

# Infinitely many solutions of a symmetric semilinear elliptic equation on an unbounded domain

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**Abstract.** We study a semilinear elliptic equation of the form

$$-\Delta u + u = f(x, u), \quad u \in H_0^1(\Omega),$$

where  $f$  is continuous, odd in  $u$  and satisfies some (subcritical) growth conditions. The domain  $\Omega \subset \mathbf{R}^N$  is supposed to be an unbounded domain ( $N \geq 3$ ). We introduce a class of domains, called strongly asymptotically contractive, and show that for such domains  $\Omega$ , the equation has infinitely many solutions.

## 1. Introduction

The aim of this paper is to study subcritical semilinear elliptic equations in unbounded domains. As an example, let  $N \geq 3$ ,  $p \in (2, 2^*)$ , where  $2^* = 2N/(N-2)$ , and consider the equation

$$(1.1) \quad -\Delta u + u = |u|^{p-2}u, \quad u \in H_0^1(\Omega),$$

where  $\Omega$  is a domain in  $\mathbf{R}^N$ . When  $\Omega$  is bounded, the equation has infinitely many solutions, and the corresponding functional

$$\varphi(u) = \frac{1}{2} \int_{\Omega} (u^2 + |\nabla u|^2) dx - \frac{1}{p} \int_{\Omega} |u|^p dx$$

has an unbounded sequence of critical values. There are several proofs of this result, the first of which was made by Ambrosetti and Rabinowitz [1] (see also [10]).

Let  $c$  be a real number. A  $(P-S)_c$  sequence is a sequence  $u_j \in H_0^1(\Omega)$  such that

$$\varphi(u_j) \rightarrow c \quad \text{and} \quad \varphi'(u_j) \rightarrow 0.$$

The functional  $\varphi$  is said to satisfy the  $(P-S)_c$  condition if every  $(P-S)_c$  sequence has a convergent subsequence.

When this condition is satisfied for all  $c > 0$ , there are known methods of obtaining an unbounded sequence of critical values of  $\varphi$  (see e.g. [8]). In the case when  $\Omega$  is bounded, the  $(P-S)_c$  condition follows from the compactness of the embedding of  $H_0^1(\Omega)$  into  $L^p(\Omega)$ .

In this paper we prove that in many cases,  $\varphi$  satisfies the  $(P-S)_c$  condition even when  $\Omega$  is unbounded. A typical example of a domain of this kind is the tube

$$\Omega = \{(x, y) \in \mathbf{R}^n \times \mathbf{R}^{N-n} : |y| < g(x)\},$$

where  $1 \leq n \leq N-1$  and  $g: \mathbf{R}^n \rightarrow \mathbf{R}$  is continuous, positive and such that the limit

$$g_\infty = \lim_{|x| \rightarrow \infty} g(x)$$

exists and  $g(x) > g_\infty$  for all  $x \in \mathbf{R}^n$ .

## 2. Preliminaries and formulation of the problem

Let  $N \geq 3$  and let  $\Omega \subset \mathbf{R}^N$  be a domain. Consider the equation

$$(2.1) \quad -\Delta u(x) + u(x) = f(x, u(x)), \quad u \in H_0^1(\Omega),$$

where  $f \in C(\bar{\Omega} \times \mathbf{R}, \mathbf{R})$  satisfies the following conditions:

( $f_1$ ) there are constants  $2 < p \leq q < 2^*$  and  $C > 0$  such that for any  $x \in \Omega$  and  $s \in \mathbf{R}$ ,

$$|f(x, s)| \leq C(|s|^{p-1} + |s|^{q-1});$$

( $f_2$ ) there are constants  $\mu > 2$ ,  $\nu > 2$  and  $D > 0$  such that for any  $x \in \Omega$  and  $s \in \mathbf{R} \setminus \{0\}$ ,

$$sf(x, s) \geq \mu F(x, s) \equiv \mu \int_0^s f(x, \sigma) d\sigma > 0$$

and

$$\liminf_{s \rightarrow 0} \frac{F(x, s)}{|s|^\nu} \geq D;$$

( $f_3$ ) for any  $s \in \mathbf{R}$ ,

$$f(x, s) = -f(x, -s);$$

( $f_4$ ) there exists a function  $f_\infty \in C(\mathbf{R}, \mathbf{R})$  such that

$$\lim_{R \rightarrow \infty} \sup_{\substack{x \in \Omega \setminus B_R(0) \\ s \in \mathbf{R}}} |f(x, s) - f_\infty(s)| = 0.$$

