle \bar{k}_1 + \bar{b}_j, \nu \rangle_{2n} = \langle \bar{a}_1, \nu \rangle_{2n} + \langle -\bar{b}_1 + \bar{b}_j, \nu \rangle_{2n} \leq \langle \bar{a}_1, \nu \rangle_{2n} + \langle -\bar{b}_1 + \bar{b}_2, \nu \rangle_{2n} = \langle \bar{a}_1, \nu \rangle_{2n} - d$.

Since $\bar{a}_2, \dots, \bar{a}_r \in H^+$, it follows from (2.23) that none of the terms with frequencies a_j ($2 \leq j \leq r$) can be cancelled in F_1 by a term coming from $P_1(\zeta)g_1(\zeta)e^{\langle k_1, \zeta \rangle}$. Moreover, it also shows that a_1 cannot be a frequency of F_1 . Hence, if $x \in [F_1]$, $x \neq \bar{a}_2$, and

$$h_{[F_1]}(\nu) = \langle \bar{a}_2, \nu \rangle_{2n} > \langle x, \nu \rangle_{2n}. \tag{2.24}$$

Thus, the frequencies of F_1 are $a_2, \dots, a_r, a'_{r+1}, \dots, a'_{p_1}$, where $\{a'_{r+1}, \dots, a'_{p_1}\}$ is a subset of $\{\bar{a}_{r+1}, \dots, \bar{a}_p, \bar{a}_1 - \bar{b}_1 + \bar{b}_2, \dots, \bar{a}_1 - \bar{b}_1 + \bar{b}_q\} \subseteq H^-$ and $[F_1] \subseteq [F]$. Indeed, $[F_1] \subseteq \text{ch}([f_1] \cup \{\bar{k}_1 + \bar{b}_j\}_{j \geq 2}) \subseteq [F] \cup ([K] + [G]) = [F]$.

Next we proceed with F_1, G, K_1 in the same fashion as above with F, G, K . Hence there is a $\nu_1 \in \mathcal{A}'$ such that $\hat{\nu}_1 = K_1$ and ν_1 has a unique convex support $[K_1]$, and $[F_1] = [K_1] + [G]$. In particular, by (2.24) and (2.20),

$$\langle \bar{a}_2, \nu \rangle_{2n} = h_{[K_1]}(\nu) + h_{[G]}(\nu) = h_{[K_1]}(\nu) + \langle \bar{b}_1, \nu \rangle_{2n}.$$

Hence $h_{[K_1]}(\nu) = \langle \bar{k}_2, \nu \rangle_{2n}$ for a unique $\bar{k}_2 \in [K_1]$. On the other hand, by Lemma 1, $k_2 = a_2 - b_1$. Set

$$\begin{cases} f_2(\zeta) = F_1(\zeta) - Q_1(\zeta)P_2(\zeta)e^{\langle a_2, \zeta \rangle} \\ g_2(\zeta) = g_1(\zeta) \\ K_2(\zeta) = K_1(\zeta) - P_2(\zeta)e^{\langle k_2, \zeta \rangle} \\ F_2(\zeta) = f_2(\zeta) - P_2(\zeta)g_1(\zeta)e^{\langle k_2, \zeta \rangle}. \end{cases} \tag{2.25}$$

Then $f_2, F_2 \in E_{\bar{p}}$, $K_2 \in \mathcal{A}$ and

$$F_2 = K_2G, \tag{2.26}$$

a_2 is not a frequency of F_2 , but each $a_i, i = 3, \dots, r$ is. The remaining frequencies $a''_{r+1}, \dots, a''_{p_2}$ form a subset of

$$\{a_{r+1}, \dots, a_p, a_1 - b_1 + b_2, \dots, a_1 - b_1 + b_q, a_2 - b_1 + b_2, \dots, a_2 - b_1 + b_q\}.$$

Hence $\{\bar{a}''_{r+1}, \dots, \bar{a}''_{p_2}\} \subseteq H^-$. Moreover, $[F_2] \subseteq [F_1]$, because

$$[F_2] \subseteq \text{ch}([f_2] \cup \{\bar{k}_2 + b_j\}_{j \geq 2}) \subseteq [F_1] \cup ([K_1] + [G]) = [F_1].$$

