

# SYMPLECTIC BOUNDARY CONDITIONS AND COHOMOLOGY

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**ABSTRACT.** We introduce new boundary conditions for differential forms on symplectic manifolds with boundary. These boundary conditions, dependent on the symplectic structure, allows us to write down elliptic boundary value problems for both second-order and fourth-order symplectic Laplacians and establish Hodge theories for the cohomologies of primitive forms on manifolds with boundary. We further use these boundary conditions to define a relative version of the primitive cohomologies and to relate primitive cohomologies with Lefschetz maps on manifolds with boundary. As we show, these cohomologies of primitive forms can distinguish certain Kähler structures of Kähler manifolds with boundary.

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## 1. INTRODUCTION

In this paper, we initiate the search for global invariants of differential forms on symplectic manifolds with boundary. Manifolds with boundary are important in symplectic geometry as they are central for cobordism theory and have appeared in various contexts such as in the study of symplectic filling and symplectic field theory (see, for example, [6–8]). The consideration of differential forms on such spaces also has physical motivations and applications. For instance, they are involved in a system of differential equations with singular source charges of Type II string theory [18, 23]. Analyzing the solution space of such a physical system would involve solving for differential forms on symplectic manifolds with certain prescribed boundary conditions along the location of source charges.

We begin our study by analyzing cohomologies on symplectic manifolds with boundary. Of particular interest here are the primitive cohomologies introduced by Tseng-Yau [22]. These cohomologies are defined on the space of primitive differential forms. Roughly, primitive forms are those that are trivial under the interior product with the symplectic form. (For a precise definition, see Definition 2.1.) The primitive cohomologies depend on the symplectic form and have significant differences with other known cohomologies [19, 22]. Of note, the primitive cohomologies have associated elliptic Laplacians, which we shall simply refer to here as symplectic Laplacians.

One of the main goals of this paper is to define and analyze the unique harmonic representative for each class of the primitive cohomologies. That is, we are interested in the Hodge theory of the symplectic Laplacians on symplectic manifolds with boundary. As is well-known, conditions on differential forms (and sometimes also of the boundary) are necessary to establish the Hodge theory of elliptic operators on manifolds with boundary. For instance, in Riemannian geometry, the well-known Dirichlet ( $D$ ) and Neumann ( $N$ ) boundary conditions on differential forms are needed for the Hodge theory of the Laplace-de Rham operator [9, 12]. Similarly, in complex geometry, in order to establish the Hodge theory of the Dolbeault Laplacian, the  $\bar{\partial}$ -Neumann boundary condition is usually assumed on differential forms in addition to imposing the strongly pseudoconvex condition on the boundary [13]. In both cases, the boundary conditions on differential forms have garnered wide interests and applications. (For a general reference, see [15] for the Riemannian case and [13] for the complex case.) In Table 1, we summarize the well-known boundary conditions involved in the Hodge theory for these two cases.

Clearly, our first task is to identify the boundary conditions that are natural for differential forms on symplectic manifolds. Heuristically, boundary conditions that have good analytical properties are typically closely related to the natural differential operators on the manifold. Consider for example the boundary conditions in Table 1. The Dirichlet ( $D$ ) and the Neumann ( $N$ ) boundary conditions are defined using the exterior derivative operator  $d$  and its adjoint  $d^*$ , respectively, while the  $\bar{\partial}$ -Neumann boundary condition uses the Dolbeault operator  $\bar{\partial}$ . Therefore, we should ask what natural differential operators should we work with in the symplectic case?

TABLE 1. The standard boundary conditions on manifolds with boundary. The notation  $\sigma_{\mathcal{D}}$  denotes the principle symbol of the differential operator  $\mathcal{D}$ , and  $\rho$  is the boundary defining function.

	Riemannian $(M, g)$	Complex $(M, J, g)$
Cohomology	de Rham cohomology $H^*(M)$	Dolbeault cohomology $H^{p,q}(M)$
Laplacian	$\Delta_d = d d^* + d^* d$	$\Delta_{\bar{\partial}} = \bar{\partial} \bar{\partial}^* + \bar{\partial}^* \bar{\partial}$
Boundary Conditions	Dirichlet ( $D$ ): $\sigma_d(d\rho) \eta _{\partial M} = 0$ ; Neumann ( $N$ ): $\sigma_{d^*}(d\rho) \eta _{\partial M} = 0$ .	$\bar{\partial}$ -Neumann: $\sigma_{\bar{\partial}^*}(d\rho) \eta _{\partial M} = 0$ , $\partial M$ strongly pseudoconvex

TABLE 2. Symplectic boundary conditions  $\{D_+, N_+, D_-, N_-\}$  associated with  $(\partial_+, \partial_-)$ .

	$\partial_+$	$\partial_-$
Dirichlet-type	$(D_+) : \sigma_{\partial_+}(d\rho) \eta _{\partial M} = 0$	$(D_-) : \sigma_{\partial_-}(d\rho) \eta _{\partial M} = 0$
Neumann-type	$(N_+) : \sigma_{\partial_+^*}(d\rho) \eta _{\partial M} = 0$	$(N_-) : \sigma_{\partial_-^*}(d\rho) \eta _{\partial M} = 0$

For any symplectic manifold  $(M^{2n}, \omega)$ , it was observed by Tseng-Yau [22] that there are two, first-order, linear differential operators that appear in a symplectic decomposition of the standard exterior derivative operator:

$$d = \partial_+ + \omega \wedge \partial_- .$$

The pair  $(\partial_+, \partial_-)$  are dependent on the symplectic structure  $\omega$  and have good properties: (i)  $(\partial_+)^2 = (\partial_-)^2 = 0$ ; (ii)  $\omega \wedge \partial_+ \partial_- = -\omega \wedge \partial_- \partial_+$ ; (iii)  $[\omega, \partial_+] = [\omega, \omega \wedge \partial_-] = 0$ . In addition, Tseng-Yau [21, 22] also identified the second-order differential operator,  $\partial_+ \partial_-$ , as an important operator to study for symplectic manifolds.

With respect to this triplet of differential operators,  $(\partial_+, \partial_-, \partial_+ \partial_-)$ , we will introduce *symplectic boundary conditions* on forms that are analogous to the standard Dirichlet and Neumann boundary conditions of Riemannian geometry. In the case of the two first-order operators  $(\partial_+, \partial_-)$ , we can straightforwardly define four new boundary conditions which we denote by  $D_+, N_+$ , and  $D_-, N_-$ , as listed in Table 2. The case of the second-order operator  $\partial_+ \partial_-$  is much more subtle. Generally, boundary conditions associated with second-order operators are not well-understood or studied. We however are led to define two boundary conditions,  $D_{++}$  and  $N_{--}$ , associated with  $\partial_+ \partial_-$  given in Table 3.

The six symplectic boundary conditions  $\{D_+, N_+, D_-, N_-, D_{++}, N_{--}\}$  are in general weaker conditions than the standard Dirichlet and Neumann conditions. However, they should be thought of as the natural boundary conditions associated with  $(\partial_+, \partial_-, \partial_+ \partial_-)$ . For one, these symplectic conditions arise when considering the adjoint of the three operators and imposing that any boundary integral contributions vanish. Importantly, they are also preserved under the action of the corresponding

TABLE 3. Symplectic boundary conditions associated with  $\partial_+\partial_-$ . Notationally,  $\sigma_{\partial_+\partial_-}$  denotes the principal symbol of  $\partial_+\partial_-$ ,  $\rho$  is the boundary defining function, and  $\mathcal{L}_{\vec{n}}$  is the Lie derivative with respect to the inward normal vector  $\vec{n}$ .

Boundary Condition	Definition
$D_{++}$	$(D_{+-}) : \sigma_{\partial_+\partial_-}(d\rho)\eta _{\partial M} = 0$ $\{2\partial_+\partial_-(\rho\eta) - \frac{1}{2}\mathcal{L}_{\vec{n}}[\partial_+\partial_-(\rho^2\eta)]\} _{\partial M} = 0$
$N_{--}$	$(N_{+-}) : \sigma_{(\partial_+\partial_-)^*}(d\rho)\eta _{\partial M} = 0$ $\{2(\partial_+\partial_-)^*(\rho\eta) - \frac{1}{2}\mathcal{L}_{\vec{n}}[(\partial_+\partial_-)^*(\rho^2\eta)]\} _{\partial M} = 0$

differential operator:  $\partial_+$ ,  $\partial_-$ , or  $\partial_+\partial_-$ . For example, if a form  $\eta$  satisfies the  $D_+$  boundary condition, then  $\partial_+\eta$  will also satisfy the  $D_+$  condition. We will describe these and other useful properties of the symplectic boundary conditions in detail in Section 3.

The six symplectic boundary conditions in Tables 2 and 3 turn out to be useful in establishing Hodge decompositions of forms. With the appropriate pairing of symplectic boundary conditions and symplectic Laplacians, we write down in Section 4 systems of partial differential equations on forms that are elliptic. Having done so, we can then apply standard elliptic theory on manifolds with boundary for these types of systems of equations, standardly referred to as elliptic boundary value problems, to obtain Hodge-type decompositions of forms involving harmonic fields. Here, harmonic fields are forms that are, for example, in the  $\partial_+$  case, both  $\partial_+$ -closed and  $\partial_+^*$ -closed. (Note the distinction in the boundary case: a harmonic *form*, that is a zero of the Laplacian, is not necessarily a harmonic *field*.) We shall show that the space of these harmonic fields satisfying certain symplectic boundary conditions is finite-dimensional. Moreover, we will apply the obtained Hodge decompositions to prove the existence of solutions for several other types of boundary value problems.

Having studied the relevant partial differential equations and Hodge decompositions, we introduce and analyze both the absolute and relative primitive cohomology on symplectic manifolds with boundary in Section 5. We list their definitions in Table 4, where  $\Omega^k$  there denotes the space of differential  $k$ -forms and  $P^k$  the subspace of primitive  $k$ -forms. We will use the obtained Hodge decompositions to demonstrate that each class of the primitive cohomologies in Table 4 has a unique harmonic field, that satisfies certain symplectic boundary condition, as its representative. Such harmonic fields may then be used to demonstrate a natural pairing isomorphism between the absolute primitive cohomology and the relative primitive cohomology.

Additionally, with the six symplectic boundary conditions, we can study Lefschetz maps on manifolds with boundary and establish relations between relative de Rham cohomology and relative primitive cohomology. As is well-known, on

TABLE 4. Absolute and relative cohomologies on manifolds with boundary.

	Absolute Cohomology	Relative Cohomology
De Rham	$H^k(M) = \frac{\ker d \cap \Omega^k(M)}{d\Omega^{k-1}(M)}$	$H^k(M, \partial M) = \frac{\ker d \cap \Omega_D^k(M)}{d\Omega_D^{k-1}(M)}$
Primitive	$PH_+^k(M) = \frac{\ker \partial_+ \cap P^k(M)}{\partial_+ P^{k-1}(M)}$	$PH_+^k(M, \partial M) = \frac{\ker \partial_+ \cap P_{D_+}^k(M)}{\partial_+ P_{D_+}^{k-1}(M)}$
	$PH_+^n(M) = \frac{\ker \partial_+ \partial_- \cap P^n(M)}{\partial_+ P^{n-1}(M)}$	$PH_+^n(M, \partial M) = \frac{\ker \partial_+ \partial_- \cap P_{D_{++}}^n(M)}{\partial_+ P_{D_{++}}^{n-1}(M)}$
	$PH_-^k(M) = \frac{\ker \partial_- \cap P^k(M)}{\partial_- P^{k+1}(M)}$	$PH_-^k(M, \partial M) = \frac{\ker \partial_- \cap P_{D_-}^k(M)}{\partial_- P_{D_-}^{k+1}(M)}$
	$PH_-^n(M) = \frac{\ker \partial_- \cap P^n(M)}{\partial_+ \partial_- P^n(M)}$	$PH_-^n(M, \partial M) = \frac{\ker \partial_- \cap P_{D_-}^n(M)}{\partial_+ \partial_- P_{D_{++}}^n(M)}$

TABLE 5. Relations of primitive cohomology with Lefschetz map.

Cohomology	$k \leq n$
Absolute Primitive	$PH_+^k(M) \cong \text{coker}[L: H^{k-2}(M) \rightarrow H^k(M)]$ $\oplus \ker[L: H^{k-1}(M) \rightarrow H^{k+1}(M)]$
	$PH_-^k(M) \cong \text{coker}[L: H^{2n-k-1}(M) \rightarrow H^{2n-k+1}(M)]$ $\oplus \ker[L: H^{2n-k}(M) \rightarrow H^{2n-k+2}(M)]$
Relative Primitive	$PH_+^k(M, \partial M) \cong \text{coker}[L: H^{k-2}(M, \partial M) \rightarrow H^k(M, \partial M)]$ $\oplus \ker[L: H^{k-1}(M, \partial M) \rightarrow H^{k+1}(M, \partial M)]$
	$PH_-^k(M, \partial M) \cong \text{coker}[L: H^{2n-k-1}(M, \partial M) \rightarrow H^{2n-k+1}(M, \partial M)]$ $\oplus \ker[L: H^{2n-k}(M, \partial M) \rightarrow H^{2n-k+2}(M, \partial M)]$

closed Kähler manifolds, Lefschetz maps of the form

$$\begin{aligned} L: H^k(M) &\rightarrow H^{k+2}(M) \\ [\eta] &\rightarrow [\omega \wedge \eta], \end{aligned}$$

can be easily understood by the Hard Lefschetz Theorem. In [19], Tsai-Tseng-Yau studied Lefschetz maps for general, non-Kähler symplectic manifolds and showed that the kernels and cokernels of these Lefschetz maps can be characterized by the primitive cohomologies. Here, we find similar results for cohomologies defined on symplectic manifolds with boundary and further extend their results to the relative cohomology case. We summarize our Lefschetz maps results in Table 5.

To further demonstrate some of their uses, we explicitly calculate the primitive cohomologies for some examples of Kähler manifolds with boundary. These examples show clearly that primitive cohomologies are very different from the standard de Rham cohomologies on manifolds with boundary. Interestingly, we find

that even on a simple Kähler manifold that is the product of a three-ball times a three-torus,  $B^3 \times T^3$ , two different Kähler structures can lead to different primitive cohomologies. In Section 7, we conclude with a discussion connecting our relative primitive cohomology with the differential topological notion of a relative cohomology. This allows us to propose a relative primitive cohomology with respect to any submanifold, including lagrangians, embedded within a symplectic manifold.

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## 2. PRELIMINARIES

In this section, we will gather some basic definitions and properties of differential forms and operators in symplectic geometry. Further background details and proofs of the lemmas and propositions stated here without elaboration can be found in [21, 22].

**2.1. Primitive structures on symplectic manifolds.** Given a symplectic manifold  $(M^{2n}, \omega)$ , let  $\Omega^k$  denote the space of smooth  $k$ -forms on  $M$ . In local coordinates, we write the symplectic form as  $\omega = \frac{1}{2} \sum \omega_{ij} dx^i \wedge dx^j$ . The Lefschetz operator  $L$  and its dual operator  $\Lambda$  acting on a differential  $k$ -form  $\eta \in \Omega^k$  are then defined by

$$\begin{aligned} L : \Omega^k &\rightarrow \Omega^{k+2}, & L(\eta) &= \omega \wedge \eta, \\ \Lambda : \Omega^k &\rightarrow \Omega^{k-2}, & \Lambda(\eta) &= \frac{1}{2} (\omega^{-1})^{ij} \iota_{\frac{\partial}{\partial x^i}} \iota_{\frac{\partial}{\partial x^j}} \eta, \end{aligned}$$

where  $\iota$  denotes the interior product, and  $\omega^{-1}$  is the inverse matrix of  $\omega$ . Define also the degree counting operator

$$(2.1) \quad H = \sum_k (n-k) \prod^k$$

where  $\prod^k : \Omega^* \rightarrow \Omega^k$  is the projection operator onto forms of degree  $k$ . The three operators  $(L, \Lambda, H)$  together provide a representation of  $sl(2)$  algebra acting on  $\Omega^*$ :

$$[\Lambda, L] = H, \quad [H, \Lambda] = 2\Lambda, \quad [H, L] = -2L.$$

This  $sl(2)$  representation leads to a Lefschetz decomposition of forms in terms of irreducible finite-dimensional  $sl(2)$  modules. The highest weight states of these irreducible  $sl(2)$  modules are the primitive forms, whose space we denote by  $P^*$ .

**Definition 2.1.** A  $k$ -form  $\beta$  is called primitive (i.e.  $\beta \in P^k$ ) if  $\Lambda\beta = 0$ . This is equivalent to the condition  $L^{n-k+1}\beta = 0$ .

As implied by the definition, the degree of the primitive form is constrained to be  $k \leq n$ . Note also that  $P^k = \Omega^k$  when  $k = 0, 1$ . In terms of primitive forms, the Lefschetz decomposition of a form  $\eta \in \Omega^k$  can be expressed as

$$\eta = \sum_{r \geq \max(k-n, 0)} \omega^r \wedge \beta_{k-2r}.$$

Here, each  $\beta_{k-2r} \in P^{k-2r}$  is uniquely determined by  $\eta$ . We see that each term of this decomposition can be labeled by a pair  $(r, s)$  corresponding to the space

$$\mathcal{L}^{r,s} = \{ \eta \in \Omega^{2r+s} \mid \eta = \omega^r \wedge \beta_s \text{ with } \beta_s \in P^s \}.$$

where  $0 \leq s \leq (n - r)$ . Two other maps will also be used in this paper:

$$(2.2) \quad \Pi : \Omega^k \rightarrow P^k, \quad \text{the projection map for } k \leq n; \text{ and}$$

$$(2.3) \quad *_r : \mathcal{L}^{r,s} \rightarrow \mathcal{L}^{n-r-s,s}, \quad \omega^r \wedge \beta_s \rightarrow \omega^{n-r-s} \wedge \beta_s.$$

The first map is always surjective and the second one is always bijective. The triple  $\{L, \Pi, *_r\}$  played an essential role in [19] for building a long exact sequence relating primitive cohomologies with Lefschetz maps.

**2.2. Differential operators  $\partial_+$ ,  $\partial_-$ , and  $d^\wedge$ .** We consider the action of the exterior derivative operator  $d$  on  $\mathcal{L}^{r,s}$  [22].

**Proposition 2.2.**  $d$  acting on  $\mathcal{L}^{r,s}$  leads to at most two terms:

$$d : \mathcal{L}^{r,s} \rightarrow \mathcal{L}^{r,s+1} \oplus \mathcal{L}^{r+1,s-1}$$

with

$$d(\omega^r \wedge \beta_s) = \omega^r \wedge (d\beta_s) = \omega^r \wedge \beta_{s+1} + \omega^{r+1} \wedge \beta_{s-1}.$$

This result is a consequence of the closedness of the symplectic form  $\omega$  and the following formulas:

- If  $s < n$ ,  $d\beta_s = \beta_{s+1} + \omega \wedge \beta_{s-1}$ ;
- If  $s = n$ ,  $d\beta_n = \omega \wedge \beta_{n-1}$ .

By this proposition, Tseng-Yau [22] defined the decomposition of  $d$  into two linear differential operators  $(\partial_+, \partial_-)$ .

**Definition 2.3.** On a symplectic manifold  $(M, \omega^{2n})$ , we define the first order differential operators  $\partial_+, \partial_-$  by the property:

$$\begin{aligned} \partial_+ : \mathcal{L}^{r,s} &\rightarrow \mathcal{L}^{r,s+1}, & \partial_+(\omega^r \wedge \beta_s) &= \omega^r \wedge \beta_{s+1}, \\ \partial_- : \mathcal{L}^{r,s} &\rightarrow \mathcal{L}^{r,s-1}, & \partial_-(\omega^r \wedge \beta_s) &= \omega^r \wedge \beta_{s-1}, \end{aligned}$$

such that

$$d = \partial_+ + \omega \wedge \partial_-.$$

Here,  $\beta_s, \beta_{s+1}, \beta_{s-1} \in P^*$  and  $d\beta_s = \beta_{s+1} + \omega \wedge \beta_{s-1}$ .

When acting on primitive forms,  $\partial_+$  and  $\partial_-$  can be equivalently written as follows:

**Lemma 2.4.** *Acting on primitive differential forms, the operators  $(\partial_+, \partial_-)$  have the following expressions:*

$$\begin{aligned}\partial_+ &= d - LH^{-1}\Lambda d, \\ \partial_- &= H^{-1}\Lambda d.\end{aligned}$$

In fact, on  $P^*$ ,

$$(2.4) \quad \partial_+ = \Pi d.$$

Moreover, the  $\partial_+$  and  $\partial_-$  operators have the following properties on general forms:

**Proposition 2.5.** *On  $(M^{2n}, \omega)$ , the symplectic differential operators  $(\partial_+, \partial_-)$  satisfy:*

- $\partial_+^2 = \partial_-^2 = 0$ ;
- $L\partial_+\partial_- = -L\partial_-\partial_+$ ;
- $[L, \partial_+] = [L, \partial_-] = 0$ .

Besides  $d, \partial_+$ , and  $\partial_-$ , there is one another first-order differential operator,  $d^\Lambda : \Omega^k \rightarrow \Omega^{k-1}$ , that will be of interest in this paper. It can be written as

$$(2.5) \quad d^\Lambda = d\Lambda - \Lambda d.$$

and is sometimes called the symplectic adjoint operator since it lowers the degree of a form. Let us point out that in terms of  $d$  and  $d^\Lambda$ , the pair  $(\partial_+, \partial_-)$  can be expressed as follows.

