

# A CRITICAL TOPOLOGY IN HARMONIC ANALYSIS ON SEMIGROUPS

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## Introduction

Throughout this paper  $S$  shall denote a discrete Abelian semi-group with an irreducible unit, denoted  $0$ , and with a law of cancellation. Spelled out explicitly the two last conditions read:

$$x_1 + x_2 = 0 \Rightarrow x_1 = x_2 = 0, \quad (1)$$

$$x_1 + y = x_2 + y \Rightarrow x_1 = x_2, \quad (2)$$

for elements  $x_1, x_2, y$  belonging to  $S$ . A semigroup of this kind possesses a natural partial ordering where  $x_1 \leq x_2$  means that  $y \in S$  exists such that  $x_1 + y = x_2$ . Since  $y$  is unique the notation  $x_2 - x_1$  stands for an element in  $S$  well defined whenever  $x_1 \leq x_2$ .

On  $S$  we postulate the existence of a positive function  $\omega(x)$ , satisfying the following two conditions:

$$y \leq 2x \Rightarrow \omega(y) \leq 2\omega(x), \quad (3)$$

$$\sum e^{-\lambda_0 \omega(x)} \leq 1, \quad (4)$$

where  $\lambda_0$  is a positive constant. In (4) as in all series in the sequel the summation is extended over  $x \in S$  if no other indication is given. The two previous conditions imply that

$$N(x, S) \equiv \sum_{y \leq x} 1 \leq e^{2\lambda_0 \omega(x)}. \quad (5)$$

The counting function  $N(x, S)$  being finite expresses an intrinsic property of  $S$  not shared by all semigroups and particularly not by "half planes" of lattice points considered by Helson and Lowdenslager (cf. [3], [4]).

To  $S$  and  $\omega$  we associate a locally convex topological space of functions  $A = A(S, \omega)$  defined as follows:  $A$  contains all numeric functions  $f(x)$  on  $S$  such that for some  $\lambda > 0$ , varying with  $f$ ,

$$\|f\|_\lambda = \sup_{x \in S} |f(x)| e^{-\lambda \omega(x)} < \infty.$$

Bounded subsets of  $A$  are of the form

$$B_{\lambda, m} = \{f \mid \|f\|_\lambda \leq m\},$$

where  $\lambda$  and  $m$  are positive constants. A sequence  $\{f_n\}_1^\infty$  converges in  $A$  if it is contained in a bounded set and converges pointwise, or equivalently expressed, if for some fixed  $\lambda$ ,  $\{f_n\}$  is a Cauchy sequence in the  $\lambda$ -norm.

Each continuous linear functional on  $A$  has the form

$$(f, \varphi) = \sum f(x) \varphi(x),$$

where  $\varphi$  is a function on  $S$  such that for all  $\lambda > 0$ ,

$$\|\varphi\|'_\lambda = \sum |\varphi(x)| e^{\lambda \omega(x)} < \infty. \quad (6)$$

The topology of the dual space  $A'$  is determined by the family of norms defined by (6).

By  $\chi_{A'}$  we shall denote all characters on  $S$  belonging to  $A'$ , i.e. functions  $\xi(x)$  satisfying (6) and the equations

$$\xi(0) = 1, \quad \xi(x+y) = \xi(x) \cdot \xi(y), \quad x, y \in S.$$

The character which equals 1 at  $x=0$  and vanishes elsewhere on  $S$  does always belong to  $\chi_{A'}$  and shall be denoted  $e$ . The Laplace transform of an  $f \in A$  is defined by the relation

$$\hat{f}(\xi) = (f, \xi) = \sum f(x) \xi(x), \quad \xi \in \chi_{A'}.$$

The shift operators  $T_\tau$ ,  $\tau \in S$ , and their adjoints  $T_{-\tau}$  are defined as follows:

$$T_\tau f(x) = \begin{cases} f(x-\tau), & \tau \leq x \\ 0, & \tau \not\leq x \end{cases}$$

$$T_{-\tau} f(x) = f(x+\tau), \quad x, \tau \in S.$$

If  $\|f\|_\lambda$  is finite it follows by (3) that  $\|T_\tau f\|_{2\lambda} \leq \|f\|_\lambda$  for all  $\tau \in S$ , so the set of shift operators is uniformly bounded in  $A$ . We should also notice that the transform of  $T_\tau f$  equals  $\xi(\tau) \hat{f}(\xi)$ .