We use the standard norm

$$\|u\|_{H^1} = \left( \int_{\Omega} (|u|^2 + |\nabla u|^2) dx \right)^{1/2}$$

and the corresponding inner product

$$(u, v)_{H^1} = \int_{\Omega} (uv + \nabla u \cdot \nabla v) dx$$

on  $H_0^1(\Omega) = W_0^{1,2}(\Omega)$ , where  $\cdot$  denotes the usual scalar product in  $\mathbf{R}^N$ . The functional corresponding to (2.1) is

$$\begin{aligned} \varphi(u) &= \frac{1}{2} \int_{\Omega} (u(x)^2 + |\nabla u(x)|^2) dx - \int_{\Omega} F(x, u(x)) dx \\ (2.2) \quad &= \frac{1}{2} \|u\|_{H^1}^2 - \int_{\Omega} F(x, u(x)) dx. \end{aligned}$$

Then  $\varphi \in C^1(H_0^1(\Omega), \mathbf{R})$ , and the critical points of  $\varphi$  are the weak solutions of (2.1).

*Definition 1.* We will say that the domain  $\Omega$  is *strongly asymptotically contractive* if  $\Omega \neq \mathbf{R}^N$  and for any sequence  $\alpha_j \in \mathbf{R}^N$  such that  $|\alpha_j| \rightarrow \infty$ , there exists a subsequence  $\alpha_{j_l}$  and a point  $\beta \in \mathbf{R}^N$  such that for any  $R > 0$  there exists an open set  $M_R \Subset \Omega + \beta$ , a closed set  $Z$  of measure 0 and an integer  $l_R > 0$  such that

$$(\Omega + \alpha_{j_l}) \cap B_R(0) \subset M_R \cup Z \quad \text{for any } l \geq l_R.$$

Note that every bounded domain is strongly asymptotically contractive. The following examples show that there are a lot of other domains satisfying this condition. Our first two examples of domains were also studied by del Pino and Felmer in [5], where the existence of least energy solutions of (2.1) was proved.

*Example 1.* Let  $1 \leq n \leq N - 1$  and let  $g: \mathbf{R}^n \rightarrow \mathbf{R}$  be continuous and positive. Suppose that the limit

$$g_{\infty} = \lim_{|x| \rightarrow \infty} g(x)$$

exists and that  $g(x) > g_{\infty}$  for all  $x \in \mathbf{R}^n$ . Let  $\Omega \subset \mathbf{R}^N$  be the domain defined by

$$\Omega = \{(x, y) \in \mathbf{R}^n \times \mathbf{R}^{N-n} : |y| < g(x)\}.$$

Then the domain  $\Omega$  is strongly asymptotically contractive. To see this, let  $\alpha_j = (\gamma_j, \delta_j) \in \mathbf{R}^n \times \mathbf{R}^{N-n}$  be such that  $|\alpha_j| \rightarrow \infty$ , as  $j \rightarrow \infty$ . If  $\alpha_j$  has a subsequence  $\alpha_{j_l}$  on which  $\delta_{j_l}$  is unbounded, then for any  $R > 0$  and any  $l$  sufficiently large,

$$(\Omega + \alpha_{j_l}) \cap B_R(0) = \emptyset.$$

Hence we can restrict ourselves to sequences  $\alpha_j$  with bounded  $\delta_j$ -components. Such a sequence  $\delta_j$  has a convergent subsequence  $\delta_{j_l} \rightarrow \delta$ . Let  $\beta = (0, \delta)$  and let

$$M_R = \{(x, y) \in \mathbf{R}^n \times \mathbf{R}^{N-n} : |y - \delta| < g_\infty + \frac{1}{2}(g(x) - g_\infty)\} \cap B_R(0).$$