**Lemma 2.6.** *On a symplectic manifold  $(M, \omega)$ ,  $\partial_+$  and  $\partial_-$  can be expressed as*

$$\begin{aligned}\partial_+ &= \frac{1}{H + 2R + 1} \left[ (H + R + 1)d + Ld^\Lambda \right], \\ \partial_- &= \frac{1}{(H + 2R + 1)(H + R)} \left[ \Lambda d - (H + R)d^\Lambda \right].\end{aligned}$$

where the operator  $R : \mathcal{L}^{r,s} \rightarrow \mathcal{L}^{r,s}$  is the multiplication

$$R(L^r\beta_s) = r(L^r\beta_s)$$

In particular, acting on primitive ( $r = 0$ ) forms,  $P^*$ , the expression for  $\partial_-$  reduces to

$$(2.6) \quad \partial_- = -\frac{1}{H} d^\Lambda = \frac{1}{H} \Lambda d$$

which agrees with Lemma 2.4.

**2.3. Conjugate relations.** Let  $(\omega, J, g)$  be a compatible triple on the symplectic manifold  $(M^{2n}, \omega)$  with  $J$  being an almost complex structure and  $g$  a Riemannian metric on  $M$ . With respect to the almost complex structure  $J$ , there is the standard  $(p, q)$  decomposition  $\Omega^k = \bigoplus_{p+q=k} \Omega^{p,q}$ . Let us define the operator

$$(2.7) \quad \mathcal{J} = \sum_{p,q} (\sqrt{-1})^{p-q} \prod^{p,q},$$



where  $\prod^{p,q}$  denotes the projection of a  $k$ -form onto its  $(p, q)$  component. Notice that  $\mathcal{J}^2 = (-1)^k$  acting on  $k$ -forms and also that  $\mathcal{J}$  commutes with both  $L$  and  $\Lambda$  since the symplectic form  $\omega$  is a  $(1, 1)$ -form with respect to the almost complex structure  $J$ . Moreover, the operator  $\mathcal{J}$  defines the following conjugate relations ([21, 22]) between differential operators:

**Lemma 2.7.** *For a compatible triple  $(\omega, J, g)$  on a symplectic manifold, let  $d^*$ ,  $d^{\Lambda^*}$ ,  $\partial_+^*$  and  $\partial_-^*$  be the adjoint operators of the corresponding differential operators, respectively. Then there are the following conjugate relations:*

- $d^\Lambda = \mathcal{J}^{-1}d^*\mathcal{J}$  and  $d^{\Lambda^*} = \mathcal{J}^{-1}d\mathcal{J}$ ;
- $\mathcal{J}\partial_+\mathcal{J}^{-1} = \partial_-^*(H + R)$  and  $\mathcal{J}\partial_+\mathcal{J}^{-1} = (H + R)\partial_-$ .

This lemma, together with Lemma 2.6, implies the following expressions for  $(\partial_+^*, \partial_-^*)$ .

**Lemma 2.8.** *On a symplectic manifold  $(M^{2n}, \omega)$  with a compatible Riemannian metric  $g$ , the adjoints  $(\partial_+^*, \partial_-^*)$  have the form*

$$\begin{aligned}\partial_+^* &= [d^*(H + R + 1) + d^{\Lambda^*}\Lambda](H + 2R + 1)^{-1}, \\ \partial_-^* &= [d^*(H + R + 1)^{-1}L - d^{\Lambda^*}](H + 2R + 1)^{-1}.\end{aligned}$$

**Corollary 2.9.** *On  $P^k$ , the adjoints  $(\partial_+^*, \partial_-^*)$  have the form*

$$\begin{aligned}\partial_+^* &= d^*, \\ \partial_-^* &= [d^*, LH^{-1}] = (n - k)^{-1}d^*L - (n - k + 1)^{-1}Ld^*.\end{aligned}$$

**2.4. Symplectic elliptic complex and Laplacians.** For symplectic manifolds, there is an elliptic complex on the space of primitive forms  $P^*$  [22] (see also [4, 5, 16]):

$$\begin{array}{ccccccccccc} 0 & \longrightarrow & P^0 & \xrightarrow{\partial_+} & P^1 & \xrightarrow{\partial_+} & \dots & \xrightarrow{\partial_+} & P^{n-1} & \xrightarrow{\partial_+} & P^n \\ & & & & & & & & & & \downarrow \partial_+\partial_- \\ 0 & \xleftarrow{\partial_-} & P^1 & \xleftarrow{\partial_-} & P^2 & \xleftarrow{\partial_-} & \dots & \xleftarrow{\partial_-} & P^{n-1} & \xleftarrow{\partial_-} & P^n \end{array}$$

Of note is the presence of the second-order differential operator  $\partial_+\partial_-$  that acts on the middle degree primitive space,  $P^n$ , in the middle of the complex. We define the following symplectic Laplacians as associated with this elliptic complex:

$$(2.8) \quad \Delta_+ = \partial_+\partial_+^* + \partial_+^*\partial_+, \text{ on } P^k, \text{ for } k < n,$$

$$(2.9) \quad \Delta_- = \partial_-\partial_-^* + \partial_-^*\partial_-, \text{ on } P^k, \text{ for } k < n,$$

$$(2.10) \quad \Delta_{++} = (\partial_+\partial_-)^*(\partial_+\partial_-) + (\partial_+\partial_+^*)^2, \text{ on } P^n,$$

$$(2.11) \quad \Delta_{--} = (\partial_+\partial_-)(\partial_+\partial_-)^* + (\partial_-^*\partial_-)^2, \text{ on } P^n.$$

The ellipticity of these operators can be argued from that of the complex. (The presence of the second-order differential  $\partial_+\partial_-$  requires a slightly more subtle argument for  $\Delta_{++}$  and  $\Delta_{--}$ .) It is also possible to explicitly calculate the principal symbol of each of these symplectic Laplacian operators and show that they are positive.

## 3. SYMPLECTIC BOUNDARY CONDITIONS

In this section, we present several intrinsically symplectic boundary conditions for differential forms on compact symplectic manifolds with smooth boundary. We will briefly review first the standard Dirichlet and Neumann boundary conditions for differential forms on Riemannian manifolds. Again, let  $(M^{2n}, \omega)$  be a symplectic manifold with boundary  $\partial M$  and  $(\omega, J, g)$  a compatible triple on it. We will denote throughout any local boundary defining function by  $\rho$  (i.e.  $\rho = 0$  on  $\partial M$ ), the associated induced cotangent 1-form by  $d\rho$ , and the inward dual normal vector field on the boundary by  $\vec{n}$  which satisfies  $d\rho = g(\vec{n}, \cdot)$  on  $\partial M$ . Furthermore, for any differential operator  $\mathcal{D}$ , we shall use the notation  $\sigma_{\mathcal{D}}$  to denote its principal symbol.

**3.1. Dirichlet, Neumann and  $\mathcal{J}$ -conjugate boundary conditions on forms.** We first recall the standard Dirichlet and Neumann boundary conditions:

**Definition 3.1.** *We say a differential  $k$ -form  $\eta$  satisfies*

- *the Dirichlet ( $D$ ) boundary condition, i.e.  $\eta \in D$ , if  $\sigma_d(d\rho)\eta|_{\partial M} = 0$ ;*
- *the Neumann ( $N$ ) boundary condition, i.e.  $\eta \in N$ , if  $\sigma_{d^*}(d\rho)\eta|_{\partial M} = 0$ .*

We note that the Dirichlet condition for forms is equivalent to the condition that  $d\rho \wedge \eta = 0$  on  $\partial M$ ; that is, a form without a component in the normal direction would need to vanish on the boundary. (In the special case where  $\eta$  is a function, i.e. a 0-form, the above Dirichlet condition is equivalent to  $\eta$  vanishing identically on the boundary.) In contrast, the Neumann condition corresponds to  $\iota_{\vec{n}}\eta = 0$  on  $\partial M$ ; that is, any form with a component in the normal direction must vanish on the boundary. Here again,  $\vec{n}$  is the inward normal along the boundary, and  $\iota_{\vec{n}}\eta$  is the interior product by  $\vec{n}$  on the form  $\eta$ .

For calculations, it is often convenient to express the boundary conditions in terms of differential operators, without any principal symbols as follows.

**Remark 3.2.** *(See, for example [17]) For any first-order differential operator  $P$  and boundary defining function  $\rho$ ,*

$$(3.1) \quad \sigma_P(d\rho)\eta|_{\partial M} = P(\rho\eta)|_{\partial M}.$$

*For instance, for the standard Dirichlet boundary condition,  $\sigma_d(d\rho)\eta|_{\partial M} = 0$  is equivalent to the condition  $d(\rho\eta)|_{\partial M} = 0$ .*

It is also useful to point out that both the Dirichlet and Neumann boundary conditions arise naturally when integrating by parts the exterior derivative operator,  $d$ . These boundary conditions can be inferred from the Green's formula which we recall here [17].

**Lemma 3.3** (Green's formula for first-order differential operators). *If  $M$  is a smooth, compact manifold with boundary and  $P$  is a first-order differential operator acting on sections of the vector bundle, then*

$$(3.2) \quad (P\phi, \psi) - (\phi, P^*\psi) = \int_{\partial M} \langle \sigma_P(d\rho)\phi, \psi \rangle dS$$

*with  $P^*$  the adjoint operator of  $P$  and  $\langle \cdot, \cdot \rangle$  denoting a metric on the vector bundle and  $dS$  the volume form on the boundary.*

In particular, for the exterior derivative operator,  $d$ , the lemma implies for any  $\eta, \xi \in \Omega^*$  that

$$(d\eta, \xi) - (\eta, d^*\xi) = \int_{\partial M} \langle \sigma_d(d\rho)\eta, \xi \rangle dS = - \int_{\partial M} \langle \eta, \sigma_{d^*}(d\rho)\xi \rangle dS .$$

Another noteworthy property of the Dirichlet and Neumann condition is the following lemma (see for example, [10]).

**Lemma 3.4.** *The Dirichlet boundary condition is preserved by  $d$  and the Neumann boundary condition is preserved by  $d^*$ . That is, for any  $\eta \in \Omega^k$ , we have*

$$\begin{aligned} \eta \in D &\implies d\eta \in D, \\ \eta \in N &\implies d^*\eta \in N. \end{aligned}$$

Besides the Dirichlet and Neumann boundary conditions, let us introduce here two other related boundary conditions which will be useful later on. Using the conjugate relations in Lemma 2.7, we define the following:

**Definition 3.5.** *We say a differential form  $\eta$  satisfies*

- *the  $J$ -Dirichlet ( $JD$ ) boundary condition, i.e.  $\eta \in JD$ , if  $\sigma_{d^{\Lambda^*}}(d\rho)\eta|_{\partial M} = 0$ ;*
- *the  $J$ -Neumann ( $JN$ ) boundary condition, i.e.  $\eta \in JN$ , if  $\sigma_{d^\Lambda}(d\rho)\eta|_{\partial M} = 0$ .*

The relation between ( $JD$ ,  $JN$ ) and ( $D$ ,  $N$ ) boundary conditions are as follows:

**Lemma 3.6.** *With respect to a compatible triple  $(\omega, J, g)$  on a symplectic manifold  $M^{2n}$ , any  $\eta \in \Omega^k$  satisfies the following:*

$$\begin{aligned} \eta \in JD &\iff \mathcal{J}\eta \in D, \\ \eta \in JN &\iff \mathcal{J}\eta \in N. \end{aligned}$$

*Proof.* Using the relations  $d^{\Lambda^*} = \mathcal{J}^{-1}d\mathcal{J}$  and  $d^\Lambda = \mathcal{J}^{-1}d^*\mathcal{J}$  in Lemma 2.7 and expressing the boundary conditions in terms of differential operators as in (3.1), we have

$$\begin{aligned} \eta \in JD &\iff d^{\Lambda^*}(\rho\eta)|_{\partial M} = 0 \iff \mathcal{J}^{-1}d\mathcal{J}(\rho\eta)|_{\partial M} = 0 \iff d(\rho\mathcal{J}\eta)|_{\partial M} = 0 \iff \mathcal{J}\eta \in D, \\ \eta \in JN &\iff d^\Lambda(\rho\eta)|_{\partial M} = 0 \iff \mathcal{J}^{-1}d^*\mathcal{J}(\rho\eta)|_{\partial M} = 0 \iff d^*(\rho\mathcal{J}\eta)|_{\partial M} = 0 \iff \mathcal{J}\eta \in N. \end{aligned}$$

□

Applying Lemma 3.4, we also obtain the following:

**Corollary 3.7.** *The  $JD$  boundary condition is preserved by  $d^{\Lambda^*}$  and the  $JN$  boundary condition is preserved by  $d^\Lambda$ . That is, for any  $\eta \in \Omega^k$ ,*

$$\begin{aligned} \eta \in JD &\implies d^{\Lambda^*}\eta \in JD, \\ \eta \in JN &\implies d^\Lambda\eta \in JN. \end{aligned}$$

*Proof.* Since  $\eta \in JD$  is equivalent to  $\mathcal{J}\eta \in D$ , it follows that  $d\mathcal{J}\eta \in D$ . Therefore,  $\mathcal{J}^{-1}d\mathcal{J}\eta \in JD$ , that is,  $d^{\Lambda^*}\eta \in JD$ . By similar arguments,  $d^\Lambda$  preserves the  $JN$  boundary condition. □

We can give an interpretation for the  $JD$  and  $JN$  boundary conditions as follows. As mentioned, the  $D$  and  $N$  boundary conditions are defined with respect to the outward normal vector field  $\vec{n}$  along the boundary. For  $JD$  and  $JN$  boundary conditions, they are instead defined with respect to the  $J\vec{n}$  vector field. More specifically, around a point  $x \in \partial M$ , we can choose a local Darboux basis of one-forms,  $\{w_j\}$ , such that  $w_1 = d\rho$  and  $\omega = \sum_i w_{2i-1} \wedge w_{2i}$ . Let us further choose an almost complex structure  $J$  such that  $\mathcal{J}w_{2i-1} = -w_{2i}$  and  $\mathcal{J}w_{2i} = w_{2i-1}$  for  $i = 1, 2, \dots, n$ . We denote the dual basis of tangent vectors by  $\{e_j\}$ . The boundary conditions then correspond to the following:

$$\begin{aligned} \eta \in JD &\implies w_2 \wedge \eta|_{\partial M} = 0, \\ \eta \in JN &\implies \iota_{e_2}\eta|_{\partial M} = 0. \end{aligned}$$

Moreover, if the boundary is of contact type, then  $\partial M$ , being a contact space, has a well-known symplectization that can be mapped to the collar neighborhood of  $\partial M$ . In this case,  $J\vec{n}$  can also be identified with the Reeb vector field on the contact boundary.

### 3.2. Symplectic boundary conditions on forms.

3.2.1. *Boundary conditions associated with  $\partial_+$  and  $\partial_-$  operators.* With two natural linear first-order operators  $\partial_+$  and  $\partial_-$  on symplectic manifolds, we are motivated to define the analogous Dirichlet and Neumann boundary conditions with respect to these operators.

**Definition 3.8.** *We say a differential  $k$ -form  $\eta \in \Omega^k$  satisfies*

- *the  $\partial_+$ -Dirichlet ( $D_+$ ) boundary condition, i.e.  $\eta \in D_+$ , if  $\sigma_{\partial_+}(d\rho)\eta|_{\partial M} = 0$ ;*
- *the  $\partial_-$ -Dirichlet ( $D_-$ ) boundary condition, i.e.  $\eta \in D_-$ , if  $\sigma_{\partial_-}(d\rho)\eta|_{\partial M} = 0$ ;*
- *the  $\partial_+$ -Neumann ( $N_+$ ) boundary condition, i.e.  $\eta \in N_+$ , if  $\sigma_{\partial_+^*}(d\rho)\eta|_{\partial M} = 0$ ;*
- *the  $\partial_-$ -Neumann ( $N_-$ ) boundary condition, i.e.  $\eta \in N_-$ , if  $\sigma_{\partial_-^*}(d\rho)\eta|_{\partial M} = 0$ .*

Just as for  $D$  and  $N$  boundary conditions, it follows from Lemma 3.3 for  $(\partial_+, \partial_-)$  that:

$$\begin{aligned} (\partial_+\eta, \xi) - (\eta, \partial_+^*\xi) &= \int_{\partial M} \langle \sigma_{\partial_+}(d\rho)\eta, \xi \rangle dS = - \int_{\partial M} \langle \eta, \sigma_{\partial_+^*}(d\rho)\xi \rangle dS, \\ (\partial_-\eta, \xi) - (\eta, \partial_-^*\xi) &= \int_{\partial M} \langle \sigma_{\partial_-}(d\rho)\eta, \xi \rangle dS = - \int_{\partial M} \langle \eta, \sigma_{\partial_-^*}(d\rho)\xi \rangle dS. \end{aligned}$$

These formulas above imply that the  $\{D_+, N_+\}$  and the  $\{D_-, N_-\}$  boundary conditions are natural from the perspective of integration by parts.

3.2.2. *Boundary condition associated with the  $\partial_+\partial_-$  operator.* As above, we can also introduce Dirichlet and Neumann type boundary conditions for the  $\partial_+\partial_-$  operator.

**Definition 3.9.** *We say a differential form  $\eta$  satisfies,*

- *the  $\partial_+\partial_-$ -Dirichlet boundary condition ( $D_{+-}$ ), i.e.  $\eta \in D_{+-}$ , if  $\sigma_{\partial_+\partial_-}(d\rho)\eta|_{\partial M} = 0$ ;*

- the  $\partial_+\partial_-$ -Neumann boundary condition  $(N_{+-})$ , i.e.  $\eta \in N_{+-}$ ,  
if  $\sigma_{(\partial_+\partial_-)^*}(d\rho)\eta|_{\partial M} = 0$ .

**Remark 3.10.** Similar to the first-order case in Remark 3.2, the above second-order boundary conditions can be equivalently expressed differentially as follows:

$$\begin{aligned}\eta \in D_{+-} &\iff \partial_+\partial_-(\rho^2\eta)|_{\partial M} = 0, \\ \eta \in N_{+-} &\iff \partial_-^*\partial_+(\rho^2\eta)|_{\partial M} = 0.\end{aligned}$$

The  $D_{+-}$  and  $N_{+-}$  boundary conditions, however, by themselves are not sufficient to ensure that  $(\partial_+\partial_-\eta, \xi) = (\eta, (\partial_+\partial_-)^*\xi)$ . This can be seen from the following lemma:

**Lemma 3.11** (Green's formula for second-order differential operators). *If  $M$  is a smooth, compact manifold with boundary and  $P$  is a second-order differential operator acting on sections of the vector bundle, then*

$$(3.3) \quad (P\phi, \psi) - (\phi, P^*\psi) = - \int_{\partial M} \left\langle \left\{ 2P(\rho\phi) - \frac{1}{2}\mathcal{L}_{\vec{n}}[P(\rho^2\phi)] \right\}, \psi \right\rangle dS + \int_{\partial M} \left\langle \frac{1}{2}P(\rho^2\phi), \mathcal{L}_{\vec{n}}^*(\psi) \right\rangle dS$$

with  $P^*$  the adjoint operator of  $P$ ,  $dS$  the volume form on  $\partial M$ , and  $\langle \cdot, \cdot \rangle$  denoting a metric on the vector bundle.