After these preliminaries our main problem can be stated. Let  $A_f$  denote the closed linear subset of  $A$  spanned by the set  $\{T_\tau f \mid \tau \in S\}$ . If  $f$  vanishes at a point

$\xi \in \mathcal{X}_A$ , then the same is true of the transform of each  $g \in A_f$ . Hence,  $A_f \neq A$  since  $e$  cannot belong to  $A_f$ , the transform  $\hat{e}$  being everywhere equal to 1. The condition  $f \neq 0$  is thus necessary for  $A_f$  being the whole of  $A$ . If this condition is also sufficient we shall say that *the closure theorem holds in  $A$* .

The first and most important result in this general field is Wiener's theorem on the translates in the space  $L_1(R)$  which subsequently gave rise to the theory of Banach algebras. Without being precise we recall that the closure theorem is known to be true in a variety of Banach spaces provided the topology forces  $f(x)$  to tend sufficiently fast to 0 at infinity. This study originates in the belief that the closure theorem would be true again in certain topological spaces on semigroups if the topology admits  $f(x)$  to increase sufficiently fast. This conjecture is supported by results in some specific cases. In a previous paper [2] the closure theorem was shown to be false in the Hilbert space  $A = L_2(S)$  on  $S = Z^+$  (the additive semigroup of integers  $\geq 0$ ) with norm

$$\|f\| = \{\sum |f(x)|^2\}^{1/2}.$$

However, the necessary and sufficient condition given in [2] and implying the property  $A_f = A$ , permit us to derive the following conclusion: If  $\omega(n) = n^\alpha$ ,  $0 < \alpha < 1$ , then the closure theorem in  $A(Z^+, \omega)$  is false if  $\alpha \leq 1/3$  and true if  $\alpha > 1/2$ .

In this paper we aim to show that the validity of the closure theorem in spaces  $A(S, \omega)$  depends on a certain critical rate of growth of  $\omega$ . To characterize that rate of growth shall be our main goal.

**A necessary condition**

**THEOREM I.** *If the closure theorem holds in  $A(S, \omega)$  then the series*

$$\sum_{n=1}^{\infty} \frac{\omega(nx)}{n^{3/2}} \tag{7}$$

*diverges for each  $x \in S$ ,  $x \neq 0$ .*

Under the assumption that (7) converges for an  $x_0 \neq 0$  we shall form a counter-example showing that  $f \neq 0$  does not imply  $A_f = A$ . Let  $f_0(z)$  be the analytic function

$$f_0(x) = \exp\left(-\frac{1+z}{1-z}\right) = \sum_0^{\infty} a_n z^n, \quad |z| < 1,$$

and define  $f(x)$  on  $S$  by the conditions

$$f(x) = \begin{cases} a_n, & x = nx_0 \\ 0, & x \notin S_{x_0}, \end{cases}$$

where  $S_{x_0}$  denotes the subsemigroup  $\{n x_0, n=0, 1, \dots\}$ . This  $f$  does certainly belong to  $A$  because  $f_0(z)$  is bounded in the unit disk, and consequently  $a_n$  is bounded. For each  $\xi \in \mathcal{X}_{A'}$ , we have  $\xi(x_0) = z$ ,  $|z| < 1$ . Hence  $\xi(n x_0) = z^n$  and

$$f(\xi) = \sum_0^{\infty} a_n z^n = f_0(z) \neq 0.$$

In order to prove that  $A_f \neq A$  it is now sufficient to exhibit an element  $\varphi \in A'$ ,  $\varphi \neq 0$ , such that for all  $\tau \in \mathcal{S}$

$$0 = (T_\tau f, \varphi) = \sum f(x) \varphi(x + \tau). \quad (8)$$

We choose  $\varphi$  vanishing outside  $S_{x_0}$  and equal to  $c_n$  at  $x = n x_0$ , where the  $c_n$  shall be determined later. The relation (8) is automatically satisfied for  $\tau \notin S_{x_0}$ , because each term in the series will vanish. If  $\varphi \in A'$ , then the series

$$\varphi_0(z) = \sum_0^{\infty} \frac{\varphi(y)}{z^y} \quad (9)$$

converges absolutely for  $|z| \geq 1$  and condition (6) takes the following form for

$$\tau = n x_0, \quad n \geq 0,$$

$$0 = \sum_{\nu=0}^{\infty} a_\nu c_{\nu+n} = \frac{1}{2\pi} \int_0^{2\pi} f_0(e^{i\theta}) \varphi_0(e^{i\theta}) e^{in\theta} d\theta, \quad (10)$$