Let  $R > 0$  and let  $\varepsilon_R > 0$  be such that

$$\varepsilon_R < \min_{x \in \overline{B_R(0)}} \frac{1}{2}(g(x) - g_\infty).$$

Then  $M_R \subset \Omega + \beta$  and there exists an integer  $l_R > 0$  such that for  $l \geq l_R$ ,

$$(\Omega + \alpha_{j_l}) \cap B_R(0) \subset \{(x, y) \in \mathbf{R}^n \times \mathbf{R}^{N-n} : |y - \delta| < g_\infty + \varepsilon_R\} \cap B_R(0) \subset M_R.$$

*Example 2.* Let  $g \in C(\mathbf{R}^{N-1}, \mathbf{R})$  be positive, and suppose that the limit

$$g_\infty = \lim_{|x| \rightarrow \infty} g(x)$$

exists and that  $g(x) > g_\infty$  for all  $x \in \mathbf{R}^{N-1}$ . Let  $\Omega \subset \mathbf{R}^N$  be the domain defined by

$$\Omega = \{(x, y) \in \mathbf{R}^{N-1} \times \mathbf{R} : 0 < y < g(x)\}.$$

Then  $\Omega$  is strongly asymptotically contractive. The proof is similar to the proof of Example 1, and therefore is omitted.

*Example 3.* As a third example, note that a finite union of intersecting domains as in Example 1 is strongly asymptotically contractive.

Note that  $\mathbf{R}^N$  is not strongly asymptotically contractive, and neither is the straight cylinder

$$\Omega = \{(x, y) \in \mathbf{R}^n \times \mathbf{R}^{N-n} : |y| < \alpha\},$$

where  $1 \leq n \leq N-1$  and  $\alpha > 0$ .

**Theorem 1.** *Let  $\Omega$  be a strongly asymptotically contractive, and let  $f \in C(\overline{\Omega} \times \mathbf{R}, \mathbf{R})$  satisfy  $(f_1)$ ,  $(f_2)$ ,  $(f_3)$  and  $(f_4)$ . Then equation (2.1) has infinitely many solutions and the corresponding functional  $\varphi$  has infinitely many critical values.*

The rest of this paper concerns the proof of this theorem.

### 3. The $(P-S)_c$ condition

In this section, we prove that  $\varphi$  satisfies the  $(P-S)_c$  condition for every  $c > 0$  if  $\Omega$  is a strongly asymptotically contractive domain.

**Lemma 1.** *Let  $\varphi$  be given by (2.2), where  $f \in C(\bar{\Omega} \times \mathbf{R}, \mathbf{R})$  satisfies condition  $(f_2)$ . Let  $u_j$  be a  $(P-S)_c$ -sequence, i.e. a sequence such that*

- (i)  $\varphi(u_j) \rightarrow c$ ;
- (ii)  $\varphi'(u_j) \rightarrow 0$ .

*Then  $c \geq 0$ . Moreover,  $\|u_j\|_{H^1}$  is bounded and*

$$\limsup_{j \rightarrow \infty} \|u_j\|_{H^1}^2 \leq \frac{c}{\frac{1}{2} - \frac{1}{\mu}}.$$

*Proof.* By (2.2),

$$\langle \varphi'(u_j), u_j \rangle = \|u_j\|_{H^1}^2 - \int_{\Omega} f(x, u_j(x)) u_j(x) dx.$$

Let  $\varepsilon > 0$  be given. Then by (2.2),  $(f_2)$ , (i) and (ii), for  $j$  large enough,

$$\begin{aligned} c + \varepsilon + \varepsilon \|u_j\|_{H^1} &\geq \varphi(u_j) - \frac{1}{\mu} \langle \varphi'(u_j), u_j \rangle \\ &= \left( \frac{1}{2} - \frac{1}{\mu} \right) \|u_j\|_{H^1}^2 + \frac{1}{\mu} \int_{\Omega} (f(x, u_j(x)) u_j(x) - \mu F(x, u_j(x))) dx \\ &\geq \left( \frac{1}{2} - \frac{1}{\mu} \right) \|u_j\|_{H^1}^2. \end{aligned}$$

