

Smooth tropical surfaces with infinitely many tropical lines

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Abstract. We study the tropical lines contained in smooth tropical surfaces in \mathbb{R}^3 . On smooth tropical quadric surfaces we find two one-dimensional families of tropical lines, like in classical algebraic geometry. Unlike the classical case, however, there exist smooth tropical surfaces of any degree with infinitely many tropical lines.

1. Introduction

Tropical geometry has during the last few years become an increasingly popular field of mathematics. This is not least due to the many fascinating similarities with classical geometry. In this paper we examine tropical analogues of the following well-known results in classical algebraic geometry.

- (I) Any smooth quadric surface has two rulings of lines.
- (II) Any smooth surface of degree greater than two, has at most finitely many lines.

While a lot of work has been done lately on tropical curves (e.g. [3], [5], [7]–[9] and [13]), comparatively little is known in higher dimensions. A common way of defining a tropical variety is as the *tropicalization* of an algebraic variety defined over an algebraically closed field with a non-Archimedean valuation (see e.g. [11]). In the case of hypersurfaces, however, a more inviting, geometric definition is possible. For example, a tropical surface in \mathbb{R}^3 is precisely the non-linear locus of a continuous convex piecewise linear function $f: \mathbb{R}^3 \rightarrow \mathbb{R}$ with rational slopes. It is an unbounded two-dimensional polyhedral complex, with *zero tension* at each 1-cell. Furthermore, it is dual to a regular subdivision of the Newton polytope of f (when f is regarded as a *tropical polynomial*). The tropical surface is *smooth* if this subdivision is an elementary (unimodular) triangulation.

Tropical varieties of higher codimension are in general more difficult to grasp. However, the only such varieties we are interested in here, are tropical lines in \mathbb{R}^3 . These were given an explicit geometric description in [11], on which we base our definition. As an analogue of (I) above, we prove that the following holds.

Theorem. *Any smooth tropical quadric surface X has a unique compact 2-cell \bar{X} . For any point $p \in \bar{X}$, there exist two tropical lines on X containing p .*

While in classical geometry, any two distinct points in \mathbb{R}^3 lie on a unique line, this is only true generically for tropical lines. In fact, for special choices of $p, q \in \mathbb{R}^3$ there are infinitely many tropical lines containing p and q . We show that such families of tropical lines can also exist on a smooth tropical surface. As a consequence, we get the following result, in contrast to (II) above.

Theorem. *There exist generic smooth tropical surfaces of any degree, with infinitely many tropical lines.*

The paper is organized as follows: In Sections 2 and 3 we give some necessary background on convex geometry and tropical geometry, respectively. In particular, the concept of a *two-point family* of tropical lines in \mathbb{R}^3 is defined in Section 3.3. Then follows two technical Sections, 4 and 5. The former of these deals with constructions of regular elementary triangulations, while the latter contains an analysis of certain lattice polytopes. In Section 6 we explore the general properties of tropical lines contained in smooth tropical surfaces, and in Section 7 we use these to study tropical lines on quadric surfaces. Section 8 concerns two-point families of tropical lines on smooth tropical surfaces. Finally, Section 9 contains our results for tropical surfaces of higher degrees.

2. Lattice polytopes and subdivisions

2.1. Convex polyhedra and polytopes

A *convex polyhedron* in \mathbb{R}^n is the intersection of finitely many closed halfspaces. A *cone* is a convex polyhedron, all of whose defining hyperplanes contain the origin. A *convex polytope* is a bounded convex polyhedron. Equivalently, a convex polytope can be defined as the convex hull of a finite set of points in \mathbb{R}^n . Throughout this paper, all polyhedra and polytopes will be assumed to be convex unless explicitly stated otherwise.

For any polyhedron $\Delta \subseteq \mathbb{R}^n$ we denote its affine hull by $\text{Aff}(\Delta)$, and its relative interior (as a subset of $\text{Aff}(\Delta)$) by $\text{int}(\Delta)$. The dimension of Δ is defined as

$\dim \text{Aff}(\Delta)$. By convention, $\dim \emptyset = -1$. A *face* of Δ is a polyhedron of the form $\Delta \cap H$, where H is a hyperplane such that Δ is entirely contained in one of the closed halfspaces defined by H . In particular, the empty set is considered a face of Δ . Faces of dimensions 0, 1 and $n-1$ are called *vertices*, *edges* and *facets* of Δ , respectively. If Δ is a polytope, then the vertices of Δ form the minimal set \mathcal{A} such that $\Delta = \text{conv}(\mathcal{A})$.

Let F be a facet of a polyhedron $\Delta \subseteq \mathbb{R}^n$, where $\dim \Delta \leq n$. A vector v is *pointing inwards* (resp. *pointing outwards*) from F relative to Δ if, for some positive constant t , the vector tv (resp. $-tv$) starts in F and ends in $\Delta \setminus F$. If in addition v is orthogonal to F , v is an *inward normal vector* (resp. *outward normal vector*) of F relative to Δ .

If all the vertices of Δ are contained in \mathbb{Z}^n , we call Δ a *lattice polyhedron*, or *lattice polytope* if it is bounded. A lattice polytope in \mathbb{R}^n is *primitive* if it contains no lattice points other than its vertices. It is *elementary* (or *unimodular*) if it is n -dimensional and its volume is $1/n!$. Obviously, every elementary polytope is also primitive, while the other implication is not true in general. For instance, the unit square in \mathbb{R}^2 is primitive, but not elementary.

Most of the polytopes we are interested in will be simplices, i.e., the convex hull of $n+1$ affinely independent points. In \mathbb{R}^2 , the primitive simplices are precisely the elementary ones, namely the lattice triangles of area $\frac{1}{2}$. (This is an immediate consequence of Pick's theorem.) In higher dimensions, the situation is very different: There is no upper limit for the volume of a primitive simplex in \mathbb{R}^n , when $n \geq 3$. The standard example of this is the following: Let $p, q \in \mathbb{N}$ be relatively prime, with $p < q$, and let $T_{p,q}$ be the tetrahedron with vertices in $(0, 0, 0)$, $(1, 0, 0)$, $(0, 1, 0)$ and $(1, p, q)$. Then $T_{p,q}$ is a primitive simplex of volume $q/6$.

2.2. Polyhedral complexes and subdivisions

A (finite) *polyhedral complex* in \mathbb{R}^n is a finite collection X of convex polyhedra, called *cells*, such that (i) for any $C \in X$, all faces of C are in X , and (ii) for any pair $C, C' \in X$, the intersection $C \cap C'$ is a face of both C and C' . The d -dimensional elements of X are called the *d -cells* of X . The dimension of X itself is defined as $\max\{\dim C \mid C \in X\}$. Furthermore, if all the maximal cells (with respect to inclusion) have the same dimension, we say that X is of *pure dimension*. A polyhedral complex, all of whose cells are cones, is a *fan*.

A *subdivision* of a polytope Δ is a polyhedral complex \mathcal{S} such that $|\mathcal{S}| = \Delta$, where $|\mathcal{S}|$ denotes the union of all the elements of \mathcal{S} . It follows that \mathcal{S} is of pure dimension $\dim \Delta$. If all the maximal elements of \mathcal{S} are simplices, we call \mathcal{S} a

triangulation. If \mathcal{S} and \mathcal{S}' are subdivisions of the same polytope, we say that \mathcal{S}' is a *refinement* of \mathcal{S} if for all $C' \in \mathcal{S}'$ there is a $C \in \mathcal{S}$ such that $C' \subseteq C$.

If Δ is a lattice polytope, we can consider *lattice subdivisions* of Δ , i.e., subdivisions in which every element is a lattice polytope. In particular, a lattice subdivision is *primitive* (resp. *elementary*) if all its maximal elements are primitive (resp. elementary). We write down some noteworthy properties of these subdivisions.

- Every elementary subdivision is a primitive triangulation.
- In a primitive subdivision, all elements (not only the maximal) are primitive.
- For any lattice polytope, its lattice subdivisions with no non-trivial refinements are precisely its primitive triangulations.

2.3. Regular subdivisions and the secondary fan

Let $\Delta = \text{conv}(\mathcal{A})$, where \mathcal{A} is a finite set of points in \mathbb{R}^n . Any function $\alpha: \mathcal{A} \rightarrow \mathbb{R}$ will induce a lattice subdivision of Δ in the following way. Consider the polytope

$$\text{conv}(\{(v, \alpha(v)) \mid v \in \mathcal{A}\}) \subseteq \mathbb{R}^{n+1}.$$

Projecting the top faces of this polytope to \mathbb{R}^n , forgetting the last coordinate, gives a collection of subpolytopes of Δ . They form a subdivision \mathcal{S}_α of Δ . The function α is called a *lifting function* associated with \mathcal{S}_α .

Definition 2.1. A lattice subdivision \mathcal{S} of $\text{conv}(\mathcal{A})$ is *regular* if $\mathcal{S} = \mathcal{S}_\alpha$ for some $\alpha: \mathcal{A} \rightarrow \mathbb{R}$.

The set of regular subdivisions of $\text{conv}(\mathcal{A})$ has an interesting geometric structure, as observed by Gelfand, Kapranov and Zelevinsky in [4]. Suppose $\mathcal{A} \subseteq \mathbb{R}^n$ consists of k points. For a fixed ordering of the points in \mathcal{A} , the space $\mathbb{R}^{\mathcal{A}} \simeq \mathbb{R}^k$ is a parameter space for all functions $\alpha: \mathcal{A} \rightarrow \mathbb{R}$. For a given regular subdivision \mathcal{S} of $\text{conv}(\mathcal{A})$, let $K(\mathcal{S})$ be the set of all functions $\alpha \in \mathbb{R}^{\mathcal{A}}$ which induce \mathcal{S} . The following is proved in [4, Chapter 7].

Proposition 2.2. *Let \mathcal{S} and \mathcal{S}' be any regular subdivisions of $\text{conv}(\mathcal{A})$. Then*

- (a) $K(\mathcal{S})$ is a cone in $\mathbb{R}^{\mathcal{A}}$;
- (b) \mathcal{S}' is a refinement of \mathcal{S} if and only if $K(\mathcal{S})$ is a face of $K(\mathcal{S}')$;
- (c) the cones $\{K(\mathcal{S}) \mid \mathcal{S} \text{ is a regular subdivision of } \text{conv}(\mathcal{A})\}$ form a fan in $\mathbb{R}^{\mathcal{A}}$.

The fan of Proposition 2.2(c) is called the *secondary fan* of \mathcal{A} , and is denoted $\Phi(\mathcal{A})$. Proposition 2.2(b) shows that a subdivision corresponding to a maximal cone of $\Phi(\mathcal{A})$ has no refinements. Hence the maximal cones correspond precisely to the primitive regular lattice triangulations of $\text{conv}(\mathcal{A})$.

3. Basic tropical geometry

3.1. Tropical hypersurfaces

The purpose of this section is to recall the basics about tropical hypersurfaces and their dual subdivisions. Good references for proofs and details are [2], [11] and [7].