where  $h_0(e^{i\theta}) = f_0(e^{i\theta}) \varphi_0(e^{i\theta})$  is a bounded function continuous for  $\theta \neq 0 \pmod{2\pi}$ . If therefore (8) is satisfied, then the Fourier coefficients of  $h_0(e^{i\theta})$  vanishes for negative indices and  $h_0(e^{i\theta})$  represents the boundary values of a function  $h_0(z)$  analytic in  $|z| < 1$ , bounded there, continuous for  $|z| = 1$ ,  $z \neq 1$ , and vanishing at  $z = 0$ . This implies that  $\varphi_0(z)$  can be continued analytically into the function  $h_0(z)/f_0(z)$  across each point  $\neq 1$  on  $|z| = 1$ . Hence,  $\varphi_0(z)$  is regular in the region  $z \neq 1$ . We now recall this classical theorem by Wigert: The series (9) represents a function  $\varphi_0(z)$  regular for  $z \neq 1$  and vanishing at  $z = 0$ , if and only if there exists an entire function  $\Phi(w)$  with the properties:

$$\Phi(\nu) = c_\nu, \quad \nu = 0, 1, \dots,$$

$$\log |\Phi(w)| = o(|w|), \quad w \rightarrow \infty. \quad (11)$$

Wigert's theorem gives us good guidance concerning the choice of the  $c_\nu$ , but our particular problem needs the following additional result. If (11) is strengthened to

$$\log |\Phi(w)| \leq |w|^{\frac{1}{2}} + \text{const} \quad (12)$$

and if 
$$\sum_0^\infty |\Phi(\nu)| < \infty \tag{13}$$

then  $\varphi_0(z)$  will satisfy the inequality

$$|\varphi_0(z)| \leq \text{const} \left| \exp \left( \frac{1}{8} \frac{1+z}{1-z} \right) \right|, \quad |z| < 1. \tag{14}$$

The proof is elementary. The transformation

$$\psi(s) = \int_0^\infty e^{-ws} \Phi(sw) ds$$

takes  $\Phi$  into an entire function  $\psi$  satisfying  $\log |\psi(s)| \leq |s|/4 + \text{const}$ . The inversion formula

$$\Phi(w) = \frac{1}{2\pi i} \int_{|s|=r} \psi(s) e^{ws} \frac{ds}{s}$$

holds for all finite  $w$  and for  $r > 0$ . If the integral representation of  $c_\nu = \Phi(\nu)$  is introduced in (9) and the order of integration and summation is reversed we obtain the formula

$$\varphi_0(z) = \frac{z}{2\pi i} \int_{|s|=r} \frac{\psi(s) ds}{(z - e^{1/s})s},$$

valid for  $z$  outside the contour described by  $e^{1/s}$ ,  $|s| = r$ . The estimate on  $\psi(s)$  together with an appropriate choice of  $r$  yield the inequality

$$|\varphi_0(z)| \leq \text{const} \frac{\exp \left( \frac{1}{4} \frac{1}{|z-1|} \right)}{|z-1|}. \tag{15}$$

By virtue of (13),  $\varphi_0(z)$  is uniformly bounded for  $|z| = 1$ ,  $z \neq 1$ , and (14) now follows from (15) by an application of the Phragmen-Lindelöf principle to the function

$$\varphi_0(z) \exp \left( -\frac{1}{8} \frac{1+z}{1-z} \right) \text{ in } |z| < 1.$$

In order to determine  $\Phi(w)$  we set  $k_n = \max (\omega(\nu x_0))$  for  $\nu \leq 2n$ . By (3) we have  $k_n \leq 2\omega(n x_0)$  so the series  $\sum n^{-3/2} k_n$  will converge. Since  $k_n$  is increasing with  $n$  there exists on  $(0, \infty)$  a monotonic increasing function  $\gamma(u)$  such that  $\gamma(n)/k_n$  tends to  $\infty$  with  $n$  while

$$\int_1^\infty \frac{\gamma(u)}{u^{3/2}} du = 2 \int_1^\infty \frac{\gamma(u^2)}{u^2} du < \infty. \tag{16}$$