Hence  $\|u_j\|_{H^1}$  is bounded and  $c \geq 0$ . Moreover,

$$\|u_j\| \leq \frac{\varepsilon}{2 \left( \frac{1}{2} - \frac{1}{\mu} \right)} + \sqrt{\frac{\varepsilon^2}{4 \left( \frac{1}{2} - \frac{1}{\mu} \right)^2} + \frac{c + \varepsilon}{\frac{1}{2} - \frac{1}{\mu}}}.$$

Since  $\varepsilon > 0$  was arbitrary, the result follows.  $\square$

The following version of the concentration-compactness principle (see [3], [4]) is from Schindler and Tintarev [7]. (See also [6] and [9].)

**Lemma 2.** *Let  $u_j$  be a bounded sequence in  $H^1(\mathbf{R}^N)$ . Then there exist  $w^{(1)}, w^{(2)}, \dots \in H^1(\mathbf{R}^N)$  and  $\alpha_j^{(n)} \in \mathbf{R}^N, j, n \in \mathbf{Z}_+$  such that on a renumbered subsequence,*

$$\begin{aligned}
 & u_j(\cdot - \alpha_j^{(n)}) \rightharpoonup w^{(n)}, \\
 & u_j - \sum_{n=1}^{\infty} w^{(n)}(\cdot + \alpha_j^{(n)}) \rightarrow 0 \quad \text{in } L^p(\mathbf{R}^N), \text{ where } p \in (2, 2^*), \\
 (3.1) \quad & |\alpha_j^{(n)} - \alpha_j^{(m)}| \rightarrow \infty, \quad \text{if } m \neq n. \\
 & \sum_{n=1}^{\infty} \|w^{(n)}\|_{H^1}^2 \leq \lim_{j \rightarrow \infty} \|u_j\|_{H^1}^2. \\
 & \sum_{n=1}^{\infty} \|w^{(n)}\|_{L^p}^p = \lim_{j \rightarrow \infty} \|u_j\|_{L^p}^p, \quad \text{where } p \in (2, 2^*).
 \end{aligned}$$

**Lemma 3.** *Let  $\Omega \subset \mathbf{R}^N$  be a strongly asymptotically contractive domain, and let  $\varphi$  be the functional defined in (2.2), where  $f \in C(\bar{\Omega} \times \mathbf{R}, \mathbf{R})$  satisfies  $(f_1), (f_2), (f_3)$  and  $(f_4)$ . Then  $\varphi$  satisfies the  $(P-S)_c$  condition for any  $c > 0$ .*

*Proof.* Let  $c > 0$ , and let  $u_j \in H_0^1(\Omega)$  be a  $(P-S)_c$  sequence for  $\varphi$ . We start by observing that by Lemma 1, the sequence  $u_j$  is bounded. Hence we can apply Lemma 2, and rewrite  $u_j$  as a sum

$$(3.2) \quad u_j = w^{(0)} + \sum_{n=1}^{\infty} w^{(n)}(\cdot + \alpha_j^{(n)}) + r_j,$$

where  $r_j \rightarrow 0$  in  $L^p(\mathbf{R}^N)$ ,  $\alpha_j^{(n)} \in \mathbf{R}^N, |\alpha_j^{(n)}| \rightarrow \infty$  and  $w^{(n)} \in H^1(\mathbf{R}^N)$  are as given in Lemma 2.

Since  $\Omega$  is a strongly asymptotically contractive domain, for any  $n \geq 1$  there exists a subsequence  $\alpha_{j_i}^{(n)}$  and a number  $\beta^{(n)} \in \mathbf{R}^N$  such that for any  $R > 0$ , there exists an open set  $M_R^{(n)} \Subset \Omega + \beta^{(n)}$ , a closed set  $Z^{(n)}$  of zero measure and an integer  $l_R > 0$  such that for any  $l \geq l_R$

$$(\Omega + \alpha_{j_i}^{(n)}) \cap B_R(0) \subset M_R^{(n)} \cup Z^{(n)}.$$

By taking a subsequence, we can assume that this relation holds for every  $j \geq j_R$ .