We work over the *tropical semiring* $\mathbb{R}_{\text{tr}} := (\mathbb{R}, \max, +)$. Note that some authors use \min instead of \max in the definition of the tropical semiring. This gives a semiring isomorphic to \mathbb{R}_{tr} . Most statements of tropical geometry are independent of this choice, but sometimes care has to be taken (cf. Lemma 3.3).

To simplify the reading of tropical expressions, we adopt the following convention: If an expression is written in quotation marks, all arithmetic operations should be interpreted as tropical. Hence, if $x, y \in \mathbb{R}$ and $k \in \mathbb{Z}$ we have for example “ $x+y$ ” = $\max\{x, y\}$, “ xy ” = $x+y$ and “ x^k ” = kx .

A *tropical monomial* in n variables is an expression of the form “ $x_1^{a_1} \dots x_n^{a_n}$ ”, or in vector notation, “ x^a ”, where $x = (x_1, \dots, x_n) \in \mathbb{R}^n$ and $a = (a_1, \dots, a_n) \in \mathbb{Z}^n$. Note that “ x^a ” = $\langle a, x \rangle$, the Euclidean inner product of a and x in \mathbb{R}^n . A *tropical polynomial* is a tropical linear combination of tropical monomials, i.e.

$$(1) \quad f(x) = \left\langle \sum_{a \in \mathcal{A}} \lambda_a x^a \right\rangle = \max_{a \in \mathcal{A}} (\lambda_a + \langle a, x \rangle),$$

where \mathcal{A} is a finite subset of \mathbb{Z}^n , and $\lambda_a \in \mathbb{R}$ for each $a \in \mathcal{A}$. From the rightmost expression in (1) we see that as a function $\mathbb{R}^n \rightarrow \mathbb{R}$, f is convex and piecewise linear. The *tropical hypersurface* $V_{\text{tr}}(f) \subseteq \mathbb{R}^n$ is defined to be the non-linear locus of $f: \mathbb{R}^n \rightarrow \mathbb{R}$. Equivalently, it is the set of points $x \in \mathbb{R}^n$ where the maximum in (1) is attained at least twice.

It is well known (see e.g. [11] and [7]) that if $n \geq 2$, then $V_{\text{tr}}(f)$ is a connected polyhedral complex of pure dimension $n-1$. As a subset of \mathbb{R}^n , $V_{\text{tr}}(f)$ is unbounded, although some of its cells may be bounded.

We next describe the very useful duality between a tropical hypersurface $V_{\text{tr}}(f)$ and a certain lattice subdivision. With f as in (1), we define the *Newton polytope* of f to be the convex hull of the exponent vectors, i.e., the lattice polytope $\text{conv}(\mathcal{A}) \subseteq \mathbb{R}^n$. As explained in Section 2.3, the map $a \mapsto \lambda_a$ induces a regular subdivision of the Newton polytope $\text{conv}(\mathcal{A})$; we denote this subdivision by $\text{Subdiv}(f)$.

Any element $\Delta \in \text{Subdiv}(f)$ of dimension at least 1, corresponds in a natural way to a subset $\Delta^\vee \subseteq V_{\text{tr}}(f)$. Namely, if the vertices of Δ are a_1, \dots, a_r , then Δ^\vee is the solution set of the equalities and inequalities

$$(2) \quad \lambda_{a_1} + \langle a_1, x \rangle = \dots = \lambda_{a_r} + \langle a_r, x \rangle \geq \lambda_b + \langle b, x \rangle \quad \text{for all } b \in \mathcal{A} \setminus \{a_1, \dots, a_r\}.$$

That $\Delta^\vee \subseteq V_{\text{tr}}(f)$ follows immediately from the definition of $V_{\text{tr}}(f)$, once we observe that $r \geq 2$ (this is implied by the assumption $\dim \Delta \geq 1$). In fact, Δ^\vee is a closed cell of $V_{\text{tr}}(f)$. Moreover, we have the following theorem (see [7]).

Theorem 3.1. *The association $\Delta \mapsto \Delta^\vee$ gives a one-to-one correspondence between the k -cells of $\text{Subdiv}(f)$ and the $(n-k)$ -cells of $V_{\text{tr}}(f)$, for each $k=1, 2, \dots, n$. Furthermore, for any cells $\Delta, \Lambda \in \text{Subdiv}(f)$ of dimensions at least 1, we have that*

- (a) Δ is a face of Λ if and only if Λ^\vee is a face of Δ^\vee in $V_{\text{tr}}(f)$;
- (b) the affine-linear subspaces $\text{Aff}(\Delta)$ and $\text{Aff}(\Delta^\vee)$ are orthogonal in \mathbb{R}^n ;
- (c) Δ^\vee is an unbounded cell of $V_{\text{tr}}(f)$ if and only if Δ is contained in a facet of the Newton polytope of f .

If C is a cell of $V_{\text{tr}}(f)$, we denote its corresponding cell in $\text{Subdiv}(f)$ by C^\vee . The cells C and C^\vee are said to be *dual* to each other.

Theorem 3.1 is independent of the choice of \max or \min as the tropical addition. However, the following lemma is not. For lack of reference, we include a proof.

Lemma 3.2. (a) *Let $X \subseteq \mathbb{R}^2$ be a tropical curve, $E \in X$ be a vertex, and $C \subseteq X$ be an edge adjacent to E . A vector pointing inwards from E relative to C is an outward normal vector of C^\vee relative to E^\vee .*

(b) *Assume that $n \geq 3$, and let $X \subseteq \mathbb{R}^n$ be a tropical hypersurface, $E \subseteq X$ be an $(n-2)$ -cell, and $C \subseteq X$ be an $(n-1)$ -cell adjacent to E . An inward normal vector of E relative to C is an outward normal vector of C^\vee relative to E^\vee .*

Remark 3.3. When working over the semiring $(\mathbb{R}, \min, +)$ instead of $(\mathbb{R}, \max, +)$, the word “outward” in each part of Lemma 3.2 must be changed to “inward”.

Proof. (a) Let X be defined by the polynomial

$$f = \sum_{a \in \mathcal{A}} \lambda_a x^a = \max_{a \in \mathcal{A}} (\lambda_a + \langle a, x \rangle),$$

where $\mathcal{A} \subseteq \mathbb{Z}^2$ is finite. Let E be a vertex of X , and C an edge of X adjacent to E . By Theorem 3.1(a), C^\vee is then an edge of the polygon E^\vee .

We consider first the case where C is bounded. Then C has a second endpoint F , and the vector \overrightarrow{EF} points inwards from E relative to C . In fact, any vector pointing inwards from E relative to C is a positive multiple of \overrightarrow{EF} , so it suffices to prove that \overrightarrow{EF} is an outward normal vector of C^\vee relative to E^\vee . We already know from Theorem 3.1(b) that \overrightarrow{EF} is orthogonal to C^\vee . To show that it points outwards, it is enough to find a vector u pointing inwards from C^\vee relative to E^\vee , satisfying $\langle u, \overrightarrow{EF} \rangle < 0$.

Let $\mathcal{V}(E^\vee) = \{a_1, a_2, \dots, a_r\}$ be the vertices of E^\vee , named such that $C^\vee = a_1 a_2$. Then $u = \overrightarrow{a_2 a_3}$ points inwards from C^\vee relative to E^\vee . We claim that $\langle \overrightarrow{a_2 a_3}, \overrightarrow{EF} \rangle < 0$. To see this, observe that the vertex E satisfies the system of (in)equalities

$$(3) \quad \lambda_{a_1} + \langle a_1, E \rangle = \lambda_{a_2} + \langle a_2, E \rangle = \dots = \lambda_{a_r} + \langle a_r, E \rangle > \lambda_b + \langle b, E \rangle,$$

for all $b \in \mathcal{A} \setminus \mathcal{V}(E^\vee)$. Similarly, F satisfies the relations

$$(4) \quad \lambda_{a_1} + \langle a_1, F \rangle = \lambda_{a_2} + \langle a_2, F \rangle = \lambda_c + \langle c, F \rangle > \lambda_d + \langle d, F \rangle,$$

for all $c \in \mathcal{V}(F^\vee)$ and $d \in \mathcal{A} \setminus \mathcal{V}(F^\vee)$. Now, in particular, (3) gives $\langle a_2, E \rangle - \langle a_3, E \rangle = \lambda_{a_3} - \lambda_{a_2}$, while (4) implies (setting $d = a_3$) that $\langle a_2, F \rangle - \langle a_3, F \rangle > \lambda_{a_3} - \lambda_{a_2}$. Hence,

$$\begin{aligned} \langle \overrightarrow{a_2 a_3}, \overrightarrow{EF} \rangle &= \langle a_3 - a_2, F - E \rangle = \langle a_3, F \rangle - \langle a_2, F \rangle + \langle a_2, E \rangle - \langle a_3, E \rangle \\ &< \lambda_{a_2} - \lambda_{a_3} + \lambda_{a_3} - \lambda_{a_2} = 0. \end{aligned}$$

This proves the claim. Thus \overrightarrow{EF} is an outward normal vector of C^\vee relative to E^\vee .

If C is unbounded, then $C^\vee \subseteq \partial(\Delta_f)$, where Δ_f is the Newton polytope of f . Let $f' = "f + \lambda_b x^b"$, where the exponent vector $b \in \mathbb{Z}^2$ is chosen outside of Δ_f in such a way that C^\vee is not in the boundary of $\Delta_{f'}$. If the coefficient λ_b is set low enough, all elements of $\text{Subdiv}(f)$ will remain unchanged in $\text{Subdiv}(f')$. Furthermore, all vertices of X , and all direction vectors of the edges of X , remain unchanged in $V_{\text{tr}}(f')$. In particular, E is a vertex of $V_{\text{tr}}(f')$, and its adjacent edge whose dual is C^\vee , has the same direction vector as C . Since C^\vee is not in the boundary, we have reduced the problem to the bounded case above. This proves part (a).

(b) Let π be the orthogonal projection of \mathbb{R}^n from $\text{Aff}(E)$ to $\text{Aff}(E^\vee) \simeq \mathbb{R}^2$. If C_1, \dots, C_r are the $(n-1)$ -cells adjacent to E , then $\pi(C_1), \dots, \pi(C_r)$ are mapped to rays or line segments in $\text{Aff}(E^\vee)$, with $\pi(E)$ as their common endpoint. Furthermore, if v is an inward normal vector of E relative to C_i , then v points inwards from $\pi(E)$ relative to $\pi(C_i)$. The lemma now follows from the argument in part (a). \square

3.2. Tropical surfaces in \mathbb{R}^3

A tropical hypersurface in \mathbb{R}^3 will be called simply a *tropical surface*. We will usually restrict our attention to those covered by the following definition.