*Proof.* Let  $\dim M = m$ . Using a partition of unity, we may assume that  $\phi$  and  $\psi$  are supported within a coordinate patch  $U$  in  $M$ . Hence, we only need to consider the case when  $U$  intersects with the boundary  $\partial M$ . So suppose  $U$  is in  $\mathbb{R}_+^m$  and the coordinates are such that  $\frac{\partial}{\partial x_m}$  is the unit inward normal at  $\partial M$ . In  $U$ , the second-order operator  $P$  has the form

$$(3.4) \quad P = \sum_{i \leq j} a_{ij}(x) \frac{\partial^2}{\partial x_i \partial x_j} + \sum_i b_i(x) \frac{\partial}{\partial x_i} + c(x).$$

where here  $i, j = 1, 2, \dots, m$ . Then,

$$(P\phi, \psi)_U = \int_U \left[ \sum_{i \leq j} \left\langle a_{ij} \frac{\partial^2 \phi}{\partial x_i \partial x_j}, \psi \right\rangle + \sum_i \left\langle b_i \frac{\partial \phi}{\partial x_i}, \psi \right\rangle + \langle c\phi, \psi \rangle \right] \sqrt{g} dx.$$

Integrating by parts, there are boundary integral contributions coming from the terms involving  $\frac{\partial}{\partial x_m}$ , and we obtain

$$(3.5) \quad \begin{aligned}(P\phi, \psi)_U &= (\phi, P^*\psi)_U - \int_{U \cap \mathbb{R}^{m-1}} \left\langle \sum_{i \leq m} a_{im} \frac{\partial \phi}{\partial x_m} + b_m \phi - \frac{\partial a_{mm}}{\partial x_m} \phi, \psi \right\rangle \sqrt{g(x', 0)} dx' \\ &+ \int_{U \cap \mathbb{R}^{m-1}} \left\{ \frac{\partial}{\partial x_m} [\langle a_{mm} \phi, \psi \rangle \sqrt{g}] - \left\langle \frac{\partial(a_{mm}\phi)}{\partial x_m}, \psi \right\rangle \sqrt{g} \right\} dx'\end{aligned}$$

where  $dx' = dx_1 \cdots dx_{m-1}$  and  $\sqrt{g(x', 0)}dx'$  is the volume element on  $\partial M$ . Now, we can write

$$(3.6) \quad \frac{\partial}{\partial x_m} [\langle a_{mm}\phi, \psi \rangle \sqrt{g}] - \langle \frac{\partial(a_{mm}\phi)}{\partial x_m}, \psi \rangle \sqrt{g} = \langle a_{mm}\phi, \mathcal{L}_{\vec{n}}^*(\psi) \rangle,$$

$$(3.7) \quad P(\rho\phi) = P(x_m\phi) = \sum_{i \leq m} a_{im} \frac{\partial \phi}{\partial x_i} + a_{mm} \frac{\partial \phi}{\partial x_m} + b_m \phi + \mathcal{O}(x_m)$$

$$(3.8) \quad \frac{1}{2}P(\rho^2\phi) = \frac{1}{2}P(x_m^2\phi) = a_{mm}\phi + x_m \left[ \sum_{i \leq m} a_{im} \frac{\partial \phi}{\partial x_i} + a_{mm} \frac{\partial \phi}{\partial x_m} + b_m \phi \right] + \mathcal{O}(x_m^2)$$

Using (3.7)-(3.8), we find along the boundary (i.e.  $x_m = 0$ ) that

$$(3.9) \quad \left\{ 2P(\rho\phi) - \frac{1}{2}\mathcal{L}_{\vec{n}}[P(\rho^2\phi)] \right\}_{x_m=0} = \sum_{i \leq m} a_{im} \frac{\partial \phi}{\partial x_i} + b_m \phi - \frac{\partial a_{mm}}{\partial x_m} \phi.$$

The statement then follows substituting (3.6) and (3.8)-(3.9) into (3.5).  $\square$

The above lemma leads us to the following definitions:

**Definition 3.12.** We say a differential form  $\eta$  satisfies

- the  $D_{++}$  boundary condition if
  - (1)  $\eta \in D_{+-}$ , that is,  $\partial_+\partial_-(\rho^2\eta)|_{\partial M} = 0$ , and
  - (2)  $\left\{ 2\partial_+\partial_-(\rho\eta) - \frac{1}{2}\mathcal{L}_{\vec{n}}[\partial_+\partial_-(\rho^2\eta)] \right\}|_{\partial M} = 0$ ;
- the  $N_{--}$  boundary condition if
  - (1)  $\eta \in N_{+-}$ , that is,  $\partial^*\partial_+(\rho^2\eta)|_{\partial M} = 0$ , and
  - (2)  $\left\{ 2\partial^*\partial_+(\rho\eta) - \frac{1}{2}\mathcal{L}_{\vec{n}}[\partial^*\partial_+(\rho^2\eta)] \right\}|_{\partial M} = 0$ .

**Remark 3.13.** The  $D_{++}$  and  $N_{--}$  boundary conditions can be alternatively defined using the principal symbol. With the convention that the principal symbol of the second-order operator  $P$  in (3.4) is  $\sigma_P(d\rho)\phi|_{\partial M} = a_{mm}\phi$ , we have that

$$\left\{ P(\rho\phi) - \mathcal{L}_{\vec{n}}[\sigma_P(d\rho)\phi] \right\}|_{\partial M} = \left\{ 2P(\rho\phi) - \frac{1}{2}\mathcal{L}_{\vec{n}}[P(\rho^2\phi)] \right\}|_{\partial M}.$$

Hence, we can express the boundary conditions in the form of

$$\begin{aligned} \sigma_P(d\rho)\eta|_{\partial M} &= 0, \\ \left\{ P(\rho\eta) - \mathcal{L}_{\vec{n}}[\sigma_P(d\rho)\eta] \right\}|_{\partial M} &= 0. \end{aligned}$$

setting  $P = \partial_+\partial_-$  for the  $D_{++}$  boundary condition and  $P = (\partial_+\partial_-)^*$  for the  $N_{--}$  condition.

Lemma 3.11 immediately implies the following results.

**Corollary 3.14.** For a differential  $k$ -form  $\eta$ ,  $\eta \in D_{++}$  is equivalent to the condition

$$(\partial_+\partial_-\eta, \xi) = (\eta, (\partial_+\partial_-)^*\xi)$$

for any  $\xi \in \Omega^k$ . Similarly,  $\eta \in N_{--}$  is equivalent to the condition

$$((\partial_+\partial_-)^*\eta, \xi) = (\eta, \partial_+\partial_-\xi)$$

again for any  $\xi \in \Omega^k$ .

Clearly, all six of the above boundary conditions –  $\{D_+, D_-, N_+, N_-\}$  in Definition 3.8 and  $\{D_{++}, N_{--}\}$  in Definition 3.12 – depend on the symplectic structure. Being so, we will refer to them as *symplectic boundary conditions*. Certainly, these boundary conditions are defined for general differential forms. To get a better sense of these symplectic boundary conditions, we will focus our discussion in the following to primitive forms and explore the properties of these boundary conditions on them.

**3.2.3. Local description of boundary conditions on primitive forms.** To make clear the differences and infer the properties of the various new boundary conditions presented above, we provide here a local description of the boundary conditions on primitive forms. For simplicity, we shall describe them in terms of a local Darboux basis  $\{w_j = dx_j\}$  of  $\Omega^1$  where  $w_1 = d\rho$  and  $\omega = \sum_i w_{2i-1} \wedge w_{2i}$ . As before, we denote the dual basis of tangent vectors by  $\{e_j\}$  and choose as the almost complex structure  $J$  the standard one where  $\mathcal{J}w_{2i-1} = -w_{2i}$  and  $\mathcal{J}w_{2i} = w_{2i-1}$  for  $i = 1, 2, \dots, n$ . In such a basis, any primitive differential  $k$ -form,  $\beta \in P^k$ , can be decomposed into four distinct terms [22]:

$$(3.10) \quad \beta = w_1 \wedge \beta^1 + w_2 \wedge \beta^2 + \Theta_{12} \wedge \beta^3 + \beta^4$$

where  $\beta^1, \beta^2 \in P^{k-1}$ ,  $\beta^3 \in P^{k-2}$ , and  $\beta^4 \in P^{k-2}$  are primitive forms that do not contain any components of  $w_1$  or  $w_2$ , and

$$\Theta_{12} = w_1 \wedge w_2 - \frac{1}{H+1} \sum_{i=2}^n w_{2i-1} \wedge w_{2i},$$

where  $H$  is the degree counting operator defined in (2.1).

Using this decomposition, we can see explicitly how the different boundary conditions constrain a primitive form  $\beta$  along  $\partial M$ . To start, consider first the  $D$  condition which corresponds to  $d\rho \wedge \beta|_{\partial M} = w_1 \wedge \beta|_{\partial M} = 0$ . With  $\beta$  expressed in the decomposed form of (3.10), the  $D$  condition implies that  $\beta^2 = \beta^3 = \beta^4 = 0$  on the boundary, and hence, locally  $\beta|_{\partial M} = w_1 \wedge \beta^1$ . Now, let us consider the symplectic  $D_+$  condition. Recall from (2.4) that  $\partial_+ = \Pi d$  when acting on a primitive form. Thus, the  $D_+$  condition corresponds to  $\Pi(d\rho \wedge \beta)|_{\partial M} = 0$ , which is just the projected form of the  $D$  condition. Applying the decomposition (3.10), the  $D_+$  condition implies only that  $\beta^2 = \beta^4 = 0$  on the boundary since  $\Pi(w_1 \wedge (\Theta_{12} \wedge \beta^3)) = -\Pi[w_1(1/(H+1)) \wedge \omega \wedge \beta^3] = 0$ . Hence, a primitive form that satisfies the  $D_+$  condition takes the form  $\beta|_{\partial M} = w_1 \wedge \beta^1 + \Theta_{12} \wedge \beta^3$  along the boundary. Compared to the  $D$  condition, we see clearly that  $D_+$  is a weaker condition than the  $D$  condition. In Table 6 and Table 7, we write down the required local form for a general primitive form  $\beta$  along  $\partial M$  for all the boundary conditions that were discussed above. Let us point out that for  $\beta \in P^n$ , the boundary conditions  $D_+$  and  $N_-$  are trivial, i.e. they do not impose any conditions on  $\beta$ .

The derivation for the case of  $D_{++}$  and  $N_{--}$  boundary conditions requires quite a bit more calculations. For instance, for the  $D_{++}$  condition, which consists of two

TABLE 6. First-order boundary conditions and their constraints on a primitive form  $\beta$  as expressed in the local basis of (3.10) with  $w_1 = d\rho$ .

	Condition on $\partial M$	Local Form on $\partial M$
$D$	$w_1 \wedge \beta = 0$	$\beta = w_1 \wedge \beta^1$
$N$	$\iota_{e_1} \beta = 0$	$\beta = w_2 \wedge \beta^2 + \beta^4$
$JD$	$w_2 \wedge \beta = 0$	$\beta = w_2 \wedge \beta^2$
$JN$	$\iota_{e_2} \beta = 0$	$\beta = w_1 \wedge \beta^1 + \beta^4$
$D_+$	$\Pi(w_1 \wedge \beta) = 0$	$\beta = w_1 \wedge \beta^1 + \Theta_{12} \wedge \beta^3$
$N_+$	$\iota_{e_1} \beta = 0$	$\beta = w_2 \wedge \beta^2 + \beta^4$
$D_-$	$\iota_{e_2} \beta = 0$	$\beta = w_1 \wedge \beta^1 + \beta^4$
$N_-$	$\Pi(w_2 \wedge \beta) = 0$	$\beta = w_2 \wedge \beta^2 + \Theta_{12} \wedge \beta^3$

TABLE 7. Second-order symplectic boundary conditions and their constraints on a primitive form  $\beta$  as expressed in the local basis of (3.10) with  $w_1 = d\rho$ . The primed operators ( $\partial'_+, \partial'_-$ ) are defined on the  $(2n - 2)$ -dimension symplectic subspace spanned by  $\{e_3, e_4, \dots, e_{2n}\}$ .

	Conditions on Local Form on $\partial M$
$D_{++}$	$\beta^2 = 0 \quad (D_{+-} \text{ condition})$ $\partial_1 \beta^2 - \partial_2 \beta^1 + \frac{H+1}{H} \partial'_+ \beta^3 + (H-1) \partial'_- \beta^4 = 0$
$N_{--}$	$\beta^1 = 0 \quad (N_{+-} \text{ condition})$ $\partial_1 \beta^1 + \partial_2 \beta^2 + (H+1) \partial'^*_- \beta^3 - \partial'^*_+ \beta^4 = 0$

conditions as in Definition 3.12, the first condition  $D_{+-}$  imposes on  $\partial M$

$$(3.11) \quad \sigma_{\partial_+ \partial_-}(d\rho)\beta = w_1(\Lambda(w_1 \wedge \beta)) = 0 \implies \beta^2 = 0.$$

In the form expressed in Remark 3.13, the second condition imposes on  $\partial M$

$$(3.12) \quad \begin{aligned} 0 &= \partial_+ \partial_- (\rho \beta) - \mathcal{L}_{\vec{n}} [\sigma_{\partial_+ \partial_-}(d\rho)\eta] \\ &= \frac{1}{H+1} w_1 \wedge \left[ \partial_1 \beta^2 - \partial_2 \beta^1 + \frac{H+1}{H} \partial'_+ \beta^3 + (H-1) \partial'_- \beta^4 \right] \\ &\quad + \frac{1}{H+1} w_2 \wedge \partial_2 \beta^2 - \Theta_{12} \partial'_- \beta^2 + \frac{1}{H+1} \partial'_+ \beta^2 \end{aligned}$$

where  $(\partial'_+, \partial'_-)$  refers to the  $(\partial_+, \partial_-)$  operators on the symplectic subspace spanned by  $\{e_j\}$  for  $j = 3, 4, \dots, 2n$ . Since this subspace is within  $\partial M$  it is clear that the third line of (3.12) vanishes if (3.11) is imposed. This results in the second condition for  $D_{++}$  in Table 7. For  $N_{--}$ , one finds

$$(3.13) \quad \sigma_{(\partial_+ \partial_-)^*}(d\rho)\beta = \iota_{e_1}(\omega \wedge (\iota_{e_1} \beta)) = 0 \implies \beta^1 = 0.$$



and for the second differential condition on  $\partial M$

$$(3.14) \quad 0 = (\partial_+ \partial_-)^*(\rho\beta) - \mathcal{L}_{\vec{n}} \left[ \sigma_{(\partial_+ \partial_-)^*} (d\rho)\beta \right] \\ = \frac{1}{H+1} w_2 \wedge \left[ \partial_1 \beta^1 + \partial_2 \beta^2 + (H+1) \partial_-^* \beta^3 - \partial_+^* \beta^4 \right] \\ - \frac{1}{H+1} w_1 \wedge \partial_2 \beta^1 - \frac{1}{H+1} \Theta_{12} \partial_+^* \beta^1 - \frac{H}{H+1} \partial_-^* \beta^1$$

where again the adjoint primed operators are defined on the co-dimension two symplectic subspace orthogonal to  $\{e_1, e_2\}$ . Since  $\beta^1 = 0$  on the boundary, the last line of (3.14) vanishes and this gives the second condition for  $N_{--}$  in Table 7.

From these local characterizations and definitions, we can quickly find a number of relations relating the different boundary conditions. For instance a primitive form  $\beta \in P^k$  that satisfies  $D$  automatically satisfies both  $D_+$  and  $D_-$ , i.e.

$$\beta \in D \implies \begin{cases} \beta \in D_+ \\ \beta \in D_- \end{cases}.$$

From Tables 6 and 7, we also obtain the following relations between the boundary conditions for primitive forms.

**Lemma 3.15.** *With respect to a compatible triple  $(\omega, J, g)$  on a symplectic manifold  $M^{2n}$ , there are the following equivalent conditions for a primitive form  $\beta \in P^k$ ,:*

$$\begin{aligned} \beta \in D_+ &\iff \mathcal{J}\beta \in N_-, & \beta \in N_+ &\iff \beta \in N, \\ \beta \in D_- &\iff \mathcal{J}\beta \in N_+, & \beta \in D_- &\iff \beta \in JN, \\ \beta \in D_{++} &\iff \mathcal{J}\beta \in N_{--}. \end{aligned}$$

An important feature of these symplectic boundary conditions is that they can be preserved when acted upon by one of symplectic differential operators:  $(\partial_+, \partial_-, \partial_+ \partial_-)$  and their adjoints. The standard Dirichlet and Neumann boundary conditions do not have these properties.

**Lemma 3.16.** *For  $\beta \in P^k$ ,*

$$\begin{aligned} \beta \in D_+ &\implies \partial_+ \beta \in D_+, & \beta \in N_+ &\implies \partial_+^* \beta \in N_+, \\ \beta \in D_- &\implies \partial_- \beta \in D_-, & \beta \in N_- &\implies \partial_-^* \beta \in N_-. \end{aligned}$$

*Proof.* The lemma can be proven by direct computation. We here instead give a simple, quick proof which makes use of the inner product that comes with a compatible metric on  $(M^{2n}, \omega)$ .

In order to prove that  $\partial_+ \beta \in D_+$  for  $\beta \in D_+$ , it is enough to show that

$$(3.15) \quad \int_{\partial M} \langle \partial_+(\rho \partial_+ \beta), \alpha \rangle dS = 0,$$

for any  $\alpha \in P^{k+2}$ . Now, since  $\beta \in D_+$ , we have  $(\partial_+ \beta, \partial_+^* \alpha) = (\beta, \partial_+^* \partial_+^* \alpha) = 0$ . On the other hand,

$$(\partial_+ \beta, \partial_+^* \alpha) = (\partial_+ \partial_+ \beta, \alpha) - \int_{\partial M} \langle \partial_+(\rho \partial_+ \beta), \alpha \rangle dS = - \int_{\partial M} \langle \partial_+(\rho \partial_+ \beta), \alpha \rangle dS$$

which immediately implies (3.15) for any  $\alpha \in P^{k+2}$ . The other three statements can be proved similarly.  $\square$

**Lemma 3.17.** *For  $k \leq n$ ,*

- *if  $\beta \in P_{D_{++}}^k$ , then  $\partial_+\partial_-\beta \in P_{D_-}^k$ ;*
- *if  $\beta \in P_{D_+}^{k-1}$ , then  $\partial_+\beta \in P_{D_{++}}^k$ .*

*Proof.* Again, the quickest method of proof is similar to that given for Lemma 3.16. Let  $\beta \in P_{D_{++}}^k$ . To show that  $\partial_+\partial_-\beta \in P_{D_-}^k$ , it suffices to prove that

$$\int_{\partial M} \langle \partial_-(\rho \partial_+\partial_-\beta), \alpha \rangle dS = 0,$$

for any  $\alpha \in P^{k-1}$ . Since  $\beta \in P_{D_{++}}^k$ , it follows from Corollary 3.14 above that  $(\partial_+\partial_-\beta, \partial_-^*\alpha) = (\beta, \partial_-^*\partial_+\partial_-^*\alpha) = 0$ . On the other hand, we have

$$\begin{aligned} 0 &= (\partial_+\partial_-\beta, \partial_-^*\alpha) = (\partial_-\partial_+\partial_-\beta, \alpha) - \int_{\partial M} \langle \partial_-(\rho \partial_+\partial_-\beta), \alpha \rangle dS \\ &= - \int_{\partial M} \langle \partial_-(\rho \partial_+\partial_-\beta), \alpha \rangle dS, \end{aligned}$$

for any  $\alpha \in P^{k-1}$  as desired.

As for the second statement, let  $\beta \in P_{D_+}^{k-1}$ . By Corollary 3.14, it is enough to show that  $((\partial_+\partial_-\partial_+)\beta, \alpha) = (\partial_+\beta, (\partial_+\partial_-\partial_+)^*\alpha)$  for any  $\alpha \in P^k$ . Clearly,  $((\partial_+\partial_-\partial_+)\beta, \alpha) = 0$ . Furthermore,  $(\partial_+\beta, (\partial_+\partial_-\partial_+)^*\alpha) = (\beta, \partial_+^*\partial_-\partial_+^*\alpha) = 0$  since  $\beta \in P_{D_+}^{k-1}$ . Hence, the statement follows.  $\square$

Similar arguments give the following:

**Lemma 3.18.** *For  $k \leq n$ ,*

- *if  $\beta \in P_{N_{--}}^k$ , then  $(\partial_+\partial_-\partial_-)^*\beta \in P_{N_+}^k$ ;*
- *if  $\beta \in P_{N_-}^{k-1}$ , then  $\partial_-^*\beta \in P_{N_{--}}^k$ .*

Lemmas 3.16 and 3.17 will turn out to be essential later in Section 5.2 to define the relative primitive cohomologies.

**3.2.4. Boundary conditions under maps.** The two maps  $\{\Pi, *_r\}$  on symplectic manifolds defined in (2.2)-(2.3),

$$\begin{aligned} \Pi &: \Omega^k \rightarrow P^k, \\ *_r &: P^k \rightarrow \omega^{n-k} \wedge P^k \in \Omega^{2n-k}, \end{aligned}$$

have particularly interesting properties when the forms that are mapped have specified boundary conditions. It turns out that these two maps can relate forms with symplectic boundary conditions  $D_+$ ,  $D_-$  and  $D_{+-}$  to those with the usual  $D$  boundary condition. In the following, we will denote forms with a specified boundary conditions by a subscript. For example, the notation  $\Omega_D^k$  will denote the space of differential  $k$ -forms that satisfy the standard Dirichlet boundary condition  $D$ .

**Proposition 3.19.** *Under the  $\Pi$  and  $*_r$  maps, we have the following relations between forms with specified boundary conditions:*

$$\begin{aligned} \Pi : \Omega_D^k &\longrightarrow \begin{cases} P_{D_+}^k & \text{for } k < n, \\ P_{D_{+-}}^n & \text{for } k = n, \end{cases} \\ *_r : P_{D_-}^k &\longrightarrow \Omega_D^{2n-k}, \quad k \leq n. \end{aligned}$$

Moreover, the first map is surjective and the second is injective.

*Proof.* Let  $\eta \in \Omega_D^k$  for  $k \leq n$ . We can express  $\eta$  in terms of the following:

$$\eta = \beta + \omega \wedge \xi$$

with  $\beta \in P^k$  and  $\xi \in \Omega^{k-2}$ , and hence,  $\Pi(\eta) = \beta$ . Around  $\partial M$ , we choose to work in the local Darboux basis  $\{w_j\}$  as above. Since  $\eta \in D$ , this implies that

$$(3.16) \quad 0 = w_1 \wedge \eta|_{\partial M} = [w_1 \wedge \beta + \omega \wedge (w_1 \wedge \xi)]|_{\partial M}.$$

Therefore,  $\Pi(w_1 \wedge \eta)|_{\partial M} = \Pi(w_1 \wedge \beta)|_{\partial M} = 0$ , and so we find for  $k < n$ ,  $\beta \in D_+$  which gives the first map.