Hence

$$\begin{aligned}
 (3.3) \quad \text{supp } w^{(n)} \cap B_R(0) & \subset \overline{\bigcup_{j=j_R}^{\infty} \text{supp } u_j(\cdot - \alpha_j^{(n)}) \cap B_R(0)} \\
 & \subset \overline{M_R^{(n)} \cup Z^{(n)}} \Subset (\Omega + \beta^{(n)}) \cup Z^{(n)}.
 \end{aligned}$$

and thus

$$\text{supp } w^{(n)} \subset \Omega + \mathcal{J}^{(n)}$$

modulo a set of measure zero. Let  $\tilde{w}^{(n)} = w^{(n)}(\cdot - \mathcal{J}^{(n)})$  and let  $\tilde{\alpha}_j^{(n)} = \alpha_j^{(n)} + \beta^{(n)}$ . Note that by (3.3), there exists an open set  $U \subset \Omega$  such that  $\tilde{w}^{(n)} \equiv 0$  in  $U$ . This fact will be used when applying the maximum principle below.

Let  $v \in C_0^\infty(\Omega)$  be arbitrary. Then

$$\begin{aligned} \int_{\Omega} (\nabla \tilde{w}^{(n)}(x) \cdot \nabla v(x) + \tilde{w}^{(n)}(x)v(x)) \, dx &= \lim_{j \rightarrow \infty} \int_{\Omega} (\nabla u_j(x - \tilde{\alpha}_j^{(n)}) \cdot \nabla v(x) + u_j(x - \tilde{\alpha}_j^{(n)})v(x)) \, dx \\ &= \lim_{j \rightarrow \infty} \int_{\Omega + \tilde{\alpha}_j^{(n)}} f(x - \tilde{\alpha}_j^{(n)}) \cdot u_j(x - \tilde{\alpha}_j^{(n)})v(x) \, dx \\ &= \lim_{j \rightarrow \infty} \int_{\Omega + \tilde{\alpha}_j^{(n)}} f(x - \tilde{\alpha}_j^{(n)}) \cdot \tilde{w}^{(n)}(x)v(x) \, dx \\ &= \int_{\Omega} f_\infty(\tilde{w}^{(n)}(x))v(x) \, dx. \end{aligned}$$

which means that for  $n \geq 1$ ,  $\tilde{w}^{(n)}$  is a weak solution of the equation

$$-\Delta \tilde{w}^{(n)} + \tilde{w}^{(n)} = f_\infty(\tilde{w}^{(n)}).$$

By regularity theory,  $\tilde{w}^{(n)}$  is continuous in  $\Omega$ . We divide the domain  $\Omega$  into three parts:

$$\Omega_+ = \{x \in \Omega; \tilde{w}^{(n)}(x) > 0\},$$

$$\Omega_- = \{x \in \Omega; \tilde{w}^{(n)}(x) < 0\},$$

$$\Omega_0 = \{x \in \Omega; \tilde{w}^{(n)}(x) = 0\}.$$

By the previous paragraph,  $\Omega_0$  has a nonempty interior. Note that by (f<sub>2</sub>),

$$-\Delta \tilde{w}^{(n)} + \tilde{w}^{(n)} > 0 \quad \text{in } \Omega_+.$$

$$-\Delta \tilde{w}^{(n)} + \tilde{w}^{(n)} < 0 \quad \text{in } \Omega_-.$$

We claim that  $\tilde{w}^{(n)} \equiv 0$  in  $\Omega$ , i.e.  $\Omega_0 = \Omega$ . Suppose to the contrary that  $\Omega_+ \cup \Omega_-$  is nonempty. Then either  $\Omega_+$  or  $\Omega_-$  has a component whose boundary is intersecting the boundary of  $\Omega_0^\circ$ . Suppose first that  $\Omega_+$  has a component  $\tilde{\Omega}_+$  such that  $\partial \tilde{\Omega}_+ \cap \partial \Omega_0^\circ \neq \emptyset$ . By applying the strong maximum principle (see [2], Theorem 8.19,