Definition 3.4. Let $X = V_{\text{tr}}(f)$ be a tropical surface, and let $\delta \in \mathbb{N}$. We say that the *degree* of X is δ if the Newton polytope of f is the simplex

$$\Gamma_\delta := \text{conv}(\{(0, 0, 0), (\delta, 0, 0), (0, \delta, 0), (0, 0, \delta)\}).$$

If $\text{Subdiv}(f)$ is an elementary (unimodular) triangulation of Γ_δ , then X is *smooth*.

Remark 3.5. We will frequently talk about a tropical surface X of degree δ without referring to any defining tropical polynomial. It is then to be understood that $X = V_{\text{tr}}(f)$ for some f with Newton polytope Γ_δ . In this setting, the notation Subdiv_X refers to $\text{Subdiv}(f)$.

Let us note some immediate consequences of Definition 3.4. For example, since any elementary triangulation of Γ_δ has δ^3 maximal elements, X must have δ^3 vertices. Furthermore, any 1-cell $E \subseteq X$ has exactly 3 adjacent 2-cells, namely those dual to the sides of the triangle E^\vee . This last property makes it particularly easy to state and prove the so-called *balancing property*, or *zero-tension property* for smooth tropical surfaces. (A generalization of this holds for any tropical hypersurface. However, this involves assigning an integral *weight* to each maximal cell of X , a concept we will not need here.)

Lemma 3.6. (Balancing property for smooth tropical surfaces) *For any 1-cell E of a smooth tropical surface X , consider the 2-cells C_1, C_2 and C_3 adjacent to E . Choosing an orientation around E , each C_i has a unique primitive normal vector v_i compatible with this orientation. Then $v_1 + v_2 + v_3 = 0$.*

Proof. As explained above, C_1^\vee, C_2^\vee and C_3^\vee are the sides of the triangle E^\vee . Theorem 3.1 implies that C_i^\vee is parallel to v_i for each $i=1, 2, 3$. In fact, since C_i^\vee is primitive, it must also have the same length as (the primitive) vector v_i . The vectors forming the sides of any polygon (following a given orientation), sum to zero, thus the lemma is proved. \square

Note that when $\dim E = 1$, Theorem 3.1 guarantees that $\dim E^\vee = 2$; in particular E^\vee is non-degenerate. This implies that no two of the vectors v_1, v_2 and v_3 in Lemma 3.6 are parallel. Thus we have the following result.

Lemma 3.7. *Let C_1, C_2 and C_3 be the adjacent 2-cells to a 1-cell of a smooth tropical surface. Then C_1, C_2 and C_3 span different planes in \mathbb{R}^3 .*

We conclude these introductory remarks on tropical surfaces with a description of some important group actions. Let S_4 be the group of permutations of four elements, so that S_4 is the symmetry group of the simplex Γ_δ . In the obvious way this gives an action of S_4 on the set of subdivisions of Γ_δ .

We can also define an action of S_4 on the set of tropical surfaces of degree δ . Let $X = V_{\text{tr}}(f)$, where $f(x_1, x_2, x_3) = \sum_{a \in \Gamma_\delta} \lambda_a x_1^{a_1} x_2^{a_2} x_3^{a_3}$. For a given permutation $\sigma \in S_4$, we define $\sigma(X)$ as follows. First, homogenize f , giving a polynomial in four

variables:

$$f^{\text{hom}}(x_1, x_2, x_3, x_4) = \sum_{a \in \Gamma_\delta} \lambda_a x_1^{a_1} x_2^{a_2} x_3^{a_3} x_4^{\delta - a_1 - a_2 - a_3}.$$

Now σ acts on f^{hom} in the obvious way by permuting the variables, giving a new tropical polynomial $\sigma(f^{\text{hom}})$. Dehomogenizing again, we set

$$\sigma(f) := \sigma(f^{\text{hom}})(x_1, x_2, x_3, 0).$$

(Note that 0 is the multiplicative identity element of \mathbb{R}_{tr} .) Finally, we define $\sigma(X)$ to be the surface $V_{\text{tr}}(\sigma(f))$. Clearly, $\sigma(X)$ is still of degree δ . The resulting action is compatible with the action of S_4 on the subdivisions of Γ_δ . In other words, $\text{Subdiv}_{\sigma(X)} = \sigma(\text{Subdiv}_X)$.

3.3. Tropical lines in \mathbb{R}^3

Let L be an unrooted tree with five edges, and six vertices, two of which are 3-valent and the rest 1-valent. We define a *tropical line* in \mathbb{R}^3 to be any realization of L in \mathbb{R}^3 such that

- the realization is a polyhedral complex, with four unbounded rays (the 1-valent vertices of L are pushed to infinity);
- the unbounded rays have direction vectors $-e_1, -e_2, -e_3, e_1 + e_2 + e_3$;
- the realization is balanced at each vertex, i.e., the primitive integer vectors in the directions of all outgoing edges adjacent to a given vertex, sum to zero.

If the bounded edge has length zero, the tropical line is called *degenerate*. For non-degenerate tropical lines, there are three combinatorial types, shown in Figure 1. From left to right we denote these combinatorial types by (12)(34), (13)(24) and (14)(23), respectively, so that each pair of digits indicate the directions of two adjacent rays. Likewise, the combinatorial type of a degenerate tropical line is written (1234).

Remark 3.8. This definition is equivalent to the more standard algebraic definition of tropical lines in \mathbb{R}^3 . See [11, Examples 2.8 and 3.8].

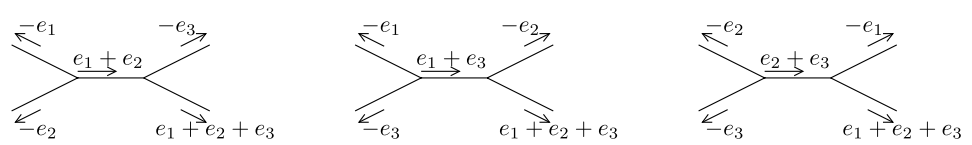


Figure 1. The combinatorial types of tropical lines in \mathbb{R}^3 .

The *tropical Grassmannian*, $G_{\text{tr}}(1, 3)$, is the space of all tropical lines in \mathbb{R}^3 . It is a polyhedral fan in \mathbb{R}^4 consisting of three 4-dimensional cones, one for each combinatorial type. These cones are glued along their common linearity space of dimension 3 (corresponding to rigid translations in \mathbb{R}^3).

Remark 3.9. One can define tropical lines in \mathbb{R}^n and their Grassmannians for any $n \geq 2$. A detailed description of these spaces are given in [10].

In classical geometry, any two distinct points lie on a unique line. When we turn to tropical lines, this is true only for generic points. In fact, for special choices of points P and Q there are infinitely many tropical lines passing through P and Q . The precise statement is as follows.

Lemma 3.10. *Let $P, Q \in \mathbb{R}^3$. There exist infinitely many tropical lines containing P and Q if and only if the coordinate vector $Q - P$ contains either a zero, or two equal coordinates. In all other cases, P and Q lie on a unique tropical line.*

An infinite collection of tropical lines in \mathbb{R}^3 , is called a *two-point family* if there exist two points lying on all tropical lines in the collection. Using Lemma 3.10 it is not hard to see that the tropical lines of any two-point family have in fact a one-dimensional common intersection.

4. Constructing regular elementary triangulations

Suppose Δ is a lattice polytope contained in Γ_δ for some $\delta \in \mathbb{N}$. We say that Δ is a *truncated* version of Γ_δ , if Δ results from chopping off one or several corners of Γ_δ such that (i) each chopped off piece is congruent to Γ_s for some $s < \delta$, and (ii) any two chopped off pieces have disjoint interiors.

The aim of this section is to prove that if a truncated version of Γ_δ admits a regular, elementary triangulation (or *RE-triangulation* for short), then this can be extended to a RE-triangulation of Γ_δ . This fact and the lemmas building up to its proof are useful for proving existence of smooth tropical surfaces with particular properties.

We start with an easy observation, which we state in some generality for later convenience. (Recall in particular that any RE-triangulation is primitive.)

Lemma 4.1. *Suppose $\Delta \subseteq \mathbb{R}^n$ is an n -dimensional lattice polytope, F_1 and F_2 are disjoint closed faces of Δ , and $\alpha_j: F_j \cap \mathbb{Z}^n \rightarrow \mathbb{R}$ is a lifting function for each $j=1, 2$, such that the following conditions are fulfilled:*

- (i) $\Delta \cap \mathbb{Z}^n = (F_1 \cap \mathbb{Z}^n) \cup (F_2 \cap \mathbb{Z}^n)$,
- (ii) $\dim(F_1) + \dim(F_2) = n - 1$,
- (iii) α_j induces a primitive triangulation of F_j , with N_j maximal elements.

Then $\alpha: \Delta \cap \mathbb{Z}^n \rightarrow \mathbb{R}$, defined by $\alpha(v) := \alpha_j(v)$ if $v \in F_j$, induces a primitive triangulation of Δ with $N_1 N_2$ maximal elements, each of which is of the form $\text{conv}(\Lambda_1 \cup \Lambda_2)$, where $\Lambda_i \subseteq F_j$ is a maximal element of the triangulation induced by α_j .

Proof. For each $j=1,2$, let $\Lambda_j \subseteq F_j$ be an arbitrary maximal element of the triangulation induced by α_j . Then $\Omega := \text{conv}(\Lambda_1 \cup \Lambda_2)$ is the convex hull of $\dim(F_1) + 1 + \dim(F_2) + 1 = n + 1$ lattice points, and it is a primitive simplex contained in Δ . All we have to check is that Ω is in the subdivision induced by α , i.e. that

$$(5) \quad \alpha(v) < \text{Aff}_{\alpha, \Omega}(v)$$

for any $v \in (\Delta \cap \mathbb{Z}^n) \setminus \Omega$, where $\text{Aff}_{\alpha, \Omega}$ is the affine function extending $\alpha|_{\Omega \cap \mathbb{Z}^n}$ to $\text{Aff}(\Omega)$. By condition (i), we have $v \in F_j$ for some $j=1,2$. In particular, $v \in \text{Aff}(\Lambda_j)$, which implies that $\text{Aff}_{\alpha, \Omega}(v) = \text{Aff}_{\alpha_j, \Lambda_j}(v)$. Hence (5) is equivalent to the fact that $\alpha_j(v) < \text{Aff}_{\alpha_j, \Lambda_j}(v)$. But this is true since Λ_j is an element of the subdivision induced by α_j . \square

Lemma 4.2. *Let Δ_1 and Δ_2 be lattice polytopes such that $\Delta_1 \cup \Delta_2$ is convex and $F := \Delta_1 \cap \Delta_2$ is a facet of both. Suppose \mathcal{S}_1 and \mathcal{S}_2 are regular lattice subdivisions of Δ_1 and Δ_2 , respectively, such that \mathcal{S}_1 and \mathcal{S}_2 have associated lifting functions α_1 and α_2 , respectively, which coincide on the lattice points in F . Then $\mathcal{S}_1 \cup \mathcal{S}_2$ is a regular lattice subdivision of $\Delta_1 \cup \Delta_2$.*

Proof. Let $L(x) = 0$ be the equation of the affine hyperplane spanned by F . For any $\lambda \in \mathbb{R}$ consider the lifting function α_λ defined on the lattice points of $\Delta_1 \cup \Delta_2$ by

$$\alpha_\lambda(v) := \begin{cases} \alpha_1(v), & \text{if } v \in \Delta_1, \\ \alpha_2(v) - \lambda L(v), & \text{if } v \in \Delta_2. \end{cases}$$

For λ large enough, α_λ is concave at every point of F , and the induced subdivisions on Δ_1 and Δ_2 are \mathcal{S}_1 and \mathcal{S}_2 , respectively. \square

Zooming in to \mathbb{R}^3 , we now prove an auxiliary result.