Continuing in the same fashion, one finally constructs $F_r \in E_{\bar{p}}$, and $K_r \in \mathcal{A}$ such that (i) $F_r = K_rG$, (ii) $[F_r] \subseteq H^- \cap [F]$. Since $\nu \notin \mathcal{U}$, the frequencies $a_j^{(r)}$ of F_r can be numbered so that $\bar{a}_1^{(r)}$ is the only point in $[F_r]$ for which $\langle \bar{a}_1^{(r)}, \nu \rangle_{2n} = h_{[F_r]}(\nu)$ and $\langle \bar{a}_1^{(r)}, \nu \rangle_{2n} > \langle \bar{a}_2^{(r)}, \nu \rangle_{2n} > \dots > \langle \bar{a}_{p_r}^{(r)}, \nu \rangle_{2n} > 0$. Set $H_1^+ = \{x \in \mathbf{R}^{2n}: \langle x, \nu \rangle_{2n} > \langle \bar{a}_1^{(r)}, \nu \rangle_{2n} - d\}$, $H_1^- = \mathbf{R}^{2n} \setminus H_1^+$, and let $r_1 \geq 1$ be such

that $\bar{a}_i^{(r)} \in H_1^+$ for $i = 1, \dots, r_1$ and $\bar{a}_j^{(r)} \in H_1^-$ for $j > r_1$. It is now clear that we can repeat the same procedure indefinitely. If at some point we obtain $\mathcal{F} = F_{r+r_1+\dots+r_N} = 0$, the theorem follows. However, this must actually happen when N is sufficiently large. For, let N be so large that $H_N^- \cap \mathbf{R}_+^{2n} = \emptyset$, hence $[\mathcal{F}] \subseteq [F] \cap H_N^- = \emptyset$ and $\mathcal{F} \equiv 0$.

§ 3. Applications

By Theorem 1, if F and G are exponential polynomials such that the quotient $K = F/G$ is entire, we can write K in the reduced form, $K = H/P$, which is uniquely determined (cf. § 1 and⁶). Now the question arises when $P \equiv 1$. The next theorem gives a simple sufficient condition.

THEOREM 2. *Let $F, G \in E_{\mathcal{P}}$ be such that $F/G \in \mathcal{A}$. Let H/P be the reduced form of F/G . Then P divides d_G . In particular, $P \equiv 1$ whenever $d_G = 1$.*

Proof. Set

$$\begin{aligned} G(z) &= \sum_{j=1}^p a_j(z)e^{\langle \alpha_j, z \rangle} \\ H(z) &= \sum_{j=1}^q b_j(z)e^{\langle \beta_j, z \rangle}. \end{aligned} \tag{3.1}$$

First we shall prove the following special case by induction on p .

(A) (i) P is irreducible (ii) $d_G = 1$. Then $P \equiv 1$.

If $p = 1$, then by (ii), $a_1(z)$ is a constant, $a_1 \neq 0$. Hence H/P is the reduced form of the exponential polynomial $(1/a_1)e^{-\langle \alpha_1, z \rangle}F(z)$. In view of the uniqueness of the reduced form, P must be constant.

Suppose now that (A) holds whenever G has at most $p - 1$ frequencies, $p \geq 1$. There are two possible cases: either $P|b_j$ for all $j = 1, \dots, q$ or $P \nmid b_j$ for some j . In the first case, $P \equiv 1$ by definition of reduced form. Hence it suffices to consider the second case when, after rearranging the β_j 's if necessary, there is a $q_0 \geq 1$ such that $P \nmid b_j$, $j = 1, \dots, q_0$ and $b_j = b_j^*P, b_j^* \in \mathcal{P}$, for $j = q_0 + 1, \dots, q$. We claim that it suffices to consider the case $q_0 = q$. Indeed, if $q_0 < q$, set

$$F^*(z) = F(z) - G(z) \sum_{j>q_0} b_j^*(z)e^{\langle \beta_j, z \rangle}, \quad H^*(z) = \sum_{j=1}^{q_0} b_j(z)e^{\langle \beta_j, z \rangle}.$$

Then F^*/G is entire and H^*/P is its reduced form. Therefore we shall assume

$$P \nmid b_j \quad (\forall j). \tag{3.2}$$

It will be shown that (3.2) leads to contradiction if $P \neq \text{constant}$, and this will prove (A). It follows from § 2 that $[PF] = [H] + [G]$, and

$$[PF] = \text{ch} \{ \bar{\alpha}_i + \bar{\beta}_j; i = 1, \dots, p, j = 1, \dots, q \}.$$