Note that when  $k = n$ ,  $\Pi(w_1 \wedge \beta) = 0$  is a trivially condition. (Recall that the  $D_+$  condition is an empty condition on primitive  $n$ -form.) We want to show instead that  $\beta \in D_{+-}$  when  $k = n$ . This is the condition that  $\sigma_{\partial_+ \partial_-}(d\rho)\beta|_{\partial M} = 0$ , or equivalently,  $w_1 \wedge (w_1 \wedge \beta)|_{\partial M} = 0$ . But since  $\partial_+ \partial_-$  maps primitive forms to primitive forms and non-primitive forms to non-primitive forms, it follows that

$$(3.17) \quad 0 = \Pi(w_1 \wedge (w_1 \wedge \eta))|_{\partial M} = w_1 \wedge (w_1 \wedge \beta)|_{\partial M},$$

where we have also noted  $(w_1 \wedge \eta)|_{\partial M} = 0$ . This thus proves that  $\beta \in D_{+-}$  when  $k = n$ .

To see that the map  $\Pi$  is surjective, consider first the case  $k < n$  and  $\beta \in P_{D_+}^k$ . Locally around  $\partial M$ , we again express  $\beta$  in terms of the decomposition of (3.10):

$$\beta = w_1 \wedge \beta^1 + w_2 \wedge \beta^2 + \Theta_{12} \wedge \beta^3 + \beta^4.$$

We note that  $\beta \in D_+$  implies that at the boundary,  $\beta^2|_{\partial M} = \beta^4|_{\partial M} = 0$ . Let us therefore define  $\eta = w_1 \wedge \beta^1 + w_2 \wedge \beta^2 + \frac{n-k+2}{n-k+1} w_1 \wedge w_2 \wedge \beta^3 + \beta^4$ . It can be straightforwardly checked that  $\eta \in D$  since  $w_1 \wedge \eta|_{\partial M} = 0$ , and moreover,  $\Pi(\eta) = \beta$ . Using the partition of unity, this leads to a well-defined global form with the desired properties.

For the case of  $k = n$ , let  $\beta \in P_{D_{+-}}^n$ . The local decomposition of (3.10) near the boundary becomes the following:

$$\beta = w_1 \wedge \beta^1 + w_2 \wedge \beta^2 + \Theta_{12} \wedge \beta^3,$$

with  $\beta^4 = 0$  since there are no primitive  $n$ -form without a component in either  $w_1$  or  $w_2$ . The condition  $\beta \in D_{+-}$  further implies that  $\beta^2|_{\partial M} = 0$ . This leads us to define  $\eta = w_1 \wedge \beta^1 + w_2 \wedge \beta^2 + 2w_1 \wedge w_2 \wedge \beta^3$  which satisfies both  $\eta \in D$  and  $\Pi(\eta) = \beta$ .

Finally, we consider the  $*_r$  map. Let  $\beta \in P_{D_-}^k$  for  $k \leq n$ . We want to show that  $*_r \beta = \omega^{n-k} \wedge \beta$  satisfies the Dirichlet condition. In local Darboux coordinates  $\{w_j\}$

near the boundary, we find

$$\begin{aligned}
w_1 \wedge (*_r \beta)|_{\partial M} &= \omega^{n-k} \wedge (w_1 \wedge \beta)|_{\partial M} \\
&= \omega^{n-k} \wedge \left( \Pi(w_1 \wedge \beta) + \omega \wedge [H^{-1} \Lambda(w_1 \wedge \beta)] \right)|_{\partial M} \\
&= \omega^{n-k+1} H^{-1} \Lambda(w_1 \wedge \beta)|_{\partial M} = 0.
\end{aligned}$$

Above, in the second line, we have Lefschetz decomposed  $w_1 \wedge \beta$  into two terms,  $\beta_{k+1} + \omega \wedge \beta_{k-1}$ . In the third line, we have noted that  $\omega^{n-k} \wedge \beta_{k+1} = 0$  by primitivity and also that  $\beta \in D_-$  implies  $\Lambda(w_1 \wedge \beta)|_{\partial M} = 0$ , which allow us to conclude that  $*_r \beta \in D$ . Lastly, the injectiveness of this  $*_r$  map follows from the injectiveness of the map  $*_r : P^k \rightarrow \Omega^{2n-k}$  without any boundary conditions as mentioned right below (2.3).  $\square$

Composing Proposition 3.19 with the  $\mathcal{J}$  map, we immediately obtain the following corollary relating  $JD$  boundary condition with the  $N_-$ ,  $N_{+-}$ , and  $N_+$  boundary conditions.

**Corollary 3.20.** *Under the  $\Pi$  and  $*_r$  maps, we have the following relations between forms with specified boundary conditions:*

$$\begin{aligned}
\Pi : \Omega_{JD}^k &\longrightarrow \begin{cases} P_{N_-}^k & \text{for } k < n, \\ P_{N_{+-}}^n & \text{for } k = n, \end{cases} \\
*_r : P_{N_+}^k &\longrightarrow \Omega_{JD}^{2n-k}, \quad k \leq n.
\end{aligned}$$

Moreover, the first map is surjective and the second is injective.

*Proof.* Let  $\eta \in \Omega_{JD}^k$ . Then  $\mathcal{J}\eta \in \Omega_D^k$ . By the lemma above, it follows that  $\Pi(\mathcal{J}\eta)$  is either an element of  $P_{D_+}^k$  when  $k < n$ , or  $P_{D_{+-}}^n$  when  $k = n$ . Since  $\Pi(\mathcal{J}\eta) = \mathcal{J}(\Pi(\eta))$  and applying Lemma 3.15, we obtain  $\Pi(\eta) \in P_{N_-}^k$  for  $k < n$  and  $\Pi(\eta) \in P_{N_{+-}}^n$  for  $k = n$ . A similar argument applies for the  $*_r$  map.  $\square$

#### 4. HODGE THEORY FOR SYMPLECTIC LAPLACIANS

In this section, we will work out the Hodge theory for the symplectic Laplacians (2.8)-(2.11) in Section 2.4. To do so, we will introduce certain boundary value problems (BVPs) that can be shown to be elliptic. We first recall some results from elliptic operator theory.

**4.1. Elliptic boundary value problems.** Given a compact manifold  $M$  with smooth boundary  $\partial M$ . Let  $E$  be a vector bundle over  $M$  and  $G_j$  be a vector bundle over  $\partial M$ , for  $j = 1, \dots, J$ . Consider the following elliptic BVP:

$$\begin{cases} P : C^\infty(M, E) \rightarrow C^\infty(M, E) \\ B_j : C^\infty(M, E) \rightarrow C^\infty(\partial M, G_j), \quad j = 1, \dots, J. \end{cases}$$

Here,  $P$  is an elliptic operator of order  $2m$ , each  $B_j$  is an boundary differential operator of order  $m_j$ , and the combined operator  $\mathcal{P} = \{P, B_j\}$  is Fredholm, i.e.

$$\mathcal{P} : H^s(M, E) \rightarrow H^{s-2m}(M, F) \oplus H^{s-m_1-\frac{1}{2}}(\partial M, G_1) \oplus \dots \oplus H^{s-m_J-\frac{1}{2}}(\partial M, G_J).$$

The definition of elliptic BVP follows [11] and [1].

The next lemma gives some general properties of elliptic BVPs. (For reference, see [11] and [14]).

**Lemma 4.1.** *For an elliptic BVP,  $\{P, B_j\}$ , the following holds:*

- *the kernel of  $\mathcal{P}$ , denoted by  $\ker \mathcal{P}$ , is finite and smooth;*
- *for any  $\chi \perp \ker \mathcal{P}$  in  $H^s(M, E)$ , there exists a unique  $\phi \in H^{s+2m}(M, E)$  and  $\phi \perp \ker \mathcal{P}$  such that  $P\phi = \chi$  and  $B_j(\phi) = 0$  for all  $j$ ;*
- *if  $\chi \in H^s(M, E)$  and  $P\phi = \chi$  and  $B_j(\phi) = 0$  for all  $j$ , then  $\phi \in H^{s+2m}(M, E)$ .*

With this lemma, we can show that the weak solutions of elliptic BVPs are actually strong solutions.

**Lemma 4.2.** *Given  $\chi \in L^2(M, E)$ . Let  $\phi \in L^2(M, E)$  satisfy the following:*

$$(\phi, P\psi) = (\chi, \psi)$$

*for any  $\psi \in C^\infty(M, E)$  satisfying  $B_j(\psi) = 0$ , for  $j = 1, \dots, J$ . Then  $\phi \in H^{2m}(M, E)$  and*

$$P\phi = \chi, \quad B_j(\phi) = 0, \quad \text{for } j = 1, \dots, J.$$

When  $\chi = 0$ , Lemma 4.2 implies immediately the following:

**Corollary 4.3.** *If  $\phi \in L^2(M, E)$  satisfies  $(\phi, P\psi) = 0$  for any  $\psi \in C^\infty(M, E)$  with  $B_j(\psi) = 0$ , for  $j = 1, \dots, J$ , then  $\phi \in \ker \mathcal{P}$ . In particular,  $\phi$  is smooth and  $B_j(\phi) = 0$ , for  $j = 1, \dots, J$ .*

We give a proof of Lemma 4.2 based on the arguments of Schechter in [14], where the case for functions is proved.

*Proof of Lemma 4.2.* Since the space  $\ker \mathcal{P}$  is finite-dimensional, we can write  $\chi = \chi^1 + \chi^2$  with  $\chi^1 \in \ker \mathcal{P}$  and  $\chi^2 \perp \ker \mathcal{P}$ . By Lemma 4.1, there exists a  $\varphi \in H^{2m}(M, E)$  such that  $P\varphi = \chi^2$  and  $B_j(\varphi) = 0$ , for  $j = 1, \dots, J$ . Then

$$(\phi - \varphi, P\psi) = (\chi^1, \psi)$$

for any  $\psi \in C^\infty(M, E)$  satisfying the boundary conditions  $B_j(\psi) = 0$ , for  $j = 1, \dots, J$ . There exists a sequence  $\varphi_i \in C^\infty(M, E)$  such that  $\varphi_i \rightarrow \phi - \varphi$  in  $L^2$  norm, as  $i \rightarrow \infty$ . Let  $\varphi_i = \varphi_i^1 + \varphi_i^2$  with  $\varphi_i^1 \in \ker \mathcal{P}$  as the projection and  $\varphi_i^2 \perp \ker \mathcal{P}$ . Then there exist  $v_i \in H^{2m}(M, E)$  with  $v_i \perp \ker \mathcal{P}$  such that  $Pv_i = \varphi_i^2$  and  $B_j(v_i) = 0$  for every  $i$  and  $j$ . Therefore,

$$\begin{aligned} (\phi - \varphi, \varphi_i) &= (\phi - \varphi, \varphi_i^1) + (\phi - \varphi, \varphi_i^2) = (\phi - \phi, \varphi_i^1) + (\phi - \varphi, Pv_i) \\ &= (\phi - \varphi, \varphi_i^1) + (\chi^1, v_i) = (\phi - \varphi, \varphi_i^1). \end{aligned}$$

As  $i \rightarrow \infty$ , we get  $\varphi_i^1 \rightarrow \phi - \varphi$ . Since  $\ker \mathcal{P}$  is closed and  $\phi - \varphi \in \ker \mathcal{P}$ , they imply that  $\phi \in H^{2m}(M, E)$  and  $B_j(\phi) = 0$ , for  $j = 1, \dots, J$ .  $\square$

## 4.2. Hodge decompositions.

**Definition 4.4.** We call the following spaces

$$P\mathcal{H}_+^k = \{\beta \in H^1 P^k \mid \partial_+ \beta = \partial_+^* \beta = 0\}, \quad P\mathcal{H}_-^k = \{\beta \in H^1 P^k \mid \partial_- \beta = \partial_-^* \beta = 0\},$$

where  $k = 0, 1, \dots, n-1$ , and

$$P\mathcal{H}_+^n = \{\beta \in H^2 P^n \mid \partial_+ \partial_- \beta = \partial_+^* \beta = 0\}, \quad P\mathcal{H}_-^n = \{\beta \in H^2 P^n \mid \partial_- \beta = \partial_-^* \partial_+^* \beta = 0\},$$

the space of harmonic fields for  $\Delta_+$ ,  $\Delta_-$ ,  $\Delta_{++}$ , and  $\Delta_{--}$  Laplacians, respectively.

**Remark 4.5.** For a manifold with boundary, the notion of a harmonic field is different from that of a harmonic form. For instance, a primitive  $k$ -form  $\beta \in P^k$  is a harmonic form of  $\Delta_+$  if  $\Delta_+ \beta = 0$  on  $M$ . However, this does not imply that  $\beta$  is also a harmonic field (i.e.  $\partial_+ \beta = \partial_+^* \beta = 0$ ) when  $\partial M$  is non-trivial.

Below, we shall use the theory of elliptic BVPs to obtain Hodge decompositions of primitive forms on symplectic manifolds with boundary. We begin first with the decompositions associated with the second-order Laplacians,  $(\Delta_+, \Delta_-)$ , and then proceed to describe the case of the fourth-order Laplacians,  $(\Delta_{++}, \Delta_{--})$ .

### 4.2.1. Second-order symplectic Laplacians.

**Theorem 4.6** (Hodge decomposition for  $\Delta_+$ ). For  $k < n$ ,

1.  $P\mathcal{H}_{+,D_+}^k$  and  $P\mathcal{H}_{+,N_+}^k$  are finite-dimensional and smooth;
2. The following decompositions hold:

$$(i) L^2 P^k = P\mathcal{H}_{+,D_+}^k \oplus \partial_+ H^1 P_{D_+}^{k-1} \oplus \partial_+^* H^1 P^{k+1};$$

$$(ii) L^2 P^k = P\mathcal{H}_{+,N_+}^k \oplus \partial_+ H^1 P^{k-1} \oplus \partial_+^* H^1 P_{N_+}^{k+1};$$

$$(iii) L^2 P^k = L^2 P\mathcal{H}_+^k \oplus \partial_+ H^1 P_{D_+}^{k-1} \oplus \partial_+^* H^1 P_{N_+}^{k+1}.$$

Note the presence of an additional subscript when we would like to restrict consideration to differential forms that satisfy a particular boundary condition. For instance,  $P_{D_+}^k$  denotes the space of primitive  $k$ -forms that satisfy the  $D_+$  boundary condition. Applying the above results to  $\mathcal{J}\beta$ , we obtain analogous Hodge decompositions for  $\Delta_-$ .

**Theorem 4.7** (Hodge decomposition for  $\Delta_-$ ). For  $k < n$ ,

1.  $P\mathcal{H}_{-,D_-}^k$  and  $P\mathcal{H}_{-,N_-}^k$  are finite-dimensional and smooth.
2. The following decompositions hold:

$$(i) L^2 P^k = P\mathcal{H}_{-,D_-}^k \oplus \partial_- H^1 P_{D_-}^{k+1} \oplus \partial_-^* H^1 P^{k-1};$$

$$(ii) L^2 P^k = P\mathcal{H}_{-,N_-}^k \oplus \partial_- H^1 P^{k+1} \oplus \partial_-^* H^1 P_{N_-}^{k-1};$$

$$(iii) L^2 P^k = L^2 P\mathcal{H}_-^k \oplus \partial_- H^1 P_{D_-}^{k+1} \oplus \partial_-^* H^1 P_{N_-}^{k-1}.$$

To prove Theorem 4.6, we will introduce two natural, elliptic BVPs. Consider first the following symplectic BVP.

**Proposition 4.8.** *For  $k < n$ , the following boundary value problem is elliptic for any  $\beta, \lambda \in P^k$ :*

$$(4.1) \quad \begin{aligned} \Delta_+ \beta &= \lambda, & \text{on } M \\ \begin{cases} \partial_+(\rho\beta) = 0, \\ \partial_+(\rho\partial_+\beta) = 0, \end{cases} & \text{on } \partial M. \end{aligned}$$

*Proof.* As reviewed in Section 2.4,  $\Delta_+$  is elliptic on  $P^k$ . To say that (4.1) constitutes an elliptic system means that it satisfies the standard Shapiro-Lopanskiĭ conditions. It follows from the discussion in [1, Chapt. 2] and [11, Sec. 20.1] that the Shapiro-Lopanskiĭ conditions are satisfied as long as the number of boundary conditions in (4.1) is equal to the dimension of  $P^k$  at each point. (In general, for a system of partial differential equation of order  $r$ , the number of boundary conditions required for the system to be elliptic is  $r/2$  times the dimensions of the fields.) We can verify that this is indeed the case by using the local decomposition of  $\beta_k \in P^k$  given in (3.10)

$$\beta_k = w_1 \wedge \tilde{\beta}_{k-1}^1 + w_2 \wedge \tilde{\beta}_{k-1}^2 + \Theta_{12} \wedge \tilde{\beta}_{k-2}^3 + \tilde{\beta}_k^4,$$

where  $\{\tilde{\beta}^1, \tilde{\beta}^2, \tilde{\beta}^3, \tilde{\beta}^4\}$  are primitive forms that do not have any  $w_1$  or  $w_2$  components. Hence, we need two sets of  $\tilde{\beta}_{k-1}$  conditions and one each of  $\tilde{\beta}_{k-2}$  and  $\tilde{\beta}_k$  conditions. In (4.1), both boundary conditions are  $D_+$  types, one acting on  $\beta_k \in P^k$  and another on  $\partial_+\beta_k \in P^{k-1}$ . It follows from Table 6 that the first  $D_+$  condition on  $\beta_k$  gives a set of  $\tilde{\beta}_{k-1}$  conditions and a set of  $\tilde{\beta}_k$  conditions. The second  $D_+$  condition on the primitive  $(k-1)$ -form  $\partial_+\beta_k$  additionally imposes a set of  $\tilde{\beta}_{k-2}$  conditions and a set of  $\tilde{\beta}_{k-1}$  conditions. In all, we see that the number of boundary conditions is exactly that needed to ensure the ellipticity of the BVP in (4.1).  $\square$

Likewise, by similar arguments, the following BVP is also elliptic.

**Proposition 4.9.** *For  $k < n$ , the following boundary value problem is elliptic for any  $\beta, \lambda \in P^k$ :*

$$(4.2) \quad \begin{aligned} \Delta_+ \beta &= \lambda, & \text{on } M \\ \begin{cases} \partial_+^*(\rho\beta) = 0, \\ \partial_+^*(\rho\partial_+\beta) = 0, \end{cases} & \text{on } \partial M. \end{aligned}$$

The elliptic properties of the above two BVPs forms the basis of the proof of Theorem 4.6.

*Proof of Theorem 4.6.* We first show that  $P\mathcal{H}_{+,D_+}^k$  is the kernel of the BVP (4.1). First, it is clear that the kernel of BVP (4.1) lies within a subset of  $P\mathcal{H}_{+,D_+}^k$ . Let  $\gamma \in P\mathcal{H}_{+,D_+}^k$  and also let  $\beta \in P^k$  satisfies the boundary conditions of (4.1), i.e. both  $\beta$  and  $\partial_+\beta$  satisfy the  $D_+$  condition. By Green's formula, we have

$$0 = (\partial_+\gamma, \partial_+\beta) + (\partial_+^*\gamma, \partial_+^*\beta) = (\gamma, \Delta_+\beta)$$

By Corollary 4.3, this implies that  $\gamma$  must belong to the kernel of the BVP (4.1). Thus, we conclude that  $P\mathcal{H}_{+,D_+}^k$  is the kernel of BVP (4.1). By Lemma 4.1, we can

conclude that  $P\mathcal{H}_{+,D_+}^k$  is finite-dimensional and smooth. Similar arguments using the BVP in (4.2) will give the analogous result that  $P\mathcal{H}_{+,N_+}^k$  is finite-dimensional and smooth.

To prove the Hodge decomposition 2.(i) in Theorem 4.6, we first write

$$L^2P^k = P\mathcal{H}_{+,D_+}^k \oplus P\mathcal{H}_{+,D_+}^{k,\perp}$$

where  $P\mathcal{H}_{+,D_+}^{k,\perp}$  denotes the orthogonal complement. For any  $\beta \in L^2P^k$ , let  $\gamma$  be its projection to  $P\mathcal{H}_{+,D_+}^k$ . By Lemma 4.1, there exists a unique  $\varphi \in H^2P^k \cap P\mathcal{H}_{+,D_+}^{k,\perp}$  that solves the BVP of (4.1), i.e.  $\Delta_+\varphi = \lambda$  with  $\lambda = \beta - \gamma$ . Therefore, we can write

$$\beta = \gamma + \partial_+(\partial_+^*\varphi) + \partial_+^*(\partial_+\varphi)$$

with  $\gamma \in P\mathcal{H}_{+,D_+}^k$  and  $\partial_+^*\varphi \in H^1P_{D_+}^{k-1}$ . This proves the decomposition. The  $L^2$ -closedness of  $\partial_+H^1P_{D_+}^k$  is implied by this decomposition using standard functional analysis arguments.

The proof for the Hodge decomposition 2.(ii) is analogous to that for 2.(i) but makes use of the BVP (4.2) instead. It remains to prove the decomposition 2.(iii). Our arguments will be similar to those in [15] to prove a similar-type decomposition with respect to the Laplace-de Rham Laplacian  $\Delta_d$ .