Lemma 4.3. *Let $d > e$ be natural numbers, and define the triangles $T_0, T_1 \subseteq \mathbb{R}^3$ by*

$$T_0 = \text{conv}(\{(0, 0, 0), (d, 0, 0), (0, d, 0)\}),$$

$$T_1 = \text{conv}(\{(0, 0, 1), (e, 0, 1), (0, e, 1)\}).$$

Let \mathcal{T}_i be any RE-triangulation of T_i , $i=0,1$. Then there exists a RE-triangulation \mathcal{T} of the polytope $\Delta=\text{conv}(T_0\cup T_1)$ such that \mathcal{T} extends \mathcal{T}_0 and \mathcal{T}_1 .

Proof. The strategy is as follows: We decompose Δ into three tetrahedra, find RE-triangulations of each of them, and show that these glue together to form a RE-triangulation of Δ . For $i=0,1$, let α_i be a lifting function associated with \mathcal{T}_i , and let $\alpha: \Delta\cap\mathbb{Z}^3\rightarrow\mathbb{R}$ be defined by $\alpha(v)=\alpha_i(v)$ if $v\in T_i$.

The decomposition of a triangular prism into three tetrahedra is well known. Let

$$\begin{aligned} \Delta_0 &= \text{conv}(T_0\cup\{(0,0,1)\}), \\ \Delta_1 &= \text{conv}(T_1\cup\{(d,0,0)\}), \\ \Delta_2 &= \Delta\setminus(\Delta_0\cup\Delta_1). \end{aligned} \tag{6}$$

For each $i=0,1,2$, α restricted to $\Delta_i\cap\mathbb{Z}^3$ induces a primitive triangulation \mathcal{S}_i on Δ_i . (This follows from Lemma 4.1. For Δ_0 and Δ_1 use the decompositions indicated in (6); for Δ_2 take $F_1=\text{conv}(\{(d,0,0), (0,d,0)\})$ and $F_2=\text{conv}(\{(0,0,1), (0,e,1)\})$.) Obviously, \mathcal{S}_0 and \mathcal{S}_1 extend \mathcal{T}_0 and \mathcal{T}_1 , respectively, and are elementary. Furthermore, \mathcal{S}_2 has de maximal elements, since the edges $(d,0,0)(0,d,0)$ and $(0,0,1)(0,e,1)$ are triangulated into d and e pieces, respectively (cf. condition (iv) of Lemma 4.1). On the other hand, $\text{vol}(\Delta_2)=\frac{1}{6}de$, so \mathcal{S}_2 is also elementary.

Now we glue: First let $\Delta'=\Delta_0\cup\Delta_2$. Since \mathcal{S}_0 and \mathcal{S}_2 come from restrictions of the same lifting function, all conditions of Lemma 4.2 are met, showing that $\mathcal{S}_0\cup\mathcal{S}_2$ is a RE-triangulation on Δ' . Also, it follows from the proof of Lemma 4.2 that we can find an associated lifting function which is equal to α on $\Delta_2\cap\mathbb{Z}^3$. But then we can use Lemma 4.2 again, on $\Delta=\Delta'\cup\Delta_1$. We conclude that $\mathcal{S}_0\cup\mathcal{S}_1\cup\mathcal{S}_2$ is a RE-triangulation of Δ . \square

Corollary 4.4. *Let $\Gamma\subseteq\mathbb{R}^3$ be a lattice polytope congruent to Γ_δ for some δ . Then any RE-triangulation of any of its facets can be extended to a RE-triangulation of Γ .*

Proof. After translating and rotating, we can assume that $\Gamma=\Gamma_\delta$, and that the triangulated facet is the one at the bottom, i.e., T_0 in the above lemma. Now choose any RE-triangulation of each triangle $T_k:=\text{conv}(\{(0,0,k), (\delta-k,0,k), (0,\delta-k,k)\})$, $k=1,\dots,\delta$. Lemma 4.3 then implies that each layer (of height 1) $\text{conv}(T_{k-1},T_k)$ has a RE-triangulation extending these. Finally we can glue these together one by one, as in Lemma 4.2. \square

We now prove the main result of this section.

Proposition 4.5. *Let Δ be a truncated version of Γ_δ for some $\delta \in \mathbb{N}$. If \mathcal{T} is a RE-triangulation of Δ , then \mathcal{T} can be extended to a RE-triangulation of Γ_δ .*

Proof. Each “missing piece” is a tetrahedron congruent to Γ_s for some integer $s < \delta$, with a RE-triangulation (induced by \mathcal{T}) on one of its facets. Hence, by Corollary 4.4, each missing piece has a RE-triangulation compatible with \mathcal{T} . By Lemma 4.2, we can glue these triangulations onto \mathcal{T} one by one, thus obtaining a RE-triangulation of Γ_δ . \square

5. Polytopes with exits in Γ_δ

Let $\omega_1, \omega_2, \omega_3$ and ω_4 be the vectors $-e_1, -e_2, -e_3$ and $e_1 + e_2 + e_3$, respectively. For any $\delta \in \mathbb{N}$, and each $i = 1, 2, 3, 4$, let F_i be the facet of Γ_δ with ω_i as an outwards normal vector. For any $p \in \mathbb{R}^n$, let $\ell_{p,i}$ be the unbounded ray emanating from p in the direction of ω_i . Hence any tropical line in \mathbb{R}^3 with vertices v_1 and v_2 , contains the rays $\ell_{v_1, i_1}, \ell_{v_1, i_2}, \ell_{v_2, i_3}, \ell_{v_2, i_4}$ for some permutation (i_1, i_2, i_3, i_4) of $(1, 2, 3, 4)$.

A central theme of this paper is to examine under what conditions a tropical line can be contained in a tropical surface. A simple, but crucial observation in this respect is the following result.

Lemma 5.1. *Let C be a (closed) 2-cell of a tropical surface, and $p \in C$ be a point. Then,*

$$\ell_{p,i} \subseteq C \iff C^\vee \text{ is contained in } F_i.$$

Proof. Let E_1, \dots, E_s be the edges constituting the boundary of C , and for each $j = 1, \dots, s$ let n_j be an inward normal vector of E_j relative to C . Observe that for any point $p \in C$, we have $\ell_{p,i} \subseteq C$ if and only if ω_i is parallel to C , and $\langle \omega_i, n_j \rangle \geq 0$ for each j . In other words, $\ell_{p,i} \subseteq C$ if and only if C^\vee is parallel to F_i and none of the vectors n_j points inwards from F_i relative to Γ_δ . Comparing this with Lemma 3.2(b), which says that each n_j is an outward normal vector of C^\vee relative to E_j^\vee , the lemma follows. \square

Motivated by this lemma, we make the following definition.

Definition 5.2. Let Δ be a lattice polytope contained in Γ_δ . We say that Δ has an *exit in the direction of ω_i* if $\dim(\Delta \cap F_i) \geq 1$. If Δ has exits in the directions of k of the ω_i 's, we say that Δ has k exits.

We will be interested in the maximal number of exits of various subpolytopes of Γ_δ . The following observation serves as a warm-up.

Lemma 5.3. *If $\delta \geq 2$, then a primitive triangle in Γ_δ can have at most 3 exits.*

Proof. A triangle Δ with four exits must have an edge (with vertices v_1 and v_2 , say) contained in an edge of Γ_δ . By primitivity both of v_1 and v_2 cannot be vertices of Γ_δ . This implies that there is a facet of Γ_δ touching neither v_1 nor v_2 . But then Δ cannot have an exit in the direction corresponding to this facet. \square

A more interesting task is the classification of tetrahedra with 4 exits in Γ_δ . Let \mathcal{T}_δ be the set of all such tetrahedra. The study of \mathcal{T}_δ , and in particular its elementary elements, will occupy the remainder of this section.

For any lattice tetrahedron $\Omega \subseteq \Gamma_\delta$ we define its *facet distribution* $\text{Fac}(\Omega)$ to be the unordered collection of four (possibly empty) subsets of $[4] := \{1, 2, 3, 4\}$ obtained in the following way. For each vertex of Ω take the set of indices i of the facets F_i containing that vertex. For example, if $\Omega' \subseteq \Gamma_2$ has vertices $(0, 0, 0)$, $(0, 0, 1)$, $(1, 1, 0)$ and $(1, 0, 1)$, then $\text{Fac}(\Omega') = \{\{1, 2, 3\}, \{1, 2\}, \{3, 4\}, \{1, 4\}\}$.

A collection of four subsets of $[4]$ is called a *four-exit distribution* (FED) if each $i \in [4]$ appears in exactly two of the subsets. Clearly, Ω has four exits if and only if $\text{Fac}(\Omega)$ contains a FED. (A collection $\{J_1, J_2, J_3, J_4\}$ is *contained* in another collection $\{J'_1, J'_2, J'_3, J'_4\}$ if (possibly after renumbering) $J_i \subseteq J'_i$ for all $i=1, \dots, 4$.) For example, with Ω' as above, $\text{Fac}(\Omega')$ contains two FEDs: $\{\{1, 2, 3\}, \{1, 2\}, \{3, 4\}, \{4\}\}$ and $\{\{2, 3\}, \{1, 2\}, \{3, 4\}, \{1, 4\}\}$.