We now recall another well-known property of entire functions. If  $\gamma(u)$  is in-

creasing and (16) satisfied, then there exists even entire functions  $F(w)$  with the properties:  $F(0) = 1$ ,

$$\log |F(w)| \leq |w| + \text{const}, \quad (17)$$

$$|F(u)| \leq \text{const } e^{-\nu(u)}, \quad u \text{ real}. \quad (18)$$

We choose such a function  $F(w)$  and define  $\Phi(w) = F(\sqrt{w})$ . Then  $\Phi(w)$  is entire and satisfies (12). Moreover,

$$|\Phi(\nu)| \leq \text{const } e^{-\nu(\nu)} \leq \text{const } e^{-\lambda_\nu \omega(\nu x_0)},$$

where  $\lambda_\nu$  tends to  $\infty$  as  $\nu \rightarrow \infty$ . The choice  $c_\nu = \Phi(\nu)$  therefore yields a function  $\varphi \in A'$  and the condition (10) is certainly satisfied since the product  $\varphi_0(z)f_0(z)$  is a bounded analytic function in  $|z| < 1$ , vanishing at  $z = 0$ . Hence,  $A_f \neq A$ .

### Multipliers

Assume  $f, g \in A$ ,  $\|f\|_\lambda < \infty$ ,  $\|g\|_{\lambda'} < \infty$ , then the pointwise product  $f \cdot g$  belongs to  $A$  and  $\|f \cdot g\|_{\lambda+\lambda'} \leq \|f\|_\lambda \|g\|_{\lambda'}$ . The convolution  $f * g$  is defined by the formula

$$f * g(x) = \sum_{x_1+x_2=x} f(x_1)g(x_2) = \sum_{y \leq x} f(y)g(x-y).$$

By virtue of (3),  $|f(y)g(x-y)| \leq \|f\|_\lambda \|g\|_{\lambda'} e^{2(\lambda+\lambda')\omega(x)}$ ,

which together with (5) implies

$$\|f * g\|_{\lambda''} \leq \|f\|_\lambda \|g\|_{\lambda'}$$

for  $\lambda'' \geq 2(\lambda + \lambda' + \lambda_0)$ . Both pointwise multiplication and convolution are therefore continuous mappings of  $A \times A$  into  $A$ .

Of particular interest is the properties of  $A$  considered as a convolution algebra. By  $J(f)$  we shall denote the ideal generated by  $f$ :

$$J(f) = \{f * g \mid g \in A\},$$

and by  $\bar{J}(f)$  the closure of  $J(f)$ . Since each linear combination of the elements  $T_\tau f$ ,  $\tau \in S$ , equals a convolution  $f * k$  where  $k$  has finite support, it follows that  $A_f = \bar{J}(f)$ . We now introduce the following notion: a function  $\varrho \in A$  shall be called a *converting multiplier* if for each  $f \in A$  with  $f \neq 0$  it holds that  $\varrho \cdot f_1 \in \bar{J}(f)$  whenever  $f_1 \in \bar{J}(f)$ .

The collection  $M$  of converting multipliers is obviously a closed linear subset of  $A$  with the property that  $\varrho_1, \varrho_2 \in M$  implies  $\varrho_1 \cdot \varrho_2 \in M$ . This notion is connected with our main problem as follows: *The closure theorem holds in  $A$  if and only if  $M = A$ .*

The proof is trivial. If the closure theorem holds, then for each  $f$  with  $f \neq 0$  and for each  $\rho \in A$  we have  $\rho \cdot f_1 \in A = \bar{J}(f)$  and the conclusion  $\rho \in M$  follows. If, on the other hand  $M = A$ , then  $M$  contains the unit  $e$  of the convolution algebra, and  $f \neq 0$  implies  $e \cdot f \in \bar{J}(f)$ . But  $e \cdot f = e \cdot f(0)$  and  $f(0) = f(e) \neq 0$ , so  $\bar{J}(f)$  contains  $e$  and is therefore equal to the whole of  $A$ .

The notion of converting multiplier is thus trivial whenever the closure theorem holds in the space. This is however not the case with a subset  $M_0$  of  $M$  defined as follows: *An element  $\rho \in A$  is a proper converting multiplier and shall belong to  $M_0$  if for each  $f \in A$  with  $f \neq 0$  and for each  $g \in A$  the relation  $\rho \cdot (f * g) = f * k$  is satisfied by some element  $k \in A$ .*

The closure  $\bar{M}_0$  of  $M_0$  is contained in  $M$ , and  $M_0$  contains finite products of its elements. The question whether always  $\bar{M}_0 = M$  has not been resolved in this paper.

The set  $M_0$  derives its importance from the fact that it contains subsets which can be derived by a simple algebraic method, as will be shown in the following section.