By the decompositions of 2.(i) and 2.(ii), we can express any  $\beta \in L^2P^k$  as follows:

$$\begin{aligned}\beta &= \gamma_1 + \partial_+\varphi_1 + \partial_+^*\sigma_1 \\ \beta &= \gamma_2 + \partial_+\varphi_2 + \partial_+^*\sigma_2\end{aligned}$$

where  $\gamma_1 \in P\mathcal{H}_{+,D_+}^k$ ,  $\varphi_1 \in H^1P_{D_+}^{k-1}$ ,  $\sigma_1 \in H^1P^{k+1}$ ,  $\gamma_2 \in P\mathcal{H}_{+,N_+}^k$ ,  $\varphi_2 \in H^1P^{k-1}$  and  $\sigma_2 \in H^1P_{N_+}^{k+1}$ . Now, we define  $\varphi = \beta - \partial_+\varphi_1 - \partial_+^*\sigma_2$ . We will show that  $\varphi \in P\mathcal{H}_+^k$  when  $\beta \in H^1P^k$ . This is because

$$\begin{aligned}(\varphi, \partial_+v) &= (\beta - \partial_+\varphi_1, \partial_+v) = (\beta - \gamma_1 - \partial_+\varphi_1, \partial_+v) = 0, \quad \text{for } v \in H^1P_{D_+}^{k-1}, \\ (\varphi, \partial_+^*v) &= (\beta - \partial_+^*\sigma_2, \partial_+^*v) = (\beta - \gamma_2 - \partial_+^*\sigma_2, \partial_+^*v) = 0, \quad \text{for } v \in H^1P_{N_+}^{k+1},\end{aligned}$$

and  $H^1P_{D_+}^k$  and  $H^1P_{N_+}^k$  are dense in  $H^1P^k$ . Therefore, we obtain

$$H^1P^k = P\mathcal{H}_+^k \oplus \partial_+H^1P_{D_+}^{k-1} \oplus \partial_+^*H^1P_{N_+}^{k+1}.$$

Since  $\partial_+H^1P_{D_+}^{k-1}$  and  $\partial_+^*H^1P_{N_+}^{k+1}$  are closed in the  $L^2$ -topology, the  $L^2$ -decomposition then follows by means of a completion argument.  $\square$

**4.2.2. Fourth-order symplectic Laplacians.** The fourth-order Laplacian  $\Delta_{++}$  has the following Hodge decomposition.

**Theorem 4.10** (Hodge decomposition for  $\Delta_{++}$ ).

1.  $P\mathcal{H}_{+,D_{++}}^n$  and  $P\mathcal{H}_{+,N_+}^n$  are finite-dimensional and smooth;



2. The following decompositions hold:

$$\begin{aligned} \text{(i)} \quad L^2 P^n &= P\mathcal{H}_{+,D_{++}}^n \oplus \partial_+ H^1 P_{D_+}^{n-1} \oplus (\partial_+ \partial_-)^* H^2 P^n; \\ \text{(ii)} \quad L^2 P^n &= P\mathcal{H}_{+,N_+}^n \oplus \partial_+ H^1 P^{n-1} \oplus (\partial_+ \partial_-)^* H^2 P_{N_{--}}^n; \\ \text{(iii)} \quad L^2 P^n &= L^2 P\mathcal{H}_+^n \oplus \partial_+ H^1 P_{D_+}^{n-1} \oplus (\partial_+ \partial_-)^* H^2 P_{N_{--}}^n. \end{aligned}$$

Applying this to  $\mathcal{J}\beta$  gives the Hodge decomposition for  $\Delta_{--}$ .

**Theorem 4.11** (Hodge decomposition for  $\Delta_{--}$ ).

1.  $P\mathcal{H}_{-,D_-}^n$  and  $P\mathcal{H}_{-,N_{--}}^n$  are finite-dimensional and smooth;
2. The following decompositions hold:

$$\begin{aligned} \text{(i)} \quad L^2 P^n &= P\mathcal{H}_{-,D_-}^n \oplus (\partial_+ \partial_-) H^2 P_{D_{++}}^n \oplus \partial_-^* H^1 P^{n-1}; \\ \text{(ii)} \quad L^2 P^n &= P\mathcal{H}_{-,N_{--}}^n \oplus (\partial_+ \partial_-) H^2 P^n \oplus \partial_-^* H^1 P_{N_-}^{n-1}; \\ \text{(iii)} \quad L^2 P^n &= L^2 P\mathcal{H}_-^n \oplus (\partial_+ \partial_-) H^2 P_{D_{++}}^n \oplus \partial_-^* H^1 P_{N_-}^{n-1}. \end{aligned}$$

Similar to the proof of the second-order case, we will introduce two BVPs to prove Theorem 4.10.

**Proposition 4.12.** *The following boundary value problem is elliptic for any  $\beta, \lambda \in P^n$ :*

$$(4.3) \quad \Delta_{++} \beta = [(\partial_+ \partial_-)^* (\partial_+ \partial_-) + (\partial_+ \partial_+^*)^2] \beta = \lambda, \quad \text{on } M$$

$$\begin{cases} \beta \in D_{++}, \\ \partial_+(\rho \partial_+^* \beta) = 0, \\ \partial_+(\rho \partial_+^* \partial_+ \partial_+^* \beta) = 0, \end{cases} \quad \text{on } \partial M.$$

*Proof.* Again following [1, Chapt. 2] and [11, Sec. 20.1], to prove that (4.3) with a fourth-order Laplacian  $\Delta_{++}$  is an elliptic BVP, we need to check that the number of boundary conditions in (4.3) is equal to *two* times the dimension of  $P^n$  at each point. From (3.10), the local decomposition of  $\beta_n \in P^n$  is given by

$$\beta_n = w_1 \wedge \tilde{\beta}_{n-1}^1 + w_2 \wedge \tilde{\beta}_{n-1}^2 + \Theta_{12} \wedge \tilde{\beta}_{n-2}^3,$$

where  $\{\tilde{\beta}^1, \tilde{\beta}^2, \tilde{\beta}^3\}$  are primitive forms that do not have any  $w_1$  or  $w_2$  components. Hence, we need 4 times  $\tilde{\beta}_{n-1}$  conditions and 2 times  $\tilde{\beta}_{n-2}$  conditions. The  $D_{++}$  condition consists of two parts: (a)  $D_{+-}$  condition, i.e.  $\tilde{\beta}_{n-1}^2|_{\partial M} = 0$ , which gives a set of  $\tilde{\beta}_{n-1}$  conditions; (b) the first-order differential condition (3.12) gives another  $\tilde{\beta}_{n-1}$  set of conditions. The other two boundary conditions of (4.3) are both  $D_+$  conditions on primitive  $(n-1)$ -forms. The  $D_+$  condition on  $\beta_k$  imposes both  $\tilde{\beta}_{k-1}^2 = 0$  and  $\tilde{\beta}_k^4 = 0$  on the boundary. Hence, for a primitive  $(n-1)$ -form,  $D_+$  gives a set of  $\tilde{\beta}_{n-2}$  conditions and a set of  $\tilde{\beta}_{n-1}$  conditions. Multiplying by two and adding them to the conditions from  $D_{++}$  give exactly the number of boundary conditions required.  $\square$

By a similar proof, the following BVP is also elliptic.

**Proposition 4.13.** *The following boundary value problem is elliptic for any  $\beta, \lambda \in P^n$ :*

$$(4.4) \quad \begin{aligned} \Delta_{++}\beta &= [(\partial_+\partial_-)^*(\partial_+\partial_-) + (\partial_+\partial_+^*)^2]\beta = \lambda, & \text{on } M \\ \begin{cases} \partial_+^*(\rho\beta) = 0, \\ \partial_+^*(\rho\partial_+\partial_+^*\beta) = 0, \\ \partial_+\partial_-\beta \in N_{--}, \end{cases} & \text{on } \partial M. \end{aligned}$$

With the help of the above two BVPs, we can derive the decompositions in Theorem 4.10 following very similar arguments as that in the proof of Theorem 4.6. For brevity, we will not write out the details. The key here is that the BVP in (4.3) implies the first decomposition and the BVP in (4.4) implies the second one in Theorem 4.10. The third decomposition follows by combining the first two decompositions.

**4.3. Harmonic fields and boundary value problems.** The Hodge decompositions in Section 4.2 can be applied to solve various boundary value problems. We begin first with the Poincaré lemmas.

**Lemma 4.14** (Poincaré lemma for  $\partial_+$ ). *Let  $(\omega, J, g)$  be a compatible triple on a compact symplectic manifold with boundary. Given a primitive form,  $\lambda \in P^k$  with  $k < n$ , there exists a solution  $\beta \in P^{k-1}$  to the equation*

$$\partial_+\beta = \lambda$$

*if and only if  $\lambda$  satisfies the integrability conditions:*

$$(4.5) \quad \partial_+\lambda = 0 \quad \text{and} \quad (\lambda, \gamma) = 0 \quad \text{for all } \gamma \in P\mathcal{H}_{+,N_+}^k.$$

*Proof.* For any  $\lambda \in P^k$  with  $k < n$ , if  $\lambda = \partial_+\beta$ , then clearly  $\lambda$  satisfies the integrability conditions of (4.5). For the converse statement, we make use of the decomposition 2.(ii) of Theorem 4.6 to express

$$\lambda = \gamma' + \partial_+\beta + \partial_+^*\varphi.$$

for some  $\gamma' \in P\mathcal{H}_{+,N_+}^k$ ,  $\beta \in P^{k-1}$ , and  $\varphi \in P_{N_+}^{k+1}$ . The first integrability condition  $\partial_+\lambda = 0$  implies  $\partial_+^*\varphi = 0$  since

$$0 = (\partial_+\lambda, \varphi) = (\partial_+\partial_+^*\varphi, \varphi) = (\partial_+^*\varphi, \partial_+^*\varphi).$$

The condition  $(\lambda, \gamma) = 0$  for any  $\gamma \in P\mathcal{H}_{+,N_+}^k$  implies that  $\gamma' = 0$  since we can just set  $\gamma = \gamma'$  and this would result in  $(\lambda, \gamma') = (\gamma', \gamma') = 0$ . Therefore,  $\lambda = \partial_+\beta$ .  $\square$

Similarly, we have the following Poincaré lemmas for the other symplectic differential operators, which we write down here for completeness.

**Lemma 4.15** (Poincaré lemma for  $\partial_+^*$ ). *Given a  $\lambda \in P^k$  with  $k < n$ , there exists a solution  $\beta \in P^{k+1}$  to the equation*

$$\partial_+^*\beta = \lambda$$

*if and only if  $\lambda$  obeys the integrability conditions:*

$$\partial_+^*\lambda = 0 \quad \text{and} \quad (\lambda, \gamma) = 0 \quad \text{for all } \gamma \in P\mathcal{H}_{+,D_+}^k.$$

**Lemma 4.16** (Poincaré lemma for  $\partial_-$ ). *Given a  $\lambda \in P^k$  and  $k < n$ , there exists a solution  $\beta \in P^{k+1}$  to the equation*

$$\partial_- \beta = \lambda$$

*if and only if  $\lambda$  obeys the integrability conditions:*

$$\partial_- \lambda = 0 \quad \text{and} \quad (\lambda, \gamma) = 0 \quad \text{for all } \gamma \in P\mathcal{H}_{-,N_-}^k.$$

**Lemma 4.17** (Poincaré lemma for  $\partial_-^*$ ). *Given a  $\lambda \in P^k$  and  $k < n$ , there exists a solution  $\beta \in P^{k-1}$  to the equation*

$$\partial_-^* \beta = \lambda$$

*if and only if  $\lambda$  obeys the integrability conditions:*

$$\partial_-^* \lambda = 0 \quad \text{and} \quad (\lambda, \gamma) = 0 \quad \text{for all } \gamma \in P\mathcal{H}_{-,D_-}^k.$$

**Lemma 4.18** (Poincaré lemma for  $\partial_+ \partial_-$ ). *Given a  $\lambda \in P^n$ , there exists a solution  $\beta \in P^n$  to the equation*

$$\partial_+ \partial_- \beta = \lambda$$

*if and only if  $\lambda$  obeys the integrability conditions:*

$$\partial_- \lambda = 0 \quad \text{and} \quad (\lambda, \gamma) = 0 \quad \text{for all } \gamma \in P\mathcal{H}_{-,N_{--}}^n.$$

**Lemma 4.19** (Poincaré lemma for  $(\partial_+ \partial_-)^*$ ). *Given a  $\lambda \in P^n$ , there exists a solution  $\beta \in P^n$  to the equation*

$$(\partial_+ \partial_-)^* \beta = \lambda$$

*if and only if  $\lambda$  obeys the integrability conditions:*

$$\partial_+^* \lambda = 0 \quad \text{and} \quad (\lambda, \gamma) = 0 \quad \text{for all } \gamma \in P\mathcal{H}_{+,D_{++}}^n.$$

Another application of the Hodge decompositions in Section 4.2 is to show by studying certain BVPs that the spaces of harmonic fields,  $P\mathcal{H}_+^k$  and  $P\mathcal{H}_-^k$ , are infinite-dimensional if no boundary condition is imposed. For simplicity, we will just describe the  $k < n$  case below.

**Proposition 4.20.** *Given a pair of primitive forms,  $\lambda \in P^k$  and  $\psi \in P^{k-1}$ , with  $k < n$ , there exists a solution  $\beta \in P^{k-1}$  of the boundary value problem*

$$\begin{aligned} \partial_+ \beta &= \lambda & \text{on } M \\ \partial_+(\rho\beta) &= \partial_+(\rho\psi) & \text{on } \partial M \end{aligned}$$

*if and only if  $\lambda$  and  $\psi$  obey the integrability conditions:*

$$(4.6) \quad \partial_+ \lambda = 0 \quad \text{and} \quad (\lambda, \gamma) = \int_{\partial M} \langle \partial_+(\rho\psi), \gamma \rangle dS \quad \text{for all } \gamma \in P\mathcal{H}_+^k.$$

*Moreover, the solution  $\beta$  can be chosen to satisfy  $\partial_+^* \beta = 0$ .*

*Proof.* If there exists a solution  $\beta \in P^{k-1}$  to the above BVP, then clearly  $\lambda$  and  $\psi$  satisfy the integrability conditions. Conversely, for  $k < n$ , we first decompose  $\lambda$  by the Hodge decomposition 2.(iii) of Theorem 4.6 and write

$$\lambda = \nu + \partial_+ \varphi + \partial_+^* \sigma.$$

where  $\nu \in P\mathcal{H}_+^k$ ,  $\varphi \in P_{D_+}^{k-1}$ , and  $\sigma \in P_{N_+}^{k+1}$ . The first integrability condition  $\partial_+\lambda = 0$  gives the condition that  $\partial_+\partial_+^*\sigma = 0$ , which implies  $\partial_+^*\sigma = 0$  since

$$0 = (\partial_+\partial_+^*\sigma, \sigma) = (\partial_+^*\sigma, \partial_+^*\sigma).$$

The second integrability condition with the presence of  $\psi$  does not imply  $\nu = 0$ . Let us introduce another primitive form  $\tilde{\psi} \in P^{k-1}$  with the property that

$$(4.7) \quad \partial_+(\rho\tilde{\psi})|_{\partial M} = \partial_+(\rho\psi)|_{\partial M} \quad \text{and} \quad \partial_+^*\tilde{\psi} = 0.$$

This is possible since by the Hodge decomposition 2.(i) of Theorem 4.6, we can write

$$\psi = \nu_\psi + \partial_+\varphi_\psi + \partial_+^*\sigma_\psi$$

where  $\nu_\psi \in P\mathcal{H}_{+,D_+}^{k-1}$ ,  $\varphi_\psi \in P_{D_+}^{k-2}$ , and  $\sigma_\psi \in P^k$ . Since  $\partial_+\varphi_\psi \in D_+$  by Proposition 3.16, we can simply set  $\tilde{\psi} = \psi - \partial_+\varphi_\psi$  which then satisfies the two conditions in (4.7).

Let  $\tilde{\lambda} = \partial_+\tilde{\psi}$  and again Hodge decompose  $\tilde{\lambda}$  as we did above for  $\lambda$ :

$$\tilde{\lambda} = \tilde{\nu} + \partial_+\tilde{\varphi}$$

where  $\tilde{\nu} \in P\mathcal{H}_+^k$  and  $\tilde{\varphi} \in P_{D_+}^{k-1}$ . We can now define  $\beta = \varphi + \tilde{\psi} - \tilde{\varphi}$  which satisfies

$$\partial_+\beta = \lambda + \tilde{\nu} - \nu,$$

$$\partial_+(\rho\beta)|_{\partial M} = \partial_+(\rho\psi)|_{\partial M}.$$

The second integrability condition that for any  $\gamma \in P\mathcal{H}_+^k$ ,  $(\lambda, \gamma) = (\partial_+\beta - (\tilde{\nu} - \nu), \gamma) = \int_{\partial M} \langle \partial_+(\rho\psi), \gamma \rangle$  further implies  $\tilde{\nu} - \nu = 0$ . Hence,  $\beta$  is the solution for the boundary value problem. Furthermore,  $\varphi$  and  $\tilde{\varphi}$  can be chosen to be  $\partial_+^*$ -closed just as we argued for the existence of  $\tilde{\psi}$  above. Therefore,  $\beta$  can satisfy  $\partial_+^*\beta = 0$  as well.  $\square$

The BVP of Proposition 4.20 can be easily modified to consider the  $\partial_-$  operator instead of  $\partial_+$ , and also, the dual operators  $\partial_+^*$  and  $\partial_-^*$  as well. For instance, the statement for the dual  $\partial_+^*$  would be as follows:

**Corollary 4.21.** *Given a pair of primitive forms,  $\lambda \in P^{k-1}$  and  $\psi \in P^k$ , with  $0 < k < n$ , there exists a solution  $\beta \in P^k$  of the boundary value problem*

$$\partial_+^*\beta = \lambda \quad \text{on } M$$

$$\partial_+^*(\rho\beta) = \partial_+^*(\rho\psi) \quad \text{on } \partial M$$

if and only if  $\lambda$  and  $\psi$  obey the integrability conditions:

$$(4.8) \quad \partial_+^*\lambda = 0 \quad \text{and} \quad (\lambda, \gamma) = \int_{\partial M} \langle \partial_+^*(\rho\psi), \gamma \rangle dS \quad \text{for all } \gamma \in P\mathcal{H}_+^{k-1}.$$

Moreover, the solution  $\beta$  can be chosen to satisfy  $\partial_+\beta = 0$ .

We now use Corollary 4.21 to prove that the space of harmonic fields without imposing any boundary condition is infinite-dimensional.

**Theorem 4.22.** *On a compact symplectic manifold  $(M, \omega, J, g)$  with smooth boundary, the space  $P\mathcal{H}_+^k$  and  $P\mathcal{H}_-^k$  are infinite-dimensional for  $0 < k < n$ .*

*Proof.* For  $0 < k < n$ , let us consider the boundary map

$$\begin{aligned} \mathcal{B} : P\mathcal{H}_+^k &\longrightarrow \Omega^{k-1}|_{\partial M} \\ \beta &\longrightarrow \partial_+^*(\rho\beta)|_{\partial M}. \end{aligned}$$

By the definition of  $N_+$  in Definition 3.8 (see also Remark 3.2), it is clear that  $\mathcal{B}(\beta) = 0$  if and only if  $\beta \in N_+$ . Therefore,  $\ker \mathcal{B} = P\mathcal{H}_{+,N_+}^k$ , which is finite-dimensional as stated in Theorem 4.6.

Further, we can show that the map  $\mathcal{B}$  is surjective to the space  $\partial_+^*(\rho\partial_+^*P^{k+1})|_{\partial M}$ . That is, for any  $\psi \in \partial_+^*P^{k+1}$ , there is a  $\beta \in P\mathcal{H}_+^k$  such that

$$\begin{aligned} \partial_+\beta &= 0, \quad \partial_+^*\beta = 0, \quad \text{on } M \\ \partial_+^*(\rho\beta) &= \partial_+^*(\rho\psi), \quad \text{on } \partial M. \end{aligned}$$

From Corollary 4.21, such a  $\beta$  exists as long as the two integrability conditions in (4.8) are satisfied. The first trivially holds since we are only interested in the  $\lambda = 0$  case. The second gives the condition

$$(4.9) \quad (\lambda, \gamma) = \int_{\partial M} \langle \partial_+^*(\rho\psi), \gamma \rangle dS = \int_M \langle \partial_+^*\psi, \gamma \rangle dS,$$

for any  $\gamma \in P\mathcal{H}_+^{k-1}$  when  $0 < k < n$ . Clearly, this holds as well since here  $\psi \in \partial_+^*P^{k+1}$  which thus results in a zero on both sides of (4.9). With the kernel of  $\mathcal{B}$  being finite-dimensional while  $\partial_+^*(\rho\partial_+^*P^{k+1})|_{\partial M}$  is infinite-dimensional, we therefore conclude that  $P\mathcal{H}_+^k$  for  $0 < k < n$  must be infinite-dimensional.

Concerning  $P\mathcal{H}_-^k$ , we can make use of the operator  $\mathcal{J}$  defined in Section 2.3. By Lemma 2.7,  $\mathcal{J}$  maps the conditions of  $P\mathcal{H}_+^k$  into the conditions of  $P\mathcal{H}_-^k$ , and hence, it is an isomorphism between the two spaces. This implies that  $P\mathcal{H}_-^k$  for  $0 < k < n$  is infinite-dimensional.  $\square$

## 5. SYMPLECTIC COHOMOLOGY

In this section, we study absolute and relative primitive cohomologies on compact symplectic manifolds with boundary.