Let \mathcal{F} be the set of all FEDs, and consider the incidence relation

$$\mathcal{Q} := \{(\Omega, c) \mid c \text{ is contained in } \text{Fac}(\Omega)\} \subseteq \mathcal{T}_\delta \times \mathcal{F}.$$

Let π_1 and π_2 be the projections from \mathcal{Q} to \mathcal{T}_δ and \mathcal{F} , respectively. Then π_1 is obviously surjective, but not injective (for example, the last paragraph shows that $\pi_1^{-1}(\Omega')$ consists of two elements). Note that the group S_4 acts on \mathcal{T}_δ (induced by the symmetry action on Γ_δ), on \mathcal{F} (in the obvious way), and on \mathcal{Q} (letting $\sigma(\Omega, c) = (\sigma(\Omega), \sigma(c))$). Hence we can consider the quotient incidence

$$\mathcal{Q}/S_4 \subseteq \mathcal{T}_\delta/S_4 \times \mathcal{F}/S_4,$$

with the projections $\tilde{\pi}_1$ and $\tilde{\pi}_2$. We claim that the image of \mathcal{Q}/S_4 under $\tilde{\pi}_2$ has exactly six elements, namely the equivalence classes of the following FEDs:

$$(7) \quad \begin{aligned} c_1 &= \{\{1, 2, 3\}, \{1, 2, 4\}, \{3\}, \{4\}\}, & c_4 &= \{\{1, 2, 3\}, \{1, 2\}, \{3, 4\}, \{4\}\}, \\ c_2 &= \{\{1, 2, 3\}, \{1, 2, 4\}, \{3, 4\}, \emptyset\}, & c_5 &= \{\{1, 2, 3\}, \{1, 4\}, \{2, 4\}, \{3\}\}, \\ c_3 &= \{\{1, 2\}, \{1, 2\}, \{3, 4\}, \{3, 4\}\}, & c_6 &= \{\{1, 2\}, \{1, 3\}, \{2, 4\}, \{3, 4\}\}. \end{aligned}$$

The proof of this claim is a matter of simple case checking: One finds that the set \mathcal{F}/S_4 has 11 elements. In addition to the six given in (7) there are four elements represented by FEDs of the form $\{\{1, 2, 3, 4\}, \{..\}, \{..\}, \{..\}\}$. These cannot be in the image of $\tilde{\pi}_2$, since no vertex lies on all four facets. Finally there is the equivalence class of $\{1, 2, 3\}$, $\{1, 2, 3\}$, $\{4\}$ and $\{4\}$, which corresponds to a degenerate tetrahedron.

Now, for $\delta \in \mathbb{N}$, and each $j=1, \dots, 6$, we define the following subsets of \mathcal{T}_δ :

$$(8) \quad \mathcal{G}_\delta^j := \{\Omega \in \mathcal{T}_\delta \mid \tilde{\Omega} \in \tilde{\pi}_1(\tilde{\pi}_2^{-1}(c_j))\} \quad \text{and} \quad \mathcal{E}_\delta^j := \{\Omega \in \mathcal{G}_\delta^j \mid \Omega \text{ is elementary}\}.$$

(Here, $\tilde{\Omega}$ denotes the image of Ω in \mathcal{T}_δ/S_4 .) Note that for a fixed δ , the subsets \mathcal{G}_δ^j cover \mathcal{T}_δ , but may overlap. For instance, our running example Ω' lies in $\mathcal{G}_2^4 \cap \mathcal{G}_2^6$.

In the particular case $\delta=1$, we have trivially that for all $j=1, \dots, 6$, the sets \mathcal{G}_1^j and \mathcal{E}_1^j both consist of the single tetrahedron Γ_1 . For higher values of δ , we have the following results for the subsets \mathcal{E}_δ^j .

Proposition 5.4. *Let $\delta \geq 2$ be a natural number. Then*

- (a) $\mathcal{E}_\delta^1 = \mathcal{E}_\delta^2 = \mathcal{E}_\delta^3 = \emptyset$;
- (b) $\mathcal{E}_\delta^4 \cap \mathcal{E}_\delta^5 \neq \emptyset$;
- (c) $\mathcal{E}_\delta^5 \setminus (\mathcal{E}_\delta^4 \cup \mathcal{E}_\delta^6) = \emptyset$;
- (d) $\mathcal{E}_\delta^6 \setminus (\mathcal{E}_\delta^4 \cup \mathcal{E}_\delta^5) = \emptyset$ if and only if either $\delta=3$, or δ is even and contained in a certain sequence, starting with 2, 4, 6, 8, 14, 16, 18, 20, 26, 30, 56, 76, ...

Proof. (a) Any tetrahedron Ω in \mathcal{G}_δ^1 or \mathcal{G}_δ^2 contains a complete edge of Γ_δ . Such an edge is not primitive when $\delta > 1$, hence Ω cannot be elementary. If $\Omega \in \mathcal{G}_\delta^3$, then (modulo S_4) the vertices of Ω are of the form $(0, 0, a)$, $(0, 0, b)$, $(c, \delta - c, 0)$, $(d, \delta - d, 0)$. Its volume is

$$\left| \begin{array}{cccc} 0 & 0 & a & 1 \\ \frac{1}{6} & 0 & 0 & b \\ c & \delta - c & 0 & 1 \\ d & \delta - d & 0 & 1 \end{array} \right| = \left| \frac{1}{6} \delta (a - b)(c - d) \right|,$$

which is either equal to 0 or $\geq \delta/6$. Hence Ω cannot be elementary when $\delta > 1$.

(b) Given any natural number δ , let Ω be the convex hull of $(0, 0, 0)$, $(1, 0, 0)$, $(\delta - 1, 0, 1)$ and $(0, 1, \delta - 1)$. Then $\Omega \in \mathcal{G}_\delta^4 \cap \mathcal{G}_\delta^5$. Also, $\text{vol}(\Omega) = \frac{1}{6}$, so Ω is elementary.

(c) Any $\Omega \in \mathcal{G}_\delta^5$ has (modulo S_4) vertices with coordinates $(0, 0, 0)$, $(\delta - a, 0, a)$, $(0, b, \delta - b)$ and $(c, d, 0)$, where a, b, c, d are natural numbers such that $0 \leq a, b, c, d \leq \delta$ and $c + d \leq \delta$. Furthermore, if $\Omega \notin \mathcal{E}_\delta^j$ for all $j \neq 5$, then all these inequalities are strict.

If Ω is elementary, we must have $\text{vol}(\Omega) = \frac{1}{6}$, which implies that

$$(9) \quad 6 \text{vol}(\Omega) = \left\| \begin{array}{ccc} \delta - a & 0 & a \\ 0 & b & \delta - b \\ c & d & 0 \end{array} \right\| = |abc + (\delta - a)(\delta - b)d|$$

is equal to 1. This is impossible when $\delta \geq 2$, as shown in Lemma 5.5 below.

(d) The vertices of $\Omega \in \mathcal{G}_\delta^6 \setminus (\mathcal{G}_\delta^1 \cup \mathcal{G}_\delta^2 \cup \mathcal{G}_\delta^3 \cup \mathcal{G}_\delta^4 \cup \mathcal{G}_\delta^5)$ are (modulo S_4) of the form $(a, 0, 0)$, $(0, b, 0)$, $(0, c, \delta - c)$ and $(d, 0, \delta - d)$, where $1 \leq a, b, c, d \leq \delta - 1$. We find that

$$6 \text{vol}(\Omega) = |ac(\delta - b - d) - bd(\delta - a - c)| =: f(\delta, a, b, c, d).$$

When $\delta = 3$, it is straightforward to check by hand that the equation $f(\delta, a, b, c, d) = 1$ has no solutions in the required domain. However, if $\delta = 2n + 1$ for any $n \geq 2$, then $(a, b, c, d) = (n - 1, n, n + 1, n)$ is a solution, since $f(2n + 1, n - 1, n, n, n + 1) = |(n - 1)(n + 1) - n^2| = 1$.

When δ is even we do not have any general results. A computer search shows that the equation $f(\delta, a, b, c, d) = 1$ has solutions (in the allowable domain) for all δ less than 1000 except for $\delta \in \{2, 4, 6, 8, 14, 16, 18, 20, 26, 30, 56, 76\}$. It would be interesting to know whether more exceptions exist. \square

Lemma 5.5. *The equation*

$$abc + (\delta - a)(\delta - b)d = \pm 1$$

has no integer solutions in the domain $1 \leq a, b, c, d \leq \delta - 1$.

Proof. Let $\delta, c, d \in \mathbb{Z}$ be fixed, where $1 \leq c, d \leq \delta - 1$, and let ε be either 1 or -1 . Then the equation $cxy + d(\delta - x)(\delta - y) = \varepsilon$ describes a hyperbola C intersecting the x -axis in $x^* = (\delta - \varepsilon/d\delta, 0)$ and the y -axis in $y^* = (0, \delta - \varepsilon/d\delta)$. Observe that $\delta - \varepsilon/d\delta$ is strictly bigger than $\delta - 1$, and furthermore that the slope

$$y'(x) = \frac{d(\delta - y) - cy}{cx - d(\delta - x)}$$

is positive at both x^* and y^* . It follows that C never meets the square $1 \leq x, y \leq \delta - 1$. This proves the lemma. \square

6. Properties of tropical lines on tropical surfaces

From now on, unless explicitly stated otherwise, X will always be a smooth tropical surface of degree δ in \mathbb{R}^3 , and L be a tropical line in \mathbb{R}^3 . We fix the notation ℓ_1, \dots, ℓ_4 for the unbounded rays of L in the directions $-e_1, -e_2, -e_3$ and $e_1 + e_2 + e_3$, respectively, and ℓ_5 for the bounded line segment.

Any tropical surface X induces a map c_X from the underlying point set of X to the set of cells of X , mapping a point on X to the minimal cell (with respect to inclusion) on X containing it. In particular we introduce the following notion: If v is a vertex of $L \subseteq X$, and $\dim c_X(v) = k$, we say that v is a k -vertex of L (on X).

An important concept for us is the possibility of a line segment on X to pass from one cell to another. When X is smooth, it turns out that this can only happen in one specific way, making life a lot simpler for us. We prove this after giving a precise definition.

Definition 6.1. Let X be a tropical surface (not necessarily smooth), and let $\ell \subseteq X$ be a ray or line segment. Define $\mathcal{C}_X(\ell)$ to be the set of cells $C \subseteq X$ with the property

$$\dim(\text{int}(C) \cap \ell) \geq 1.$$

If $|\mathcal{C}_X(\ell)| \geq 2$, then we say that ℓ is *trespassing* on X .

Lemma 6.2. *Suppose X is smooth, and $\ell \subseteq X$ is a trespassing line segment such that*

$$\mathcal{C}_X(\ell) = \{C, C'\}.$$

Then C and C' are maximal cells of X whose intersection is a vertex of X .

Proof. Let $E = C \cap C'$, and let v be a direction vector of ℓ . Clearly, $\dim E$ is either 1 or 0. If E is a 1-cell, then C and C' are 2-cells adjacent to E . But since X is smooth, Lemma 3.7 implies that ℓ cannot intersect the interiors of both C and C' , contradicting that $\mathcal{C}_X(\ell) = \{C, C'\}$.

Hence $\dim E = 0$, i.e., E is a vertex of X . Since X is smooth, E^\vee is a tetrahedron in Subdiv_X . Now, if $\dim C = \dim C' = 1$, then both C and C' are parallel to v , implying that E^\vee has two parallel facets (C^\vee and $(C')^\vee$). This contradicts that E^\vee is a tetrahedron. The case where $\dim C = 1$ and $\dim C' = 2$ (or vice versa) is also impossible. Here, C^\vee and $(C')^\vee$ would be, respectively, a facet and an edge of E^\vee , where v is the normal vector of C^\vee and v also is normal to $(C')^\vee$ (since $(C')^\vee$ is normal to C' which contains ℓ). This would lead to E^\vee being degenerate. The only possibility left is that $\dim C = \dim C' = 2$, in other words that C and C' are both maximal. This proves the lemma. \square

In the following, we will call a tropical line L *trespassing* on X , if $L \subseteq X$, and at least one of the edges of L is trespassing. Obviously, Lemma 6.2 implies the following corollary.