### Polynomials on $S$

By  $H = H(S)$  we shall denote the set of all additive (and finite) functions  $\theta(x)$  on  $S$ . Each mapping  $x \rightarrow \theta(x)$  is thus an homomorphism of  $S$  into an additive semigroup of complex numbers. A function  $p(x)$  shall be called a polynomial on  $S$  if it has a representation

$$p(x) = p(0) + \sum_n \prod_m \theta_{m,n}(x), \tag{19}$$

where the  $\theta_{m,n}$  belong to  $H$  and where series and products are finite. In (19),  $p(0)$  stands for the function equal to the constant  $p(0)$  everywhere on  $S$ .

We shall first derive some properties valid, irrespective of the topology, for all functions  $f(x)$  which are finite on  $S$ . Since the number  $N(x, S)$  of elements  $y \leq x$  is finite, it follows that the convolution  $f * g$  is always well defined. We shall write  $h^{*n}$  for the  $n$ -fold convolution of  $h$  with itself, defined as  $e$  for  $n = 0$ . The value of  $h^{*n}$  at a point  $x$  does not change if  $h$  is replaced by the function  $h_0(y)$  which equals  $h(y)$  for  $y \leq x$  and vanishes elsewhere on  $S$ . For  $h_0$  we have the familiar inequality

$$\sum |h_0^{*n}(y)| \leq \left\{ \sum |h_0(y)| \right\}^n.$$

Consequently  $|h^{*n}(x)| = |h_0^{*n}(x)| \leq \left\{ \sum_{y \leq x} |h(y)| \right\}^n.$

If therefore  $h(x)$  is finite on  $S$ , the series

$$f(x) = \sum_0^{\infty} \frac{h^{*n}(x)}{n!} \quad (20)$$

will always converge absolutely. We should also notice that if  $h(0) = 0$ , then for fixed  $x$ ,  $h^{*n}(x)$  will vanish for all  $n$  sufficiently large. This follows from the fact that the equation  $\sum_1^n x_n = x$ ,  $x_n \neq 0$ , has no solution if  $n > (N(x, S) - 1)^2$ .

In order to avoid any confusion we denote by  $F$  the set of all finite numeric functions on  $S$ , by  $E$  the set of functions representable by the series (20) with  $h \in F$ , and by  $P$  the set of polynomials on  $S$ . The following lemma will play an important role in this study:

LEMMA I. Assume  $p \in P$ ,  $f \in E$  and  $g \in F$ . Then the relation

$$p \cdot (f * g) = f * k \quad (21)$$

is always satisfied by some element  $k \in F$ .

If  $\theta \in H$ , then the value of  $\theta \cdot (g * h)$  at a point  $x$  can be written

$$\sum_{y \leq x} \{\theta(y)g(y)h(x-y) + g(y)\theta(x-y)h(x-y)\}.$$

Consequently 
$$\theta \cdot (g * h) = (\theta \cdot g) * h + g * (\theta \cdot h). \quad (22)$$

By iteration of this formula we obtain

$$\theta \cdot h^{*n} = n h^{*(n-1)} * (\theta \cdot h). \quad (23)$$

It therefore (20) is multiplied by  $\theta$  if follows that

$$\theta \cdot f = \sum_{n=0}^{\infty} \frac{n h^{*(n-1)}}{n!} * (\theta \cdot h) = f * (\theta \cdot h). \quad (24)$$

Another application of (22) yields the more general formula

$$\theta \cdot (f * g) = f * \{\theta \cdot g + g * (\theta \cdot h)\}. \quad (25)$$

For  $h$  and  $f$  fixed we denote by  $U_\theta$  the linear operator:  $g \rightarrow \theta \cdot g + g * (\theta \cdot h)$ . If  $\{\theta_r\}$  is a finite sequence  $\in H$ , then the relation

$$\prod_1^q \theta_r \cdot (f * g) = f * k \quad (26)$$

is satisfied by  $k = U_{\theta_1} U_{\theta_2} \dots U_{\theta_q} g \in F$ . Therefore (21) has a solution  $k = p(0)g + \sum k_n$ , where the  $k_n$  satisfy equations of the form (26).



We now return to the space  $A$  and denote by  $P_A$  the subset of polynomials generated by functions  $\theta \in H \cap A$ . An  $f \in A$  possessing a representation (20) with  $h \in A$  shall be called *exponential*. As a consequence of this definition we shall have

$$\hat{f}(\xi) = \sum_0^\infty \frac{\hat{h}(\xi)}{n!} = e^{\hat{h}(\xi)}, \quad \xi \in \chi_{A'},$$

so  $\hat{f} \neq 0$  is a prerequisite for  $f$  being exponential.