p. 198) on  $(\tilde{\Omega}_+ \cup \Omega_0)^\circ$ ,  $\tilde{w}^{(n)} \equiv 0$  in this domain, and so  $\tilde{\Omega}_+ = \emptyset$ . The same argument shows that  $\Omega_-$  cannot have a component adjacent to  $\Omega_0^\circ$ . This shows that  $\tilde{w}^{(n)} \equiv 0$  in  $\Omega$ .

Let  $p$  and  $q$  be as in condition  $(f_1)$ . We have proved that  $u_j \rightarrow w^{(0)}$  in  $L^p(\mathbf{R}^N) \cap L^q(\mathbf{R}^N)$ , and since  $u_j \in H_0^1(\Omega) \subset L^p(\Omega) \cap L^q(\Omega)$ ,  $u_j \rightarrow w^{(0)}$  in  $L^p(\Omega) \cap L^q(\Omega)$ . By the continuity of the superposition operator

$$L^p(\Omega) \cap L^q(\Omega) \ni u \mapsto f(x, u) \in L^{p/(p-1)}(\Omega) + L^{q/(q-1)}(\Omega)$$

(see e.g. Theorem A.4., p. 134, in [10]),

$$f(x, u_j) \rightarrow f(x, w^{(0)}) \quad \text{in } L^{p/(p-1)}(\Omega) + L^{q/(q-1)}(\Omega).$$

We write  $f = f_1 + f_2$ , where  $f_1 \in L^{p/(p-1)}(\Omega)$  and  $f_2 \in L^{q/(q-1)}(\Omega)$ . Observe that

$$\begin{aligned} \|u_j - w^{(0)}\|_{H^1}^2 &= \langle \varphi'(u_j) - \varphi'(w^{(0)}), u_j - w^{(0)} \rangle \\ &\quad + \int_{\Omega} (f(x, u_j(x)) - f(x, w^{(0)}(x)))(u_j(x) - w^{(0)}(x)) \, dx. \end{aligned}$$

Obviously,

$$\langle \varphi'(u_j) - \varphi'(w^{(0)}), u_j - w^{(0)} \rangle \rightarrow 0,$$

and by the Hölder inequality,

$$\begin{aligned} &\left| \int_{\Omega} (f(x, u_j(x)) - f(x, w^{(0)}(x)))(u_j(x) - w^{(0)}(x)) \, dx \right| \\ &\leq \left| \int_{\Omega} (f_1(x, u_j(x)) - f_1(x, w^{(0)}(x)))(u_j(x) - w^{(0)}(x)) \, dx \right| \\ &\quad + \left| \int_{\Omega} (f_2(x, u_j(x)) - f_2(x, w^{(0)}(x)))(u_j(x) - w^{(0)}(x)) \, dx \right| \\ &\leq \|f_1(\cdot, u_j) - f_1(\cdot, w^{(0)})\|_{L^{p/(p-1)}} \|u_j - w^{(0)}\|_{L^p} \\ &\quad + \|f_2(\cdot, u_j) - f_2(\cdot, w^{(0)})\|_{L^{q/(q-1)}} \|u_j - w^{(0)}\|_{L^q} \\ &\rightarrow 0. \end{aligned}$$

By taking the infimum over the functions  $f_1 \in L^{p/(p-1)}(\Omega)$  and  $f_2 \in L^{q/(q-1)}(\Omega)$  such that  $f = f_1 + f_2$ , we obtain  $u_j \rightarrow w^{(0)}$  in  $H_0^1(\Omega)$ .  $\square$

#### 4. Infinitely many solutions

We obtain an infinite sequence of critical values from the following theorem (see e.g. Theorem 6.5 of [8]).