**5.1. Absolute primitive cohomologies.** Recall the symplectic elliptic complex reviewed in Section 2:

$$(5.1) \quad \begin{array}{ccccccccccc} 0 & \xrightarrow{\partial_+} & P^0 & \xrightarrow{\partial_+} & P^1 & \xrightarrow{\partial_+} & \dots & \xrightarrow{\partial_+} & P^{n-1} & \xrightarrow{\partial_+} & P^n \\ & & & & & & & & & & \downarrow \partial_+\partial_- \\ 0 & \xleftarrow{\partial_-} & P^0 & \xleftarrow{\partial_-} & P^1 & \xleftarrow{\partial_-} & \dots & \xleftarrow{\partial_-} & P^{n-1} & \xleftarrow{\partial_-} & P^n \end{array}$$

Tseng and Yau studied the cohomologies of this complex in [22], which we shall write as follows:

$$\begin{aligned} PH_+^k(M) &= \frac{\ker \partial_+ \cap P^k(M)}{\partial_+ P^{k-1}(M)}, \quad \text{for } k = 0, 1, 2, \dots, n-1, \\ PH_+^n(M) &= \frac{\ker \partial_+ \partial_- \cap P^n(M)}{\partial_+ P^{n-1}(M)}, \\ PH_-^n(M) &= \frac{\ker \partial_- \cap P^n(M)}{\partial_+ \partial_- P^n(M)}, \\ PH_-^k(M) &= \frac{\ker \partial_- \cap P^k(M)}{\partial_- P^{k+1}(M)}, \quad \text{for } k = 0, 1, 2, \dots, n-1. \end{aligned}$$

On closed manifolds, the ellipticity of the complex (5.1) implies that the above cohomologies are finite-dimensional. (For their properties in the closed manifold case, see [19, 22].) In fact, the finite-dimensionality extends to the case of manifolds with boundary as we explained in the below proposition, where we also give a simple algebraic proof that the index of the elliptic complex (5.1) is always zero.

**Proposition 5.1.** *On a compact symplectic manifold with boundary, the corresponding cohomologies of primitive elliptic complex of (5.1) are finite-dimensional and the index of the complex is zero.*

*Proof.* We recall the following isomorphisms from [19] which hold on symplectic manifolds with boundary:

$$(5.2) \quad PH_+^k(M) \cong \text{coker}[L: H^{k-2}(M) \rightarrow H^k(M)] \oplus \ker[L: H^{k-1}(M) \rightarrow H^{k+1}(M)]$$

$$(5.3) \quad PH_-^k(M) \cong \text{coker}[L: H^{2n-k-1}(M) \rightarrow H^{2n-k+1}(M)] \\ \oplus \ker[L: H^{2n-k}(M) \rightarrow H^{2n-k+2}(M)]$$

Since the de Rham cohomology  $H^*(M)$  is finite-dimensional for a manifold with boundary, the kernels and the cokernels of  $L: H^*(M) \rightarrow H^*(M)$  are also finite-dimensional. Therefore, the isomorphisms (5.2)-(5.3) above imply that  $PH_+^k(M)$  and  $PH_-^k(M)$  are both finite-dimensional, for  $0 \leq k \leq n$ .

Consider the index of this complex:

$$\text{index} = \sum_{k=0}^n (-1)^k \dim PH_+^k(M) - \sum_{k=0}^n (-1)^k \dim PH_-^k(M).$$

Since the Lefschetz map is a linear map on  $H^*$ , we have the linear relation

$$(5.4) \quad \dim \text{coker } L|_{H^j} - \dim \ker L|_{H^j} = \dim H^{j+2} - \dim H^j.$$

Together with the isomorphism (5.2) above, this imply

$$\begin{aligned} \dim PH_+^k &= \dim \text{coker } L|_{H^{k-2}} + \dim \ker L|_{H^{k-1}} \\ &= \dim H^k - \dim H^{k-2} + \dim \ker L|_{H^{k-2}} + \dim \ker L|_{H^{k-1}} \end{aligned}$$

Note that for  $k = 0, 1$ , this gives

$$\begin{aligned}\dim PH_+^0 &= \dim H^0, \\ \dim PH_+^1 &= \dim H^1 + \dim \ker L|_{H^0}.\end{aligned}$$

The alternating sum of  $\dim PH_+^k$  results in

$$(5.5) \quad \sum_{k=0}^n (-1)^k \dim PH_+^k = (-1)^n (\dim H^n + \dim \ker L|_{H^{n-1}}) + (-1)^{n-1} \dim H^{n-1}.$$

Similarly, for  $PH_-^k$ , we have

$$\begin{aligned}\dim PH_-^k &= \dim \operatorname{coker} L|_{H^{2n-k-1}} + \dim \ker L|_{H^{2n-k}} \\ &= \dim \operatorname{coker} L|_{H^{2n-k-1}} + \dim \operatorname{coker} L|_{H^{2n-k}} + \dim H^{2n-k} - \dim H^{2n-k+2}\end{aligned}$$

with

$$\begin{aligned}\dim PH_-^0 &= \dim H^{2n}, \\ \dim PH_-^1 &= \dim H^{2n-1} + \dim \operatorname{coker} L|_{H^{2n-2}}.\end{aligned}$$

This results in the alternating sum

$$(5.6) \quad \sum_{k=0}^n (-1)^k \dim PH_-^k = (-1)^n (\dim \operatorname{coker} L|_{H^{n-1}} + \dim H^n) + (-1)^{n-1} \dim H^{n+1}.$$

Subtracting (5.6) from (5.5) and then applying again the relation (5.4), we obtain that the index is zero.  $\square$

Now for each primitive absolute cohomology, we can identify a unique harmonic field representative for each cohomology class. This follows immediately from the following Hodge decompositions for  $k < n$ ,

$$\begin{aligned}P^k &= P\mathcal{H}_{+,N_+}^k \oplus \partial_+ P^{k-1} \oplus \partial_+^* P_{N_+}^{k+1}, \\ P^k &= P\mathcal{H}_{-,N_-}^k \oplus \partial_- P^{k+1} \oplus \partial_-^* P_{N_-}^{k-1},\end{aligned}$$

from Theorems 4.6.2.(ii) and 4.7.2.(ii), respectively, and in the case of  $k = n$ ,

$$\begin{aligned}P^n &= P\mathcal{H}_{+,N_+}^n \oplus \partial_+ P^{n-1} \oplus (\partial_+ \partial_-)^* P_{N_{--}}^n, \\ P^n &= P\mathcal{H}_{-,N_-}^n \oplus (\partial_+ \partial_-) P^n \oplus \partial_-^* P_{N_-}^{n-1},\end{aligned}$$

from Theorems 4.10.2.(ii) and 4.11.2.(ii). These four decompositions immediately gives an isomorphism between absolute primitive cohomology and the space of harmonic fields with  $\{N_+, N_-, N_{--}\}$  boundary conditions.

**Theorem 5.2.** *Let  $(M, \omega)$  be a compact symplectic manifold with a smooth boundary. Let  $(\omega, J, g)$  be a compatible triple on  $M$ . Then there are isomorphisms:*

$$(5.7) \quad PH_+^k(M) \cong P\mathcal{H}_{+,N_+}^k(M), \quad PH_-^k(M) \cong P\mathcal{H}_{-,N_-}^k(M),$$

for  $k < n$  and

$$(5.8) \quad PH_+^n(M) \cong P\mathcal{H}_{+,N_+}^n(M), \quad PH_-^n(M) \cong P\mathcal{H}_{-,N_-}^n(M).$$

Note that Theorem 5.2 also implies the finiteness of the absolute primitive cohomologies since the spaces of harmonic fields on the right hand side of the isomorphisms in (5.7)-(5.8) are all finite-dimensional following Theorems 4.6, 4.7, 4.10, 4.11. More noteworthy, the above isomorphisms demonstrates that the dimensions of  $P\mathcal{H}_{+,N_+}^k(M)$ ,  $P\mathcal{H}_{-,N_-}^k(M)$ , for  $k < n$ , and the dimensions of  $P\mathcal{H}_{+,N_+}^n(M)$ ,  $P\mathcal{H}_{-,N_-}^n(M)$  are all symplectic invariants and independent of the metric needed to define harmonic fields. In fact, the dimensions of the primitive harmonic fields with Dirichlet-type boundary conditions are also symplectic invariants. This follows from Lemmas 2.7 and 3.15 which imply that the operator  $\mathcal{J}$  induces the following isomorphisms on harmonic fields:

$$(5.9) \quad P\mathcal{H}_{+,D_+}^k(M) \cong P\mathcal{H}_{-,N_-}^k(M), \quad P\mathcal{H}_{-,D_-}^k(M) \cong P\mathcal{H}_{+,N_+}^k(M),$$

for degree  $k < n$  and

$$(5.10) \quad P\mathcal{H}_{+,D_{++}}^n(M) \cong P\mathcal{H}_{-,N_{--}}^n(M), \quad P\mathcal{H}_{-,D_-}^n(M) \cong P\mathcal{H}_{+,N_+}^n(M).$$

Therefore, the space of harmonic fields with symplectic boundary conditions, i.e.  $D_{\pm}$ ,  $N_{\pm}$ ,  $D_{++}$ , and  $N_{--}$ , represent symplectic invariants.

**5.2. Relative primitive cohomologies.** For manifolds with boundary, the de Rham complex can be restricted to forms that satisfy the Dirichlet boundary condition

$$0 \longrightarrow \Omega_D^0 \xrightarrow{d} \Omega_D^1 \xrightarrow{d} \Omega_D^2 \xrightarrow{d} \dots$$

The cohomology associated with this elliptic complex,

$$H^k(M, \partial M) = \frac{\ker d \cap \Omega_D^k}{d\Omega_D^{k-1}}, \quad \text{for } k = 0, 1, \dots, 2n,$$

is called the relative cohomology with respect to the boundary since  $\Omega_D^*$  consists of forms that vanish when pulled-back to the boundary manifold  $\partial M$ .

For primitive forms with boundary conditions, we can write down the following differential complex:

$$(5.11) \quad \begin{array}{ccccccccccc} 0 & \longrightarrow & P_{D_+}^0 & \xrightarrow{\partial_+} & P_{D_+}^1 & \xrightarrow{\partial_+} & \dots & \xrightarrow{\partial_+} & P_{D_+}^{n-1} & \xrightarrow{\partial_+} & P_{D_{++}}^n \\ & & & & & & & & & & \downarrow \partial_+ \partial_- \\ 0 & \xleftarrow{\partial_-} & P_{D_-}^0 & \xleftarrow{\partial_-} & P_{D_-}^1 & \xleftarrow{\partial_-} & \dots & \xleftarrow{\partial_-} & P_{D_-}^{n-1} & \xleftarrow{\partial_-} & P_{D_-}^n. \end{array}$$

By Lemmas 3.16 and 3.17, this complex is well-defined. For instance,  $\partial_+$  preserves the boundary condition  $D_+$ ,  $\partial_-$  preserves  $D_-$ , and  $\partial_+ \partial_-$  maps a primitive form with  $D_{++}$  condition into one with  $D_-$  condition. In analogy with the relative de Rham complex which imposes the Dirichlet boundary condition on forms, we call the cohomologies corresponding to the complex (5.11) *relative primitive cohomologies*



and denote them by

$$\begin{aligned}
PH_+^k(M, \partial M) &= \frac{\ker \partial_+ \cap P_{D_+}^k(M)}{\partial_+ P_{D_+}^{k-1}(M)}, \quad \text{for } k = 0, 1, 2, \dots, n-1, \\
PH_+^n(M, \partial M) &= \frac{\ker \partial_+ \partial_- \cap P_{D_{++}}^n(M)}{\partial_+ P_{D_+}^{n-1}(M)}, \\
PH_-^n(M, \partial M) &= \frac{\ker \partial_- \cap P_{D_-}^n(M)}{\partial_+ \partial_- P_{D_{++}}^n(M)}, \\
PH_-^k(M, \partial M) &= \frac{\ker \partial_- \cap P_{D_-}^k(M)}{\partial_- P_{D_-}^{k+1}(M)}, \quad \text{for } k = 0, 1, 2, \dots, n-1.
\end{aligned}$$

We emphasize that the standard Dirichlet and Neumann boundary conditions are not suitable here since they are not preserved by the differential operators  $(\partial_+, \partial_-)$  in this complex.

Using the decompositions we obtained in Section 4.2, we can immediately show that the relative cohomologies are isomorphic to the spaces of harmonic fields with  $D_+, D_-$ , or  $D_{++}$  boundary conditions.

**Theorem 5.3.** *Let  $(M, \omega)$  be a compact symplectic manifold with a smooth boundary. Let  $(\omega, J, g)$  be a compatible triple on  $M$ . We have the following isomorphisms:*

$$(5.12) \quad PH_+^k(M, \partial M) \cong P\mathcal{H}_{+, D_+}^k(M), \quad PH_-^k(M, \partial M) \cong P\mathcal{H}_{-, D_-}^k(M),$$

for  $k < n$  and

$$(5.13) \quad PH_+^n(M, \partial M) \cong P\mathcal{H}_{+, D_{++}}^n(M), \quad PH_-^n(M, \partial M) \cong P\mathcal{H}_{-, D_-}^n(M).$$

*Proof.* The isomorphisms follows directly from the following Hodge decompositions:

$$\begin{aligned}
P^k &= P\mathcal{H}_{D_+}^k \oplus \partial_+ P_{D_+}^{k-1} \oplus \partial_+^* P^{k+1}, \\
P^k &= P\mathcal{H}_{D_-}^k \oplus \partial_- P_{D_-}^{k+1} \oplus \partial_-^* P^{k-1},
\end{aligned}$$

of Theorem 4.6.2.(i) and Theorem 4.7.2.(i), respectively, in the case of  $k < n$ , and for  $k = n$

$$\begin{aligned}
P^n &= P\mathcal{H}_{+, D_{++}}^n \oplus \partial_+ P_{D_+}^{n-1} \oplus (\partial_+ \partial_-)^* P^n, \\
P^n &= P\mathcal{H}_{-, D_-}^n \oplus (\partial_+ \partial_-) P_{D_{++}}^n \oplus \partial_-^* P^{n-1},
\end{aligned}$$

of Theorem 4.10.2.(i) and Theorem 4.11.2.(i) □

Interestingly, the relative primitive cohomology is naturally paired with the absolute primitive cohomology.

**Theorem 5.4.** *On a compact symplectic manifold  $(M, \omega)$  with smooth boundary  $\partial M$ , we have the following for  $k = 0, 1, \dots, n$ ,*

$$(5.14) \quad PH_+^k(M) \cong PH_-^k(M, \partial M), \quad PH_-^k(M) \cong PH_+^k(M, \partial M),$$

and the corresponding non-degenerate pairings

$$(5.15) \quad \begin{aligned} PH_+^k(M) \otimes PH_-^k(M, \partial M) &\longrightarrow \mathbb{R} \\ [\beta] \otimes [\lambda] &\longrightarrow (-1)^{\frac{k(k+1)}{2}} \int_M \frac{\omega^{n-k}}{(n-k)!} \wedge \beta \wedge \lambda \end{aligned}$$

$$(5.16) \quad \begin{aligned} PH_-^k(M) \otimes PH_+^k(M, \partial M) &\longrightarrow \mathbb{R} \\ [\beta] \otimes [\lambda] &\longrightarrow (-1)^{\frac{k(k+1)}{2}} \int_M \frac{\omega^{n-k}}{(n-k)!} \wedge \beta \wedge \lambda \end{aligned}$$

*Proof.* The isomorphisms between absolute and relative primitive cohomologies are obtained by the following: (i) isomorphisms of the cohomologies with the corresponding harmonic field spaces given in Theorems 5.2 and 5.3; (ii) the isomorphisms between the harmonic fields (5.9)-(5.10).

Regarding the pairing, we shall give the arguments for the first pairing (5.27) as that for the second pairing (5.16) are similar. Let  $(\omega, J, g)$  be a compatible triple. We recall first the relation for primitive forms under the action of the Hodge star operator  $*$  with respect to the metric  $g$  (see e.g. [22]):

$$* \lambda_k = (-1)^{\frac{k(k+1)}{2}} \frac{\omega^{n-k}}{(n-k)!} \wedge \mathcal{J}(\lambda_k),$$

where  $\lambda_k \in P^k$  and  $\mathcal{J}$  is the conjugate operator defined in (2.7) with respect to  $J$ . Using this, we can re-write the integral in (5.27) as

$$(-1)^{\frac{k(k+1)}{2}} \int_M \beta \wedge \frac{\omega^{n-k}}{(n-k)!} \wedge \lambda = \int_M \beta \wedge * \mathcal{J}^{-1}(\lambda) = (\mathcal{J}\beta, \lambda).$$

We show that the pairing (5.27) is well-defined, that is, the integral only depends on the cohomology classes. Consider first taking  $\beta + \partial_+ \varphi$  as the representative of  $PH_+^k(M)$  with  $\varphi \in P^{k-1}$ . The additional  $\partial_+$ -exact term has no contribution since

$$\begin{aligned} (\mathcal{J}\partial_+ \varphi, \lambda) &= (\mathcal{J}\partial_+ \mathcal{J}^{-1}(\mathcal{J}\varphi), \lambda) = (\partial_-^*(n-k+1)\mathcal{J}\varphi, \lambda) \\ &= (n-k+1) \left[ (\mathcal{J}\varphi, \partial_- \lambda) - \int_{\partial M} \langle \mathcal{J}\varphi, \sigma_{\partial_-}(d\rho)\lambda \rangle dS \right] = 0, \end{aligned}$$

where, in the first line, the conjugate relation between  $\partial_+$  and  $\partial_-^*$  of Lemma 2.7 was used, and the second line vanishes since  $\lambda \in D_-$  and also  $\partial_-$ -closed. Alternatively, if we consider instead the representative  $\lambda + \partial_- \sigma$  for  $PH_-^k(M, \partial M)$  with  $\sigma \in P_{D_-}^{k+1}$  and  $k < n$ , or  $\lambda + \partial_+ \partial_- \sigma$  for  $PH_-^n(M, \partial M)$  with  $\sigma \in P_{D_{++}}^n$ , then the additional contribution would be

$$(\mathcal{J}\beta, \partial_- \sigma) = (\partial_-^*(\mathcal{J}\beta), \sigma) = 0,$$

or

$$(\mathcal{J}\beta, \partial_+ \partial_- \sigma) = (\partial_+^* \partial_-^*(\mathcal{J}\beta), \sigma) = 0,$$

which similarly vanishes since  $\partial_+\beta = 0$  implies that  $\partial_-^*(\mathcal{J}\beta) = 0$  (again using Lemma 2.7) and the boundary condition on  $\sigma$ . Clearly, the exact terms do not contribute to the integral, and therefore, the pairing only depends on the cohomology classes.

To show non-degeneracy, we use the isomorphisms in (5.7)-(5.8) and (5.12)-(5.13) to choose  $\beta \in PH_+^k(M)$  and  $\lambda \in PH^k(M, \partial M)$  to be the harmonic representatives of their respective cohomology classes, i.e.  $\beta \in P\mathcal{H}_{+,N_+}^k(M)$  and  $\lambda \in P\mathcal{H}_{-,D_-}^k(M)$ . Further, if we take  $\lambda = \mathcal{J}\beta$ , then the pairing becomes

$$\beta \otimes \lambda \rightarrow (\mathcal{J}\beta, \mathcal{J}\beta) = \|\mathcal{J}\beta\|^2,$$

which is non-zero as long as  $\beta \neq 0$ .  $\square$

**5.3. Relative Lefschetz maps.** Recall that the kernels and cokernels of the Lefschetz maps

$$L : H^k(M) \rightarrow H^{k+2}(M)$$

can be characterized by various primitive cohomologies as in (5.2)-(5.3). But with  $\partial M$  not vanishing, we can additionally consider studying Lefschetz maps on forms with boundary conditions. In fact, Lefschetz maps on  $\Omega_D^*$ , i.e. forms with the Dirichlet boundary condition, are well-defined since

$$L : \Omega_D^k \rightarrow \Omega_D^{k+2}.$$

To see this, suppose  $\eta \in \Omega_D^k$ , that is  $w_1 \wedge \eta|_{\partial M} = 0$  where locally  $w_1 = d\rho$ . Then, clearly  $L(\eta) = \omega \wedge \eta \in \Omega_D^{k+2}$  since

$$w_1 \wedge L(\eta)|_{\partial M} = \omega \wedge (w_1 \wedge \eta)|_{\partial M} = 0.$$

With this property, we can ask whether the short exact sequences of Lefschetz maps on  $\Omega^*$  without any boundary condition in [19]

$$(5.17) \quad \begin{array}{ccccccc} 0 & \longrightarrow & \Omega^{k-2} & \xrightarrow{L} & \Omega^k & \xrightarrow{\Pi} & P^k \longrightarrow 0, \\ 0 & \longrightarrow & \Omega^{n-1} & \xrightarrow{L} & \Omega^{n+1} & \longrightarrow & 0 \\ 0 & \longrightarrow & P^k & \xrightarrow{*_r} & \Omega^{2n-k} & \xrightarrow{L} & \Omega^{2n-k+2} \longrightarrow 0 \end{array}$$

for  $k = 0, 1, \dots, n$ , have analogues when the Dirichlet boundary condition is imposed. It turns out that most but not all of the exact sequences above can be extended to the Dirichlet boundary condition case. Let us first describe when Lefschetz maps on  $\Omega_D^*$  are injective or surjective.