Since  $A$  is an algebra both under multiplication and convolution, the operators denoted  $U_\theta$  are bounded in  $A$  whenever  $h$  and  $\theta$  belong to  $A$ , and Lemma I thus asserts that  $p \cdot (f * g)$  belongs to  $J(f)$  if  $f$  is exponential and  $p \in P_A$ .

We can now summarize: If  $\hat{f} \neq 0$  implies that  $f$  is exponential then all polynomials  $\in P_A$  are proper converting multipliers and the closure theorem holds in  $A$  if  $e$  is contained in the closure of  $P_A$ . The original problem has herewith branched out into two separate questions.

### Exponential elements in $A$

Conclusive results on our main problem requires further information about  $S$  and  $\omega$ . This should be obvious already by the fact that the conditions introduced so far do not imply that  $\chi_A$  contains any other character than  $\xi = e$ . In order to remedy this situation we observe that the topology of  $A$  remains unchanged if  $\omega$  is replaced by a function  $\omega_1$  which is equivalent with  $\omega$  in the sense that

$$k^{-1} \leq \frac{\omega_1(x)}{\omega(x)} \leq k \tag{27}$$

for some constant  $k > 1$ . Of particular significance for our problem is the subset  $H^+$  of  $H$  consisting of real valued additive functions  $\vartheta(x)$  tending to  $+\infty$  as  $x \rightarrow \infty$  in  $S$ . Such a function is obviously strictly positive for  $x \neq 0$ . Our new condition reads:  $H^+$  contains an element  $\vartheta(x)$  such that  $\omega(x)$  is equivalent with a function of the form  $\psi(\vartheta(x))$ , where  $\psi(r)$  is positive and increasing for  $r \geq 0$  with growth limited by the inequalities

$$c_1 \log r \leq \psi(r) = o(r), \quad r \rightarrow \infty. \tag{28}$$

The first inequality implies  $\vartheta \in A$ , and the second together with (4) imply that the character  $e^{-s\vartheta(x)}$  belongs to  $\chi_A$ , if  $s$  is a complex number with positive real part.

LEMMA II. *Let  $S$  and  $\omega$  satisfy the previously stated conditions. Then each  $f \in A$  with non-vanishing transform is exponential.*

Let us first show that there exist constants  $k_1$  and  $k_2$  such that for  $x, y \in S$ .

$$\frac{\omega(y)}{\omega(x)} \leq k_1 \frac{\vartheta(y)}{\vartheta(x)} + k_2. \quad (29)$$

By virtue of (27) the inequality is satisfied for  $\vartheta(y) \leq \vartheta(x)$  if  $k_2 \geq k^2$ . If  $\vartheta(y) > \vartheta(x)$  we set  $\vartheta(y) = r$ ,  $\vartheta(x) = r_0$  and define  $n \geq 1$  so that  $2^{n-1} r_0 < r \leq 2^n r_0$ . Consequently

$$\frac{\omega(y)}{\omega(x)} \leq k^2 \frac{\psi(2^n r_0)}{\psi(r_0)}.$$

By (3) we have  $\omega(2^n x) \leq 2^n \omega(x)$ . Hence,  $\psi(2^n r_0)/\psi(r_0) \leq k^2 2^n$ , and (29) is satisfied if we choose  $k_1 \geq 2k^4$ .

Let  $G$  be the minimal extension of  $S$  to a group and let  $\hat{G}$  be the compact Abelian group which is the dual of  $G$ . By  $\beta = \beta(x)$  we denote characters on  $G$  of modulus 1, and by  $d\beta$  Haar's measure on  $\hat{G}$  normalized by the condition  $\int d\beta = 1$ . We shall use the notation

$$f_s(x) = e^{-s\vartheta(x)} f(x).$$

Each mapping  $f \rightarrow f_s$  takes  $A$  into the space  $L_1(S)$  with norm  $\|f\|_{L_1} = \sum |f(x)|$ . For the  $n$ -fold convolution of  $f_s$  we have

$$f_s^{*n}(x) = e^{-s\vartheta(x)} f^{*n}(x). \quad (30)$$

Without loss of generality we may assume  $f(0) = 1$  and write  $f = e + g$ , with  $g(0) = 0$ . Let  $\sigma_0$  be so large that  $\|g_s\|_{L_1} \leq \frac{1}{2}$  for  $s = \sigma + it$ ,  $\sigma \geq \sigma_0$ . Then

$$\hat{f}(e^{-s\vartheta} \beta) = 1 + \sum g_s(x) \beta(x) = 1 + \hat{g}_s(\beta),$$