Let γ be a fixed extreme point of the polyhedron $[PF]$. By Lemma 1, $\gamma = \bar{\alpha}_{i_0} + \bar{\beta}_{j_0}$ for exactly one i_0 and j_0 . Renumbering the frequencies one can assume that $i_0 = p$, i.e.

$$\bar{\alpha}_p + \bar{\beta}_{j_0} \neq \bar{\alpha}_i + \bar{\beta}_j \quad (i < p, \forall j). \tag{3.3}$$

Consider all j_0 's for which (3.3) holds. Renumbering the b_j 's one can assume that there is some J , $1 \leq J \leq q$, such that (3.3) holds for all $j_0 \geq J$, but does not hold for $j_0 < J$. Hence each of the frequencies $\alpha_p + \beta_j$, $j \geq J$, appears in the product $HG = PF$ exactly once. By the lemma in § 1, this means that $P|a_p b_j$ for $j \geq J$, thus by (3.2) and (i),

$$a_p = \tilde{a}_p P \text{ for some } \tilde{a}_p \in \mathcal{P}. \tag{3.4}$$

Set

$$\begin{cases} G^*(z) = G(z) - a_p(z)e^{<\alpha_p, z>}; \\ \tilde{G}(z) = G^*(z)/d_{G^*}(z), \\ \tilde{F}(z) = F(z) - \tilde{a}_p(z)e^{<\alpha_p, z>}H(z), \\ \tilde{H}(z) = H(z)d_{G^*}(z). \end{cases} \tag{3.5}$$

Then

$$\tilde{H}/P \text{ is the reduced form of } \tilde{F}/\tilde{G}. \tag{3.6}$$

Indeed, by (3.5), $\tilde{F}/\tilde{G} = \tilde{H}/P$, and since $(d_H, P) = 1$, $(d_{\tilde{H}}, P) = 1$ means by (i) that $P \nmid d_{G^*}$, where $d_{G^*} = (a_1, \dots, a_{p-1})$. However this follows from (ii) and (3.4). Since \tilde{G} has $p - 1$ terms, the induction hypothesis shows that P is constant, which contradicts (3.2).

(B) Next assume that P is irreducible and d_G arbitrary. Assume that $P \nmid d_G$. In particular $P \neq \text{constant}$. Writing $G = d_G G_1$, one can apply (A) to $F/G_1 = Hd_G/P$. Hence P is a constant, a contradiction.

(C) Finally, let P and d_G be arbitrary. If $P = P_1 \cdots P_r$ is the factorization of P into irreducible factors, then the theorem follows by applying (B) to each of the equations

$$(F \prod_{i \neq j} P_i)/G = H/P_j \quad (j = 1, \dots, r).$$

Another application of Theorem 1 is the following statement (Theorem 3), which gives a simple necessary condition for a quotient of two exponential polynomials to be entire.

Given arbitrary finite sets $B = \{\beta_1, \dots, \beta_q\}$, $C = \{\gamma_1, \dots, \gamma_r\}$ of points in \mathbf{R}^m we shall say that the β_j 's are *rational affine combinations* of the γ_k 's, if for some j_0 , k_0 and all j

$$\beta_j - \beta_{j_0} = \sum_{k=1}^r w_{jk}(\gamma_k - \gamma_{k_0}), \quad w_{jk} \in \mathbf{Q}. \quad (3.7)$$

It is clear that if (3.7) holds for some j_0 , k_0 , it holds with suitable rationals w_{jk} for any other pair j_0 , k_0 . The next statement is an easy consequence of Theorem 1.

THEOREM 3. *Let F, G be exponential polynomials such that F/G is entire. Then the frequencies of G are rational affine combinations of the frequencies of F .*

The proof follows along similar lines as the proof of the theorem in Section 1 of [22].