Corollary 6.3. *Any trespassing tropical line on X contains a vertex of X .*

Proof. By definition, a trespassing tropical line on X has a trespassing edge (either a ray or a line segment). Then we can find a line segment ℓ contained in this edge, such that $|\mathcal{C}_X(\ell)|=2$. By Lemma 6.2, ℓ contains a vertex of X . \square

Lemma 6.4. *Suppose $L \subseteq X$ is non-degenerate, and that L has a 1-vertex v on X . Let $E=c_X(v)$. Then*

- (a) *E contains no other points of L ;*
- (b) *the edges of the triangle $E^\vee \subseteq \text{Subdiv}_X$ are orthogonal to the vectors ω_i , ω_j and $\omega_i + \omega_j$ (in some order), where ω_i and ω_j are the directions of the unbounded edges of L adjacent to v .*

Proof. (a) Since L is non-degenerate, v has exactly three adjacent edges. Let m_1, m_2 and m_3 be the intersections of these with a neighborhood of v , small enough so that each m_i is contained in a closed cell of X . It is sufficient to prove that none of these segments are contained in E . Assume otherwise that $m_1 \subseteq E$. Since $v \in \text{int}(E)$, the only other cells of X meeting v are the three (since X is smooth) 2-cells adjacent to E . Hence $m_2 \subseteq C$ and $m_3 \subseteq C'$, where C and C' are 2-cells adjacent to E . We must have $C \neq C'$, otherwise L cannot be balanced at v . But then, since X is smooth, C and C' span different planes in \mathbb{R}^3 (see Lemma 3.7). This again contradicts the balancing property of L at v . Indeed, balance at v immediately implies that the plane spanned by m_1 and m_2 equals the plane spanned by m_1 and m_3 .

- (b) Follows from (a) and Lemma 3.7. \square

Corollary 6.5. *Let v_1 and v_2 be the (possibly coinciding) vertices of $L \subseteq X$, and let $V_i=c_X(v_i)$ for $i=1,2$. Then L is degenerate if and only if $V_1=V_2$.*

Proof. One implication is true by definition. For the other implication, suppose $V_1=V_2=:V$. If $\dim V=0$, then L is clearly degenerate. If $\dim V=1$, then we must have $v_1=v_2$ (indeed, $v_1 \neq v_2$ would contradict Lemma 6.4(a)), thus L is degenerate. Finally, $\dim V$ cannot be 2, as this would imply the absurdity that V spans \mathbb{R}^3 . \square

We are now ready to prove the following proposition.

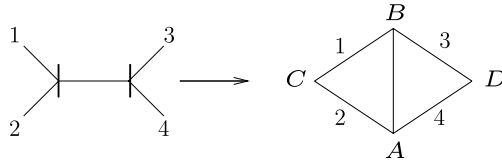


Figure 2. A tropical line not containing any vertices of X .

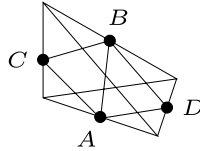


Figure 3. Positions of $A, B, C, D \in \Gamma_\delta$.

Proposition 6.6. *If $\deg X \geq 3$, then any tropical line $L \subseteq X$ passes through at least one vertex of X .*

Proof. Suppose $L \cap X^0 = \emptyset$. By Corollary 6.3, L must be non-trespassing. Also, L cannot be degenerate. Indeed, if it were, let v be its vertex. Then $c_X(v)^\vee$ would have to be a primitive triangle in Γ_δ with four exits, contradicting Lemma 5.3. For non-degenerate tropical lines, it is easy to rule out all cases except for one, namely when both of L 's vertices are 1-vertices (necessarily on different edges on X), as suggested to the left in Figure 2. We can assume without loss of generality that the combinatorial type of L is $((1, 2), (3, 4))$. Applying Lemma 6.4(b), it is clear that Subdiv_X contains two triangles with a common edge, with exits as shown to the right in Figure 2. The points A, B, C and D lie on F_{14}, F_{23}, F_{12} and F_{34} , respectively, and the middle edge AB is orthogonal to $e_1 + e_2$. It follows that the points are situated as in Figure 3, with coordinates of the form $A = (a, 0, 0)$, $B = (0, a, \delta - a)$, $C = (0, 0, c)$ and $D = (d, \delta - d, 0)$. Since X is smooth, the triangles ABC and ABD must be facets of some elementary tetrahedra $ABCP$ and $ABDQ$. Setting $P = (p_1, p_2, p_3)$ and $Q = (q_1, q_2, q_3)$ we find that

$$6 \text{ vol}(ABCP) = \begin{vmatrix} a & 0 & 0 & 1 \\ 0 & a & \delta - a & 1 \\ 0 & 0 & c & 1 \\ p_1 & p_2 & p_3 & 1 \end{vmatrix} = |a(ac + \delta p_2 - ap_2 - ap_3 - c_2 - cp_1)|,$$

implying that $a = 1$, and that

$$6 \text{ vol}(ABDQ) = |(\delta - a)(da - \delta a + aq_2 + aq_3 + \delta q_1 - dq_2 - dq_1)|,$$

giving $\delta - a = 1$. Hence we conclude that $\delta = 2$, as claimed. \square

7. Tropical lines on smooth tropical quadric surfaces

The aim of this section is to prove a tropical analogue of the following famous theorem in classical geometry: A smooth algebraic surface of degree two has two rulings of lines.

We begin by describing the compact maximal cells of a smooth tropical quadric. It turns out that there is always exactly one such cell.

Proposition 7.1. *A smooth tropical quadric surface has a unique compact 2-cell. This cell has a normal vector of the form $-e_i + e_j + e_k$, for some permutation (i, j, k) of the numbers $(1, 2, 3)$.*

Proof. Let X be a smooth quadric. We must show that Subdiv_X contains exactly one inner edge. As seen in Figure 4, the only possibilities are the diagonals

$$(10) \quad PP' = (1, 0, 0)(0, 1, 1), \quad QQ' = (1, 0, 1)(0, 1, 0) \quad \text{and} \quad RR' = (0, 0, 1)(1, 1, 0).$$

Note that Subdiv_X has 10 vertices and (being elementary) consists of 8 simplices. Each simplex has four triangles, each of which is the face of two simplices, except the 16 triangles lying on a facet of Γ_2 . Hence $2\#(\text{triangles}) - 16 = 4 \cdot 8$, giving 24 triangles in Subdiv_X . The Euler characteristic of a simplex is 1, hence $-8 + 24 - \#(\text{edges}) + 10 = 1$, i.e. $\#(\text{edges}) = 25$. Since there are 24 edges on the facets of Γ_2 , there is exactly one inner edge. \square

Let \bar{X} denote the compact 2-cell of X found in Proposition 7.1. We then have the following result.

Theorem 7.2. *For each point $p \in \bar{X}$ there exist two distinct tropical lines on X passing through p .*

Proof. We can assume (using if necessary the action of S_4) that \bar{X} has a normal vector $-e_1 + e_2 + e_3$, i.e., that the edge in Subdiv_X corresponding to \bar{X} is PP' (see

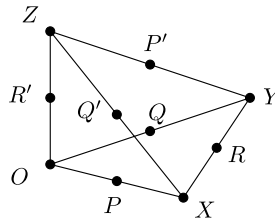


Figure 4. The lattice points in Γ_2 . Possible inner edges are PP' , QQ' and RR' .

Figure 4). Let p be any point on \bar{X} , and consider the line given by $p+t(e_1+e_2)$, $t \in \mathbb{R}$. Let L^- and L^+ be the rays where $t \leq 0$ and $t \geq 0$, respectively, and let p^- and p^+ be the points on the boundary of \bar{X} where L^- and L^+ leave \bar{X} . We will show that the tropical line L_p with vertices p^- and p^+ , lie on X .

Let $E^- := c_X(p^-)$ and $E^+ := c_X(p^+)$. If E^- (resp. E^+) is a vertex, redefine it to be any adjacent edge (of \bar{X}) not parallel to e_1+e_2 . To prove that $L_p \subseteq X$, it is enough (by Lemma 5.1) to show that the triangle $(E^-)^\vee \in \text{Subdiv}_X$ has exits in the directions ω_1 and ω_2 , and that $(E^+)^\vee$ has exits in the directions ω_3 and ω_4 .

The boundary of \bar{X} is made up precisely of the 1-cells of X whose dual triangles in Subdiv_X has PP' as one edge. In particular there are lattice points $A, B \in \Gamma_2$ such that $(E^-)^\vee = \triangle APP'$ and $(E^+)^\vee = \triangle BPP'$. We claim that

$$(11) \quad A \text{ and } B \text{ lie on the edges } F_{12} \text{ and } F_{34}, \text{ respectively.}$$

If this claim is true, it follows immediately that the triangles $\triangle APP'$ and $\triangle BPP'$ have the required exits, and therefore that $L_p \subseteq X$. To prove the claim, we use Lemma 7.3 below. By the construction of E^- , it is clear that the vector e_1+e_2 points inwards from E^- into \bar{X} . The lemma then implies that $\langle e_1+e_2, u \rangle < 0$ for all vectors u pointing inwards from PP' into $\triangle APP'$. In particular, choosing u as the vector from P to $A = (a_1, a_2, a_3)$, this gives $a_1+a_2 < 1$. The only lattice points in Γ_2 satisfying this are those on F_{12} , so $A \in F_{12}$. That $B \in F_{34}$ follows similarly. This proves the claim, and we conclude that $L_p \subseteq X$.

Next, consider the affine line $p+t(e_1+e_3)$, $t \in \mathbb{R}$. The points where this line leaves \bar{X} are again the vertices of a tropical line, L'_p , which we claim is contained in X . Indeed, this follows after swapping the coordinates e_2 and e_3 (i.e., letting the transposition $\sigma = (23) \in S_4$ act on X), and repeating the above proof word by word. Figure 5 shows L_p and L'_p in a typical situation. \square

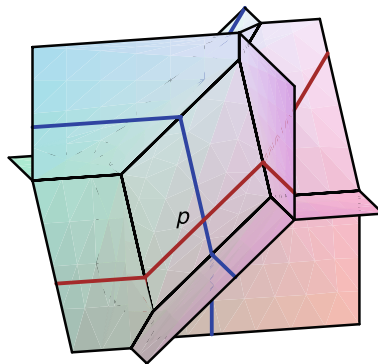


Figure 5. The two tropical lines passing through a point $p \in \bar{X}$.