where  $|g_s(\beta)| \leq \frac{1}{2}$  for each  $\beta$ . The logarithm of this function is now uniquely determined on  $\hat{G}$  by the formula

$$\log \hat{f}(e^{-s\vartheta} \beta) = \sum_1^\infty \frac{(-1)^{n+1}}{n} \hat{g}_s^n(\beta), \quad \sigma \geq \sigma_0, \quad (31)$$

where

$$\hat{g}_s^n(\beta) = \sum g_s^{*n}(x) \beta(x).$$

Since  $g(0) = 0$  we know that  $g^{*n}(x) = 0$  for  $x$  fixed if  $n$  is sufficiently large. A function  $h(x)$  is therefore well defined on  $S$  by the relation

$$\sum_1^\infty \frac{(-1)^{n+1}}{n} g_s^{*n}(x) = e^{-s\vartheta(x)} \sum_1^\infty \frac{(-1)^{n+1}}{n} g^{*n}(x) = e^{-s\vartheta(x)} h(x), \quad (32)$$

and  $h(0) = 0$ . The left-hand side of (31) is a continuous function on  $\hat{G}$ , depending on a parameter  $s$ , with an absolutely convergent Fourier series:

$$\log \hat{f}(e^{-s\theta} \beta) = \sum_{x \in G} e^{-s\theta(x)} h(x) \beta(x), \quad \sigma \geq \sigma_0, \tag{33}$$

where  $h$  is defined = 0 outside  $S$ . Hence

$$e^{-\sigma\theta(x)} h(x) = \int_{\hat{G}} \log \hat{f}(e^{-\sigma\theta} \beta) \bar{\beta}(x) d\beta, \quad \sigma \geq \sigma_0. \tag{34}$$

Since  $\hat{f} \neq 0$  in  $\chi_A$ , the logarithm has a unique analytic extension to the whole right half plane and the formula (34) holds there by analytic continuation. In particular, since  $h(0) = 0$ ,

$$0 = \int_{\hat{G}} \log \hat{f}(e^{-s\theta} \beta) d\beta. \tag{35}$$

Hence,  $e^{-\sigma\theta(x)} |h(x)| \leq 2 \max_{\beta} \log |\hat{f}(e^{-\sigma\theta} \beta)|, \quad \sigma > 0. \tag{36}$

Let  $\lambda$  be so large that  $\|f\|_{\lambda} \leq 1$ . Then

$$|\hat{f}(e^{-\sigma\theta} \beta)| \leq \sum e^{-\sigma\theta(x) + \lambda\omega(x)}.$$

On defining  $m(\varepsilon) = \sup_{y \in S} (-\varepsilon\theta(y) + \omega(y))$

we obtain by virtue of (4)

$$\log |\hat{f}(e^{-\sigma\theta} \beta)| \leq (\lambda + \lambda_0) m\left(\frac{\sigma}{\lambda + \lambda_0}\right).$$

Hence, for all  $\sigma > 0$ ,  $|h(x)| \leq 2(\lambda + \lambda_0) m\left(\frac{\sigma}{\lambda + \lambda_0}\right) e^{\sigma\theta(x)}.$

In this relation we choose  $\sigma = k_1(\lambda + \lambda_0)\omega(x)/\theta(x)$ , where  $k_1$  is the constant occurring in (29). We want to show that this choice yields

$$|h(x)| \leq c_1 e^{\lambda_1 \omega(x)}$$

with  $c_1$  independent of  $x$  and with  $\lambda_1 = k_1(\lambda + \lambda_0) + 1$ . We have thus to show that for  $x, y \in S$ .

$$2(\lambda + \lambda_0) \left\{ -k_1 \frac{\theta(y)\omega(x)}{\theta(x)} + \omega(y) \right\} \leq c_1 e^{\omega(x)},$$

or equivalently that

$$\frac{\omega(y)}{\omega(x)} \leq k_1 \frac{\vartheta(y)}{\vartheta(x)} + \frac{c_1}{2(\lambda + \lambda_0)} \cdot \frac{e^{\omega(x)}}{\omega(x)}$$

and this inequality is satisfied by virtue of (29) if  $c_1$  is chosen properly. We have thus shown that  $h \in A$ .