References

1. AVANISSIAN, V., Oral communication, 1970.
2. BERENSTEIN, C. A. & DOSTAL, M. A., Analytically uniform spaces and their applications to convolution equations, *Lecture Notes in Math.*, Vol. 256, Springer-Verlag (1972).
3. —»— Sur une classe de fonctions entières, *C. R. Acad. Sci. Paris*, 274 (1972), 1149—1152.
4. —»— Some remarks on convolution equations, *Ann. Inst. Fourier (Grenoble)*, 23 (1973), 55—74.
5. —»— On convolution equations I, "L'Analyse harmonique dans le domaine complexe", *Lecture Notes in Math.*, Vol. 336, Springer-Verlag (1973), 79—94.
6. —»— On convolution equations II, to appear in "Proceedings of the Conference on Analysis, Rio de Janeiro 1972", (Hermann et Cie, Publ.).
7. —»— A lower estimate for exponential sums, *Bull. Amer. Math. Soc.* 80 (1974), 687—691; cf. also Prelim. Rep. 73T-B237 in *Notices A.M.S.* (August, 1973) p. A-492.
8. DOSTAL, M. A., An analogue of a theorem of Vladimir Bernstein and its applications to singular supports, *Proc. London Math. Soc.* 19 (1969), 553—576.
9. EHRENPREIS, L., Mean-periodic functions I, *Amer. J. Math.* 77 (1955), 293—328.
10. —»— *Fourier analysis in several complex variables*, Wiley-Interscience, New York, 1970.
11. FUJIWARA, M., Über den Mittelkörper zweier konvexen Körper, *Sci. Rep. Res. Inst. Tôhoku Univ.* 5 (1916), 275—283.
12. HÖRMANDER, L., On the range of convolution operators, *Ann. of Math.* 76 (1962), 148—170.
13. —»— Convolution equations in convex domains, *Invent. Math.* 4 (1968), 306—317.
14. KISELMAN, C. O., On entire functions of exponential type and indicators of analytic functionals, *Acta Math.* 117 (1967), 1—35.
15. LAIRD, P. G., Some properties of mean periodic functions, *J. Austral. Math. Soc.* 14 (1972), 424—432.
16. LAX, P. D., The quotient of exponential polynomials, *Duke Math. J.* 15 (1948), 967—970.
17. LELONG, P., *Fonctionnelles analytiques et fonctions entières (n variables)*, Les Presses de l'Univ. de Montréal, 1968.

18. LINDELÖF, E., Sur les fonctions entières d'ordre entier, *Ann. Sci. Ecole Norm. Sup.* 22 (1905), 369—395.
19. MALGRANGE, B., Existence et approximation des solutions des équations aux dérivées partielles et des équations de convolution, *Ann. Inst. Fourier (Grenoble)*, 6 (1956), 271—335.
20. —»— Sur les équations de convolution, *Rend. Sem. Mat. Univ. e Politec. Torino* 19 (1959/60), 19—27.
21. MARTINEAU, A., Sur les fonctionnelles analytiques, *J. Analyse Math.* 11 (1963), 1—164.
22. RITT, J. F., A factorization theory for functions $\sum a_i e^{x_i x}$, *Trans. Amer. Math. Soc.* 29 (1927), 584—596.
23. —»— On the zeros of exponential polynomials, *Trans. Amer. Math. Soc.* 31 (1929), 680—686.
24. SELBERG, H., Über einige transzendente Gleichungen, *Avh. Norske Vid. Akad. Oslo*, 1/10 (1931), 1—8.
25. SHIELDS, A., On quotients of exponential polynomials, *Comm. Pure Appl. Math.* 16 (1963), 27—31.
26. TRÈVES, F., *Linear partial differential equations with constant coefficients*, Gordon & Breach, 1966.

Added in proof (cf. footnote 7):

27. KITAGAWA, K., Sur les polynômes exponentiels, *J. Math. Kyoto Univ.* 13 (1973), 489—496.
28. AVANISSIAN, V. & GAY, R., Sur une transformation des fonctionnelles analytiques portables par des convexes compacts de \mathbf{C}^d , et la convolution d'Hadamard, *C. R. Acad. Sci., Paris*, 279 (1974), 133—136.
29. —»— Sur les fonctions entières arithmétiques de type exponentiel et le quotient d'exponentielle-polynômes de plusieurs variables (*ibidem*).

Received February 4, 1974

C. A. Berenstein
 University of Maryland
 Department of Mathematics
 College Park, Maryland 20742, U.S.A.

M. A. Dostal
 Stevens Institute of Technology
 Department of Mathematics
 Hoboken, New Jersey 07030, U.S.A.