**Lemma 5.5.** *On a symplectic manifold  $(M^{2n}, \omega)$  with non-trivial boundary, the Lefschetz maps have the following properties:*

- $L : \Omega_D^{k-2} \rightarrow \Omega_D^k$  is injective for  $2 \leq k \leq n+1$ ;
- $L : \Omega_D^{2n-k} \rightarrow \Omega_D^{2n-k+2}$  is surjective for  $2 \leq k \leq n$ .

*Proof.* The injective property follows from the first two exact sequences of (5.17) and that  $L : \Omega_D^{k-2} \rightarrow \Omega_D^k$  is well-defined. For the surjective property, we need to show that for any  $\eta \in \Omega_D^{2n-k+2}$  and  $2 \leq k \leq n$ , there is an  $u \in \Omega_D^{2n-k}$  such that

$L(u) = \eta$ . But already, the third sequence of (5.17) gives surjectivity when no boundary condition is imposed. Hence, we only need to demonstrate surjectivity of the Lefschetz map at local neighborhoods of the boundary  $\partial M$  with the Dirichlet boundary condition added. For this near boundary analysis, it suffice to work in the local Darboux basis  $\{w_j\}$  of one-forms from Section 3.2.3.

First note that we can decompose a  $(2n - k + 2)$ -form,  $\eta$ , in the following way:

$$(5.18) \quad \eta = \omega^{n-k+2} \wedge (\beta_{k-2} + \omega \wedge \xi_{k-4})$$

where  $\beta_{k-2} \in P^{k-2}$  and  $\xi_{k-4} \in \Omega^{k-4}$ . That  $\eta \in \Omega_D^{2n-k+2}$  imposes the condition

$$(5.19) \quad 0 = w_1 \wedge \eta |_{\partial M} = \omega^{n-k+2} \wedge (w_1 \wedge \beta_{k-2} + \omega \wedge w_1 \wedge \xi_{k-4}) |_{\partial M}.$$

Let us focus on the  $w_1 \wedge \beta_{k-2} |_{\partial M}$  term in (5.19). We apply the local decomposition of (3.10) to  $\beta_{k-2}$ :

$$(5.20) \quad \beta_{k-2} = w_1 \wedge \tilde{\beta}_{k-3}^1 + w_2 \wedge \tilde{\beta}_{k-3}^2 + \Theta_{12} \wedge \tilde{\beta}_{k-4}^3 + \tilde{\beta}_{k-2}^4$$

where the primitive forms  $\tilde{\beta}^i$ 's here do not have any components in  $w_1$  or  $w_2$ . Then

$$\begin{aligned} w_1 \wedge \beta_{k-2} |_{\partial M} &= (w_1 \wedge w_2 \wedge \tilde{\beta}_{k-3}^2 + w_1 \wedge \Theta_{12} \wedge \tilde{\beta}_{k-4}^3 + w_1 \wedge \tilde{\beta}_{k-2}^4) |_{\partial M} \\ &= \left( w_1 \wedge \tilde{\beta}_{k-2}^4 + \left[ \frac{H+1}{H+2} \Theta_{12} + \frac{1}{H+2} \omega \right] \wedge \tilde{\beta}_{k-3}^2 + w_1 \wedge \Theta_{12} \wedge \tilde{\beta}_{k-4}^3 \right) |_{\partial M} \end{aligned}$$

Substituting the above expression into (5.19), implies that  $\tilde{\beta}_{k-3}^2 |_{\partial M} = 0$ , since a non-vanishing  $\tilde{\beta}_{k-3}^2$  would lead to terms that can not be cancelled out by the second term in (5.19) which must contain a  $w_1$ . Therefore, if we write

$$(5.21) \quad w_1 \wedge \beta_{k-2} |_{\partial M} = (\varphi_{k-1} + \omega \wedge \varphi_{k-3}) |_{\partial M}$$

where  $\varphi_{k-1}, \varphi_{k-3}$  are primitive forms, then

$$\begin{aligned} \varphi_{k-1} |_{\partial M} &= w_1 \wedge \tilde{\beta}_{k-2}^4 |_{\partial M} \\ \omega \wedge \varphi_{k-3} |_{\partial M} &= w_1 \wedge \Theta_{12} \wedge \tilde{\beta}_{k-4}^3 |_{\partial M}. \end{aligned}$$

Note that (5.19) imposes no condition on  $\tilde{\beta}_{k-2}^4$  along  $\partial M$ , since by primitivity,  $\omega^{n-k+2} \wedge \varphi_{k-1} = 0$ . On the other hand, for  $\tilde{\beta}_{k-4}^3$ , (5.19) implies

$$(5.22) \quad (w_1 \wedge \Theta_{12} \wedge \tilde{\beta}_{k-4}^3 + \omega \wedge w_1 \wedge \xi_{k-4}) |_{\partial M} = 0.$$

We can now write down a  $u \in \Omega_D^{2n-k}$  such that  $L(u) = \eta$ . Define

$$u = \omega^{n-k} \wedge (\beta_k + \omega \wedge \beta_{k-2} + \omega^2 \wedge \xi_{k-4}),$$

where  $\beta_{k-2}$  and  $\xi_{k-4}$  are those in (5.18) and  $\beta_k \in P^k$  is a primitive  $k$ -form with its value on the boundary specified by  $\beta_{k-2}$ :

$$\begin{aligned} \beta_k |_{\partial M} &= (H+2) \sigma(\partial_+ \partial_-^*) (d\rho) \beta_{k-2} |_{\partial M} \\ &= (H+2) \Pi(w_1 \wedge w_2 \wedge \beta_{k-2}) |_{\partial M} \\ (5.23) \quad &= (H+1) \Theta_{12} \wedge \tilde{\beta}_{k-2}^4 |_{\partial M} \end{aligned}$$

where in the second line, we have noted that  $\sigma(\partial_+\partial_-^*)(d\rho)\beta_{k-2} = \Pi(w_1 \wedge w_2 \wedge \beta_{k-2})$ , and in the third line, we have substituted in the decomposition of (5.20). Clearly,

$$L(u) = \omega^{n-k+1} \wedge (\beta_k + \omega \wedge \beta_{k-2} + \omega^2 \wedge \xi_{k-4}) = \omega^{n-k+2} \wedge (\beta_{k-2} + \omega \wedge \xi_{k-4}) = \eta.$$

Moreover, we can check that  $u$  also satisfies the Dirichlet boundary condition:

$$\begin{aligned} w_1 \wedge u|_{\partial M} &= \omega^{n-k} \wedge (w_1 \wedge \beta_k + \omega \wedge [w_1 \wedge \beta_{k-2}] + \omega^2 \wedge w_1 \wedge \xi_{k-4})|_{\partial M} \\ &= \omega^{n-k} \wedge (-w_1 \wedge \omega \wedge \tilde{\beta}_{k-2}^4 + \omega \wedge [w_1 \wedge \tilde{\beta}_{k-2}^4 + w_1 \wedge \Theta_{12} \wedge \tilde{\beta}_{k-4}^3] \\ &\quad \omega^2 \wedge w_1 \wedge \xi_{k-4})|_{\partial M} \\ &= 0, \end{aligned}$$

having applied (5.20)-(5.23).  $\square$

The injectivity and surjectivity of the Lefschetz maps on  $\Omega_D^*$  can be incorporated into the following exact sequences.

**Proposition 5.6.** *The following sequences are exact for  $0 \leq k < n$ :*

$$\begin{array}{ccccccccc} 0 & \longrightarrow & \Omega_D^{k-2} & \xrightarrow{L} & \Omega_D^k & \xrightarrow{\Pi} & P_{D_+}^k & \longrightarrow & 0 \\ 0 & \longrightarrow & \Omega_D^{n-2} & \xrightarrow{L} & \Omega_D^n & \xrightarrow{\Pi} & P_{D_+}^n & \longrightarrow & 0 \\ 0 & \longrightarrow & P_{D_-}^n & \xrightarrow{*_r} & \Omega_D^n & \xrightarrow{L} & \Omega_D^{n+2} & \longrightarrow & 0 \\ 0 & \longrightarrow & P_{D_-}^k & \xrightarrow{*_r} & \Omega_D^{2n-k} & \xrightarrow{L} & \Omega_D^{2n-k+2} & \longrightarrow & 0 \end{array}$$

*Proof.* By Proposition 3.19 and Lemma 5.5, these sequences are well-defined. To see the exactness of the first two set of sequences, we only need to show that  $\ker \Pi|_{\Omega_D^k} \subset L(\Omega_D^{k-2})$  for  $k \leq n$ . In this case, consider for any  $\eta \in \Omega_D^k$  such that  $\Pi \eta = 0$ . Then we can write  $\eta = \omega \wedge \xi$  for some  $\xi \in \Omega^{k-2}$ . Since  $\eta \in D$ , this gives the condition

$$(5.24) \quad w_1 \wedge \eta|_{\partial M} = \omega \wedge (w_1 \wedge \xi)|_{\partial M} = 0$$

But by (5.17),  $L$  is injective when acting on  $\Omega^j$  for  $j \leq n-1$ . Hence, (5.24) implies that  $w_1 \wedge \xi|_{\partial M} = 0$  or  $\xi \in \Omega_D^{k-2}$ .

To see the exactness of the third and the fourth set of sequences, we only need to show that  $\ker L|_{\Omega_D^{2n-k}} \subset *_r(P_{D_-}^k)$  when  $k \leq n$ . Let now  $\eta \in \Omega_D^{2n-k}$  for  $k \leq n$  such that  $\omega \wedge \eta = 0$ . Then by the third exact sequence of (5.17), there exists an  $\xi \in P^k$  such that  $\eta = *_r \xi = \omega^{n-k} \wedge \xi$ . Here, it is convenient to express the  $D$  boundary condition on  $\eta$  differentially as  $d(\rho \eta)|_{\partial M} = 0$  as described in Remark 3.2. This implies

$$\begin{aligned} 0 &= d(\rho \eta)|_{\partial M} = d(\rho [\omega^{n-k} \wedge \xi])|_{\partial M} = \omega^{n-k} \wedge d(\rho \xi)|_{\partial M} \\ &= \omega^{n-k} \wedge [\partial_+(\rho \xi) + \omega \wedge \partial_-(\rho \xi)]|_{\partial M} \\ &= \omega^{n-k+1} \wedge \partial_-(\rho \xi)|_{\partial M} = *_r \partial_-(\rho \xi)|_{\partial M} \end{aligned}$$

Hence, we obtain  $\xi \in P_{D_-}^k$ .  $\square$

**Remark 5.7.** *With Proposition 5.6, we have reproduced with boundary conditions the top and the bottom exact sequences of (5.17). However, for the middle sequence, Lemma 5.5 tells us that*

$$L: \Omega_D^{n-1} \rightarrow \Omega_D^{n+1},$$

*is injective, but not surjective in general. We will see this in the discussion of examples in next section.*

That the Lefschetz operator  $L$  has a well-defined action on  $\Omega_D^*$  allows us to consider the action of Lefschetz maps on relative de Rham cohomologies which are defined over  $\Omega_D^*$ :

$$L: H^k(M, \partial M) \rightarrow H^{k+2}(M, \partial M).$$

These Lefschetz maps turn out to be related to the relative primitive cohomologies  $PH^*(M, \partial M)$  analogous to the absolute case. Immediately, from the short exact sequences of Proposition 5.6, we can write down two commutative diagrams:

$$\begin{array}{ccccccccc}
& & & \vdots & & \vdots & & & \\
0 & \longrightarrow & \Omega_D^0 & \xrightarrow{L} & \Omega_D^2 & \xrightarrow{\Pi} & P_{D_+}^2 & \longrightarrow & 0 \\
& & \downarrow d & & \downarrow d & & \downarrow \partial_+ & & \\
& & \vdots & & \vdots & & \vdots & & \\
& & \downarrow d & & \downarrow d & & \downarrow \partial_+ & & \\
0 & \longrightarrow & \Omega_D^{n-3} & \xrightarrow{L} & \Omega_D^{n-1} & \xrightarrow{\Pi} & P_{D_+}^{n-1} & \longrightarrow & 0 \\
& & \downarrow d & & \downarrow d & & \downarrow \partial_+ & & \\
0 & \longrightarrow & \Omega_D^{n-2} & \xrightarrow{L} & \Omega_D^n & \xrightarrow{\Pi} & P_{D_{+-}}^n & \longrightarrow & 0
\end{array}$$

and

$$\begin{array}{ccccccccc}
0 & \longrightarrow & P_{D_-}^n & \xrightarrow{*r} & \Omega_D^n & \xrightarrow{L} & \Omega_D^{n+2} & \longrightarrow & 0 \\
& & \downarrow \partial_- & & \downarrow d & & \downarrow d & & \\
0 & \longrightarrow & P_{D_-}^{n-1} & \xrightarrow{*r} & \Omega_D^{n+1} & \xrightarrow{L} & \Omega_D^{n+3} & \longrightarrow & 0 \\
& & \downarrow \partial_- & & \downarrow d & & \downarrow d & & \\
& & \vdots & & \vdots & & \vdots & & \\
& & \downarrow \partial_- & & \downarrow d & & \downarrow d & & \\
0 & \longrightarrow & P_{D_-}^2 & \xrightarrow{*r} & \Omega_D^{2n-2} & \xrightarrow{\Pi} & \Omega_D^n & \longrightarrow & 0 \\
& & \vdots & & \vdots & & & & 
\end{array}$$

These two commutative diagrams imply two long exact sequences of cohomologies linking  $PH_{\pm}^k(M, \partial M)$  with Lefschetz maps on  $H^*(M, \partial M)$  for  $k < n$ . However, by Remark 5.7, we are not able to extend the long exact sequence of cohomologies through  $PH_{\pm}^n(M, \partial M)$  with Lefschetz maps. To relate  $PH_{\pm}^*(M, \partial M)$  with Lefschetz maps on  $H^*(M, \partial M)$  for all  $k = 0, 1, \dots, n$ , we will make use of harmonic fields as in the proof of the theorem below.

**Theorem 5.8.** *On a symplectic manifold  $(M^{2n}, \omega)$  with non-trivial boundary  $\partial M$ , we have the following isomorphisms:*

$$\begin{aligned} PH_+^k(M, \partial M) &\cong \text{coker}[L: H^{k-2}(M, \partial M) \rightarrow H^k(M, \partial M)] \\ &\quad \oplus \ker[L: H^{k-1}(M, \partial M) \rightarrow H^{k+1}(M, \partial M)], \quad k = 0, 1, \dots, n, \\ PH_-^k(M, \partial M) &\cong \text{coker}[L: H^{2n-k-1}(M, \partial M) \rightarrow H^{2n-k+1}(M, \partial M)] \\ &\quad \oplus \ker[L: H^{2n-k}(M, \partial M) \rightarrow H^{2n-k+2}(M, \partial M)], \quad k = 0, 1, \dots, n. \end{aligned}$$

*Proof.* From (5.14) and (5.2)-(5.3), we have

$$\begin{aligned} PH_+^k(M, \partial M) &\cong PH_-^k(M) \cong \text{coker}[L: H^{2n-k-1}(M) \rightarrow H^{2n-k+1}(M)] \\ &\quad \oplus \ker[L: H^{2n-k}(M) \rightarrow H^{2n-k+2}(M)], \\ PH_-^k(M, \partial M) &\cong PH_+^k(M) \cong \text{coker}[L: H^{k-2}(M) \rightarrow H^k(M)] \\ &\quad \oplus \ker[L: H^{k-1}(M) \rightarrow H^{k+1}(M)]. \end{aligned}$$

Thus, it suffices to show that

$$(5.25) \quad \ker[L: H^k(M) \rightarrow H^{k+2}(M)] \cong \text{coker}[L: H^{2n-k-2}(M, \partial M) \rightarrow H^{2n-k}(M, \partial M)]$$

$$(5.26) \quad \text{coker}[L: H^k(M) \rightarrow H^{k+2}(M)] \cong \ker[L: H^{2n-k-2}(M, \partial M) \rightarrow H^{2n-k}(M, \partial M)]$$

for all  $k$ . To obtain such relations, we recall that by Lefschetz duality,  $H^k(M) \cong H^{2n-k}(M, \partial M)$ . A way to see this follows from the equivalence of  $H^k(M) \cong \mathcal{H}_N^k(M)$  and  $H^k(M, \partial M) \cong \mathcal{H}_D^k(M)$  and that the map by the Hodge star,  $*$  :  $\mathcal{H}_N^k(M) \rightarrow \mathcal{H}_D^{2n-k}(M)$ , is an isomorphism (see, for example [15]). There is also a non-degenerate pairing that is well-defined on cohomology:

$$(5.27) \quad \begin{aligned} H^k(M) \otimes H^{2n-k}(M, \partial M) &\longrightarrow \mathbb{R} \\ [\eta] \otimes [\xi] &\longrightarrow (-1)^k \int_M \eta \wedge \xi. \end{aligned}$$

With  $** = (-1)^k$  acting on  $\Omega^k(M)$ , we can express this pairing in terms of the usual inner product

$$(-1)^k \int_M \eta \wedge \xi = \int_M \eta \wedge *(*\xi) = (\eta, *\xi).$$

And since the adjoint  $L^* = (-1)^k * L *$ , we have

$$(L\phi, *\xi) = (\phi, *L\xi)$$

where  $\phi \in \Omega^{k-2}(M)$ . It is then clear that for every  $[\phi] \in \ker L|_{H^{k-2}(M)}$ , there exists a corresponding  $[\xi] \in H^{2n-k+2}(M, \partial M)$  such that  $[\xi] \in \text{coker } L|_{H^{2n-k}(M, \partial M)}$ . Such a cohomology pair,  $([\phi], [\xi])$ , is related as follows: let  $\tilde{\phi} \in [\phi]$  be the harmonic representative i.e.  $\tilde{\phi} \in \mathcal{H}_N^{k-2}(M)$ , then  $*\tilde{\phi} \in \mathcal{H}_D^{2n-k+2}(M, \partial M)$  and  $*\tilde{\phi} \in [\xi]$ . This gives an isomorphism between  $\ker L|_{H^{k-2}(M)}$  and  $\text{coker } L|_{H^{2n-k}(M, \partial M)}$ .

Likewise, if  $[\xi] \in \ker L|_{H^{2n-k-2}(M, \partial M)}$  and  $\tilde{\xi} \in [\xi]$  is the harmonic representative, i.e.  $\tilde{\xi} \in \mathcal{H}_D^{2n-k-2}(M, \partial M)$ , then  $*\tilde{\xi} \in \mathcal{H}_N^{k+2}(M)$  and the associated cohomology class  $[\tilde{\xi}] \in \text{coker } L|_{H^k(M)}$ . This gives an isomorphism between  $\ker L|_{H^{2n-k-2}(M, \partial M)}$  and  $\text{coker } L|_{H^k(M, \partial M)}$ .  $\square$

## 6. EXAMPLES

We calculate here the absolute and relative primitive cohomologies for two symplectic manifolds with boundary: (i) an interval times a five-torus,  $I \times T^5$ ; (ii) a three ball times a three-torus,  $B^3 \times T^3$ . For each case, we write down the basis of harmonic fields satisfying certain specific boundary conditions. These two simple examples will allow us to make evident some of the differences between primitive cohomology and de Rham cohomology on symplectic manifolds with boundary.

We note that the two examples we study are both Kähler. However, in the case of a non-vanishing boundary, standard properties of closed Kähler manifolds may no longer hold. For instance, the symplectic structure need not be in a non-trivial class and the Hard Lefschetz property may not hold. Interestingly, in example (ii), we demonstrate clearly the dependence of the absolute and relative cohomologies on the symplectic structure. In short, different symplectic structures on a manifold can give different dimensions for the absolute and relative cohomologies. This is in contrast to the case of closed Kähler manifold where it was shown in [19] that the dimension of primitive cohomologies are invariant under change of the Kähler class.

6.1.  $I \times T^5$ . Let  $M = [0, 1] \times T^5$ , the direct product of the 5-torus and the interval. To set notation, let us define  $M$  by moding out the following identification from  $[0, 1] \times \mathbb{R}^5$ :

$$(x_1, y_1, x_2, y_2, x_3, y_3) \sim (x_1, y_1 + a, x_2 + b, y_2 + c, x_3 + d, y_3 + e),$$

with  $a, b, c, d, e \in \mathbb{Z}$ . We choose  $\{dx_i, dy_i\}$  as the generating basis for  $\Omega^*(M)$ . The boundary is given by

$$\partial M = \{0\} \times T^5 \cup \{1\} \times T^5 \text{ with } d\rho = \pm dx_1, \vec{n} = \pm \frac{\partial}{\partial x_1},$$

where plus sign is for the  $\{0\} \times T^5$  boundary and the minus sign for  $\{1\} \times T^5$ . We consider the standard symplectic structure and Riemannian metric with

$$\omega = \sum_i dx_i \wedge dy_i, \quad \mathcal{J}dx_i = dy_i.$$

The de Rham cohomology and primitive cohomology can be straightforwardly calculated and expressed in a basis of harmonic fields satisfying Neumann-type boundary conditions. (For the tables in this section, the roman indices  $\{i, j, l\}$  can



take any value from 1 to 3 except as indicated, and we have suppressed the wedge product symbol “ $\wedge$ ” in all the forms for notational simplicity.)