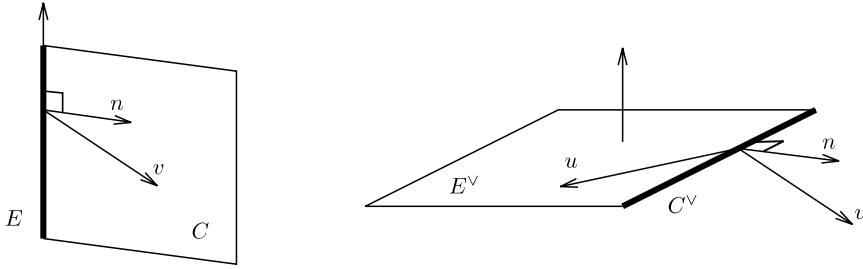


Figure 6. Illustration of Lemma 7.3.

Lemma 7.3. *Let E be an edge of a 2-cell C on a tropical surface. For any vector v pointing inwards from E into C , and any vector u pointing inwards from C^\vee into E^\vee , we have $\langle v, u \rangle < 0$.*

Proof. Let n be the unit inwards normal vector of E relative to C . By Lemma 3.2, n is an outwards normal vector of C^\vee relative to E^\vee . In particular, we have $\langle v, n \rangle > 0$ and $\langle u, n \rangle < 0$. (See Figure 6.)

For $v=n$, the lemma is clearly true, so assume $v \neq n$. The vector product $v \times n$ is then a normal vector of C , and therefore a direction vector of C^\vee . Hence $u \times (v \times n)$ is a normal vector of E^\vee , i.e., it is a direction vector of E . But since n is a normal vector of E , this implies that $\langle u \times (v \times n), n \rangle = 0$. Expanding this, using the familiar formula $a \times (b \times c) = \langle a, c \rangle b - \langle a, b \rangle c$, we find that

$$\langle u, n \rangle \langle v, n \rangle = \langle u, v \rangle \langle n, n \rangle = \langle u, v \rangle.$$

(In the last step we used that $|n|=1$.) The lemma follows from this, since $\langle u, n \rangle < 0$ and $\langle v, n \rangle > 0$. \square

8. Two-point families on X

To any $L \subseteq X$, with edges ℓ_1, \dots, ℓ_5 , we can associate a set of data, $\mathcal{D}_X(L) = \{V_1, V_2, \mathcal{C}_1, \mathcal{C}_2, \dots, \mathcal{C}_5, \varkappa\}$, where,

- $V_i = c_X(v_i)$, where v_1 and v_2 are the (possibly coinciding) vertices of L ;
- \mathcal{C}_i is the set $\mathcal{C}_X(\ell_i)$ (cf. Definition 6.1);
- \varkappa is the combinatorial type of L .

Recall in particular that ℓ_i is trespassing on X if and only if $|\mathcal{C}_i| \geq 2$.

One might wonder if different tropical lines on X can have the same set of data. It is not hard to imagine an example giving an affirmative answer, e.g. as

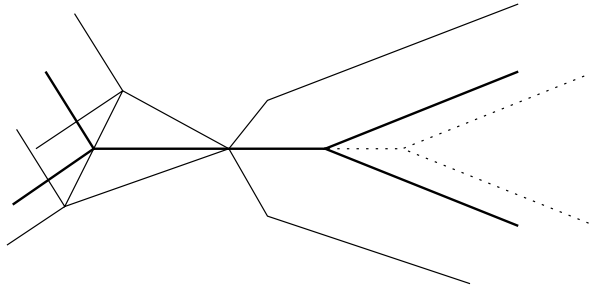


Figure 7. A two-point family of tropical lines on a tropical surface.

in Figure 7. In this figure one of the vertices of the tropical line can be moved along the middle segment, creating infinitely many tropical lines with the same set of data. Clearly, the collection of all these tropical lines is a two-point family. As we will show in the remainder of this section, this is not a coincidence.

By a perturbation of a point $p \in \mathbb{R}^3$ we mean a continuous map $\mu: [0, 1) \rightarrow \mathbb{R}^3$, possibly constant, such that $\mu(0) = p$.

Definition 8.1. A tropical line $L \subseteq X$ can be *perturbed on X* if there exist perturbations μ_1 and μ_2 —not both constant—of the vertices of L such that for all $t \in [0, 1)$, $\mu_1(t)$ and $\mu_2(t)$ are the vertices of a tropical line $L_t \subseteq X$. In this case, we call the map $[0, 1) \rightarrow G_{\text{tr}}(1, 3)$ given by $t \mapsto L_t$ a *perturbation* of L on X .

If L is degenerate, we think of L as having two coinciding vertices. Thus Definition 8.1 allows perturbations of L where the vertices are separated, creating non-degenerate tropical lines.

By a *two-point family of tropical lines on X* , or simply a two-point family on X , we mean a two-point family of tropical lines, all of which are contained in X . A two-point family on X is *maximal* (on X) if it not contained in any strictly larger two-point family on X . A tropical line on X is *isolated* if it does not belong to any two-point family on X .

Special perturbations, as the one in Figure 7, give rise to two-point families on X . We state a straightforward generalization of this example in the following lemma, for later reference. Note that if μ is a perturbation of L on X , we say that the vertex v_i is *perturbed along an edge* of L , if $\text{im}(\mu_i) \subseteq \text{Aff}(\ell)$ for some edge $\ell \subseteq L$ (cf. the notation in Definition 8.1).

Lemma 8.2. *If a non-degenerate $L \subseteq X$ has a perturbation on X where at least one of the vertices is perturbed along an edge of L , then L belongs to a two-point family on X .*

Proposition 8.3. *Let L be a tropical line on a smooth tropical surface X , where $\deg X \geq 3$. If L is isolated, then L is uniquely determined by $\mathcal{D}_X(L)$.*

Proof. Let $\mathcal{D} = \mathcal{D}_X(L) = \{V_1, V_2, \mathcal{C}_1, \mathcal{C}_2, \dots, \mathcal{C}_5, \varkappa\}$ be a given set of data. We will identify all situations where L is not uniquely determined by \mathcal{D} , and show that Lemma 8.2 applies in each of these cases.

We first consider the case where $\varkappa \neq (1234)$, meaning that L is non-degenerate. The following observations will be used frequently.

- (A) L is determined by (the positions of) its two vertices.
- (B) The direction vector of the bounded segment ℓ_5 is determined by \varkappa .
- (C) If $|\mathcal{C}_j| \geq 2$, then $\text{Aff}(\ell_j)$ is determined by the elements of \mathcal{C}_j (and the index j).
- (D) If $\dim V_i = 1$, and $\text{Aff}(\ell_j)$ is known for any edge ℓ_j adjacent to v_i , then v_i is determined.

Of these, (A) and (B) are clear, (C) is a consequence of Lemma 6.2, and (D) follows from Lemma 6.4(a).

Now, assume that V_1 and V_2 are ordered so that $\dim V_1 \leq \dim V_2$. Under this assumption, we examine the uniqueness of L for different sets of data, according to the pair $(\dim V_1, \dim V_2)$.

- $(\dim V_1, \dim V_2) = (0, 0)$. Obviously, by (A), L is determined.
- $(\dim V_1, \dim V_2) = (0, 1)$. In this case $\text{Aff}(\ell_5)$ is determined by V_1 and \varkappa (cf. (B)). Hence v_2 is determined (by (D)). Since $v_1 = V_1$, it follows that L is determined.
- $(\dim V_1, \dim V_2) = (0, 2)$. Again, v_1 and $\text{Aff}(\ell_5)$ are determined by V_1 and \varkappa . Write $\varkappa = ((a, b), (c, d))$, and consider first the case where either $|\mathcal{C}_c| \geq 2$ or $|\mathcal{C}_d| \geq 2$. We can assume the former. Then $\text{Aff}(\ell_c)$ is determined, which again determines $v_2 = \text{Aff}(\ell_5) \cap \text{Aff}(\ell_c)$. Thus, in this case L is determined.

Otherwise, we have $\mathcal{C}_c = \mathcal{C}_d = V_2$. In this situation L is not uniquely determined by \mathcal{D} , as v_2 can be perturbed to anywhere in the intersection of $\text{Aff}(\ell_5)$ and V_2 without changing \mathcal{D} .

- $(\dim V_1, \dim V_2) = (1, 1)$. Observe first that we must have $|\mathcal{C}_i| \geq 2$ for some i . (Otherwise L is not trespassing, and since none of its vertices are vertices of X , this would contradict Proposition 6.6.) Hence $\text{Aff}(\ell_i)$ is determined for some i . If $i = 5$, then (by (D)) both v_1 and v_2 are determined by this. If $i \neq 5$, then in the first place

only the endpoint of ℓ_i is determined. But this together with \varkappa determines $\text{Aff}(\ell_5)$, and thus both vertices. Hence, in any case, L is determined.

- $(\dim V_1, \dim V_2) = (1, 2)$. Let $\varkappa = ((a, b), (c, d))$. We consider five cases.

- (i) $|\mathcal{C}_j| \geq 2$ for both $j = c, d$. Then $\text{Aff}(\ell_c)$ and $\text{Aff}(\ell_d)$ are determined, and therefore also $v_2 = \text{Aff}(\ell_c) \cap \text{Aff}(\ell_d)$. This and \varkappa determines $\text{Aff}(\ell_5)$, which in turn (by (D)) determines v_1 . Hence L is determined.

- (ii) $|\mathcal{C}_j| \geq 2$ for exactly one index $j \in \{c, d\}$ (assume d), and also for at least one index $j \in \{a, b, 5\}$. This last condition determines $\text{Aff}(\ell_5)$, either directly (if $j = 5$) or via v_1 and \varkappa . Thus $v_2 = \text{Aff}(\ell_d) \cap \text{Aff}(\ell_5)$ is determined, and therefore L as well.

- (iii) $|\mathcal{C}_j| \geq 2$ for exactly one index $j \in \{c, d\}$ (assume d), and for no other indices j . In this case v_2 can be perturbed along ℓ_d without changing \mathcal{D} , so L is not determined by \mathcal{D} . (The perturbation of v_1 (along V_1) will be determined by the perturbation of v_2 .)

- (iv) $|\mathcal{C}_j| \geq 2$ for no $j \in \{c, d\}$, but at least one $j \in \{a, b, 5\}$. As in (ii) above, the last condition determines $\text{Aff}(\ell_5)$ and therefore v_1 . The vertex v_2 can be perturbed along ℓ_5 , so L is not determined.

- (v) $|\mathcal{C}_j| = 1$ for all $j \in \{1, 2, 3, 4, 5\}$. This is not possible when $\deg X \geq 3$. In fact, it follows from Lemma 5.3 that $\deg X = 1$. Indeed, since no edge of L is trespassing, the triangle V_1^\vee must have four exits in $\Gamma_{\deg X}$.

- $(\dim V_1, \dim V_2) = (2, 2)$. Note first that $V_1 \neq V_2$, since L spans \mathbb{R}^3 . Hence $|\mathcal{C}_5| \geq 2$, determining $\text{Aff}(\ell_5)$. Now, for both $i = 1, 2$ we have: If any adjacent unbounded edge of v_i is trespassing, then v_i is determined. If not, v_i can be perturbed along ℓ_5 keeping \mathcal{D} unchanged.