**Theorem 2.** *Suppose that  $V$  is an infinite-dimensional Banach space and suppose  $\varphi \in C^1(V, \mathbf{R})$  satisfies  $(P-S)_c$  for every  $c > 0$ .  $\varphi(u) = \varphi(-u)$  for all  $u$ , and assume the following conditions:*

(i) *there exist  $\alpha > 0$  and  $\varrho > 0$  such that if  $\|u\| = \varrho$  and  $u \in V$ , then  $\varphi(u) \geq \alpha$ ;*

(ii) *for any finite-dimensional subspace  $W \subset V$  there exists  $R = R(W)$  such that  $\varphi(u) \leq 0$  for  $u \in W$ ,  $\|u\| \geq R$ .*

*Then  $\varphi$  possesses an unbounded sequence of critical values.*

*Proof of Theorem 1.* We apply Theorem 2 with  $V = H_0^1(\Omega)$ . It is clear that  $\varphi \in C^1(H_0^1(\Omega), \mathbf{R})$  is even. By Lemma 3, the  $(P-S)_c$  condition is satisfied for every  $c > 0$ . We only need to check conditions (i) and (ii).

Integrating  $(f_1)$ , there is a constant  $C_1 > 0$  such that for all  $x \in \Omega$  and  $s \in \mathbf{R}$ ,

$$|F(x, s)| \leq C_1(|s|^p + |s|^q).$$

By the Sobolev embedding theorem, we have the estimate

$$\varphi(u) \geq \frac{1}{2} \|u\|_{H^1}^2 - C_1 \int_{\Omega} (|u(x)|^p + |u(x)|^q) dx \geq \frac{1}{2} \|u\|_{H^1}^2 - C_2 \|u\|_{H^1}^p - C_2 \|u\|_{H^1}^q.$$

Let  $\|u\| = \varrho$ , where  $\varrho$  is free for the moment. Then

$$\varphi(u) \geq \frac{1}{2} \varrho^2 - C_2 \varrho^p - C_2 \varrho^q,$$

and we would like to choose  $\varrho$  such that  $\varphi(u)$  is as large as possible when  $\|u\| = \varrho$ . For such  $\varrho$ , we have

$$\varrho - C_2 p \varrho^{p-1} - C_2 q \varrho^{q-1} = 0.$$

Since the left-hand side is positive for small values of  $\varrho > 0$  and negative for large  $\varrho$ , by the intermediate value theorem, this equation has a solution. We choose  $\varrho$  to be this solution. Then

$$C_2 \varrho^p = \frac{1}{p} \varrho^2 - C_2 \frac{q}{p} \varrho^q,$$

and so for  $\|u\| = \varrho$ ,

$$\varphi(u) \geq \left(\frac{1}{2} - \frac{1}{p}\right) \varrho^2 + C_2 \left(\frac{q}{p} - 1\right) \varrho^q \geq \left(\frac{1}{2} - \frac{1}{p}\right) \varrho^2.$$

Thus condition (i) is fulfilled with  $\alpha = (\frac{1}{2} - 1/p) \varrho^2$ .

By  $(f_2)$ , there is a constant  $C_3 > 0$  such that for every  $x \in \Omega$  and  $s \in \mathbf{R}$ ,  $|F(x, s)| \geq C_3 |s|^{\mu_1}$ , where  $\mu_1 = \min\{\mu, \nu\}$ . Indeed, let  $\varepsilon > 0$  be given. By integration of the first identity of  $(f_2)$ , we have for  $|s| > \varepsilon$  and  $x \in \Omega$ ,

$$F(x, s) \geq \frac{F(x, \varepsilon)}{\varepsilon^\mu} |s|^\mu.$$

By letting  $\varepsilon \rightarrow 0$  and using the second identity of  $(f_2)$ , the claim follows. Let  $W$  be a finite-dimensional subspace of  $H_0^1(\Omega)$ . Since all norms are equivalent of  $W$ , and since

$$\varphi(u) \leq \frac{1}{2} \|u\|_{H^1}^2 - C_3 \|u\|_{L^{\mu_1}}^{\mu_1},$$

condition (ii) follows.  $\square$

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