By the definition of  $h$  we have for  $\sigma \geq \sigma_0$ ,

$$\hat{f}(e^{-s\vartheta} \beta) = \exp \left\{ \sum_{x \in S} h_s(x) \beta(x) \right\}$$

implying

$$f_s(x) = \sum_0^{\infty} \frac{h_s^{*n}(x)}{n!}.$$

In view of (30) this yields the requested representation

$$f(x) = \sum_0^{\infty} \frac{h^{*n}(x)}{n!}.$$

It is well known from the elementary theory of Taylor series that the sole condition that  $\omega$  is monotonic increasing is not sufficient in order to imply  $f$  exponential if  $\hat{f} \neq 0$ . Some additional property is required preventing the rate of growth of  $\omega$  to change too erratically. We want to point out that the lemma remains true under the assumption that there exists a  $\vartheta \in H^+$  and a positive constant  $\varepsilon$  such that for  $x$  outside some finite set,

$$\vartheta^\varepsilon(x) < \omega(x) < \vartheta^{1-\varepsilon}(x).$$

### Polynomial approximation of $e$

**THEOREM II.** *Let  $S$  and  $\omega$  satisfy the previously introduced condition, and assume in addition that  $\psi(r)$  in (28) is a convex function of  $\log r$ . Then the following is true: The closure of the set of polynomials  $P_A$  contains the unit  $e$  and the closure theorem holds in  $A$  if and only if the divergence condition of Theorem I is satisfied.*

If  $\vartheta \in H^+$  then the mapping  $x \rightarrow \vartheta(x)$  takes  $S$  to a discrete set of numbers  $\geq 0$ , and  $\vartheta(x)$  has a positive minimum  $r_0$  for  $x \neq 0$ . In order to prove that  $e$  is contained in the closure of  $P_A$  it is thus sufficient to show the existence of a sequence of polynomials  $Q_n(t)$  assuming the value 1 at  $t=0$  and such that  $Q_n(t)e^{-\vartheta(t)}$  converges uniformly to 0 for  $t \geq r_0$ . Then  $p_n(x)$  defined as  $Q_n(\vartheta(x))$  will belong to  $P_A$  and converge to  $e$  in the space  $A$ . The existence of  $Q_n$  can be considered as a special case of Bernstein's classical approximation problem, formulated for the positive real axis

$R^+$ . Let  $C_0(R^+)$  denote the space of functions continuous for  $t \geq 0$  and vanishing at  $\infty$ , and let  $\psi(t)$  be a given function continuous on  $R^+$  and tending so fast to  $+\infty$  that

$$A_n = \sup_{t \geq 0} t^n e^{-\psi(t)} < \infty, \quad n = 0, 1, 2, \dots \tag{37}$$

The problem is to decide whether linear combinations of  $t^n e^{-\psi(t)}$ ,  $n = 0, 1, 2, \dots$ , are dense in  $C_0(R^+)$ . Bernstein's original results imply that approximation is possible if  $e^{\psi(t)}$  has a minorant for  $t \geq 0$  of the form

$$F(t) = \sum_0^\infty c_\nu t^\nu, \quad c_0 > 0, \quad c_\nu \geq 0,$$

and with the property 
$$\int_1^\infty \frac{\log F(t)}{t^{3/2}} dt = \infty. \tag{38}$$

This result applies immediately to the problem at hand. The divergence condition in Theorem I implies

$$\int_1^\infty \frac{\psi(t)}{t^{3/2}} dt = \infty, \tag{39}$$

where  $\psi$  is the function in condition (28). Moreover, if  $\psi(t)$  is a convex function of  $\log t$ , then (39) implies

$$\lim_{t \rightarrow \infty} \frac{\psi(t)}{\log t} = \infty \tag{40}$$

so (37) is satisfied. In order to show existence of minorants  $F(t)$  it suffices to choose

$$F(t) = \sum_0^\infty \frac{t^n}{2^n A_n},$$

where  $A_n$  is defined by (37). Due to the convexity of  $\psi$  there exists for each  $n \geq 0$  a number  $t_n$  such that  $e^{\psi(t_n)} = t_n^n / A_n$ . Hence,  $\log F(2t_n) > \psi(t_n)$  and a simple computation shows that (39) implies (38). A sequence  $Q_n(t)$  with the requested properties does therefore exist since any continuous function equal to 1 at  $t=0$  and vanishing for  $t \geq r_0$ , can be approached uniformly on  $R^+$  by functions  $Q_n(t) e^{-\psi(t)}$ . This finishes the proof of Theorem II since we already know that convergence in (7) implies that the closure theorem is false and consequently  $e$  not contained in the closure of  $P_A$ .

It should be pointed out that without the additional convexity condition the preceding analysis does not imply that the closure theorem is false in  $A$  if  $e \notin \bar{P}_A$ . This problem remains unsolved even in the case  $S = Z^+$ .

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