Going through the above list, we see that in each case where L is not uniquely determined by \mathcal{D} , L has a perturbation where a vertex is perturbed along an edge of X . Hence, by Lemma 8.2, L belongs to a two-point family on X .

Finally, suppose $\varkappa = (1234)$, so L is degenerate. We show that in this case, L is determined by \mathcal{D} . Corollary 6.5 (and its proof) tells us that $V_1 = V_2 =: V$ where $\dim V$ is either 0 or 1. In the first case, L is obviously uniquely determined. If $\dim V = 1$ then $|\mathcal{C}_j| \geq 2$ for some $j \in \{1, 2, 3, 4\}$, otherwise L would contain no vertex of X , contradicting Proposition 6.6. Hence $\text{Aff}(\ell_j)$ is determined. We claim that $V_1 \not\subseteq \text{Aff}(\ell_j)$. Note that this would determine $v_1 = v_2 = \text{Aff}(\ell_j) \cap V_1$, and therefore also L . To prove the claim, note that if $V_1 \subseteq \text{Aff}(\ell_j)$, then $V_1 \in \mathcal{C}_j$. This is impossible, since any element of \mathcal{C}_j must be of dimension 2 (cf. Lemma 6.2). This concludes the proof of the proposition. \square

9. Tropical lines on higher degree tropical surfaces

In this section we present our main results about tropical lines on smooth tropical surfaces of degree greater than two. The proofs rest heavily on what we have done so far. The first is indeed a corollary of Proposition 8.3.

Corollary 9.1. *Let X be a smooth tropical surface where $\deg X \geq 3$. Then X contains at most finitely many isolated tropical lines. Furthermore, X contains at most finitely many maximal two-point families.*

Proof. The first statement is immediate from Proposition 8.3, since there are only finitely many possible sets of data $\mathcal{D}_X(L)$. For the last statement, observe that any maximal two-point family on X contains a non-degenerate tropical line (cf. Lemma 5.3). Going through the proof of Proposition 8.3, we see that if \mathcal{D} is the data set of a non-degenerate tropical line, then there can be at most one maximal two-point family containing tropical lines with data set \mathcal{D} . Hence there are at most finitely many maximal two-point families on X . \square

We show that two-point families exist on smooth tropical surfaces of any degree:

Theorem 9.2. *For any integer δ , there exists a full-dimensional cone in $\Phi(\Gamma_\delta)$ in which each point corresponds to a smooth tropical surface containing a two-point family of tropical lines. In particular, there exist smooth tropical surfaces of degree δ with infinitely many tropical lines.*

Proof. Let δ be an arbitrary, fixed integer. Consider the lattice tetrahedron $\Omega \subseteq \mathbb{R}^3$ defined by

$$(12) \quad \Omega_\delta := \text{conv}(\{(0, 0, 0), (0, 0, 1), (\delta-1, 1, 0), (1, 0, \delta-1)\}).$$

It is easy to see that Ω_δ has four exits in Γ_δ (see Figure 8).

Assume for the moment that there exists a smooth tropical surface X of degree δ such that Subdiv_X contains Ω_δ . Then Lemma 5.1 implies that the vertex $v := \Omega_\delta^\vee \in X$ is the center of a degenerate tropical line $L \subseteq X$. We claim that L belongs to a two-point family on X . Indeed, this also follows from Lemma 5.1: Let $C \subseteq X$ be the cell dual to the line segment in Subdiv_X with vertices $(0, 0, 0)$ and $(0, 0, 1)$. Then for any point $p(t) = v + t(-e_1 - e_2)$, where $t > 0$, the line segment with endpoints v and $p(t)$ is contained in C . Let L_t be the tropical line with vertices v and $p(t)$. Lemma 5.1 guarantees that the rays starting in $p(t)$ in the directions $-e_1$ and $-e_2$ are contained in C . Hence $L_t \subseteq X$. Clearly, the lines L_t form a two-point family on X , thus the claim is true. (See Figure 9.)

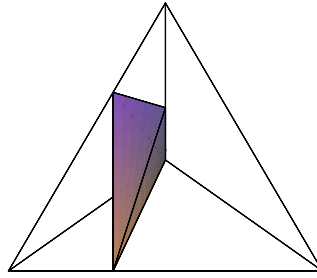


Figure 8. The tetrahedron Ω_3 has four exits in Γ_3 .

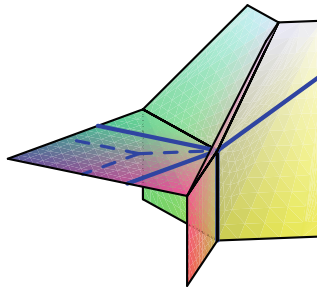


Figure 9. The two-point family on X corresponding to the tetrahedron Ω_3 in Figure 8.

It remains to prove the existence of a RE-triangulation of Γ_δ containing Ω_δ . Using the techniques in Section 4, it is not hard to construct such a triangulation explicitly. For example, consider the polytope

$$\Delta = \text{conv}(\{(0, 0, 0), (\delta, 0, 0), (\delta - 1, 1, 0), (0, 1, 0), (0, 1, \delta - 1), (0, 0, \delta)\}).$$

Then Δ is a truncated version of Γ_δ , so by Proposition 4.5 it is enough to construct a RE-triangulation of Δ which contains Ω_δ . Write $\Delta = \Omega_\delta \cup \Delta_1 \cup \Delta_2 \cup \Delta_3 \cup \Delta_4$, where

$$\begin{aligned} \Delta_1 &= \text{conv}(\{(0, 0, 0), (\delta, 0, 0), (\delta - 1, 1, 0), (1, 0, \delta - 1)\}), \\ \Delta_2 &= \text{conv}(\{(0, 0, 1), (\delta - 1, 1, 0), (1, 0, \delta - 1), (0, 0, \delta)\}), \\ \Delta_3 &= \text{conv}(\{(0, 0, 0), (\delta - 1, 1, 0), (0, 1, 0), (0, 0, \delta)\}), \\ \Delta_4 &= \text{conv}(\{(\delta - 1, 1, 0), (0, 1, 0), (0, 1, \delta - 1), (0, 0, \delta)\}). \end{aligned}$$

Repeated use of Lemma 4.1 gives a RE-triangulation of each of these (for Δ_1 and Δ_4 choose any RE-triangulation of the facets $\text{conv}(\{(0, 0, 0), (\delta, 0, 0),$

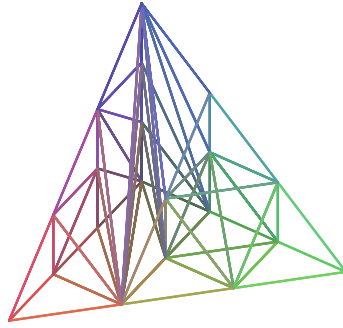


Figure 10. The RE-triangulation induced on Γ_3 by g_3 .

$(1, 0, \delta - 1)\}$) and $\text{conv}(\{(\delta - 1, 1, 0), (0, 1, 0), (0, 1, \delta - 1)\})$, respectively). Finally, it is easy to check that these triangulations patch together to a RE-triangulation of Δ , using Lemma 4.2. \square

Example 9.3. Define the tropical polynomial g_3 by

$$g_3(x, y, z) = \text{“} -22x^3 + 16x^2y - 10x^2z + 0xy^2 + 0xz^2 + 8xyz - 23y^3 - 12y^2z \\ - 5yz^2 + 0z^3 - 14x^2 + 14xy - 3xz - 6y^2 + 4yz + 0z^2 - 8x + 6y - z - 3\text{”}.$$

The subdivision $\text{Subdiv}(g_3)$, shown in Figure 10, is a RE-triangulation of Γ_3 containing the tetrahedron Ω_3 (as defined in (12)). Hence $V_{\text{tr}}(g_3)$ is a smooth tropical cubic surface with a two-point family of tropical lines, all of which have $\Omega_3^\vee = (1, -21, -2)$ as a vertex. The polynomial g_3 was constructed by first building the RE-triangulation (following the suggestions in the proof of Theorem 9.2, making appropriate choices where needed), and then calculating an interior point in the secondary cone of this subdivision. The latter part was done using the Maple package Convex ([1] and [6]).

Similarly, the tropical polynomial g_4 below gives a smooth tropical surface of degree four containing a two-point family of tropical lines:

$$g_4(x, y, z) = \text{“} -12x^4 + 72x^3y - x^3z - 4x^2y^2 + 41x^2yz + 7x^2z^2 - 91xy^3 \\ - 39xy^2z + 2xyz^2 + 12xz^3 - 189y^4 - 133y^3z - 85y^2z^2 - 45yz^3 - 6z^4 \\ - 5x^3 + 56x^2y + 5x^2z - 24xy^2 + 24xyz + 11xz^2 - 118y^3 - 63y^2z \\ - 19yz^2 - 3z^3 - x^2 + 32xy + 7xz - 55y^2 - 4yz - z^2 + 0x + 0y + 0z + 0\text{”}.$$

In light of the above theorem, one might ask whether there exist tropical surfaces of high degree containing an *isolated* degenerate tropical line L . If we add

the requirement that L is non-trespassing on X , we can give the following partial answer.

Proposition 9.4. *Let $\delta \in \mathbb{N}$. There exists a smooth tropical surface X of degree δ containing an isolated, non-trespassing, degenerate tropical line, if and only if δ is*

- an odd number greater than 3, or
- an even number except 2, 4, 6, 8, 14, 16, 18, 20, 26, 30, 56, 76, ...

Proof. We know that the vertex of such a line must be a vertex of X , corresponding to an elementary tetrahedron $\Omega \in \text{Subdiv}_X$ with four exits. Furthermore, no edge of Ω can have more than one exit. Indeed, an edge with exits ω_i and ω_j will be orthogonal to the vector $\omega_i + \omega_j$, implying (as in the proof of Theorem 9.2) that L belongs to a two-point family.

From the classification in (7) of tetrahedra with four exits in Γ_δ , we observe the following: A tetrahedron with four exits, in which no edge has more than one exit, must belong either exclusively to the subset \mathcal{G}_δ^5 , or exclusively to the subset \mathcal{G}_δ^6 . The result then follows from Proposition 5.4. As we remarked in the proof of part (d) of that proposition, we do not know how (or if) the list of even degree exceptions continues. \square

Both Theorem 9.2 and Proposition 9.4 show that there exist plenty of tropical surfaces of arbitrarily high degree containing tropical lines. It is natural to wonder whether there also exist smooth tropical surfaces containing *no* tropical lines, isolated or not. This is indeed true in all degrees greater than three, as we prove in [12]. In that paper we present a classification of tropical lines on general smooth tropical surfaces, and propose a method for counting the isolated tropical lines on such surfaces.

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