$k$	$\dim H^k(M)$	Basis in $\mathcal{H}_N^k(M)$
0	1	1
1	5	$dx_i, dy_j, i \neq 1$
2	10	$dx_2 dx_3, dx_i dy_j, dy_j dy_l, i \neq 1$
3	10	$dx_2 dx_3 dy_k, dx_i dy_j dy_l, dy_1 dy_2 dy_3, i \neq 1,$
4	5	$dx_2 dx_3 dy_j dy_l, dx_i dy_1 dy_2 dy_3, i \neq 1$
5	1	$dx_2 dx_3 dy_1 dy_2 dy_3$
6	0	$\emptyset$

$k$	$\dim PH_+^k(M)$	Basis in $PH_{+,N_+}^k(M)$
0	1	1
1	5	$dx_i, dy_j, i \neq 1$
2	9	$dx_2 dx_3, dx_i dy_j, i \neq 1, i \neq j$ $dx_2 dy_2 - dx_3 dy_3, dy_j dy_l$
3	10	$dx_2 dx_3 dy_1, dx_2 dy_1 dy_3, dx_3 dy_1 dy_2, dy_1 dy_2 dy_3,$ $dy_1(dx_2 dy_2 - dx_3 dy_3), x_1 dy_1(dx_2 dy_2 - dx_3 dy_3),$ $x_1 dx_2 dx_3 dy_1, x_1 dx_2 dy_1 dy_3, x_1 dx_3 dy_1 dy_2, x_1 dy_1 dy_2 dy_3$

$k$	$\dim PH_-^k(M)$	Basis in $PH_{-,N_-}^k(M)$ or $PH_{-,N_-}^3(M)$
0	0	$\emptyset$
1	1	$dy_1$
2	5	$dy_1 dx_i, dy_1 dy_i, i \neq 1$ $dx_1 dy_1 - \frac{1}{2}(dx_2 dy_2 - dx_3 dy_3)$
3	9	$dx_2 dx_3 dy_1, dx_2 dy_1 dy_3, dx_3 dy_1 dy_2, dy_1 dy_2 dy_3$ $dx_2(dx_1 dy_1 - dx_3 dy_3), dx_3(dx_1 dy_1 - dx_2 dy_2),$ $(dx_2 dy_2 - dx_3 dy_3) dy_1, (dx_1 dy_1 - dx_3 dy_3) dy_2, (dx_1 dy_1 - dx_2 dy_2) dy_3$

The absolute primitive cohomology can be most easily calculated by Lefschetz maps as in (5.2)-(5.3). From the tables above, we find certain relations between de Rham cohomology and primitive cohomology. For instance, notice that the basis for  $PH_+^k(M)$  are exactly the primitive subset of the basis of  $H^k(M)$ , for  $k < 3$ .

For relative cohomology, we find the following:

$k$	$\dim H^k(M, \partial M)$	Basis in $\mathcal{H}_D^k(M)$
0	0	$\emptyset$
1	1	$dx_1$
2	5	$dx_1 dx_i, dx_1 dy_j$
3	10	$dx_1 dx_2 dx_3, dx_1 dx_i dy_j, dx_1 dy_j dy_l$
4	10	$dx_1 dx_2 dx_3 dy_j, dx_1 dx_i dy_j dy_l, dx_1 dy_1 dy_2 dy_3,$
5	5	$dx_1 dx_2 dx_3 dy_j dy_l, dx_1 dx_i dy_1 dy_2 dy_3$
6	1	$dx_1 dx_2 dx_3 dy_1 dy_2 dy_3$

$k$	$\dim PH_+^k(M, \partial M)$	Basis in $PH_{+,D_+}^k(M)$ or $PH_{+,D_{++}}^3(M)$
0	0	$\emptyset$
1	1	$dx_1$
2	5	$dx_1 dx_i, dx_1 dy_i, i \neq 1$ $dx_1 dy_1 - \frac{1}{2}(dx_2 dy_2 - dx_3 dy_3)$
3	9	$dx_1 dx_2 dx_3, dx_1 dx_2 dy_3, dx_1 dx_3 dy_2,$ $dx_1 dy_2 dy_3, (dx_2 dy_2 - dx_3 dy_3) dx_1,$ $(dx_1 dy_1 - dx_3 dy_3) dx_2, (dx_1 dy_1 - dx_2 dy_2) dx_3$ $(dx_1 dy_1 - dx_3 dy_3) dy_2, (dx_1 dy_1 - dx_2 dy_2) dy_3$
$k$	$\dim PH_-^k(M, \partial M)$	Basis in $PH_{-,D_-}^k(M)$
0	1	1
1	5	$dx_j, dy_i, i \neq 1$
2	9	$dy_2 dy_3, dx_i dy_j, i \neq 1, i \neq j$ $dx_j dx_k, dx_2 dy_2 - dx_3 dy_3,$
3	10	$dx_1 dx_2 dx_3, dx_1 dx_2 dy_3, dx_1 dx_3 dy_2, dx_1 dy_2 dy_3$ $dx_1 (dx_2 dy_2 - dx_3 dy_3), x_1 dx_1 (dx_2 dy_2 - dx_3 dy_3),$ $x_1 dx_1 dx_2 dx_3, x_1 dx_1 dx_2 dy_3, x_1 dx_1 dx_3 dy_2, x_1 dx_1 dy_2 dy_3$

Here, the relative de Rham cohomology can be obtained by the standard long exact sequence

$$\dots \longrightarrow H^k(M, \partial M) \longrightarrow H^k(M) \longrightarrow H^k(\partial M) \longrightarrow \dots$$

while the relative primitive cohomology can be calculated using the Lefschetz map relations in Theorem 5.8.

Clearly, the elements of the absolute cohomology are different from those of the relative ones. For example,  $dx_1$  is certainly  $d$ -exact and so is trivial in absolute cohomology. However, it is a non-trivial element of  $H^1(M, \partial M)$  and  $PH_+^1(M, \partial M)$  since there is no linear function of  $x_1$  that satisfies the Dirichlet condition at both ends of the interval,  $x_1 = 0$  and  $x_1 = 1$ . Notice also that the results of the above tables satisfy the pairing isomorphism of Theorem 5.4. The pair of cohomologies -  $\{PH_+^k(M), PH_-^k(M, \partial M)\}$  and  $\{PH_-^k(M), PH_+^k(M, \partial M)\}$  - are related by a  $\mathcal{J}$ -conjugation. Regarding Lefschetz maps on  $\Omega_D^*$ , it is clear that that  $L : \Omega_D^{n-1} \rightarrow \Omega_D^{n+1}$  is not surjective (as noted in Remark 5.7) as, for example, the element  $dx_1 dy_1 dy_2 dy_3 \in \Omega_D^4$  in the table above does not have a pre-image in  $\Omega_D^2$  under the Lefschetz map.

6.2.  $B^3 \times T^3$ . Now consider  $M = B^3 \times T^3$ , the direct product of the unit ball in  $R^3$  and a three-torus. Again to set notation, we define  $M$  by modding out the following identification from  $B^3 \times R^3$ :

$$(x_1, x_2, x_3, y_1, y_2, y_3) \sim (x_1, x_2, x_3, y_1 + a, y_2 + b, y_3 + c), a, b, c \in \mathbb{Z}$$

with  $x_1^2 + x_2^2 + x_3^2 \leq 1$ . The boundary is given by

$$\partial M = S^2 \times T^3 : \{x_1^2 + x_2^2 + x_3^2 = 1\}, \text{ with } d\rho = -\sum_i x_i dx_i, \vec{n} = -\sum_i x_i \frac{\partial}{\partial x_i}.$$

We consider first the standard symplectic form and Riemannian metric:

$$\omega = \sum_i dx_i \wedge dy_i, \quad \mathcal{J}dx_i = dy_i.$$

Then  $\mathcal{J}d\rho = -\sum_i x_i dy_i$ . Moreover, the symplectic form here is exact since  $\omega = d\alpha$  with  $\alpha = \sum_i x_i dy_i$ . The boundary in this case is said to be of contact type and the Reeb vector field is given by  $\sum_i x_i \frac{\partial}{\partial y_i}$ .

With  $\omega$  being exact, the Lefschetz map  $L: H^k(M) \rightarrow H^{k+2}(M)$  trivially maps all elements to zero. This leads to the following isomorphisms for  $1 \leq k \leq n$ :

$$PH_+^k(M) \cong H^{k-1}(M) \oplus H^k(M), \quad PH_-^k(M) \cong H^{2n-k}(M) \oplus H^{2n-k+1}(M).$$

In particular, we find the following for the de Rham and primitive cohomology in the absolute case:

$k$	$\dim H^k(M)$	Basis in $\mathcal{H}_N^k(M)$
0	1	1
1	3	$dy_1, dy_2, dy_3$
2	3	$dy_i dy_j$
3	1	$dy_1 dy_2 dy_3$
4, 5, 6	0	$\emptyset$

$k$	$\dim PH_+^k(M)$	Basis in $P\mathcal{H}_{+,N_+}^k(M)$
0	1	1
1	4	$dy_1, dy_2, dy_3, \alpha$
2	6	$dy_i dy_j, \alpha dy_i$
3	4	$dy_1 dy_2 dy_3, \alpha dy_i dy_j$
$k$	$\dim PH_-^k(M)$	Basis in $P\mathcal{H}_{-,N_-}^k(M)$ or $P\mathcal{H}_{-,N_-}^3(M)$
0, 1, 2	0	$\emptyset$
3	1	$dy_1 dy_2 dy_3$

Of note here is the presence of  $\alpha$  as a non-trivial element of  $PH_+^1(M)$ . Since  $d\alpha = \omega$ ,  $\alpha$  is  $\partial_+$ -closed but not  $d$ -closed. For relative cohomologies, we obtain the following:

$k$	$\dim H^k(M, \partial M)$	Basis in $\mathcal{H}_D^k(M)$
0, 1, 2	0	$\emptyset$
3	1	$dx_1 dx_2 dx_3$
4	3	$dx_1 dx_2 dx_3 dy_j$
5	3	$dx_1 dx_2 dx_3 dy_i dy_j$
6	1	$dx_1 dy_1 dx_2 dy_2 dx_3 dy_3$

$k$	$\dim PH_+^k(M, \partial M)$	Basis in $P\mathcal{H}_{+,D_+}^k(M)$ or $P\mathcal{H}_{+,D_{++}}^3(M)$
0, 1, 2	0	$\emptyset$
3	1	$dx_1 dx_2 dx_3$
$k$	$\dim PH_-^k(M, \partial M)$	Basis in $P\mathcal{H}_{-,D_-}^k(M)$
0	1	1
1	4	$dx_1, dx_2, dx_3, d\rho$
2	6	$dx_i dx_j, d\rho dx_i,$
3	4	$dx_1 dx_2 dx_3, d\rho dx_i dx_j$

Here, the dimension of  $PH_-^k(M, \partial M)$  is greater than that of  $H^{2n-k}(M, \partial M)$ , again in contrast to that in the first example.

For closed Kähler manifold, it is known that the dimension of  $PH_+^k(M)$  is a constant with respect to different Kähler structures [19]. This is due to the existence of the hard Lefschetz property which implies a Lefschetz decomposition of the de Rham cohomology. However the hard Lefschetz property do not in general hold when the boundary is not vanishing. Hence, in the case of manifold with boundary, the dimension of the cohomology  $PH_{\pm}^k(M)$  may vary as the symplectic structure varies. To demonstrate this, let us consider again  $M^6 = B^3 \times T^3$  but now with a different symplectic form and complex structure:

$$\begin{aligned}\tilde{\omega} &= dx_1 \wedge dx_2 + dy_1 \wedge dy_2 + dy_3 \wedge dx_3, \\ \tilde{\mathcal{J}}dx_1 &= dx_2, \quad \tilde{\mathcal{J}}dy_1 = dy_2, \quad \tilde{\mathcal{J}}dy_3 = dx_3.\end{aligned}$$

Though this symplectic form is not exact, it still represents a Kähler structure. Moreover,  $\tilde{\mathcal{J}}d\rho = -x_1 dx_2 + x_2 dx_1 + x_3 dy_3$  whose corresponding vector is  $\vec{v} = -x_1 \frac{\partial}{\partial x_2} + x_2 \frac{\partial}{\partial x_1} + x_3 \frac{\partial}{\partial y_3}$ . Of course, the de Rham cohomology and the relative de Rham cohomology being topological remains unchanged. However, the primitive cohomology and relative primitive cohomology are now different.

$k$	$\dim PH_+^k(M)$	Basis in $P\mathcal{H}_{+,N_+}^k(M)$
0	1	1
1	3	$dy_1, dy_2, dy_3$
2	4	$dy_1 dy_3, dy_2 dy_3,$ $(x_2 dx_1 - x_1 dx_2 + 2x_3 dy_3) dy_i, i = 1, 2$
3	3	$(x_1 dx_2 - x_2 dx_1)(dy_1 dy_2 - dy_3 dx_3) + x_3 dy_3(dx_1 dx_2 - dy_1 dy_2),$ $(x_1 dx_2 - x_2 dx_1) dy_3 dy_i, i = 1, 2$
$k$	$\dim PH_-^k(M)$	Basis in $P\mathcal{H}_{-,N_{+-}}^3(M)$
0, 1, 2	0	$\emptyset$
3	1	$(dx_1 dx_2 - dy_1 dy_2) dy_3$

$k$	$\dim PH_+^k(M, \partial M)$	Basis in $P\mathcal{H}_{+,D_+}^k(M)$ or $P\mathcal{H}_{+,D_{++}}^3(M)$
0, 1, 2	0	$\emptyset$
3	1	$(dx_1 dx_2 - dy_1 dy_2) dx_3$
$k$	$\dim PH_-^k(M, \partial M)$	Basis in $P\mathcal{H}_{-,D_-}^k(M)$
0	1	1
1	3	$dy_1, dy_2, dx_3$
2	4	$dy_1 dx_3, dy_2 dx_3,$ $(x_1 dx_1 + x_2 dx_2 - 2x_3 dx_3) dy_i, i = 1, 2$
3	3	$(x_1 dx_2 + x_2 dx_1)(dy_1 dy_2 - dy_3 dx_3)$ $-x_3 dy_3(dx_1 dx_2 - dy_1 dy_2),$ $(x_1 dx_1 + x_2 dx_2) dy_3 dy_i, i = 1, 2$

Clearly, the dimensions of  $PH_+^k(M)$  and  $PH_-^k(M, \partial M)$  differ for the symplectic structure  $\tilde{\omega}$  as compared to those for  $\omega$ .

## 7. DISCUSSION

In this paper, we established Hodge theory for primitive cohomologies on symplectic manifold with boundary. In order to obtain a unique harmonic representative in each primitive cohomology class, we are required to impose on harmonic fields new Dirichlet- and Neumann-type boundary conditions that are dependent on the symplectic structure. For those cohomologies associated with fourth-order symplectic Laplacians, the natural boundary conditions additionally involve derivatives.

We associated harmonic fields with Dirichlet-type symplectic boundary conditions with what we have called relative primitive cohomologies. In differential topology, relative de Rham cohomology is well-defined for any submanifold  $N$  embedded in  $M$ . Let  $i : N \hookrightarrow M$  be the inclusion map. Then, there is a relative de Rham complex defined by elements  $\Omega_R^k(M, N) = \Omega^k(M) \oplus \Omega^{k-1}(N)$  with the differential  $d$  given by

$$d(\eta, \xi) = (d\eta, i^* \eta - d\xi).$$

Such a differential squares to zero and results in the relative de Rham cohomology, which we shall denote here by  $H_R^k(M, N)$ . (For a reference, see [3].) In the case of  $N = \partial M$ , it is well-known that

$$H_R^k(M, \partial M) \cong H^k(M, \partial M)$$

with  $H^k(M, \partial M)$  being the standard de Rham cohomology defined over  $\Omega_D^k(M)$ , i.e. forms satisfying the Dirichlet boundary conditions.

The isomorphism above begs the question whether the relative primitive cohomologies defined over forms with  $\{D_+, D_{++}, D_-\}$  boundary conditions in Section 5.2 also have a description in terms of a “relative” complex similar to the de Rham case. To just generalize the relative de Rham complex by restricting  $\Omega^*$  to primitive forms and replacing the differential with the appropriate symplectic operator from the triplet  $(\partial_+, \partial_-, \partial_+ \partial_-)$  that appear in the primitive elliptic complex of (5.1) would run into an immediate obstacle:  $N = \partial M$  is odd-dimensional, and hence,

there is no general notion of a primitive form defined on  $\partial M$ . (If  $N$  happens to be a symplectic submanifold of  $M$ , then such a relative complex would make sense [20].)

To side-step this issue, we propose here considering a relative complex not with respect to  $N$ , but instead with respect to a *closed* tubular neighborhood of  $N$  which we will label by  $N_T$ . With the map  $i : N_T \hookrightarrow M$  be the inclusion, the pullback  $i^*\omega$  then defines a symplectic structure on  $N_T$ . This would allow us to proceed to define a relative complex  $(\mathcal{P}_R(M, N_T), \partial)$  with elements  $\mathcal{P}_R^l(M, N_T) = \mathcal{P}^l(M) \oplus \mathcal{P}^{l-1}(N_T)$ . Here, the vector space  $\mathcal{P}^l$  with  $l = 0, 1, 2, \dots, 2n + 1$ , are just primitive spaces but sequenced by the order of their appearance in the primitive elliptic complex in (5.1). Specifically,

$$(7.1) \quad \mathcal{P}^l = \begin{cases} \mathcal{P}^l & \text{if } 0 \leq l \leq n, \\ \mathcal{P}^{2n+1-l} & \text{if } n+1 \leq l \leq 2n+1. \end{cases}$$

which following (5.1) is acted upon by the differential operator

$$(7.2) \quad \partial_l = \begin{cases} \partial_+ & \text{if } 0 \leq l < n-1, \\ -\partial_+\partial_- & \text{if } l = n, \\ -\partial_- & \text{if } n+1 \leq l \leq 2n+1. \end{cases}$$

(The extra minus signs make  $(\mathcal{P}^*, \partial_l)$  coincide with the algebra  $\mathcal{F}^{p=0}$  in [19].) The differential  $\partial$  acting on the relative element  $(\beta, \gamma) \in \mathcal{P}_R^l(M, N_T)$  would then be standardly given by

$$\partial(\beta, \gamma) = (\partial_l \beta, i^* \beta - \partial_{l-1} \gamma).$$

We will denote the resulting relative cohomology by  $PH_R^*(M, N_T)$ . In the case, where  $N = \partial M$ ,  $N_T = (\partial M)_T$  would be a closed collar neighborhood of  $\partial M$ . We then expect that  $PH_R^*(M, (\partial M)_T)$  is isomorphic to the relative cohomology  $PH^*(M, \partial M)$  defined in Section 5.2.

We emphasize that the above relative primitive cohomology  $PH_R^*(M, N_T)$  can be defined for any embedded submanifold  $N$  of  $M$  and this includes the interesting case where  $N$  is a Lagrangian submanifold. This is of particular relevance for a system of equations that arose in physics which constrains six-dimensional, symplectic Calabi-Yau manifolds with special Lagrangians playing the role of source charges [18, 23]. (Here, we follow the usage of the term ‘‘Calabi-Yau’’ to mean the existence of an  $SU(3)$  holonomy structure with respect to a connection that may have torsion.). A six-dimensional, symplectic Calabi-Yau can be labelled by  $(M^6, \omega, \Omega)$ , where  $\Omega$  here is a non-vanishing  $(3, 0)$ -form that defines an almost complex structure on  $M^6$  and  $\omega$  is a symplectic  $(1, 1)$ -form. The physical system requires that the  $(3, 0)$  form  $\Omega$  satisfies:

$$\begin{aligned} d \operatorname{Re} \Omega &= 0 \\ dd^\Lambda e^{-2f} \operatorname{Im} \Omega &= \rho_L \end{aligned}$$

with  $\rho_L$  being the Poincaré-dual current of a special Lagrangian submanifold  $L \subset M$  and

$$e^{-2f} = \frac{3}{4} \frac{i\Omega \wedge \overline{\Omega}}{\omega^3}.$$

In [23], the above system was related to a Maxwell type system for  $(\operatorname{Re} \Omega)$ . Hence, in analogy with the relationship between Maxwell's equations and relative de Rham cohomology, we expect that the relative primitive cohomology  $PH_R^4(M, L_T)$  should be relevant for measuring the source charges of the physical system and in understanding its space of solutions. It is also an interesting question whether  $PH_R^*(M, L_T)$  can be described by forms with certain prescribed boundary conditions when asymptotically close to  $L$ .

Lastly, primitive forms and their cohomologies are the special ( $p = 0$ ) case of the more general  $p$ -filtered forms and their filtered cohomologies described in Tsai-Tseng-Yau [19]. The description here should be straightforwardly generalizable to the  $p$ -filtered case by replacing the  $(\partial_+, \partial_-, \partial_+\partial_-)$  operators with the more general  $(d_+, d_-, \partial_+\partial_-)$  operators defined in [19].

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